ASLEEP AT THE SWITCH: FERC'S OVERSIGHT OF ENRON CORPORATION—VOL. IV

HEARING

BEFORE THE

COMMITTEE ON GOVERNMENTAL AFFAIRS UNITED STATES SENATE

ONE HUNDRED SEVENTH CONGRESS

SECOND SESSION

NOVEMBER 12, 2002

Printed for the use of the Committee on Governmental Affairs



U.S. GOVERNMENT PRINTING OFFICE

 $86\text{--}406\,\mathrm{PDF}$

WASHINGTON: 2003

COMMITTEE ON GOVERNMENTAL AFFAIRS

JOSEPH I. LIEBERMAN, Connecticut, Chairman

CARL LEVIN, Michigan
DANIEL K. AKAKA, Hawaii
RICHARD J. DURBIN, Illinois
ROBERT G. TORRICELLI, New Jersey
MAX CLELAND, Georgia
THOMAS R. CARPER, Delaware
JEAN CARNAHAN, Missouri
MARK DAYTON, Minnesota

FRED THOMPSON, Tennessee TED STEVENS, Alaska SUSAN M. COLLINS, Maine GEORGE V. VOINOVICH, Ohio THAD COCHRAN, Mississippi ROBERT F. BENNETT, Utah JIM BUNNING, Kentucky PETER G. FITZGERALD, Illinois

Joyce A. Rechtschaffen, Staff Director and Counsel
Beth M. Grossman, Counsel
David M. Berick, Professional Staff Member
Patrick J. Hart, Professional Staff Member
Jason M. Yanussi, Professional Staff Member
Richard A. Hertling, Minority Staff Director
Gary M. Brown, Special Counsel to the Minority
Darla D. Cassell, Chief Clerk

CONTENTS

Opening statements: Senator Lieberman Senator Thompson Senator Levin Senator Collins Prepared statement: Senator Bunning	Page 1 5 49 54 73
WITNESSES	
Tuesday, November 12, 2002	
David M. Berick, Professional Staff Member, Committee on Governmental Affairs, U.S. Senate	9 33 35 36 37 60 62
ALPHABETICAL LIST OF WITNESSES	
Berick, David M.: Testimony Prepared statement Supplemental statement Breathitt, Hon. Linda K.: Testimony Brownell, Hon. Nora M.: Testimony Joskow, Paul L., Ph.D.: Testimony Prepared statement Massey, Hon. William L.: Testimony Wolak, Frank A., Ph.D.: Testimony Prepared statement Wood, Hon. Patrick H., III: Testimony Prepared statement with an attachment	9 74 93 35 36 60 146 37 62 159 33 130
APPENDIX	
Responses to Post-Hearing Questions from: The Hon. Patrick H. Wood, III The Hon. Linda K. Breathitt The Hon. Nora M. Brownell The Hon. William L. Massey	177 202 209 212

APPENDIX

Volume I

	Materials Submitted for the Record by Chairman Lieberman	
	Majority Staff Memorandum on Committee Staff Investigation of the Federal Energy Regulatory Commission's Oversight of Enron Corp., November 12, 2002	220
\mathbf{s}	upporting Documents (footnote numbers indicate footnote in Major Staff Memorandum where document was first referenced)	rity
1.	"Enron Federal Government Affairs-Outlook & Goals for 1999" (Enron document) (footnote 11)	271
2.	"Overview of Key Energy Policy Issues," (Enron document) (footnote 11)	277
3.	"Government Affairs Directory" (Enron document) (footnote 12)	285
4.	"Government Affairs," November 2001 (Enron document) (footnote 12)	294
5.	Complaint, SEC v. Kopper, August 21, 2002 (footnote 22)	303
6.	Information, United States v. Kopper, August 20, 2002 (footnote 22)	309
7.	Complaint, SEC v. Fastow, October 2, 2002 (footnote 22)	326
8.	Indictment, $United\ States\ v.\ Fastow,\ October\ 31,\ 2002\ (footnote\ 22)\$	342
9.	Minutes, Enron Finance Committee Meeting, May 5, 1997 (excerpted) (footnote 24)	374
10.	"Project Storm: Draft Report," July 6, 2001 (prepared by PriceWaterhouseCoopers) (excerpted) (footnote 27)	387
11.	$Zond\ Windsystems\ Holding\ Company,\ FERC\ Docket\ No.\ QF87-365\ \dots\dots\dots$	529
	a. Request for Recertification of Qualifying Facility Status for Small Power Production Facility, May 14, 1997 (footnote 29)	530
	b. Order Granting Application for Recertification as a Qualifying Small Power Production Facility, June 30, 1997 (footnote 30)	549
	c. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, August 3, 2000 (footnote 32)	555
12.	Sky River Partnership, FERC Docket No. QF91–59	564
	a. Request for Recertification of Qualifying Facility Status for Small Power Production Facility, May 14, 1997 (footnote 29)	565
	b. Order Granting Application for Recertification as a Qualifying Small Power Production Facility, June 30, 1997 (footnote 30)	588
	c. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, August 3, 2000 (footnote 32)	593
13.	Victory Garden Phase IV Partnership, FERC Docket No. QF90–43	605
	a. Request for Recertification of Qualifying Facility Status for Small Power Production Facility, May 14, 1997 (footnote 29)	605
	b. Order Granting Application for Recertification as a Qualifying Small Power Production Facility, June 30, 1997 (footnote 30)	626
	c. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, August 3, 2000 (footnote 32)	631

	V
14.	Cabazon Power Partners LLC (Zond Cabazon Development Corp.), FERC Docket No. QF95–186
	a. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, November 30, 1998 (footnote 46)
	b. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, January 7, 1999 (footnote 48)
	c. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, January 8, 1999 (footnote 47)
	d. Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, January 24, 2001 (footnote 32)
15.	Notice of Self-Recertification of Qualifying Facility Status for Small Power Production Facility, <i>Victory Garden Power Partners I LLC</i> , FERC Docket No. QF99–92–001, January 24, 2001 (footnote 32)
16.	Enron Corporation Form U–1, Application under the Public Utility Holding Company Act of 1935, April 14, 2000 (footnote 35)
17.	Letter from Joanne C. Rutkowski (LeBoeuf, Lamb, Greene & MacRae) to Catherine A. Fisher (SEC), April 13, 2000 (footnote 39)
18.	"Alternative PUHCA Exemption for QF Relief, SEC Staff Presentation," July 27, 2001 (Enron document) (footnote 39)
	Order Scheduling Hearing, Applications of Enron Corp. for Exemption Under the Public Utility Holding Company Act of 1935, SEC Administrative Proceeding File No. 3–10909. October 7, 2002 (footnote 40)
20.	a. Motion to Intervene and Opposition of Southern California Edison Company, Enron Corp., SEC File No. 070–09661, March 26, 2002 (footnote 42)
	b. Motion to Intervene of Southern California Edison Company, In re Victory Garden Power Partners I, LLC (FERC Docket No. QF99–92, ZWHC LLC (FERC Docket No. QF87–365), Victory Garden Phase IV Partnership (FERC Docket No. QF90–43), Sky River Partnership (FERC Docket No. QF91–59), and Cabazon Power Partners LLC (Docket No. QF95–186), filed April 3, 2002 (footnote 42)
21.	Letter from Magalie R. Salas (FERC) to James B. Woodruff (Southern California Edison Company), May 28, 2002 (footnote 43)
22.	Order Initiating Investigation and Hearing, Investigation of Certain Enron-Affiliated QF's, FERC Docket No. EL03–17–000, October 24, 2002 (footnote 44)
23.	E-mail from Susan Kappelman (Southern California Edison Co.) to David Berick (Professional Staff Member, Committee on Governmental Affairs), September 6, 2002 (footnote 45)
24.	E-mail from Karen Berky (The Nature Conservancy) to David Berick (Professional Staff Member, Committee on Governmental Affairs), September 30, 2002 (footnote 49)
25.	Assignment Agreement between Zond Cabazon Development Corp. and The Nature Conservancy, November 18, 1998 (footnote 50)
26.	Order Denying Applications for Recertification as Qualifying Facilities, Coso Energy Developers, et al., December 16, 1998 (footnote 52)
	Letter to Laurel Mayer (The Nature Conservancy) from John Lamb (Cabazon Power Partners LLC), January 11, 1999 (footnote 53)
28.	"Report on EnronOnline," August 16, 2001 (FERC Staff Report) (footnote 54)
29.	Letter from Joseph I. Lieberman to the Honorable Pat Wood, III, May 14, 2002 (footnote 55)
30.	Letter from Pat Wood, III to the Honorable Joseph I. Lieberman, May 28, 2002 (footnote 55)
31.	"Credit Quality for U.S. Utilities Continues Negative Trend in Second Quarter," Standard & Poor's, July 12, 2002 (footnote 56)

	, ,	Page
	Volume II	
32.	"Initial Report on Company-Specific Separate Proceedings and Generic Reevaluations; Published Natural Gas Price Data; and Enron Trading Strategies: Fact-Finding Investigation of Potential Manipulation of Electric and Natural Gas Prices," (FERC Staff Report), August 2002 (footnote 58)	1
33.	a. "Williams Traders Gave False Data," Wall Street Journal, October 28, 2002 (footnote 64)	124
	b. "AEP Dismisses Five for Providing Inaccurate Market Data for Indexes," AEP Press Release, October 9, 2002 (footnote 64)	125
	c. "Dynegy Dismisses Six Employees, Will Discipline Seven Others for Violations of Company Policies," Dynegy Press Release, October 18, 2002 (footnote 64)	126
	d. "Williams Discloses Natural Gas Trade Reporting Inaccuracies," Williams Press Release, October 25, 2002 (footnote 64)	127
34.	Letter from Pat Wood, III to the Honorable Joseph I. Lieberman, March 4, 2002 (footnote 66)	128
35.	Letter from Pat Wood, III to the Honorable Joseph I. Lieberman, April 12, 2002 (footnote 66)	132
36.	Order Establishing Evidentiary Hearing Procedures, Granting Rehearing in Part, and Denying Rehearing in Part, San Diego Gas & Electric Co. v. Sellers of Energy and Ancillary Service, July 25, 2001 (footnote 67)	137
37.	Internal FERC memorandum on "Audit of the Component Costs of Generating Electric Power" (footnote 68)	185
38.	"Electronic Platforms and Energy Trading, Talking Points addressing Common Misperceptions," (Enron document) (footnote 72)	188
39.	"Update on Federal Government Affairs Energy Crisis Campaign," July 27, 2001 (Enron document) (footnote 74)	197
40.	"Enron Secures Commitments for Additional \$1 Billion in Financing," Enron Corp. Press Release, November 1, 2001 (footnote 81)	198
41.	In Re Investigation of Certain Financial Data, FERC Docket No. IN02–6–000	199
	a. Order to Respond, August 1, 2002 (footnote 84)	199
	b. Order Approving Stipulation and Consent Agreement, August 8, 2002 (footnote 85)	204
	c. Response of Transwestern Pipeline Company, September 3, 2002 (footnote 86)	211
42.	"Structuring Summary, Project Bluehorseshoe," September 17, 2002 (prepared by JP Morgan Global Syndicated Finance) (footnote 87)	240
43.	"Regulation of Cash Management Practices," Federal Register, August 7, 2002 (Notice of Proposed Rulemaking) (footnote 88)	253
44.	"Account-146 Balances of Enron Owned Companies," (table prepared by FERC staff) (footnote 89)	260
45.	Response of Portland General Electric Company to the Commission's May 8, 2002 Data Request and Request for Admissions, Fact-finding Investigation of Potential Manipulation of Electric and Natural Gas Prices, FERC Docket No. PA02–2–000, May 22, 2002 (footnote 94)	264
46.	Memorandum from John Mass (LeBoeuf, Lamb, Greene & MacRae, LLP), August 2, 1999 (footnote 96)	287
47.	Transcripts of Portland General Electric Scheduling Calls, April 15, 2000 (footnote 97)	291
48.	Transcript of Scheduler Telephone Conversation, April 6, 2000 (footnote 98)	333

	· 	Page
49.	Orders Initiating Investigation, and Establishing Hearing Procedures and Refund Effective Date, <i>Portland General Electric Co.</i> , et al., FERC Docket No. EL02–114–000, and <i>Avista Corporation</i> , et al., FERC Docket No. EL02–115–000, August 13, 2002 (footnote 99)	374
50.	a. Letter from John M. Delaware (FERC) to Transwestern Pipeline Company, October 11, 2000 (footnote 100)	384
	b. Letter from John M. Delaware (FERC) to Northern Natural Gas Company, October 11, 2000 (footnote 100)	386
51.	"Preliminary Report on Operation of the Ancillary Services Markets of the California Independent System Operator (ISO)," August 19, 1998 (prepared by the Market Surveillance Committee of California ISO) (footnote 101)	390
52.	"Report on Market Issues in the California Power Exchange Energy Markets," August 17, 1998 (prepared by the Market Monitoring Committee of the California Power Exchange) (footnote 101)	500
53.	Market Order Proposing Remedies for California Wholesale Electric Markets, San Diego Gas & Electric Co. v. Sellers of Energy and Ancillary Services, November 1, 2000 (footnote 102)	530
54.	"Staff Report to the Federal Energy Regulatory Commission on Western Markets and the Causes of the Summer 2000 Price Abnormalities," November 1, 2000 (footnote 103)	606
55.	United States v. Timothy N. Belden	729
	a. Plea Agreement, October 17, 2002 (footnote 106)	729
	b. Information, October 9, 2002 (footnote106)	737
56.	"Status of California Electricity Markets," August 3, 2000 (presentation to FERC by Southern California Edison Co.) (footnote 108)	743
	Memorandum from Christian Yoder and Stephen Hall (Stoel Rives LLP) to Richard Sanders, December 6, 2000 (footnote 109)	761
58.	"Commission Addresses California Electricity Markets, Orders Investigation," FERC Press Release, August 23, 2000 (footnote 113)	769
59.	Order Initiating Hearing Proceedings to Investigate Justness and Reasonableness of Rates of Public Utility Sellers in California ISO and PX Markets and To Investigate ISO and PX Tariffs, Contracts, Institutional Structures and Bylaws; and Providing Further Guidance to California Entities," San Diego Gas & Electric Co. v. Sellers of Energy and Ancillary Services, August 23, 2000 (footnote 113)	771
60.	"Report on California Energy Market Issues and Performance: May-June, 2000," August 10, 2000 (prepared by Department of Market Analysis, California Independent System Operator) (footnote 116)	791
61.	Declaration of Eric W. Hildebrandt, San Diego Gas & Electric Co. v. All Sellers of Ancillary Services, October 20, 2000 (footnote 117)	851
62.	"California Electricity Markets: Issues for Examination," August 17, 2000 (presentation by Dr. Gary Stern, Southern California Edison Co.) (footnote 120)	875
	Volume III	
63.	Order Directing Remedies for California Wholesale Electric Markets, San Diego Gas & Electric Co. v. Sellers of Energy and Ancillary Services, December 15, 2000 (footnote 123)	1
64.	Order Directing Staff Investigation, Fact-Finding Investigation of Potential Manipulation of Electric and Natural Gas Prices, February 13, 2002 (footnote 124)	100
65.	Telephonic Deposition of Christian Good Yoder, Nevada Power Co. et al. v. Duke Energy Trading and Marketing et al., June 18, 2002 (footnote 125)	111

66.	Deposition of Stephen C. Hall, Nevada Power Co. et al. v. Duke Energy Trading and Marketing et al., July 11, 2002 (footnote 126)
67.	Invoice from Stoel Rives LLP to Christian Yoder, Enron Capital & Trade Resources Corp., November 27, 2000 (footnote 126)
68.	E-mail from Mary Hain to James D. Steffes, et al., August 29, 2000 (footnote 127) (Enron document)
69.	"Enron Hosts Annual Analyst Conference; Provides Business Overview and Goals for 2000," Enron Corp. Press Release, January 20, 2000 (footnote 132)
70.	"Enron Announces Increased Earnings Target for 2001 to \$1.70-\$175 per share," Enron Corp. Press Release, January 25, 2001 (footnote 132) \dots
71.	Letter from Kevin F. Cadden (FERC) to David Berick (Professional Staff Member, Committee on Governmental Affairs), June 19, 2002 (footnote 133)
72.	"What To Do About Western Wholesale Markets?" Enron Corp., August 25, 2000 (presentation by Tim Belden, Enron North America) (footnote 135)
73.	Letter from James J. Hoecker (Swidler Berlin Shereff Friedman, LLP) to Susan J. Court (FERC), March 12, 2002 (footnote 136)
74.	"Progress Toward Deal to Cut Power Cost," San Francisco Chronicle, January 10, 2001 (footnote 136)
	"Advancing Electric Competition in the Wake of California," February 5, 2001 (Enron document) (footnote 138)
76.	E-mail from Ed Gillespie to Andrew D. Lundquist, April 3, 2001, 3:47 pm (footnote 139)
77.	E-mail from Ed Gillespie to Andrew D. Lundquist, April 3, 2001, 9:48 am (footnote 139)
78.	"Advancing Electric Competition in the Wake of California: Enron's Campaign to Affect Policy and Public Opinion," May 4, 2001 (Enron document) (footnote 141)
79.	Letter from Alberto R. Gonzales, Counsel to the President, to the Honorable Joseph I. Lieberman, May 22, 2002 (footnote 142)
80.	Letter from Kenneth L. Lay to Clay Johnson, January 8, 2001 (footnote 142)
81.	Memorandum from Linda Robertson to Ken Lay, February 12, 2001 (footnote 144)
82.	Memoranda from Linda Robertson and Tom Briggs to Ken Lay re: Meeting with Vice President Cheney, April 13, 2001 (footnote 146)
83.	Letter from John Duncan (Department of the Treasury) to Senator Joseph I. Lieberman, April 22, 2002 (footnote 147)
84.	Order on Rehearing of Monitoring and Mitigation Plan for the California Wholesale Electric Markets, Establishing West-Wide Mitigation, and Establishing Settlement Conference, San Diego Gas & Electric Co. v. Sellers of Energy and Ancillary Service, June 19, 2001 (footnote 150)
85.	"Enron Reiterates Confidence In Operations and Earnings Outlook," Enron Corp. Press Release, June 19, 2001 (footnote 151)
86.	"Energy Markets: Concerted Actions Needed by FERC to Confront Challenges That Impede Effective Oversight," General Accounting Office Report, (GAO–02–656) June 2002 (footnote 152)
87.	"Subject: Tip on Enron Corp's crude oil price fixing," April 26, 2002 (unsigned memorandum received by FERC) (footnote 155)
	Letter from Pat Wood, III, to the Honorable Joseph Lieberman, August 28, 2002 (footnote 156)
89.	FY 2003 Congressional Budget Request and Annual Performance Plan, Federal Energy Regulatory Commission, February 2002 (excerpted) (foot- note 157)

	
90.	Letter from Pat Wood, III, to the Honorable Joseph Lieberman (footnote 157)
91.	E-mail from Don Chamblee (FERC) to David Berick (Professional Staff Member, Committee on Governmental Affairs), February 25, 2002 (footnote 158)
92.	"Enforcement Activities at Selected Federal Agencies," September 6, 2002 (Congressional Research Service Memorandum) (footnote 160)
	Volume IV
	Reported Contacts Between Current and Former FERC Employees and Enron Corp.
1.	Letter from Chairman Joseph I. Lieberman and Ranking Minority Member Fred Thompson, U.S. Senate Committee on Governmental Affairs to Patrick H. Wood III, Chairman, FERC, February 15, 2002
2.	FERC's Response to Question 3 of the Committee's February 15, 2002 letter, March 4, 2002 (as revised April 8, 2002)
3.	FERC's First Supplemental Response to Question 3 of the Committee's February 15, 2002 letter, March 21, 2002 (information as to current employees revised April 8, 2002)
4.	FERC's Second Supplemental Response to Question 3 of the Committee's February 15, 2002 letter, April 24, 2002
5.	FERC's Third Supplemental Response to Question 3 of the Committee's February 15, 2002 letter, May 8, 2002
	Materials Submitted for the Record by Ranking Member Thompso
	Minority Views: FERC and its Oversight of Enron Corp. (with attachment)
D. 1	Documents:
1.	Correspondence between Chairman Wood and Senators Lieberman and Feinstein concerning ethics violations of FERC Commissions (with GAO report attached)
2.	Commissioner Breathitt's response to invitation by the Minority staff of the Committee to supplement her reported contacts with Enron
3.	Chart of contacts between Commissioner Breathitt and Charles Bone
4.	Timeline of contacts between Commissioner Breathitt and Charles Bone $ $
5.	Typed up notes of Charles Bone regarding an August 23, 2000 meeting in Nashville with Commissioner Breathitt
6.	Handwritten note by Charles Bone regarding conversation with Commissioner Breathitt
7.	Charles Bone billing records from Wyatt, Tarrant & Combs, LLP (WTC) \dots
8.	Bone memo, dated February 6, 2002, detailing money transactions between the WTC and Enron
9.	WTC's response, dated February 15, 2002, to Bone memo
10.	Facsimile communication from Commissioner Breathitt to Charles Bone concerning transcript of Senator Peace's comment about Governor Breathitt
	Facsimile communication from Commissioner Breathitt to Charles Bone regarding Governor Patton's recommendation letter, dated August 23, 2000, to President Clinton for Commissioner Breathitt to become Chairman of FERC
12.	Facsimile communication from Commissioner Breathitt to Charles Bone, dated August 29, 2000, regarding a news article predicting who will be the next Chairman of FERC

EXHIBITS CONTINUED—VOL. IV

JOSEPH I, LIEBERMAN, CONNECTICUT, CHAIRMAN

CAPI, LEVIN, MICHIGAN
DANIEL K. AXAKA HAWAII
BICHARD J. DURBIN, ELINDIS
ROGERT G. TORROCELLI, NEW JESSI
MAX CLELANO, GEORGIA
THOMAS R. CARPER, DELAWARE
JEAN CARPANA, MISSOURI
MARK DAYTON, MINISTOTA

FRED THOMPSON, TENNESSEE TED STEVENS, ALASKA SUSAN M. COLLINS, MANNE GEORGE V. VOIKOVICH, OHID PETE V. DOMBALCI, NEW MEXICO THAD COCHEAN, MISSISSIPI ROBILLE F. BENNETT, UTAH JAN BUNNINN, KENTUCKY

DYCE A RECHTSCHAFFEN, STAFF DIRECTOR AND COUNSEL

United States Senate

COMMITTEE ON GOVERNMENTAL AFFAIRS WASHINGTON, DC 20510-6250

February 15, 2002

Committee on Governmental Affairs
EXHIBIT #B-1

The Honorable Patrick H. Wood III Chairman Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Dear Chairman Wood:

The collapse of Enron Corporation has served as a wake-up call for the nation's employees, investors and its governmental leadership. When Enron filed for bankruptcy in December 2001, it marked the largest corporate failure in United States history. But it is not merely the magnitude of the collapse that makes this a matter of significant public concern. Rather, what is particularly troubling is the extent to which Enron's unraveling appeared to catch the regulatory and financial communities unaware.

The rapid collapse of what was once ranked as the nation's seventh largest company demands public scrutiny. Given Enron's size, its bankruptcy financially imperils thousands of employees, as well as a far broader universe of shareholders. Just as importantly, it threatens to undermine public confidence in the market system, which depends on investors buying and selling stock based on honest reporting and fair appraisals of a company's worth.

In the wake of Enron's financial demise, the public has been asking many questions – not only about whether employees and shareholders can rely on the market to offer them fair and complete information about companies in which they wish to invest, but also whether they can depend on the government to protect them against wrongdoing by corporate and market insiders.

Pursuant to its jurisdiction to investigate the efficiency and economy of operations of all branches of the government (S. Res. 54, 107th Congress), the Senate Governmental Affairs Committee is seeking to answer some of those questions. The Committee is trying to find out whether the various federal agencies charged with oversecing or regulating part of Enron's business could have done something to detect or prevent the problems that led to the company's downfall and the losses of thousands of jobs and billions of investors' and employees' dollars. What oversight did federal regulators provide to Enron's activities? Was there more that could or should have been done to avert the collapse? Do agencies need additional authorities to be able to help provide effective oversight?

With regard to the Federal Energy Regulatory Commission (FERC), the Committee seeks the information outlined below. Please interpret the term "the Commission" to include any Commissioner, officer or employee of the FERC and please interpret the term "Enron" to include Enron Corp., Enron Capital Trust I and Enron Capital Trust II; their officers, directors, employees, and representatives, including anyone purporting to act on their behalf; and each of their affiliates, subsidiaries, partnerships, special purpose entities, joint ventures, trusts or any other entity in which Enron Corp., Enron Capital Trust I, or Enron Capital Trust II is or was a shareholder, partner, investor, trustee, or member. In addition, please interpret the term "communications" to mean all communications, whether written, oral, electronic, in-person or through any other means. Finally, when identifying individuals in response to these questions, please give their full name, title and the company, agency, or FERC division for which they work(ed).

- 1) Please identify all entities affiliated in any way with Enron which are FERC regulated generators, utilities, marketers or transmission providers or affiliates since January 1, 1992. For each such entity please indicate:
 - a) the date that such entity first became regulated by the Commission, and in the case where Enron acquired an already regulated entity, the date that Enron became the regulated party
 - b) if such entity ceased to be regulated by the Commission, the date such regulation terminated
- a) Please list, and briefly describe, any documents, materials or other information that entities regulated by the Commission must file with or report to the Commission.
 - b) Does the Commission regularly conduct any review of filings made by entities regulated by the Commission? If so, please describe the policy governing such reviews. Specifically, what factors are used to determine which entities' filings are reviewed, which years' filings are selected, and how frequently the reviews are conducted?
 - c) Please list any reviews the Commission conducted of filings by any entity affiliated with Enron from January 1, 1992 to the present. For each such review, please indicate:
 - i) the date on which the review was initiated
 - ii) the date on which the review was completed
 - iii) who conducted the review and who supervised it
 - iv) any findings or conclusions reached as a result of the review
 - v) any actions taken as a result of the review

- 3) Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.
 - For any meetings, whether in-person or through telephone or videoconferencing, please identify:
 - i) who initiated the meeting
 - ii) all those who were present at the meeting
 - iii) the subject matter(s) of the meeting
 - iv) the date of the meeting
 - v) any action taken by the Commission in response to the meeting
 - b) For all other communications, please identify:
 - i) the sender(s) of the communication
 - ii) the recipient(s) of the communication
 - iii) the date of the communication
 - iv) the subject matter of the communication
 - v) any action taken by the Commission in response to the communication
- 4) Please list all communications received by the Commission from January 1, 1992 to December 2, 2001, which raised any questions or indicated any concerns about whether Enron was following the laws, rules, and policies administered and/or enforced by the Commission or which otherwise complained in any way about the activities of Enron. For each such communication, please identify:
 - i) the sender(s) of the communication
 - ii) the recipient(s) of the communication
 - iii) the date the communication was received by the Commission
 - iv) the subject matter of the communication
 - v) any action taken by the Commission in response to the communication
 - vi) for any meeting, the date of the meeting and all those who attended the meeting
- a) Please list all investigations, whether formal or informal, of Enron initiated by the Commission since January 1, 1992. For each investigation listed, please indicate:
 - i) the date the investigation was opened
 - ii) the subject matter of the investigation
 - iii) the basis for opening the investigation
 - iv) who conducted the investigation and who supervised the investigation
 - v) the findings or conclusions of the investigation

- vi) any action taken by the Commission as a result of the investigation
- vii) the date the investigation was closed
- b) Excluding information in response to Item 5a, please list all communications generated or distributed within the Commission from January 1, 1992 to December 2, 2001, which raised any questions or indicated any concerns about whether Enron was following the laws, rules, and policies administered and/or enforced by the Commission or which otherwise complained in any way about the activities of Enron. For each such communication, please identify:
 - i) the sender(s) of the communication
 - ii) the recipient(s) of the communication
 - iii) the date the communication was received by the Commission
 - iv) the subject matter of the communication
 - v) any action taken by the Commission in response to the communication
 - vi) for any meeting, the date of the meeting and all those who attended the meeting
- 6) Please list all enforcement actions, whether formal or informal, judicial or non-judicial, administrative or non-administrative, including but not limited to warnings letters, civil or criminal legal actions, cease and desist orders, injunctions, consent decrees and other settlements, suspensions, fines, redress or disgorgement, or other efforts to correct or penalize noncompliance with applicable law and regulations, taken by the Commission since January 1, 1992 against Enron. For each such enforcement action, please state the basis for the action and the date of the action.
- 7) Please list all communications between the Commission and other federal departments or agencies from January 1, 1992 to December 2, 2001 concerning compliance with, interpretation of, exemption or waiver from, changes to, enforcement of, or any regulatory action with respect to the laws, regulations, and policies administered or enforced by the Commission and specifically referring or relating to Enron. For each such communication, please identify:
 - a) who sent the communication
 - b) who received the communication
 - c) the date of the communication
 - d) the subject matter of the communication
 - e) any action taken in response to the communication.
 - f) for any meetings responsive to this request, the date of the meeting and all those who attended the meeting

The Committee would appreciate your prompt attention to this request, but in any event seeks a response no later than March 4, 2002. Should you believe that your response to this letter will require the disclosure of confidential information, please let us know in advance of this date so that we may determine whether alternate arrangements for production are appropriate. Please contact David Berick of Senator Lieberman's staff at (202) 224-2627 and Bill Outhier of Senator Thompson's staff at (202) 224-4751 in response to this request.

Sincerely,

Joseph I. Lieberman

Chairman

Fred Thompson

Ranking Minority Member

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				1					
		(<u>/</u>					Committee on Governmental Affairs EXHIBIT #B-2		
	У	VI. Other Comments (if any)				EA	mbi i #F)-L	
	, j	W. Give IV. Date taken by the the date range Comm. in response to the meeting	οN						
	_	IV. Date range							
edillo	Ξ	IV. Give the date	9/26/2001						
Total Centators Elebernian and Thompson	ග	III. What was the subject matter(s) of the meeting	Proparation of Mr. Kneeler for Coct. 3, 2001 argument on behalf of US in New York FEEC, et al., No. 00-568. [Elemon is a "Respondent" in support of FERC's position wersus New York In No. 00-569, as well as a Petitioner in consolidated No. 00-699.]						
	L.	II. List all that were present at the meeting	Edwin Kneedler, Deputy Solicior General (SS); Austin Schlick, Asst to the SGs. Louis Cohen and Jeffrey Watkiss, representing Enron Power Miktg.inc., and me.						
	ш	Office Division (a) Inititated the meeting	Yes I was invited by Austin Schick.			·			
	۵	<u>a</u>	Yes		ž	2	Š	S S	
	ပ	Division	Solicitor's Office	Environme ntal and	D2SI, Allanta Regional Office	Office of Markets, Division of Tarriffs, Market and Information Rates	Division of Dam Safety and Inspections	Hydropowe Office of Administrati Energy on and Projects Complianc	
	В	Office	Office of General Counsel	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	
	A	Name	Abendrath, Timm	Abrams, Edward, A.	Office of Addison, Abbigale C. Energy Projects	Office of Markels. Division of Markels. Division of Agarwal Pradeep Kur Tarriffs. Markel and Information Rales	Airato, Scott A	Akbar, Mohammad	
	1	-	2	6	4	တ	9		
L	_1	!			L	L 			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

*	VI. Other Comments (if any)			Commission approved a separation of the season of an active party to the season of the regulations proceeding.
V. Was any action	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	POE fled an application to amend the current to amend the current to amend the current fless on July 25, 2001; leave a paper own approving amendment to approving amendment to keense on July 25, 2001.		10/23/199 Commission approved a 9 to seatherner arrived at 18 a 10/23 (200 feet of the
-	V. Date range	April 20, 2001 - July 5, 2001		10/23/199 9 to 10/23/200 0
Ξ .	IV. Give the date			·
G III. What was the	subject matter(s) of the meeting	E-mail correspondance regarding PCE's proposed amendment of license to instal a small turbine in the west grout turner all the Round Butte development		Sattlement Negotations regarding the terms and conditions of a Generator allamental Service to be rendered by Southern Company Services.
	II. List all that were present at the meeting	Nan Allen and Ann Miles, FERC; PGE; U.S. Forest Service: Bureau of Indian Affairs, U.S. Fish and Wildlife; Bureau Affairs, U.S. Fish and Wildlife; Bureau Filteries Service: Warn Springs Forest Englands Service: Warn Service Forest Service: Warn Service Forest Service Warn Service Forest Service Warn Forest Service Warn Forest Service Forest		Representatives of Parties to Docket No. REB99.533-1.000 and Erge94.534. Mobile Energy, LLC - Rogar 81. Mobile Energy, LLC - Rogar 81. Mobile Energy, LLC - Rogar 81. Mobile Energy, LLC - Rogar 82. Pages 1992, LC - Rogar 83. Pages 1992, LC - Rogar 84. Pages 1992, Stain of Instancia Pages 1992, Stain of Instancia Rogage Energy - Julie Stone Rogage Energy - Stone Rogage Energy - Julie Stone Rogage Energy - Stone Rogage Energy - Julie
. Who	d the	Yes Nan Allen, FERC sulf, PGE		Yes Staff
	ê	Yes	§.	Yes
O	Division	DEER	Administrati	Administrati vo Litigation
<u>ш</u>	Office	Office of Energy Projects	Office of General Counsel	Office of General Counsel
A	Name	Allen, Nan, S.	Allen, Stephanie C.	Alpert, Hollis J
11	-	4	5	16

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

П			
¥	V. Give IV. Date latken by the trange Comm: In response to the meeting to the meeting	Commission approved a prometer of selferners over Erron intervened but was not an active obsertions of Southern party in this proceeding.	
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Commission approved a contested selfament over objections of Southern California Edison.	
	IV. Date range	August 1995 to March 1996	
·	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	Settlement regotiations repetitions The Natural Settlement regotiations The Natural Set Charles are in Covert No. Rep. 383-300, primarily No. Rep. 383-300, primarily no Rep. 383-383, proposed on the remark restriction in face costs associated with time check costs associated with time check firm capacity rights.	
Ш	II. List all that were present at the meeting	El Paso Natural Gas Company officials, Commission Saff team: Holly Alpert, May Hare, Sagad, Wadaim Elexathor, Indiana Patients, Lodian Patients, Calden, Singera - Karly Elevant, China hare Patients, Calden, Sickle Gas - Led Lonez and David Hared, Southon, Ellipse, Lamos Moriary, Williams Field Sewices Gootbo, Clarery Utilities, James Moriary, Williams Field Sewices Gootbo, Clarery Utilities, James Moriary, Williams Field Sewices Company, James Moriary, Williams Field Sewices Company, James Moriary, James Moriary, Hared Sewices and Whoriagh Countries from Patients Gootbo, Clare Morial Research Profession and Yown Clark. Froget Agricultural improvement and Yown Clark. Server Profession Sewices and Moriary Server Profession Profession Server	Clearing Member and Residual case Clearing Houses Stan Dogo Gas & Esterior Company - Leonad Fashin Aeron, Inc Hurbias Feel California Hurbias Charles Anna Park Savete Commission Prote- amine Savete Commission Prote- amine Savete Commission Prote- amine Savete Stan Anna California Estera Savete Stan Anna California Estera Newson Stan Anna California Estera Feel Rebb, maprial impation Diserted Feel Rebb, maprial impation Feel Rebb, maprial impation Feel Rebb, maprial impation Feel Rebb, maprial impation Feel Rebb, maprial Feel Rebb, map
В	Office Division (a) inititated the	Yes Settemen Judge David Harfeld	
	<u>e</u>	, kes	
0	Division	Administrati vo Litigation	
8	Office	Office of General Counsel	Office of General Counsel
A	Мате	Alpen, Holls J. (Line Central 1 of 2). Counsel Lifestion	Alpert, Hollis J. (Line Griffice of 2 of 2)
П	-	1	81

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_			· · · · · · · · · · · · · · · · · · ·
`	Vi. Other Comments (if any)	Commission approved signatures of the commission approved the commission approved the commission of th	
	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting		
-	v. Date	March 1993- March 30, 1995	
.	IV. Give I	_	
9	III. What was the subject matter(s) of the meeting	Settlement Nerpotations Segarding rate levels proposed by froquois Gas Transmission System in Dockst No. RPB-47-200 and PAS-26-003. Incubing proposed incovery of legal proposed incovery of legal minestigation of Inquiois investigation of Inquiois artivities.	
4	II. List all that were present at the meeting	For Inciduals Gas Transmission System. L. P. James Makhaus, Abuchin Load Morgan, Henry Burner, James Abuchin Load Morgan, Henry James Abuchin Load Morgan, Henry Burner, Abuchin Load Morgan, Henry Burner, Galagno and Jennifer Felinsbuh Bergas Ferira How England Prower Co. Shipper George, Hell Ley Youn Vor Shipper George, Hell Ley You Vor Hanna Schwelder Nagar & Mohawk Andrew Marker Off Paramount Prowers, Shipper Goog Perfect Marker Copp. Michael Frennick Maker Gas Shimfers Helmad Rapy Yankee Gas Shimfers Ted Gerarden New Jorsey Kausal Gas Contra In cell tolew)	(Contrd) - Mark Dantel GASP Craftion A-ren Male Masse E from North Arents Orgo - Leafe Lawren America Crays - Leafe Lawren Connectical Markat Gas - John Pond Sapuer and Daug Canto Pond Sapuer and Daug Canto Integrated Tender and Daug Asses. Richard Morgan and Daug Sweet Commission Frail Salar - Hollan Sweet Commission Frail Salar - Hollan Asses. Richard Morgan and Daug Malbarl - Amold Morga, Jackson Frail Albarl - Amold Morga, Jackson Frail Albarl - Amold Morga, Jackson Frail Malbarl - Amold Morgan Lamine Graster, Tom Metalogilin
ы	L. Who Office Division (a) inititated the	Yes Staff	
۵	(a)	, kes	
၁	Diviston	Administrati Vo Litigation	
В	Office	Office of General Counsel	Office of General Counsel
A	Name	Alpert Hollis J. (Line 1 of 2]	Aper, Hoils J. (Line Office of 2 of 2)
$\ \ $	-	19	50

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_			
	¥	V. Was any action range clarken by the range Comm. In response to the meeting	Enron Intervened but was not an active party in this proceeding	
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	77/13/199 Commission approved an 90 to uncondested selfement. Enron intervened but we 12/17/199 resolving all issues in the party in this proceeding.	·
=	-	.V. Date range	07/13/199 9 to 12/17/199	
2	H	IV. Give the date		
	G	III. What was the subject matter(s) of the meeting	Settlement Negotiations reparding littoring Settlement Towards Case Towards on Settlement Towards Case Towards on Settlement Towards on Settlement Towards on Settlement Settlem	
i oni ociiaci a Eleberinan and Indinpon	L	II. List all that were present at the meeting	For Inquinis Gas Transmission System, L.P., James McKahana, Albright, Dould Morgan; Hardy Brunner, James Albright, Dould Morgan; Hardy Callegapo, Jenuther Feritsien; Banga Ferits, New England Power Council Shipper Google Neil., Lew; Maker Order, Croud Divisorance; Neils, Called Michael Hagana Neils, David Michael Hagana Neils, David Michael Hagana Neils, David Michael Hagana Neils, David Michael Hagana Michael Ferinsien; Kichael E. Powers; Michael Ferinsien; Kichael E. Powers; Michael Ferinsien; Kichael E. Powers; Michael Ferinsien; Western Gas Reichard Rago, Yankee Gas Services, Lid. John Rudophi, LLCO Richard Rago, Yankee Gas Services, Ted Gerarden; New Jersey Natural Gas (Conrid in cell below)	(Contu) - Mark Darnell, GASP Clountu) - Mark Darnell, GASP Month America Corp.: Lesie Lawner, North America Corp.: Lesie Lawner, Connecticut Natural Gas.; John Connecticut Natural Control Assoc.: Richard Morgan, David Assoc.: Richard Morgan, David Assoc.: Richard Morgan, David Assoc.: Richard Morgan, Lawle Assoc.: Richard Morgan, Lawle Assoc.: Richard Morgan, David Assoc.: Richard M
	3	Office Division (a) Initiated the	Commission Trial Staff	-
	۵	(a)	Yes	
	ပ	Division	Office of Administrati General Litigation	
	8	Offlice	Office of General Counsel	Office of General Counsel
	A	Name	Alpert, Hollis J. (Line Celemen) 1 of 2)	Alpen, Holls J., (Line General 1 of 2) Counsel
		-	23	8
-	٢			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	٨	8	O	۵	ш	4	9	Ξ	F	F	¥
	Name	Office	Division	(a)	1. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comments (if any) range Comm. In response to the meeting
82	Altamar, Martha E.	Office of Administ rative Law Judges	NA	Yes	Same by Chief Yes Judge and some Enron	Enron Counsel and technical staff. Also, there were meetings with marketers, including Enron, meetings with generators and marketers, and meetings with all parties.	Forward contracting proceeding, PL01-2-000, An attempt to get forward contracts for California at reasonable rates.		12/18/00 No to 1/20/01		Since these were all confidential settlement negotiations, I destroyed all notes and documents once the proceeding ended.
24	Allamar, Martha E.	Office of Administ rative Law Judges	V/N	Yes	Some by the Chief Yes Judge, some by Enron	Settlement negotiations in Settlement coursel and technical staff Movement ISOMelance RTO Also, meetings with all parties present. (Illinois Power Company, of al. ER01-123-000)	Settlement negotiations in Midwest ISO/Aliance RTO (Illinois Power Company, et al., ER01-123-000)	·	1/24/01 to 4/6/01	Yes. The Chief Judge certified a settlement to refitted a settlement to the Commission which the Commission and Affort approved. The Chief Judge was critical of Emorie position in his certification.	Since these were settlement negotiations, I destroyed all notes and documents once the Commission approved the settlement. I have no recollection of the names of any Erron participants.
25	Altamar, Martha E.	Office of Administ rative Law Judges	Ϋ́Z	Yes	Some by the Chief Yes Judge; some by Enron	Enron counsel and lectunical staff. I have no recollection of the names of any of the participants. Also, there were meetings with Enron and other California energy marketers and meetings were all parties were present.	The California Retund Case, EL00-95-031, sottlement negodiations.		6/19/02 to 7/12/02	Yes. The Chief Judge 6/19/02 to made a recommended 7/12/02 which the Commission adopted.	Since these were confidential settlement negatialists, I destroyed all mose and documents once the Conmission approved the Chief Judge's recommendations.
56	Allamar, Martha E.	Office of Administ rative Law Judges	Ϋ́Z	Yes	Some by the Chief Yes Judge; some by Enron	Some by the Chief Enron coursel and technical staff I Judge, some by any Enron participants. Also, there Enron meetings with with all parties, were meetings with with all parties.	California ISO RMR cases, ER98-441-000, et al., settlement negotiations.		fall of 1998 to most of 1999	Yes. The Chief Judge Certified several to most of Settlements to the Commission which were 1999 all approved by the Commission.	Since these were all confidential settlement negotiators, I destroyed all notes and documents once the Commission approved the settlements.
27	Amerkhail, Rahim, J	Office of Markets, Tarriffs, and Rates	Central	8						-	

Response to Question No. 3 in the February 15, 2002 Letter

This was a publicly noticed staff conference Vi. Other Comments (if any) The Commission issued a Notice of Proposed Rutemaking on Rutemaker 27, 2001 in Docket No. RMo1-10-000 proposing Standards of Conduct for Transmission Providers. V. Was any action
IV. Give IV. Date taken by the
the date range Comm. in response
to the meeting From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting Demetra E. Anas (OCC) Anatoa (OCC) Anatoa (OCC) National (OCC) (OC II. List all that were present at the meeting Yes Notice Organizing Office Division (a) Inititated the meeting Š ž Market
Office of Oversight
General and Y
Counsel Enforceme OALJ Office of Administ rative Law Judges Anderson, Hazel M.S. derson, Norma L. Anas, Demetra Name

29

82

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_	,		
7	VI. Other Comments (if any)		
	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Any actions taken by the Commission is reflected in the final audit reports included in the Commission's public formmission's public information files.	Q.
-	IV. Date	1-1-1992 to 12-31- 1997	5/18/2001
-	IV. Give I		
	III. What was the subject matter(s) of the meeting	Topics of audit inquiry. Our comprehensive audits in the agenty 1869's covered a wide variety of regulatory and requiring and financial reporting matters. These meetings aword general indecusions seeking expansives and challifractions of the data responses received to audit inquiries.	Discussion of natural gas industry trends and regulations decisions.
	II. List all that were present at the meeting	Ken Anderson, Cheryl Moseley, Vince Stolbmeyer, EFRC Auch Staff Robert Chandler, ar rees Hayslett - Erron	Rex Adams, El Paso, Oynthia Albari, CMS Pathandia, Janice Alpani, El Paso, North Janice Alpani, El Paso, Narrio Alpani, El Paso, Narrio Alpani, El REK., Calminari, Janic Alpani, El REK., Anna Codumina, John Chapana, El Petto, May Bosen, Godharia, John Chapana, El Petto, Paso, Beb Chilaria, John Chapana, El Petto, Mark Cook, El Paso, Dana Curalina, Charante El Rengy, Cardi Lakes and Conness, ERC, Mark Cook, El Paso, Debody, FERC, John Charante, Cardia Desenoud, FERC, Both Chapana, El Rengo, Janic Sadari, EFEC, Bull Chapana, El Rengo, Janic Sadari, EFEC, Bull Gyari, CMS Parlandes, Error, Tim Guest, Gyari, CMS Parlandes, Error, Tim Guest, Petto, Lake Hall, El Paso, Clondt in cell below, Intil El Paso, Control in cell below, Intil El Paso, Canada, Intil El Paso, Control in cell below, Intil El Paso, Canada, Intil El Paso, Canad
	the	Usually, FERC Audit Staff	Office of Regulatory (INCAA Annual Becault Accounting Yes Regulatory Affairs Director Policy (Confere
c	(a)	Yes	Yes
,	Division	Regulatory Accounting Policy	Regulatory Accounting Policy
٥	Office	Office of the Executive e Director	Office of the Executiv Director
<	Nаme	Office of Regulatory the Security Accounting Yes Augusty, FERC Augusty, FERC Policy Disease	Anderson, Kenneth M. (Line 1 of 3)
	-	31	
_			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting		
_	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting		
	IV. Date range		
1	IV. Give the date		
2	III. What was the subject matter(s) of the meeting		
± 5	II. List all that were present at the meeting	(Contd) Androa Hilliard, FERC, Rich Hurson, Plenkan, FERC, Anch Hurson, Trans-Canada, Laurel Hode, FERC, Trans-Canada, Laurel Hode, FERC, Micha Bonn, Ferce, Control and Service, Countain Stichard (Nase, Williams, Androa Watt, ERI Androa Charles, FERC, Carl Learnder, Countaia, Vilginia Leverhack, Countaia, Vilginia Leverhack, ACA, Carl Learnder, Countaia, Vilginia Leverhack, ACA, Cantle Learnder, FERC, Cantle Morchallam, Bondon, Charles, Andre Frong, Mark Michallano, Buil Massey, FERC, David Middler, FERC, Sooth Midler, FERC, Sooth Wasson, Williams, Bun Nagan, FERC, Midler, FERC, Benne Midler, FERC, Sooth Wasson, Williams, Bun Nagan, FERC, Midler, FERC, Midlern, FERC, Midlern, FERC, Midlern, FERC, Ferce, Southern Nasinal, Jones, FERC, Control in ceit below).	(Contd) David Reich, FERC, Mark Pathoran, FERC, Ludin Rogers, FERC, Pathoran, FERC, Ludin Rogers, FERC, Pathorany, FERC, Marvin FERC, Lamin Simber, FERC, Donings FERC, Lumin Simber, FERC, Donings FERC, Samon State, EREC, Mortin Paron, Reisal Hoffbrid Stread, El Paro, Ron Stells, EREC, Mortin MCAA, Kelli Tiggelant Willistm MCAA, Kelli Tiggelant Willistm MCAA, Kelli Tiggelant Willistm MCAA, Kelli Tiggelant Willistm Scott Trethollon, Willistm Scott Trethollon, Willistm FERC, Choldien Walder, FERC, Bill FERC, Stells Wooduff, CAIS FERC, Stells Wooduff, CAIS Learned Williams, Jeanne Zabel, FERC, Bill Zaller, FERC
u	I. Who Inititat meetir		-
-			
ļ	Office Division		
٥	Office	Office of the Executiv e Director	Office of the Executive end Director
<	Name	Arderson, Kenneth M. (Line 2 of 3)	Anderson, Kenneth M. (Line 3 of 3)
	-	33	34

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Έ

×	ments (if any)								
	VI. Other Com								
	W. Give IV. Date taken by the the date range Comm. In response to the meeting							Information was used in preparing staff bulk power investigation report.	
-	IV. Date range								
	IV. Give the date							8/22/2000	
c	III. What was the subject matter(s) of the meeting							California wholesale electricity markets	
1	II. List all that were present at the meeting							representatives from EPSA, Duke Energy, Enron, Constellation, Southern Energy, PG&E NEG, and FERC staff.	
u	the						·	Yes Commission staff	·-
6	(a)	g.	S.	ટ્ટ	S.	2	2	Yes	S S
ر	Division	Policy	Pipeline Certificates	Division of Dam Safety & A the Inspections - NYRO	DEER	Office of Markets, Tariffs and Tarriffs, Rates - and East Rates	Energy Projects	Markets, Tariffs and Rates	Office of Markets, Tariffs and Tariffs, Rates-and Central
a	Offlice	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	
<	Name	Office of Markets. Anderson, Lawrence Tarriffs, and Rates	Office of Anklam, Elizabeth, G Energy Projects	Алуапwu, Етегиwа	Armbruster, Ellen A	Office of Markets, Armstrong, Cassandt, Tarriffs, and Rates	Arneson, Joel K.	Arnold, Elizabeth H.	Arrington, Shirley M.
	-	35	36	37	38	39	40	14	42

V. Was any action

IV. Glve IV. Date laken by the the date range Comm in response to the meeting to the meeting. 7 Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who Inititated the meeting Division of Dam Safety No and Inspections õ ۶ 2 ž S. £ Office of Policy,
Markets, Innovation
Tarriffs, and
and Communic
Rates ation Office of Markets, Market Tarriffs, Information and Rates Division of Policy Innovation & Communic ation Division of Tarriffs & Rates-Central Office Division DEER Office of Markets, Tarriffs, and Rates Office of Energy Projects Office of Markets, Tarriffs, and Rates Office of Energy Projects Avent, Annette D. Bailey, Kristine T. Ballard, James S rvedlund, Robert Athey, Joseph C. Atlas, William,R wila, Gilbert A. Name 46 47 48 43 44 45

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	ents (if any)					have attended one cos at FERC at win is (including Steve read the subject ASS, while I worke ASS, while I worke missioner Massey or a standed one or not ad with him by Enron I have seen Jeff in have seen Jeff do not nev recall at I have seen Jeff do not never recall at the seen Jeff do not never recall at the seen Jeff do not recall being it was seen Jeff do not not recall being it was seen Jeff do not not recall being it was seen Jeff do not not recall being it was seen Jeff do not not recall being it was seen Jeff do not not not not before a leaf do not not not not not not not not not no	
	VI. Other Comm					In the past decade, I have a attended one or or or public conference as FECTs at which Fernan representatives (including Stew Marsh Stocker) and the relating subject manages of the clinical the subject manages or the detect Also, while worked manages and wide to Continuation and Assay (1995-97), in may have attended one or more regregatatives, but it do not now result and relating the Erop Technology with subject with the Special Continuation of the Continuation	
	W. Give IV. Date taken by the the date range Comm. in response to the meeting				Not to my knowledge		
-	IV. Date range						
Ē	IV. Give				10/6/2000		
9	III. What was the subject matter(s) of the meeting				Southeast RTO developments		
F	il, List all that were present at the meeting				Donna Fulton from Enron, Alice Ferrandez from FERC/OMTR, others From Enron and FERC whose names I don't recall		
E	Office Division (a) Initifated the				Yes I don't know	Yes FERC or Enon	
0	(3)	8 8	Š	ž	se ×	, kes	ž
O	Division	CEA	Central	DLM	Markets, Tariffs and Rates Section	Markets. Tantis and Rates section	Energy
	Office	Office of Markets, Farriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel	Office of Markets, Tarriffs,
A	Name	Bandera, J Derek	Banks III, Kermit A.	Barbett, Michael R.	Bardee, Michael, A.	Bardee, Michael, A	Barden, Justine L
	-	50	51	52	53	54	Ì

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name Office Division 1. Who Indititated the at the mreeting Indititated the at the at the mreeting Indititated Indititated the at the mreeting Indititated Ind	Α.	V. Was any action IV. Date taken by the range Comm. In response to the meeting								I report this meeting because of the likelihood of Enron employee participation at the offsite. I do not necal any specific interaction with Enron employees at the meeting.
Name Office Division (a) Initiated the arther were present in What was the bardow. Randoph. A Tariffs. Ligation Warkets. Barnes, Kerneth M. Tariffs. Ligation Yes Commission and Beautist., Mac T. Coursel Beautist., Mac T. Cours	-	V. Was any action taken by the Comm. In response to the meeting			settlement was reached			-		00
Mame Office Division (a) Initiated the Bustow, Irene Disector Markets, Renneth M. List all that were present at the meeting and parties to the Bustow, Irene Disector Markets, Litigation Yes commission and people Bustow, Markets, Colors of Colors	-	IV. Date range			3/31/1999					Spring 1998
Name Office Division (a) initiated the at the meeting of the authorenting of the country francial barrow. Randsch, A Tamiffe, Litigation Yes commission order Cornes of Director Office of Division No Barracchini, Anthorny Executiv MAPS No Bearracchini, Anthorny MAPS No Bearracchini, Anthorny MAPS No Bearracchini, Anthorny MAP	•	IV. Give the date			1/1/1998					
Name Office Division (a) Initiated the at the meeting meeting Division (b) Initiated the at the meeting are been office of the country Policy Policy Division (c) Initiated the at the meeting are been office of Division (b) Initiated the at the meeting are been office of Division (c) Initiation (c) Initiat	9	III. What was the subject matter(s) of the meeting	•		RMR					I spoke on a panel and altended other sessions, all concerning general gas pipeline matters.
Name Office Division (a) Intitated the meeting Office of Division (b) Intitated the meeting of the broad of t	ш	were present								INGAA offsite meeting. No available list, Commission and pipeline employees attended; likety inctuding Erron employees.
Name Office Division Barlow, Irene Barracchini, Anthrony Barracchini, Anthrony Barrachini, A	Ш				commission order					Invitation by INGAA
Name Office of the office of t		<u>®</u>	S.		Yes	N S	£	S.	g	Yes
Name Barlow, Irene Barracchia, Anthony Barracchia, Mac T. Bearron, Charles, A Beeck, Mark, T Benge, Mary E	0	Division			Litigation				l 1	
	В	Offlice	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of Energy Projects	Office of General Counsel	Office of the Executiv e Director	Office of Commis sloner Breathitt
62 63 68 65 66 69 69 69 69 69 69 69 69 69 69 69 69	V	Name		Barlow, Randolph, A.	Barnes, Kenneth M.	Barracchini, Anthony	· ·	Beamon, Charles, A	Beck, Mark, T	
	Γ	-				59	90	61	62	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						I tom Scharol 3 Erepennan and Intempson	Delliali anu II	ed in	Ę		
	A	В	ပ	٥	3	ı	9	I	-	ſ	¥
-	Name	Office	Division	(a)	I. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	V. Was any action IV. Datetaken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (If any) to the meeting
26	Office of Commis Benge, Mary Elizabet soner Breathitt	Office of Commis sioner Breathitt	n/a	Yes	Yes (Enron)	Self and Donna Fullon	invitation to speak at upcoming FercBeat tuncheon		mid- February 1998	QL	
88	Office of Commission Benge, Mary Elizabett Score Breathitt	Office of Commis stoner Breathitt	n/8	Yes	Yes (Enron)	FercBeat Luncheon. No available list of attendess; it is a group about 25 industry Washington reps, including D. Fullon.	I spoke on panel with Christopher John (FERC) concerning our perspectives as new advisors to the Commissioner.		March or April 1998 no	2:	
99	Office of Commis Commis Benge, Mary Elizabett stoner Breathitt	Office of Commis stoner Breathitt	n/3	Yes	Invitation by INGAA	INGAA offsite meeting. No available Yes Invitation by INGAA employees sitended; Ikery including Error employees.	Spoke on electric/gas Convergence issues and attended panel discussions on general natural gas pipeline issues.		Spring 2000	2	report this meeting because of the likelihood of Emon employee participation at this event. I do not recall any specific interaction with Emon employees at this meeting.
29	Benge, Mary Elizabel	Office of Commis sloner Breathitt	n/a	Yes	Yes (Frron)	Public gallery at House hearing	Exchange of contact information with former FERC colleague; personal update		2001 (possibly 3/20/01)	2	
89	Office of Commis Benge, Mary Elizabet sioner Breathilt	Office of Commis sioner Breathilt	n/a	Yes	Yes (Enron)	Tom Briggs; myself	Met socially for coffee to catch up on family and triends.			2	
69	Benge, Mary Elizabel	Office of Commis sioner Breathitt	n/a	Yes	Received internal Yes FERC email invitation	FERC employees, Enron presenters.	Demonstration of Enron Online in Commission meeting room			2	
	Office of Benge, Mary Elizabett Commis Sioner Breathitt	Office of Commis sioner Breathitt	n/a	Yes	INGAA request for courtesy visit	111GAA request for Commissioner Breathitt, Stan Horton (Enror), ryself, and possibly Jeny Halvorsen (INGAA)	General pipeline issues of interest to INGAA	9/20/2001		٤	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	VI. Other Comments (if any)			I may have introduced mysolf and said helio to "Slewe" and made some small alk. This occurred when I was a legislative fellow on the Hill.		
f	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		Not by the Commission itself.	No.		
-	IV. Date range			1997-98		
Ŧ	IV. Give the date		1/23/2001			
9	III. What was the subject matter(s) of the meeting		This was a focus group to uses to see a focus group to uses used Forts to seeing uses used Forts to seeing the capabilities of a new system ought to be from finel perspective.	This was a conference to discuss energy deregulation togistation before committees of the Congress.		
F	II. List all that were present at the meeting		Janes Burler (Errich), Matthew Blackweder, Array Pickwer, Clayl Blackweder, Array Pickwer, Clayl Blackweder, Array Pickwer, Clay Janes Brand, Stone Banch, Ellon Bown, Fernands Young, Astheen Bown, Farner Merker, Astheen Sternan and Inthe Elizabeh Taylor, Janes Burler, Fernan Pierine, Salven Banch, Van Nass Fedran Janes Burler, Clay Nass Fedran Janes Brackwer, Brackwer, Gertille & Marroy Pickwer - Brackwer & Marroy Pickwer - Brackwer & Patterson	Intink Corgressman Billey from Richmond was a speaker. Elizabeth News. "Sleve", Enrors Washington Rep. I don't entember Steve's isst name. I am not sure about others. Ed Meyers may have been at the Confleenges.	,	
E	L. Who Office Division (a) inititated the		Knowledge (ve. Perc initiated the Officer	Ves Policy Institute		-
۵	(a)	S.	Yes	Yes	No	2 2
၁	Dįvision	Ž(T)	Knowledge Officer	Knowledge	DHAC	Tariffs and Rates-East
B	Office	Office of Administ rative Law Judges	Office of the Chief Unformation Officer	Office of the Chief viriformati on Officer	Office of Energy Projects	
A	Name	Benkin, Isaac D.	Office of Bennett, Jr. William VI Informati Officer	Office of the Chief Bernell, Jr. William Vitriformall on Officer	Bedin, Hillary	Bernhardt, James L.
	-	7	72	73	74	75

Response to Question No. 3 in the February 15, 2002 Letter

	×	N. Give IV. Date taken by the the date range Comm. In response to the meeting						
	7	V. Was any action taken by the Comm. In response to the meeting				-		
2002	-	IV. Date range			-			
y is, y	=	IV. Give the date						
s iii tiie rebiua herman and Th	Ð	o.						-
Nesponse to weestion no. 3 in the February 13, 2002 Letter From Senators I jeherman and Thompson	i L	III. What was the subject matter(s) at the meeting the meeting						
Pespois	ш	Office Division (a) Inititated the meeting						
	٥	<u>ŝ</u>	2	S.	No	2	£	S.
	O	Division	Office of Markets, Administrati Tarriffs, on and and Case Rates Tracking	Office of Markets, Division of Tarriffs, Tariffs and No and Rates	Chief Accountant , Division of Regulatory Accounting Policy	Office of Markets, Tariff & Tariffs, Rates East Rates	Office of Administrati General ve	D2SI - ARC
	8	Offlice	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executive e		Office of General Counsel	Office of Energy
	A	Name	Bernier, Diane E	Bernt, Julie M.	Berrios Jr., Samuel	Berson, Gregory, A	Bess, Merle O	Office of Office of Bethie, Elizabeth J. Energy D2SI - ARO No
		-	92	77	82	62	80	
	_		·					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

VI. Other Comments (if any)		The issues uncovered were exchange veluation, carrying charge eacholations, tariff independent less calculations, tariff independent less calculations current adjustment challations and the reconciliation between purchase volumes and sales volumes.			
V. Was any action taken by the Comm. in response to the meeting	l believe case was settled		·		
IV. Date range	1992-1993	2/24/92- 3/6/92			
IV. Give					
III. What was the subject matter(s) of the meeting	Depreciation, Markets, Allocatation and Rate Design, Economic End-Life	The Cost Analysis and Field Rowel Brach of the Ohvision (Pate Filings Initiate a find (Pate Filings Initiate a find (Pate Filings Initiate a find (Pate Filings) May Initiate (Pate Potential Initiate and records responde to the records responde to the records responde to the record of the Commission's order of the Commission's order (Pate Pate Pate Initiate Initiate Initiate Initiate on Initiate Initiate Initiate (Pate Pate Initiate			
II. List all that were present at the meeting	Meatings included but not limited to Rob Klimer, Shelly Corman	Northern Natural Company (NNGC)rate staff. Elizabeth Taylor, William Carry, and mystell			
l. Who Inititated the meeting	Staff - Section 4 Rate Filing	Elizabeth Taylor of FERC			
<u>a</u>	Yes	Yes	£	S.	9
Division		Central	Division of Dam Safety and Inspections , PRO	Administrati ve Litigation	Division of Policy, Innovation & Communic ation
Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel	Office of Markets, Tarriffs, and Rates
Name	Bieltz, Andrew, M	Bligitan, Esref	Billings, Martha	Bines, Sheila Rene	Office of Markets. Birch, Walter Matthew Tarriffs, and Rates
~	82	83	84	85	86
	Office Division (a) Inititated the at the meeting the meeting the meeting (the date range)	Name Office Division (a) inititated the at the meeting the date office of Staff - Section 4 Meetings included but not limited to Depreciation, Markets, Taniffs, Linguision Yes Rate Filing Rob Kilmer, Shelly Corman Economic Economic Evolutie Design.	Name Office Division (a) Intitlated the neeting at the meeting the matter(s) of the date range (Comm. in response the meeting at the meeting at the meeting at the meeting the date range (Comm. in response Comm. in response the meeting (Comm. in response (Comm. in response the meeting (Comm. in response (Comm. in re	Name Office Division (a) inititated the at the meeting	Name Office Division (a) inititated the at the meeting

Response to Question No. 5 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_			
	У	V. Was any action from the factor by the range Comm. In response to the meeting	(Contra). The dates of these confluences, 2002, 2004,	(Corr(v) I also lissued public reports to the commission involvable sissues in the case- on August 23, 2001, September 5, 2001, September 28, 2001, September 5, 2001, and certified a quession concerning a read a quession concerning a subsequent season of the concerning to comment publicly on the record in the above-efficience prehability conferences Birchman.
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		
5	-	IV. Date range		
2	Ξ	IV. Give the date		
	G	III. What was the subject matter(s) of the meeting		
Total Conditions Encocument and Internipson	Ŀ	II. List all that were present at the meeting		
	ш	1. Who Inititated the meeting		
1	۵	(a)		
	O	Office Division	OALJ	OALJ
	В	Offlice	Office of Administ rative Law Judges	Office of Administ rative Law Judges
	A	Name	Office of Birchman, Buce Lee Adminst (Line 2 of 3) Jidges	Birchman, Bruce Lee (Line 3 of 3)
- 1	7			ത

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

					1		:	
	¥	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	FEM Contraction as Third Party Contraction proparing Portland General Electric's revinciented in programmental impact statement for its Bull Run, Chas Groon, North Text, hydroelectric reflenses application. The 163 communications were among pattles to communications were among pattles to period.	1.0 Commission approved Warren Wood was staff fead counsel and 1.0 Settlement reached by the has submitted his reciblector of these openits.				
	J	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Norie	01/15/200 Commission approved 1 - settlement reached by the parties.	No report has been issued to date.			
		IV. Date range	12/01/98 - 12/02/200 None 1	01/15/200 1 - 05/01/200	There were many meetings on many different dates, since this was an audit			
	I	IV. Give the date						
	9	III. What was the subject matter(s) of the meeting	163 e-mails between the parties mentioned above scheduling meetings, setting agendas, confirming meeting arrangements	Restructuring MidWest ISO and Alliance Companies in else wor petitions of petitions and companies to with the companies of the confliction of the management of the companies.	FERC Callfornia Ganeration Cost Audit, Docket FA01-10			
	F	II. List all that were present at the meeting	Portland General Electric, FRM Fordrand General Electric, FRM Its Sub-Contractions Associates, R2 Associates, Institute of Manadata Associates, Longview Associates, Ton Sulfivan Associates	Between 75-200 representatives of paries in Docket No. ER01-123. One altomay representing Erron. This was a settlement conference presided over by Judge Wagner.	John Blattner, FERC Alkan Rosell, FERC Preston Martin, Portland General Kirk Stevens, Portland General		-	
	ш	Office Division (a) Inititated the meeting	ERM Corporation & its Sub-Contractors	Yes Commission	FERC			
	۵	(a)	Yes	Yes	Yes	8	ž	2
	C	Division	Office of Environme nt & Energy Engineerin Projects g Review	Market Oversight & Enforceme nt	Regulatory Yes FERC Audits	Energy Projects	Office of the Chief information Officer	Office of Administrati the Executiv ve Support No a Staff Director
	В	Office	Office of Energy Projects	Office of General Counsel	Office of the Executive e	Office of General Counsel	Office of the Chief Informati on Officer	Office of the Executiv a Director
	Α	Name	Blair, John S.	Office of Blakeway, Victor Darr General Counsel	Biatmer John J.	Blaug, Elisabeth A.	Blocker, Carrie E.	Blocker, Rosa M.
	П		0	-	7	. ල	4	r _O

tter	
Ψ.	
5, 2002 L	son
y 15,	guic
bruar	rman and Thompson
щ	an an
ᆵ	Lieberman
n No. 3 in the	Liek
tion	n Senators Lieł
Ques	Sen
se to Qu	Fron
Response t	
Res	

	¥	V. Was any action IV. Date taken by the range Comm. In response IV. Other Comments (if any) to the meeting								
	ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting					OC.	8	OZ	N _O
Ľ	-	IV. Date range								
ompso	I	IV. Give the date								
berman and I	g	III. What was the subject matter(s) of the meeting					cultural resources survey information	ransmitting signed signature page for memorandum of agreement	provide technical guidance for cultural resources filing	call to check cultural resources information for filing
rrom Senators Lieberman and Thompson	iL.	II. List all that were present at the meeting					Laurie Boros	Laurie Boros	Leo Nichols, Environmental Specialist, provide technical guidance for Nor Nat	Laurie Boros
	ш	I. Who Inititated the meeting					Alan Bowman, Yes Transwestern, phone	Yes Don Vignaroli, Nor Nat, fax	Yes phone	Yes Don Vignaroli, Nor Nat, phone
	Δ	(a)	8	. N	S.	o Z	Yes	Yes	Yes	Yes
	ပ	Office Division	DLM	Energy Projects	Division of Dam Safety and Inspections	Administrati on and Case Tracking	DEER	DEER	DEER	DEER
	В	Office	Office of the Executive e	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	Ą	Name	Blocker,Gail P.	Blome, William O	Bolton, Leona B.	Bondarenko, Maria	Boros, Laurie	Boros, Laurie	Boros, Laurie	Boros, Laurie
ĺ	Ħ		Θ		80		8	5	02	03

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

ſ		[ļ	rrom senators Lieberman and Inompson	Derman and 11	sdwo	ے ا		
1	¥	n	2	2	ш	_	ŋ	Ξ	_	Ŋ	×
-	Name	Office	Division	<u>(3)</u>	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action taken by the Comm. In response to the meeting	V. Was any action IV. Give IV. Date taken by the trange Comm. In response to the meeting to the meeting
104	Bourque, Barbara D.	Office of Markets, Tarriffs, ir and Rates	Market Information	Yes	FERC - Kim Bruno	Yes FERC - Kim Bruno, Many Rosenberg, Robert Flanders, Barbara Bourque	Electronic commerce in general.	5/1/2000		No.	This was a general information gathering meeting not related to any case.
105	Bowers, Linda M.	Office of Markets, Tarriffs, and Rates	Rates - West		Yes ENRON	Not certain	Routine courtesy visits		1993-1996	1993-1996 (Vol. certain	Using 1993 timough 1996 in mycapacity ass Affactssant to Commissioner Heacher, Ifelillated two or three courtesy sists with request Very Will Commissioner Receives at the request of EMCRN. I cannot rectal which any record of the meetings. I did not alread the meetings. I did not alread the meeting.
106	Boyce, Marie	Office of Markets, Tarriffs, and Rates	Central	8							
107	Boyle, Michael, J.	Office of Energy Projects	DEER	No							
108	Brand, Bruce, J.	Office of Energy Projects	D2S)	Yes	Yes Bruce Brand	Bod Steels,Mike Gandert, Kevin Masshal	I have had numerous interactions at meetings and in pionic coversations with the algoent Portland General Electric (PCE) amplyages during the fine they were a subsidiary of ENRON in regard to the seismic retroft of their Reventill Dam, FERC, #2185-01-01,		9/11/98 to 4/2001	4/2001 technical submittals	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

H		-		9					֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓		
_1	A	2	ی	-	ш	L	9	Ξ	-	5	¥
	Name	Office	Office Division	(a)	I. Who Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the attent of the action of the action of the action of the action of the meeting
109	Breathitt, Linda K.	Office of Commis sioner Breathitt	N/A	Yes	Yes Enton	Likely Steve Kean, Joe Hartsoe or Sten Horton and a staff assistant	3 Courtesy visits		April, July, Sept1998	Ot.	My calendar reflects 3 Enron CV's in 1988. I do nn have back-up information regarding who attended.
110	Breathit, Linda K.	Office of Commis sioner Breathitt	NIA	Yes	Yes Office	TEPPCO, (Duke division); TETCO (Duke division), Coastal Corp., Enron Pipelines	Toured facilities in Houston of Duke, Coastal, and Enron			9	This tip was to get an operational understanding of natural gas and products pipelines. From contacts were Star Nowsei and Christi Wicolay. Tourd Erron gas central room and trading room floor.
	Breathitt, Linda K.	Office of Commis sioner Breathitt	NA	Yes	Yes Enron	Likary Steve Kean. Joe Hartsoe or Sten Horton and a staff assistant.	2 couriesy visits. Likely natural gas, GKB, or electric restructuring issues.			2	Calender reflects 2 Enron CV's in 1999, both in Sept. I do not have back-up information regarding who attended.
112	Breathitt, Linda K.	Office of Commis sioner Breathitt	NIA	Yes	Yes Enron	Sleve Kean, possibly others	Courtesy visit. Electric restructuring issues	5/1/2000		e	
	Breathitt, Linda K.	Office of Commis skoner Breathitt	N/A	Yes	Charles Bone or Yes his administrative ass*t.	Rich Shapiro, Managing Director, Government Affalts - Erron (Houston) along with Chafles Bone, Nashville Altorney and my staff assistant.	Courtesy visit. General discussion of electric deregulation	9772000		QL	
	Breathitt, Linda K.	Office of Commis sioner Breathitt	NIA	Yes	Yes EPSA	Enron Representatives, EPSA(Electric Power Supply Assoc,), Most likely EPSA, ELCON (Electric Consumers Resource Council), Reliant & Dynegy and my slaff essistant.	Discussion of need for demand response programs and RTO Function 8.	1/18/2001		92	
	Breathitt, Linda K.	Office of Commis sioner Breathitt	A N	Xes	Yes INGAA	Invitation to speak	Spoke at Board meeting in Houston	2/21/2001		0.	Stan Horton (from Eirron) INGAA President introduced me, INGAA Board Members and other invitees present.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						- - =			
	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting				I was a participant at the conference. Initial introduction to Ken Lay while there.			
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	ou.	ou	סט	no			
ا ج	-	IV. Date range							
ошрѕ	Ξ	IV. Give the date	9/19/2001	9/20/2001	9/24/2001	10/4/2001			
berman and In	9	III. What was the subject matter(s) of the meeting	To introduce Mr. Frevert and to discuss electric restructuring issues, ie RTO's.	GISB matters, general pipeline issues and affiliate rulemaking	Electric issues that impact New Power's retail operations.	Conference hosted by Enron- Pentagan City, VA "US ENERGY POLICY AT A CROSSROADS"			-
From Senators Lieberman and I nompson	4	II. List all that were present at the meeting	Steve Kean, Linda Robertson, Mark Frevert, Vice Chalman and Chris John, my assistant.	Slan Horton, Enron Pipelines; Jerry Halvorsen, INGAA; Mary Benge, staff assistant.	Electric issues that impact and possibly one other, operations	Invitation to participate (Do not have an attendance list)			
	Э	Office Division (a) Intitlated the meeting	Yes Enron	Yes INGAA	The New Power Yes Company (Enron Subsidiary)	Yes Enron			-
	۵	(a)	Yes	Yes	Yes	Yes	S S	ž	2
	၁	Division	N/A	N/A	N/A	N/A	Office of Administrati ve Law Judges	Central	Office of Energy Certificates No Projects
	8	Office	Office of Commis sloner Breathitt	Office of Commis sioner Breathitt	Office of Commis sioner Breathitt	Office of Commis Sioner Breathitt	Office of Administ rative Law Judges	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
	A	Name	Breathilt, Linda K.	Breathitt, Linda K.	Breathitt, Linda K.	Breathitt, Linda K.	Brenner, Lawrence	Brent, Donna	Brewer, Lisa A.
		-	116	117		119	120	121	100
		•							

IV. Give IV. Date Laken by the the date range Comments (if any) to the meeting 56 Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of at the meeting the meeting Office Division (a) Initiated the Š ŝ S S. ž ŝ ž Office of Division of the Human Executiv Resources N e Manageme Director nt Office of Markets, Tarriffs, Front Office A and Rates Office of Policy
Markets, Innovation
Tarriffs, & and Communic
Rates ation Administrati on and Case Tracking Pipeline Certificates Energy Projects Executiv Office of Markets, Tarriffs, and Rates Office of Energy Projects irector ooks, Deborah D. scoe, Gerald M. Irooks, Keith M. Brooks, Bonnie J. rooks, Clara R. Brooks, Chauna Name Briggles, Jill S. 22 7. χ 9

Response to Question No. 3 in me February 15, 2002 Letter From Senators Lieberman and Thompson

1	A	8	O	0	ш	F G H	9	- Ξ	-	ſ	¥
	Name	Office	Division	(a)	Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	V. Date range	V. Was any action taken by the Comm. in response to the meeting	IV. Give IV. Date taken by the the date range Comm. In response to the meeting
5	Brown, Ellen	Office of the Chief Informati on Officer	Know, and Info, Mgt.	Yes	Office of the Chief Know and Yes don't recall informal info. Mgt. Yes don't recall on Officer	l don't know	"OMTR Gas and AGA present[ation]."	7/19/2001		l don't recall	I's unclear from my calendar whether I attended, since I had a team meeting marked and highlighted at the same time.
ě	Brown, Eilen	Office of the Chief Informati on Officer	Know. and Info. Mgt.	Yes	Office of the Chief Informati Info. Mgt. Yes I don't recall Officer	ו מסח"ו גרוסאי	"Enron Online"	4/18/2000		l don't know	if's unclear whether I attended since we had an Open House at the same time.
<u>ş</u>	Brown, Ellen	Office of the Chief Informati on Officer	Office of the Know, and on on Officer	, d	Yes see comment	эев соплавм	see comment				The following e-mail was received from 650 gain. The following e-mail was received at the 500 gain. "Enroy was represented at the 650 gain. "Enroy was represented at the 650 gain." Enroy was represented the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain. The 650 gain of 700 from the 650 gain.
, E	Brown, James	Office of the Chief Informati on Officer	Office of the Chief Informati on Officer	δ ₂							
🕺	Brown, Michael	Office of the Executiv e Director	DLM	ž						-	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_					
¥	V. Was any action IV. Date taken by the range Comments (if any) range Comm. In response to the meeting		This was part of our learning about ErronObline in connection with our geting up to date on electronic rading and its role in energy markets. We visited other companies with "mading plations," other companies with "mading plations," other companies that traded on those plations, and format exchanges, including the New York Stock Exchange and the New York Mercantille Exchange		I have only some of the dates and no lists of attenders. Erron representatives probably aftended sessions for pipelines and for marketers.
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	Not to my knowledge.	Not to my knowledge.	Not to my knowledge.	Not to my knowledge.
-	IV. Date range				03/08/99; 03/16/99; others
Ŧ	IV. Give the date	6/11/2001	7/11/2001	4/18/2000	
9	III. What was the subject matter(s) of the meeting	We had a series of meetings with different parties to learn about electronic trading in general. This particular meeting was to learn about EnronOnline - how it was set up and how it operaled.	The Enron organizational sitruture wa asked Sarah to oxplain where Enron Networks and EnronChillne fit linto the company's organizational structure.	Some people from Enron made a presentation to interested staff in the Commission Meeting Room. It believe the topic was electronic trading in its early stages.	Order No. 637 outreach meetings
F	II. List all that were present at the meeting	From FERC - Marv Rosenberg, Perry Brown, Mary Louannarn, Kin Burno From Error - Christi Nicolosy, Stath Nicocosk, Jim Steffes, Dave Forster, Kevin Fresto, Philip Allen	From FERC - Marv Rosenberg, Perry Brown From Erron - Sarah Novosel	From FERC Various FERC staff. possibly some Commissioners From Enron - Presentation leam	From FERC - Various FERC staff Others - Representatives of various inclustry segments (see other comments)
E	Office Division (a) Inititated the meeting	Yes FERC staff	Yes FERC staff	Yes I'm not sure	Yes FERC staff
	(a)	Yes	Yes	Yes	
၁	Division	Office of Division of Markets, Narket Tarriffs, Developme and nt	Division of Market Developme nt	Division of Market Developme nt	Office of Division of Markets, Market Tarriffs, Developme and nt Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
Ą	Name	Brown, Perry L.	Brown, Perry L.	Brown, Perry L.	Brown, Perry L.
	-	135	136	137	138

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_		· · · · · · · · · · · · · · · · · · ·	η					
	¥	V. Was any action the laken by the range Comm. In response to the meeting	In 1900 I find testimony in RP98-259 Following that, I participated in several recommends that, I participated in several recommends with the two cockes mention connection with the two cockes mention in Valous ERFO staff, IMIQ representables and intervenor interpresentables and intervenor confinements. I con't have false of authories the call know Yew Meller and Steve Kean for the Cockes of the continences of authories recommends over the prost and steve and the many false and steve and steve the continences of authories recommends over the prost and steve the continences of authories recommends over the prost and steve the continences of authories recommends and steve the continence of authories recommends and steve the continence of a						
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Decisions in those dockets - approving sattlements, 1 believe.						
=	-	IV. Date range	Various						
5	т	IV. Give the date						9/19/2001	9/24/2001
	9	III. What was the subject matter(s) of the meeting	Norhem Natural Gas (NNG) doodes no, RR88-259 and no. CP89-1227					Primarlly an introduction of Mark Frevert	Retail Market Issues, including Uniform Business Rules, Transparency
יים כמוומנים בוכשמוווים וווים וווים וווים וווים וווים וווים ווים וווים ווים וווים וו	F	II. List all that were present at the meeting	From FERC - Various FERC staff Chees - Representatives of Enron, various intervenors					Linds Robertson, Sleven Kean, Mark. Primarly an introduction of Frevent	Cynthia Sancherr Cynthia Sancherr, Kothleen McGruder, Receil Markel Issues. (New Power H. Eugene Lockhart Rules, Taraspanicy
	Ш	Cffice Division (a) inititated the meeting	Yes FERC staff		-			Yes Linda Robertson	Synthia Sandherr New Power
		<u>ē</u>	89	2		Š	S.	Yes	Yes
	O	Division	Office of Division of Market: Tariffs, Developmen and Alates	Office of the Procureme Executiv nt		Financial Services	DLM	N.	N/A
	В	Offlice	Office of Markets, Tarriffs, and Rates	Office of the Executiv	Director	Office of the Executive e	Office of the Executive of Director	Office of Commis sioner : Brownell	Office of Commis signer Brownell
	A	Name	Brown. Perry L.	Brown, Sylvia		Brown, William, D	Brown,James,E.	Office of Commis Brownell, Nora Mead Sioner Brownell	Office of Office of Stownell, Nora Mead Stone Brownell
	7	-	139		140	4	142	143	
ł	_1						Ll		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

			<u> </u>	· · ·	·	
¥	V. Was any action V. Date taken by the range Comm. in response VI. Other Comments (if any) to the meeting		See response from Karen Robinson for this meeting.			
7	Was any action V. Give IV. Date taken by the range Comm. in response to the meeting		·		Enron filed a request for authority to begin its service. After an on the record proceeding with multiple parties intervening, the Commission denied Enron's request.	We prepared a report to the Chalmna and made a presentation.
-	IV. Date range					
I	IV. Give the date	10/4/2001	12/7/2000		10/4/2000	5/1/2000
9	III. What was the subject matter(s) of the meeting	Conference on Frescy Authority (Missing) Autho			It was a pra-filling conforence regarding Transwestern waring to offer puts and calls on transportation capacity on its system.	E-commerce intigatives and EnronOnLine.
L	II. List all that were present at the meeting	About 100-150 inviding participants from various sectors (civolitic and private) in embry marketis			tonly recall Demaitine Pulas, FERC II was a pra-tiling conform and Simpley Comen, Enron Jeff Austin (regarding transvestem and Terran, Laure Hydre FERC, En Murrell Warhting to differ pula and FERC, Nancy Badget Enron is system.	Emorr. Sheley Coursen, Domes Findio, Coleton Sulumi-sharkovit. Febeco. Calmel, Leslie Lawner, Dave Frosts, Christi Michapi, Kahn Presbo, E-commerce intigatives and Strew Jahnary, Mike Bryant, Steve Britans and Jah Wilhe, For FRRC, Embrara Bourque, Robert Fanders, Marv Rosenberg and Kim Bruno.
ш	Office Division (a) Inititated the meeting	Yes Erron			Yes (Enron)	Yes FERC staff
	(a)	Yes	Yes	8	Yes	Yes
ပ	Division	NA	Market	DLM	Market, Oversight and Enforceme nt	Market, Oversight and Enforceme nt
В	Office	Office of Commis sioner Brownell	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel
¥	Name	Brownell, Nora Mead	Brownfield, Thomas,	Bruce,Melvin,G	Bruno, Kim, G	Bruno, Kim, G.
П	-	145	146	147	148	149

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

ä

Because of the "fast track" that this case was on there was not time for the usual number of pretrial meetings and discussions. VI. Other Comments (If any) meeting No. Meeting was held mid- unsuccessful and case was August went to hearing August r 2001. 27, 2001. V. Was any action
IV. Give IV. Date taken by the
the date range Comm. in response
to the meeting Publically noticed Settlement conference in Transwestern Pripeline Company, Docket No.RP97-288-009 through 016. III. What was the subject matter(s) of the meeting II. List all that were present at the meeting Trial staff, representatives of all intrevenors, and representative Transweslam Pipeline Company. 1. Who intittated the meeting Yes trial staff Division of Dam Safety Inspection - No. Attanta Regional Office ş ŝ ž Š ટ્ર Office of Markets, and Tarriffs, Rates West h the Logistics
Executiv Manageme In Oivision Human Resources Manageme nt Office of litigation Division Litigation DLM Office of Markets, Tarriffs, and Rates Executiv the Executiv Office of Energy Projects Office of General Counsel Office Director Buckley, John Joseph Burgess, Jonathan, 1 Burgess, Thomas, J. Bublitz, Christopher 3ryan, Beverly E. Bullock, Ricky Name Bryant, Nancy 55 151 152 153 154 155

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

П							
¥	VI. Other Comments (if any)		Dockel No. RP96-346	Dockel No. RP97-420	Dockel No. RP98-140	Docket No. RP99-195	
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		Order Issued 1-30-97 (78) FERC PG1.077) clinearing Southern to file a revision Docket No. RP96-346 to its tariff.	Order Issued 9-30-99 (89 FERC PR1.517) accepting) Docket No. RP97-420 Southern's one-year report of OFO activity.	Order Issued 9-24-99 (84 FERC P81,304) directing Tennessee to revise its tariff.	Order Issued 5-28-99 (87 Ferc P61,248) directing Equitrans to file a lower rate.	
-	IV. Date range						
I	IV. Give the date		12/4/1996	4/15/1999	5/5/1998	2/25/1999	
9	III. What was the subject matter(s) of the meeting		Southern's imbalance resolution procedures	Operational Flow Orders	Reservation of pipeline capacity for expansion projects.	Equitrans' rate for products	
Ŀ	II. List all that were present at the meeting		Southern Natural Gas Company, Commission advisory staff, unknown customers and interested partias	Southern Natural Gas Company, Commission advisory staff, unknown customers and interested parties	Tennessee Gas Pipeline Company, Commission advisory staff, unknown customers and interested parties	Commission Equitatis LLP, Commission advisory Yes directed technical staff, unknown customers and interested parties	
3	I. Who inititated the meeting		Office of Commission Tardis, Tardis East Ves directed fectivical and Raios	Commission Yes directed technical conference	Commission Yes directed technical conference	Commission directed technolcal conferenc	-
۵	(a)	Š	Yes	Yes		Yes	8
၁	Office Division	Press	Rates and Tariffs East	Office of Markets, Rates and Tarriffs, Tarriffs, Tarriffs, East Rates	Rates and Tariffs East	Rates and Tariffs East	Litigation
8	Office	Office of External Affairs	Office of Markets, Tarriffs, and Ratos	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Burrell, Hedley M	Burton !V, Leonard	Burton IV, Leonard	Burton IV, Leonard	Burton IV, Leonard	Burtt, Harriet J
П	-	157	158	159	160	161	162

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-											
	×	V. Was any action IV. Date taken by the range Comm in response to the meeting					4 or 5 phone calls.			2 - 3 phone calls	
	٦	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting				04/11/199 Construction clearance 4. letters issued for portions 06/06/199 of the project.			10/21/199 Commission letter 10/24/199 requesting explanation of non-compliance		
	-	V. Date range				04/11/199 4 - 09/06/199 4	06/22/199 4 - 07/25/95		10/21/199 4 - 10/24/199	12/12/199 4 - 12/19/199	03/14/199 5 - 04/26/199 5
	н	IV. Give I									
	G	ill. What was the subject matter(s) of the meeting		reviewed information for implementation plan for FGTIII construction (CP92- 182)	requested environmental data for prior notice application CP94-277	approximately 24 phone calls coordinating archaeological excavations related to FGTill pipeline construction	Discovery of supposed human skeletal material during archaeological investigations at prehistoric site 8WL81	EF Expansion Pipeline Project (CP94-224) pre- construction (filing	Norther use of unauthorized access road during construction of EF Expansion pipeline	archaeological clearance for FGTIII pipeline construction additional work spaces	Clarification of environmental and cultural resource information request for East Leg Expansion Pipeline
	ш	II. List all that were present at the meeting		Button	David Brown, Northern Natural	Steve Veatch, Bob Button (FERC), C. Goodwin (FGT Burnett, C. Cullwell archaeological contractor)	Button	Bulton	Button	Button (FERC)	Button (FERC)
	П	I. Who (a) Inititated the meeting		Yes Bob Burnett	Yes Button	Steve Veatch, Bob Burnett, C. Cuilwell	Yes Goodwin	Yes David Brown, Northern	Yes D. Brown, Northern Button	Yes Burnett, FGT	Yes Donna Martens, Northern
I	٥	(a)	Š	Yes	≺es	Yes	Yes	Yes	Yes	Yes	Yes
	ပ	Office Division	Office of Markets, Tariffs and Tarriffs, Rates and Central Rates	DEER	DEER	DEER	DEER	DEER	DEER	DEER	DEER
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Eriergy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	4	Мате	Bushey, William, P	Button, Van T.	Button, Van, T.	Button, Van. T.	Button, Van, T.	Button, Van, T.	Button, Van, T.	Button, Van, T.	Button, Van, T.
[1		3	4	c	9	<u>ا</u> -ا	బ	<u>ග</u>	. 0	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				ļ		i comator a monormiani ana monipaoni		5	Ę			
T	A	a	O		П	it.	9	Ξ	-	ſ	х	Г
r-	Name	Office	Division	(ā)	Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the raponse VI. Other Comments (If any) to the meeting	
172	Button, Van, T.	Office of Energy Projects	DEER	Yes	Yes Bob Burnett	Button (FERC)	Submittal of final archaeological reports for FGTIII pipeline project					T
173	Button, Van, T.	Office of Energy Projects	DEER	Yes	Yes Button (FERC)	Glen Hass (Northern)	informal data request, Information about non- jurisdictional facilities CP96- 801			-	Total Company of the	1
174	Button, Van, T.	Office of Energy Projects	DEER	Yes	Ves Donna Martens. Northern	Button (FERC), Glen Hass, John Steenberg (NRON)	environmental inspections and compilance with environmental canditions during construction of CP96- 690 Mississipp River Crossing Pipelire		09/18/199 7 - 06/07/199		10 - 12 phone calls	<u> </u>
175	Button, Varı, T.	Office of Energy Projects	DEER	ye≽	Yes (FGT)	Button (FERC)	clarification of information on archaeological survey coverage to be submitted with FGTIV pipeline implementation plan					T
176	Button, Van, T.	Office of Energy Projects	DEER	×e×	Yes (NRON)	Button (FERC)	preconstruction requirements met for compressor station CP00.04					
177	Cadden, Kevin F.	Office of External Affairs	Office of External affairs	Yes	Yes ENRON	Mr. James Steffes and a few other employees of the ENRON Washington Courtesy visit office.	Courtesy visit		September , 2001	Mone.		1
178	Cagnon, David W.	Office of the Chief Informati on Officer	Customer Support and 17 Deploymen t Services	No								
179	Campbell, Heather	Office of Energy Projects	Hydropowe r Administrati on and Complianc e	Yes	Hydropowe Office of Administrati Finergy Administrati Freeges on and Projects Complianc On Complianc	Heather Campbell, Steve Naugle, Jean Potvin Keith Brooks	Draft Memorandum of Agreement (MOA) for surrender of Bull Run Project, FERC No 477.		August- September 1999	August- Comission staff provided September general comments on 1999 draft MOA		Γ

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

۲		-	(-	L			•	-		7	
	1	n	د	-	u	_	9		-	0	۷	_
	Nаme	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the Comments (if any) Tange Comm. In response to the meeting	
180	Campbell, James G.	Office of Markets, Tarriffs, and Rates	DPIC	Yes	FERC (RTO Week)	Speakers included Steve Walton. The mealing was in Yes FERC (RTO Week) Senior Director of Government Affairs Agencia Agencia	The meeting was public and transcripts are filed in Docket No. RM01-12-000		10/5-			
181	Campbell, James G.	Office of Markets, Tarriffs, and Rates	DPIC	Yes	Not sure, probably New Power	Sponored by FERC Chief economist Office Orbits, Several Economist Trom Office of Economic Advisor 8, possibly and ender DPC Staffer and myself, Also Dr Robert Willig Princeton University, the general course of Wew Power & one other New Power & other &	Presentation of Dr. Willig's academic economic study lities of Teffoctive Deregulation of Residential Electric Service. The primary focus of which was the need for residnital roal time metering.		November December 2001	November No, the Corrmission has December no jurisdiction over 2001 redsidential metering.		
	Office of the Campbell, Parthenia f Executiv e Director	Office of the Executiv e Director	Manageme nt, Administrati ve and Payroll Support	8								
	Office of the Chief Campbell, Timothy P Informati on Officer	Office of the Chief Informati on Officer	Customer Support	S _Z	·							
184	Canada, Karen M.	Office of Markets, Tarriffs, and Rates	Central 2	S Z								
185	Cannon, Shelton, M	Office of Markets, Tarriffs, and Rates	ιν/a	Yes	Yes Erron	Shalley Korman from Erron and a number of FERC staff	Ms. Korman gave a dedenonstration of a new product offering - Enron Online	5/31/2000		None		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

It was part of our office's inclusity outreach where we outlings solid lite viewpoins of various industry segments on important issues controlling our agency. For more detailed information, see the summary prepared by Saida Shalaan. It was part of our office's industry outeach where we notuliely solidit the weekpanis of various industry segments on important issues controlling our agency. For more details, see the comprehensive write-up prepared by Saids Shalsan. Other Comments (if any) V. Was any action
V. Date taken by the
range Comm. In response
to the meeting Ullimately, the Commission Issued a rulemaking on Regional Transmission Grganizations, but it was not in response to this particular meeting. None none 3nu-99 IV. Give I 7/12/1999 5/30/2001 0002/2// It was one of several cutreach meetings we sponsored with modificent industry groups as part of the Order 2000 ulemaking on Regional Transmission Organizations To provide an overview of the gas pipeline tridustry to FERC electric staff who were anxious to learn about gas issues. Liquidity/transparency of the simarketplace, e-commerce, anticipating new services in the eviolving marketplace, and marketing affiliates. Comparability of transmission service and Efficient RTO formation and operation III. What was the subject matter(s) of the meeting 25 staff members from FERC, 15 Liq members of the interstate Natural Gas ma Association of America (INGAA), ant including Stan Horton, Shelley in Corman, and Stan Horton from Enron and 30 staff membors from FERC and 12 members of the Electric Power Supply Association (EPSA), including James Steffes from Enron 16 FERO staff, 14 members of the Electric Generation Supply Association (EPSA), including Joe Hartsce, from Enron representatives from all the major pipelines, including Shelley Corman from Erron, and several FERC employees, including Dan Larcamp and myself. II. List all that were present at the meeting I. Who Inititated the meeting Yes FERC INGAA Yes OMTR Yes OWTR Yes (a) ĝ ટ્ટ Human Resources Manageme Division Administrati ve and Case Tracking n/a n/a ₽/⊔ n/a Office of the Executiv Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Kates Office Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates nnon, Shelton, M nnon, Shelton, M Cannon, Shelton, M Sannon, Shelton, M. Capps, Edward C. anter, Steven L. Name 186 187 188 189 190 191

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_					,
\ \ \	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	·			
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	INW Natural flied its Application in Docket No. ECO2-50. Commission approved merger on 02/13/02.	Transwestern filed an application on March 29, 2001, in Docket No. CP01 115-00. The Commission approved the application by order issued July 16, 2001.	Application filed on 12/1/99 in Docket No. CP00-40.	After the conference, Transwestern modified its proposal, and additional comments were flied, Subsequently, the Commission Issued an order rejeaching Transwestern's proposed fariff revisions.
-	IV. Date range		Feb/Mar 2001	Oct/Nov 1999	
H	IV. Give the date	10/18/2001			1/9/2001
9	III. What was the subject matter(s) of the meeting	Pre-filling contrence w/ NW NaturalPurland General regarding menger application.	Profiling meeting to discuss a proposed 150 MMBturd expansion of the Transwestern Pipeline Company system.	Florida Gas Transmission Company pre-filing meeting to discuss issues ratated to its proprosed Phase V expansion.	Publicly noticed conference to discuss technical and policy issues related to the sissues related to Transvestern's 101/17/2000 filling in Docted No. RPO1-156, iii which tropposed to sell options on future pipeline transmission capacity.
ŭ.	II. List all that were present at the meeting	J. Mark Metts, VP Corp. Dev., Ernon. Corp., Paul Kallman, VP Goor, Aff., Ertor Corp., Sassan Akeman, Mol. Reg. Aff. & Assoc. Courset, WM Hatural, Lude Solperon and Verillem Heronymus, YPs, Chanes Sheer Heronymus, YPs, Chanes Heronymus, Y	Many Kay Miller, VP Rates & Tariffs. Enron Trans. & Storage, additional Transvesselin presonnel, Robert Cupina FERCOEP ?, Kennell Niehaus FERCOEP ?, John Carlson FFRCOMTR, and other FERC star ?.	Various Florida Gas officials, Including Pack Kilmey, Para (Sc and Teb Lokey, Director of Rates and Tarlis. FERC staff included Robart Cupina. FeRC staff included Robart Cupina. Robart Chislen, Author Carlson, Robart Schooder? and various environmental analysts.	Among the participants were, Mary Kary Miller, Chan, Dev Feerbann, Publichy Indicad conference it. British Haring Bagger - efficient, John Godison - FEG. Chenn, July Rhoodel Feeds. Chenn, July Broodel Feeds. Chenn, All Silippes (Brother to Robert Stell, Institute it proposed to sell ed.). Sempre Teney, July Passo Natural Gas Compeny, Williams Institute spettine.
ш	Office Division (a) Inlitiated the meeting	Yee Gas Campany	Yes Transwestern Pipeline Company	Florida Gas Yes Transmission Company	Yes FERC Staff in Public Notice
٥	(a)		Xes		
ပ	Division	Tariffs and Rates - West	Tariffs and Rates - West	Tariffs and Rates - West	Tariffs and Ratos - West
B	Offlice	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Torriffs, and Rates
Ą	Name	Carlson: John T.	Carlson, John T.	Carlson, John T.	Carlson, John T.
П	-	198	199	200	Š

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	X	V. Was any action IV. Date taken by the raponse VI. Other Comments (if any) to the meeting						
	J	V. Was any action W. Give IV. Date taken by the the date range Comm. In response to the meeting	ro.	No direct actionUltimately Commission (ssued a NOPR that led to the iscuance of Order No. 637.	nd direct actionCommission ulimately issued a NOPR. raceived additional public comment, and issued Order No. 637		00	9
Ę	-	IV. Date range	1992-2002 110.			Apr/May 1998, 1999, 2001	Feb/Mar 1999	Jan. 13, Feb. 10, 11
Sdmor	Ξ	IV. Give the date		11/16/1998	12/2/1998			
perman and II		III. What was the subject matter(s) of the meeting	Various periodic telephone inquiries regarding routine procedural questions on pending or proposed rate and certificate applications	Informal Idustry Outreach Meeting on majorfernerging gas Issues,	Industry Outreach Meeting to discuss major and emerging natural gas isues.	Annual INGAA Regualtory Affairs Conferences to discuss current and emerging natural gas regulatory isues.	Post Order No. 637 industry Outreach meetings to discuss implications of Order No. 637 and related natural gas issues.	Outroach meetings with various gas and electric industry representatives to discuss current and emerging gas and electric regulatory issues.
From Senators Lieberman and I hompson	F	II. List all that were present at the meeting	Enrori affiliated gas Kilmer, 1 teb Lokey, Lok Farson, Inculies regarding routine pipelities companies Donne Futon, Nancy Bagget and Mise proceeding teaching some pipelitie companies Donne Futon. Nancy Bagget and Mise proceeding the sign and continue of proceeding and proc	NGAA representatives, Alice Fernandez, Andrea Wolfman, John Carlson, etc???	Natural Gas Markeling Companies (rioudung Enron) and FERC Staff, Proluding Alice Fernandez, Andrea Wolfman, and John Cartson, etc.	Arrong the participants were various representatives of interstate pipeline companies. FERC staff and Commissioner's assistants.	Various pipeline, producer and markeler representatives and varicus members of FERC staff.	Various gas and electric industry represenaratives
	ш	Office Division (a) Inititated the meeting	Enron affiliated gas pipeline companies	Yes FERC Staff	Yes FERC Staff	Yes INGAA	Yes FERC Staff	Yes FERC Staff
	9	(a)						
	o	Division	Office of Markets, Tariffs and Tariffs, Rates Aest	Office of Markets, Tariffs and Tariffs, Rates and West	Office of Anarkets Tariffs and Tariffs, Rates and West Rates	Office of Markets, Tariffs and Tariffs, Rates - and West Rates	Office of Markets, Tariffs and arreffs, Rates West	Office of Markets, Tariffs and Tariffs, Rates and West
	8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	4	Name	Carlson, John T.	Carlson, John T.	Carlson, John T.	Carlson, John T.	Carlson, John T.	Carlson, John T.
-	T	-	202	203	204	205	206	202

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	V. Was any action V. Date laken by the range Comm. In response trange to the meeting						Enron filed comments in response to request for comment and notice of intent to hold technical conference
P	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	2	no. Industry has not	OU.	No direct action. However, Cormmission subsequently issued a MOPR in Docket No. RM01-10.	Q	Information from the conference was used to devotop the Commission's electronic filtra strategy.
-	V. Date range	June/July 2001		1932-1998 no		1995 and 1998	
H	IV. Give the date		7/26/2001		9/19/2000		8/30/1998
9	III. What was the subject matter(s) of the meeting	indusity outreach meelings to discuss current and emerging nætural gas and electric regulatory issues.	Presentation on the development and status of a new service/rate schedule for pipeline service to electric generation loads.	Several Holiday receptions in the early to mid 1990s	Publicly noticed staff Publicly noticed staff affiliate is uses in Docket No. Pl no.1.	Twice in the last 10 years I have teen asked to speak at the industry's FERC-Beat unraheon on current and emerging regulatory issues.	Technical conference on electronic filing charsed by Brooks Carter (PL98-1)
.	II. List all that were present at the meeting	various electric and gas inclustry representatives (including Enron)	Various gas and electric inclustry representatives including Erron, and various FERC staff including Alice Fernandez, John Carlson, etc.	Various personnel of Erron's pleasonnel of Erron's pleasonnel. related, Hill and FERC personnel.	Various industry representatives (including Enron), FERC Staff and the public.	INGAA and varlous Washinglon, DC besed, pipeline regulatory affails representatives, including Enron's.	Representatives from regulated entities, law frms, and the public
Ε	Office Division (a) Inititated the meeting	Yes FERC staff	Yes INGAA/EEI	Y88 Enron/INGAA	Yes FERC Staff	Yes INGAA	Yes FERC
а	(a)	Yes	Yes	Yes	Yes	Yes	Хes
υ	Division	Office of Markets, Tariffs and Arriffs, Rates - and West Rates	Office of Markets, Tatiffs and Tarriffs, Rates - and West Rates	Office of Markets, Tariffs and Tarriffs, Rates and West Rates	Tariffs and Rates - West	Office of Markets, Tariffs and Tarriffs, Rates and West Rates	Office of IT Strategic the Chief and Capital Informati Planning on and Policy
<u>a</u>	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer
A	Nате	Carlson, John T.	Carlson, John T.	Carlson, John ∓.	Carlson, John T.	Carlson, John T.	Office of IT Strategic the Chief and Capital and Capital on Informal Planning on and Policy
П	-	508	509	210	211	212	3

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

r	_							
4	¥	V. Was any action I alken by the range Comm. In response to the meeting						Staff unrecovered issues with regard to exchange valuation, carrying change calculations, tariff anguage, assessment vest calculations, curvan delisament calculations and the recordialison between purchase volumes and sales volumes.
	٥	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Staff used the information from the conference to further develop PERC's technical specifications for electronic filing	Conference discussion led to finalizing the IT production system for electronic filing		Meetings resulted in a better understanding of accounting issues and problems.	Settlement of rate 16, 1970-1968 4730/1988 Proceeding filed April 16, parties.	By order issued 12/2/92, in Docket No. 7492-2-59, Northern Natural was directed to make certain adjustments in response to findings from the field review.
ŀ	-	IV. Date range				Twice a year	4/30/1988	2/24/92 through 3/6/92
	Ξ	IV. Give the date	6/24/1999	12/12/2000	_		05/01998	2/24/1992
3	9	III. What was the subject matter(s) of the meeting	Technical conference with FERC staff to discuss electronic filing issues	issues related to the Commission's electronic filling 12/12/2000 program		Accounting issues.	Cost of Service and rate of return issues	Fleid Review by the Cost Analysis Field Review Branch of Northern Natural's TA92-1- 59-000 filing.
	L	II. List all that were present at the meeting	Representatives from regulated entitles, law finns, and the public. Donna Fullon, employed by Enron at the fine time, attended for Enron.	Representatives from regulated entities, law firms, and the public. Nancy Bagot and Michael van Norden of Enron attended the conference.		I have no record. These were twice-s- year liston, meetings with IGAA members representing the Gas Industry. At the first has a reployed by the Office of Chief Accountant, Dokston of Audis, and at a least date, the Oblican of Editer and Hydropower flower than the Chief and the Chief Operations, the Indensitand that Lanice Gardron sub-limited this information for all attencess.	FERC Staff and Northern Natural Personnel	FERC Steff and Northern Natural Personnel
	الا	Office Division (a) Inititated the ineeting	Yes FERC Staff	Yes FERC Staff		Office of Chiel Accountant	Yes Northern Natural FERC Staf	Yes FERC Staff
6	5	<u>g</u>	, kes		N _O	Yes	Yes	Yes
1	,	Division	Office of IT Strategic the Chlef and Capital on Planning on and Policy	Office of 1T Strategic the Chief and Capital Informati Planning on and Policy	Office of Energy Projects	Office of Market Narket Narket See Office of Chi Tarriffs. Developme Yes Accountant Pears	Office of Markets. Rates-East ard Group 2	Rates-East Group 2
		Office	Office of the Chief Informati on Officer	Office of the Chief Informati on Officer	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	*	Name	Carter, Alton Brooks	Carter, Atton Brooks	Office of Carter, Gwendolyn E. General Counsel	Caruso, James B	Cary, William R.	Cary, William R.
	-	-	214	215	216	217	218	219

I must have met Emon employees at or various confidences these police nat over the last ten years. I have no memory of any specifics, since the employees of any company would always twice teen only one or two people out of a large number. VI. Other Comments (if any) 42 V. Was any action
IV. Give IV. Date taken by the
the date range Comm. In response
to the meeting Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who Inititated the meeting ŝ ş Division (a) ş ŝ Š Congressio nal, Intergovern Public Affairs Manageme nt, Administrati ve & Payroll Support Energy markets Tariffs and Rates Central Strategy and Performanc e Staff Central-Group 3 Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of External Affairs Office of the Executiv the Executiv Office of Markets, Tarriffs, and Rates Office Sirector Chapman, Craig, O. Cholka, Joseph P ambiee, Don A. Charles Whitmore Catlin, Robert T. Name Chew, Tony, F 223 224 220 221 222

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	In addition to the more specic reponses I have submitted to this question. I am sure I have met with Enron executives at other prefilling among tho period occared. However, I don't have any records or recollection of the specific meetings.					
	ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting				09/14/92 - Eventually Florida Gas' 09/15/02 (completed	Withlams application and offer of settlement was rejected by the Commission	CIG's proposal for Swing Service was accepted by the Commission
	-	IV. Date range				09/14/92 -		
	Ξ	IV. Give I					3/11/1997	10/21/1998
	9	III. What was the subject matter(s) of the meeting	see below			Order No. 636 Restructuring RS92-16-000	RP97-225-000 Williams Natural Gas Company application on Nitrogen content Technical Conference	RP98-391-000 Colorado Interstate Gas Company application for Swing Service. Technical Conference
1	4	II. List all that were present at the meeting	see below			Representatives of Fluida Gas Transmission	Representatives of Northern Natural Representatives of Northern Natural	Representaives of Northern Natural
	1	Office Division (a) Inititated the	Yes see below			Yes FERC	Yes FERC	Yes FERC
6	٥	(a)	Yes	2	Š	Yes	Yes	səx
,	ر	Division	Energy Projects	Office of IT the Chief Infrastructu re & on Operations	ALJ	Rates	Rates	Rates Central
0	2	Office	Office of General Counsel	Office of the Chief Informati on Officer	Office of Administ rative Law Judges	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates
	¥	Name	Christin, Ropert F.	Chung, Charles	Cinton, Carmen A.	Cipkus, Horaita, A	Gipkus, Horaílo, A	Cipkus, Horation, A
1	- 1							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A	8	O	6	E	4	9	. =			¥
Name	Office	Division	(a)	Office Division (a) Inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
Clarey, Patrick E	Office of Markets, Tarriffs, and Rates	Central	Yes	Yes INGAA	mulityle persons form the Industry	annua! Hoilday Party		Dec-00	OD.	I had a general discussion with representables from Northern Natural Gas at this function. I did not discuss any pending or previous FERC matters. The discussion was limited and only involved "catching-up" as I know these people from Thy previous emplyoners.
Clarey, Patrick E	Office of Markets, Farriffs, and Rates	Central	Yes	Yes INGAA	multiple persons from industry	Regulatroy Affairs Conference		May 17-18, No. 2001	No.	
Clarey, Patrick E	Office of Markels, Tarriffs, and Rates	Central	Yes	Federal Energy Bar Association	Yos Federal Energy Bar Multiple persons from Industry	annual bar association meeting		Nov-00	NO.	
Clark, Anja M.	Office of General Counsel		Yes	Lifigation Yes Staff Counsel	Commission staff counsel, Northern Border Counsel, Intervenars	Discovery and settlement		1995-1996	1995-1996 Settliment approved	
Clark, Anja M.	Office of General Counsel	litigation		Yes conference	all parties (Have no list of attendees)	EOTT Energy Operation Live complaint against Coroco Pipe Line Company, regarding the appropriate proteitor policy to be applied to intermittent customers. I was co-counsel in this case.		after 10/2000		EOTT withdraw its complaint, and on 10,000.1 Judge Herbert Grossman issued an order staring that EOTT's withdrawal automatically terminated the proceeding.
Clark, Bryan L.	Office of Administ rative Law Judges	Law Clerk No	8							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

								2			
	A	В	٥		ш	L	စ	Ξ	-	7	¥
-	Name	Office	Division	(a)	Office Division (a) Inititated the ameeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date laken by the range Comm: in response IV. Other Comments (if any) to the meeting
245	Clark, Jeffrey	Office of the Chief Informati on Officer	Know. and Info. Mgt.	£	·						
246	Clark, Shella Marie	Office of the Executiv e Director	Human Resources Manageme nt Division	No							
247	Clay, Carlos D.	Office of Markets, Tarriffs, and Rates	West	Š							
248	Ctayton, Miriam B.	Office of External Affairs	Office of External Affairs	ટ્ર							
249	Clements, John H.	Office of General Counsel	Energy Projects		Yes Don't remember.	Julie Keil, Portland General Electric John Clements third person I can't remember	hydro licensing process		02/15/199 No.		Julle, the third person I can't remember, and I had dinner the night before the opening of a workshop we were attending. I bought my own dinner.
250	Cabb, Gwen	Office of the Executiv e Director	Office of Administrati Executiv ve Support No e Staff Director	Ŷ.							
251	Cockrell, Joel M.	Office of General Counsel	Litigaton	N N							
252	Coffman, David H.	Office of General Counsel	Solicitor	ž	-						

					Kespons	Response to Question No. 3 in the February 15, 2002 Letter From Senators ! Jeherman and Thomnson	3 In the reprua herman and Th	ry 15, .	2002	Letter	/ *
1	ď	8	O	٥	ш		5	=	ĘĒ		×
	Name	Office	Division	<u>(a)</u>	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	IV. Date	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	VI. Other Comments (if any)
253	Cofrancesco, Jon, E.		Division of Environme ntal and Engineerin g Review	ટ્ટ							
254	Cale, Tray D	Office of the Executiv o Director	Budget	2							
255	Coleita, Pietro	Office of Energy Projects	D2SI	8							- Property - Anna Control of the Con
256	Coleman, Michael A		Office of Markets, Tariffs and Tariffs, Rates - and West Rates	Yes	stakeholders in the Pacific Northwest	approvinately 90-120 persons from viscos distelladed interests altended the methods from enclosed share demost from Power and the form of	the meetings were the regions processmatters of courses and course of courses of courses of courses of courses retained to the formation of RTO Vivest as the regional transmission cognitization for the Pedific Northwest		meetings were held biweethy/m onthiy (approxim ately 15 total) between June 2000 and December 2001	no action was taken by the Commission in the Commission in the Response to the menings; frowever, in 0201 the Commission approved a filling made by the paties that was based on the Gesussions at the various RRG meetings	
257	Coleman, Michael A		Office of Markets, Tariffs and Tarriffs, Rates and West		Yes Govt Affairs Enron	Dave Perrino and myself	introductions, Perrino recenily appointed to position		summer 2001	no action taken	
	Collins, William J.	Office of General Counsel	Litigation		Settlement conference convened by FERC Trial Staff, Transco and other section	Settlement conference FERC Tital Staff, Suzame Callagno Vos connened by FERC for Entron North America Conp and 58 and other contents of the people on the service field and other people on the service field.	Settlement of Transco's rate increase filing to resolve issues relating to Transco's cost of service, gas volumes, cost allocation and rate	9/24/2001		Scheduled another settlement conference.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		T	г				
×	V. Was any action IV. Date taken by the range Comm. In response to the meeting						
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	A settlement of the California PX proceeding was reached and filed on Sept. 26, 2000.	The 637 applications are pending Commission action.	A settlement of the CNG rate proceeding was reached and filed on August 31, 1998.	A settlement of the Northwest rate proceeding was reached and filed on July 22, 1997	A settlement of the complaint case was reached and filed on March 19, 1999.	A settlement of the ANR proceeding was reached and filed on August 31, 1998
-	V. Date range						
Ī	IV. Give the date						
9	III. What was the subject matter(s) of the meeting	Settlement of the California PX's rate filing to resolve issues retaining to the California PX's cost of service, gas volumes, cost allocation and rate design.	Technical conference on Columbia Gas and Columbia Gulf Order No. 637 compliance filings.	Settlement of CNG's rate increase filing to resolve issues relating to CNG's cost of service, gas volumes, cost allocation and rate design.	Settlelment of Northwest's rate increase filing to resolve issues relating to Northwest's cost of sevice, gas volumes, cost allocation and rate design.	Settlement of WEPCo's complaint against NSP	Settlement of ANR's filing to recover pricing differential costs incurred as a result of implementation of Order No. 636.
Ŀ	II. List all that were present at the meeting	FERC Trai Staff, Joseph Hartsoe, VP, Enron Power Marketing, Inc. and Ronald Carroll of Bracewell & Parterson for Enron Power Marketing and as well as others on service fist.	FERC Staff, Kim Curry of Braceweil & Patterson for Erron North America Corp.: Nancy Bagot of Erron North America as well as others on the service lists.	Settlement of CNG's rate arteriorable Staff, No necord of alteriorable Bling for resolve and the service list increase filling to resolve are Rebected Cantrell, and Leslie of service, Says volumes, Lawmer of Enron North America Corp. allocation and rate design	FERC Trial Staff; No record of alterdance of Northwest's attendance but listed on the service all and tealls. The service all and tealls the more of Ernon North America Corp. Cost of Service, gas volume Mangar, Stewen Kirk, Director, design.	FERC Trial Staff. No record of any and any	FERC Trial Staff; No record of attendance but listed on the serice list are Mark Schroeder and Donna Fulton of Northern Natural Gas Co.
E	I. Who Inititated the meeting	Settlement conferences convened by FERC Trial Staff, the California PX and other parties.	Technical conferences convened by FERC Staff	Settlement confernces Yes convened by FERC Trial Staff, CNG and other parities	Settlement conferness convened by FERC Trial Staf, Inordiwest and other parties.	Settlement conferences Yes convered by FERC Trail Staff, WEPCo and NSP.	Settlement conferences Yes convened by FERC Trial Staff, ANR and other parties.
Р	(a)	Yes	Yes		Yes		
C	Office Division	Litigation	Litigation	Litigation	Litigation	Litigation	Litigation
8	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsel
A	Name	Collins, William J.	Collins, William J.	Collins, William J.	Collins, William J.	Collins, William J.	Collins, William J.
П		259	260	261	262	263	264
		3 3 3 7 7 7		100	1.00		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_	,				,	
	K	V. Was any action V. Date taken by the range Comm. In range Comm. In response to the meeting					
	ı ı	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	A settlement of the Northern Border rate proceeding was reached and filed on Sept. 26, 2000	A settlement of the Colifornia PX proceeding was reached and filed on Sept. 26, 2000	A settlement of the Texas Gas rate proceeding was reached and filed on August 14, 2001.	A settlement of the Northent Border rate fraceceding was reached and filed on Sept. 26, 2000.	ALJ Initial decision issued on January 10, 1897. Settlement of the ANR Tale proceeding was reached and filed on October 17, 1997
=	-	IV. Date range					
Schiol	I	IV. Give					
Delinali alla	9	III. What was the subject matter(s) of the meeting	Data Requests, Procedural and substantive Issues in Northern Border's rate case.	Data Requests, Procedural and substantive issues in the California PX case.	Settlement of Texas Gas' rate increase filing to resolve issues relating to Texas Gas' cost of service, gas volumes, cost allocation and rate desgin	Settlement of Northein Boarder's also increase filing to respive stayes reshing to Worthern Boarder's cost of service, gas volumes, cost allocation and rate design.	Settlement of ANFs rate increase filing to resolve issues relating to ANFs cost of service, gas volumes, cost allocation and rate design.
I TOTIL DELIGIOUS ELEDETITION AND THOUGHSON	iL.	II. List all that were present at the meeting	FERC Trial Staff, and Northern Border and Schadusts, Procedural representation. Northern Border's rate case in Northern Border's rate case.	FERC Trial Staff and Ronald Carroll of Data Requests, Procedural Bracewell and Patenson representing and substantive issues in the Enron Power Markeling.	Settlement CTRO Trial Staff, Nancy Pickover, Kim Settlement of Texas Gas Conference Curry or Muttable Harry of Incareon less than the Conference Conversed by EERC Patterson for Enone Statement Seasons entailing to resolve conversed by EERC Patterson for Enone Statement Seasons entailing to Texas Gas Trial Staff, Texas Chalegap, course Efficiency Staff Service Staff Service, gas volumes, Gas and other Anventa for Enron North America as costallocation and rate parties and other control of the Service Staff Service St	Natural Seaf, Nombern Plains Natural Seaf, Nombern Plains Natural Seaf, Co Employer Saymond Proughton Management, James Decel Or Part of Plants and Chement (Chornel), James Decel Or Part of Plants and Internationally Chement (Proteste), James Decel Or Plants (Part of Thance and International Annual Sammehack, Altorner With Andrew allocation and rate design. 8 Aburth as well as others on service.	Semiennen affetto Trial Staff No record of semiennen of ANRs rate conferences ordered by the Staff Sta
	ä	Office Division (a) Inititated the meeting	FERC Trial Staff, and Northern Border representatives.	FERC Trial Staff, and Ronald Carroll of Bracewell & Patterson representing Enron Power Marketing.	Settlement Sonteence Sonvened by FERC filal Staff, Texas Sas and other Sartles	Settlement conference covened by FERC Trial Staff, Northern Border and other parties.	Settlement conferences convened by FERC Trial Staff, ANR and other parties.
	۵	(a)		Yes	×es ×	Yes	Yes
	o	Division	Litigation	Liligation	Litigation	Litigation	Litigation
	В	Office	Office of General Counsel	Office of General Counsel	Office of Geeral Counsel	Office of General Counset	Office of General Counsel
	A	Name	Collins, William J.	Collins, William J.	Collins, William J.	Coitins, William J.	Collins, William J.
		-	265	266	267	268	269

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Γ					_					
\	V. Was any action taken by the Comments (if any) to the meeting								A I I I A I I I A I I I A I I I A I I I A I	
7	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	A settlement of the Texas Eastern rate proceeding was reached and filed on April 21, 1995		A settlement of the ANR's proceeding was reached and filed on May 8, 1995		9	N 0	8	N.	ON.
<u>.</u> -	IV. Date range									
±	IV. Give the date									
9	III. What was the subject matter(s) of the meeting	Settlement of Texas Eastem's rate increase filing to resolve issues relating to cost of service, gas volumes, cost allocation and rate design.		Settlement of ANR's filling to recover gas supply realignment costs as a result of implementation of Order No. 536.	-	Fish Kill at p-2233 Willamette Falts, on 4/11/01	p-2233 Willamette Falls fish kill 4/11/01	fish kill p-2233 willamette falls, 4/11/01	fish kili, p-2233 wiliamette falls, 4/11/01	Fish kill, p-2233, willamette falls 4/11/01
± 5	II. List all that were present at the meeting	Settlement Conference TeRC Trial Staff, No record of Conference Ring to resolve convenands by FERC alternations but listed on the service list are increase Ring to resolve Trial Staff, Texas, rare Paul Wielgus and Lesie Lawner of Issaers exhibit to cost of Eastern and other Enron North America Corp. Service, gas voluntes, cost parties and rate design, parties		FERC Trial Staff, No record of attendance but listed on the service list are Rehecce Carmete and Lestie Lawner of Erron North Arnerica Corp. and Patrick, Loyce and Donna Fullon of Northern Natural Gas Co.		Robert Steeke, PGE	Oregon Department of Fish and Wildlife, Stephanle Burchfleld, Hydropower Coordinator	Blake Condo	NMFS- Michele Day	Blake Condo
В	I. Who Inititated the meeting	Settlement conferences convened by FERC Trial Staff, Texas Eastern and other parties		Settlement conferences Yes convened by FEHC Trial Staff, ANR and other parties		Yes myself	Yes myself	Yes Robert Steele, licensee p-2233	Yes Blake Condo	Yes Day
6	(a)	Yes	Ž	Yes	No	Yes	Yes	Yes	Yes	Yes
O	Office Division (a)	Liftgation	Division of Dam Safety	Litigation	Division of Pipeline Certificates	DHAC	DHAC	DHAC	DHAC	DHAC
8	Offlice	Office of General Counsel	Office of Energy Projects	Office of General Counsel	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
A	Name	Collins, Willliam J.	Collins, Steve, A	Collins, William J.	Colter, Ron, A	Condo, C. Blake	Condo, C. Blake	Condo, C. Blake	Condo, C. Blake	Condo, C. Blake
		270		272		274	275	276	277	278

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Gate I range Comm. In response to the meeting t
III. What was the subject matter(s) of subject matter(s) of subject matter(s) of Effek XII. Willemente Falls, p-2233, 4/11/01
at the meeting at the meeting Oregon State Police- Trooper Jeff Butler
i. Who Intiffated the meeting Blake Condo
(a) NO
Division (a) DI-MAC Yes press press no. Congressio no. Interpreser Public Affaire Software Engineerin No Division of Coganizatio Marageme Manageme Indigation Tess Manageme Indigation Ligigation Tess Manageme Indigation Tess Manageme Indigation Tess Manageme Mana
Office of Entersal Affairs Affairs Affairs Office of External Affairs Office of External Affairs Office of the Chlef time Chlef time Chlef time affairs Office of the Chlef time of the Chlef time of the Chlef time of the Chlef time of Chlef
Oordo, C. Biske Ernergy DHAC Yes Connors, Barbara A. Britans services No Connors, Carol
283 283 283 284 285 285 285 285 285 285 285 285 285 285

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

			_						
	х	V. Was any action trade taken by the range Comm. In response to the meeting			Saveral telephone calls occured with the purpose of giving Portland General guidance on how to unburdle its contracts as required by Order No. 888.	Zond flied to limit its requests for confidentality as a result of staff's phone conversations with Zond's staff.			The state of the s
	-,	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting			None	None. The Commission ullimately issued an order for market based rate authority for a wind turbine generator.		No.	
=	-	V. Date range			10-96 through 01/97	May-97			
edino	I	IV. Give I							
מבנווומנו מווח דו	Ð	III. What was the subject matter(s) of the meeting			Unbundling as required by Order No.860	Request for confidential treatment of certain parts of a contract	FERC Trial Staff,	Settlement of the California PX's rate filling to recolve issues referring to the California PX's cost of service, gas volumes, cost allocation and rate design	
i ioni senators Elebernian and Indinpson	±	II. List all that were present at the meeting			Victor Coulte - Staff Bill William - Portland General Electric	Victor Couller - Staff and Phil Nowak for Zond Development Corporation and Zond Minnesota Development Corporation II		Joseph Hartoe, VP. Erron Power Marketing, Inc. and Royald Carrol of Discovell & Patiescon for Erron of Discovell & Patiescon for Erron Over Meriening and as well as others on service list.	
	Е	1. Who Office Division (a) Inititated the			Staff	Staff		Joseph Hartsoe, WP. Erron Power Markeling, Inc. and Ronald Carroll of Bracewell & Patterson for Patterson for From Power Markeling and as well as others on service list	
	۵	(a)	ž	8	Yes	Yes	S _N	Yes	2
	ပ	Division	ргм	Division of Environme ntal and Engineerin g Review	Office of Narkets. Tarriffs, Rates West Yes Staff and Rates	Office of Markels. Tarriffs, Rates West Yes Staff and Rates	GAL	Solicitor	Agenda
	е	Office	Office of the Executiv e Director	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of the Secretar
	4	Name	Couch, John F.	Coulter, Roberta L.	Coulter, Victor, I	Coulter, Victor, I.	Court, Susan J.	Court, Susan J.	Office of Office of Crawford, Pamela, S. Secretar, v
		-	288	289	290	291	292	293	294

Response to Question No. 3 in the February 15, 2002 Letter

		VI. Other C		
	ſ	IV. Was any action IV. Date taken by the the date range Comm. in response to the meeting		
uo	_	IV. Date range		
omps	I	IV. Give the date		
berman and Th	9	III. What was the subject matter(s) of the meeting		
From Senators Lieberman and Thompson	ll.	II. What was the III. What was the subject matter(s) of at the meeting the meeting		
	ш	I. Who Office Division (a) Inititated the meeting		
	۵	(a)	Š	٩
	a o	Division	Division of Office of Environme Energy ntst and Projects Engineerin g Review	Energy
	В	Office	Office of Energy Projects	Office of General

		1		T			Ι	1
X	V. Was any action V. Date laken by the range Comm. in response to the meeting			To the state of th				
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting				meeting was held with project proponents on September 19, 2000.	Staff responded by letter dated 09/28/2000	ou	Staff attended a public meeting in the proposed project area in June 2001. (see submillar from James Fargo)
-	IV. Date range	}						
T	IV. Give the date							
9	III. What was the subject matter(s) of the meeting				September 19 meeting with staff regarding fire Lake Elsinore Pumped Storage Project, Memorandum provided background information regarding the proposed project, as well as a meeting agends.	Letter transmitted copy of draft preliminary environmental assessment for the proposed Lake Elsinore Pumped Storage Project	Jurisdiction of the proposed Lake Elsinore Pumped Storage Project	Letter provided staff comments on the preliminary draft environmental assessment for the proposed Lake Elsingre Pumped Lake Elsingre Pumped information regarding the licensing process.
ш	 List all that were present at the meeting 				Lon Crow, Dan Adamson	Lon Grow	Lon Grow	Amold Podgorski
ш	Office Division (a) Inititated the				Yes Arrie Podgorsky	Yes Arnold Podgarski	Yes Amie Podgorsky	Yes Lon Graw
Ω	(e)	2	2	No	Yes	şa	Yes	Yes
O	Division	Division of Environme ntsf and Engineerin g Review	Energy Projects	N/A	Environme ntal and Engineerin g Review	Environme ntal and Engineerin g Review	Environme ntal and Engineerin g Review	Environme ntal and Engineerin g Review
8	Office	Office of Energy Projects	Office of General Counsel	Office of the Chalrma n	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
4	Name	Creamer, Allan E.	Crenshaw-Davis, Gladys	Crocker, Lawrence, D	Crow, Lan R	Grow, Lon R	Crow, Lon R	Crow, Lon R
	-	295	296	297	298	299	300	301

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	IV. Was any action IV. Date taken by the range Comm. in response to the meeting			This is the period during which I was the Deputy Director of the Office of Pipeline Regulation.	
7	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	No, not in relation to Enron.		ON	
-	IV. Date range	October Reprison of April 2001			
·	IV. Give the date				
9	III. What was the subject matter(s) of the meeting	Emon personnal or an alternor personnal or an alternory on behalf of Emon alternory on behalf of Emon alternory on behalf of Emon alternory or behalf of Emon alternory or excellence, the Emon personnel or, the Figure 1 and 100 personnel or or excellence, the Emon and/or empleighent, or behalf on the personnel or the personnel o		Various Industry functions where we discussed topics of general interest.	
LL.	II. List all that were present at the meeting	Representatives for intervening and protesting parties in particular occlete. At least 40-50 persons were in attendance with one Enron representative at the technical conference.		Mysalf	
ш	Office Division (a) Inititated the	Yes Commission Staff		Enron representatives, including Joe Yes Hartsoe, Shelley Korman, Stan Horton, and Donna Fulton.	
	(a)	Yes	S.	Yes	2
0	Division	West, Group 1	DLM	Deputy Office Director	Office of Markets, Division of Tarriffs, Market and Information Rates
8	Office	Office of Markets. Tarriffs, and Rates	Office of the Executiv e Director	Office of Energy Projects	Office of Markets. Tarriffs, and Rates
4	Name	Crunkiton, Paula S.	Office of the Cunningham, Michael Executive a Director	Cupina, Robert J.	Czarick, Robert, R
	-	302	303	304	305

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

. 22

	A	-	C		4			<u> </u>	-		7
, s	Name	Office	Division	(3)	the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	IV. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. in response to the meeting
algetty,	Office of the Dalgetty, Herman S. Executive Director	Office of the Executiv e Director	Financial Services Division	Yes	Betsy Clarke Enron's attorney	Belsy Clarke Two other attomeys And an employee from Enron, and my self	To hand deliver revised Reporting Requirement 592 for callented year 1597, and to pick, up revised and adjusted annual charge bill.			The Annual Charge bill was corrected to reflect the revised Reporting Requirement 562 sales volume.	This was an unexpected visit I was in my office when I hoaded with when I heard a knock when I tooked up it was four people standful in the droor way, Belsy, Clarke introduced heresiff to and then proceeded to include the officers. This size said they were in the building for some other matter and she decedded to office by and give me a copy of the corrected RR 582.
halton, F	Dalton, Patricia A.	Office of Markets, Tarriffs, and Rates	West	Š							
ambra	Office of Dambrava, Pamela, Schairman	Office of the Chairma n	CH-2	S O							
amiani	Office o Damiani, Stefanie, A Energy Projects	Office of Energy Projects	DEER	Yes	nyself, and Midwestern Staff	Myself, and Midwestern Staff=David Marranville, or Mark Johnson, or Bill Rayburn	Endangered Species Act- Indiana Bat, and forest fragmentation	_	Oct 1998 Dec 1998	contacted FWS a few Oct 1998 times regarding Issue to Dec 1998 coordinate ESA requirements	A few conversations dealing with preventing/minimizing forest fragmentation and trying to preserve the Indiana bat.
amiani	Office o Damiani, Stefanie, A, Energy Projects	Office of Energy Projects	DĘER	Yes	Michelle Yes Winckowski of Northern Natural	Myseif-Stefanlo	Left message—following up my earlier questlon regarding extra workspaces.			n/a	
hamiani	Office o Damiani, Stefanie, A. Energy Projecti	Office of Energy Projects	DEER	Yes	Yes Stefanie Damiani	Keith Peterson of Northern Natural, CP98-132-001	Telephone call to clarify about extra work spaces on the Cand D-Lines extention			n/a	Keith would relay message to appropriate staff member.
Jamiani	Office of Damlant, Stefante, A Energy Projects	Office of Energy Projects	DEER	Yes	Michelle Yes Winckowski of Northern Natural	Myself-stefanie	Regarding my reciept of filed directors letter. CP98-132-001			n/a	
)amian	Office or Damiani, Stefanie, A. Energy Projects	Office of Energy Projects	DEER	Yes	Michelle Yes Winckowski Northern Natural	myselfStefanie	CP98-132-001, extra workspaces			n/a	clarified that the "D" line would cross over to the "A" line

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	(Kulk	dinated se dates struction,	with the 999. rideo e visit. I sport dated and my site in the review ted and sent the the review red and sent the the review red and sent the the review red and sent red and red red red red red red red red red re					
×	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	worked out schedules and coordinated lineraries for the 3 site visits (see dates above) for pre-construction, construction, and post-construction inspections	reconstructions of perion ceres with the technical issues in November 1999 to beginning with the 1117/1999 develop the perion of 1997 the 1999 develop propaged a spendal inspection report dated the perion of 1997 the 1997 the 1997 the 1991. My involvement ended with the review of the draft letter that was finalized and sent, by Harry Hall to PGE on November 1, 2000.					
7	V. Was any action taken by the Comm. In response to the meeting		Video conference with PGE on 1117/1999. Sile of Visit with PGE on 126.7 P 1999. 1101/2000 letter accepting PGEs proposal vitil comments.					
-	IV. Date range	Oct. 1998; Feb 1999; none May 1999						
. =	IV. Give the date							
9	III. What was the subject matter(s) of the meeting	Regarding site visit details	PGE's proposal for installing stream and rainfall gages upstream of the project to calibrate key parameters needed to determine the Probable Makinum Flood for the Round Butte Project NO. 2030.					
Lt.	II. List all that were present at the meeting	hiyself, and Cornpany staff (Midwestern)=David Maramille, Mark Regarding site visit details Johnson, andor Bill Reyburn	Harry Hall, FERC-CEP-D28I-PRO (Portland Regional Office)			-		
ш	Office Division (a) intitrated the	Myself, and Yes Company staff (Midwestern)	Division of Loen E Dam Salety Yes Mayer, Portland Reportions Inspections					
	(a)	Yes	Yes	SN.	Š	8	å	Ĺ
O	Division	DEER	Division of Dam Safety & A linspections	Office of Administrati No on	Central Division Group 3	CASE	Budget	
8	Office	Office of Energy Projects	Office of Energy Projects	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	80
٨	Name	Office of Damianl, Stefanle, A. Energy Projects	Davis, Michael S	Davis, Michelle R.	Davis, Nathanlel, J.	Davis, Sharron T.	Davis, Stacle, L	
	-	314	315	316	317	318	319	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Give IV. Date laken by the treaponse tree date range Comm. in response the date runge Comm. in response to the meeting Various dates between October None 1996 and August 1997 Procedural and substantive issues in consolidated rate case in Docket Nos. RP96-366-000 and FA94-15-002 III. What was the subject matter(s) of the meeting II. List all that were present at the meeting Yes Gas Personnel FERC Staff/Florida Gas Personnel i spoke to 6 or 7
inidative accounting
condensive a during
condinative accounting
could have been
adminded by
ENRON
The should are of electric
to companies). To
the best of my
condinative any direct
mind have any direct
mind have any direct
mind have any direct
mind have any direct I. Who inititated the meeting ž ŝ Deputy
Executive
Director
and Chief
Accountant Division T&RE D2SI-NYRO CEA Office of the Executiv Office of Markets, Tarriffs, and Rates Office Office of Energy Projects Office of Markets, Tarriffs, and Rates lude, Sandra, J. sbes, Rebecca J. Jelaware, John Name Dees, Joseph 321 322 323

					Respons	Response to Question No. 3 in the February 15, 2002 Letter	3 in the Februa	ry 15, 2	0021	-etter	28
- 1						From Senators Lieberman and Thompson	berman and Th	ompsc	Ē		
	4	œ	O	٥	ц	ш	ဗ	H	_	- -	×
-	Name	Office	Division	æ	I. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action V. Date taken by the range Comm. in response Of the Comments (if any)
325	Delude, Sandra, J.	Office of Markets, Tarriffs, and Rates	CEA		Yes FFRC Staff	Sattlement conferences to seatlement conferences to respin cost of services and All panies to proceeding in Docket Nas, No. 1879–189-100, Seases in Plevida Gas and FERC Staff Docket Nas, No. 1879–189-100, Seases in Hindia Gas and FERC Staff Docket No. 1992, 1990, Paniel India Gas and FERC Staff Docket No. 1992, 1990, Paniel India Gas and FERC Staff Docket Nas, No. 1992, 1990, Paniel India Gas and PERC Staff Docket Nas, No. 1990, Paniel	Settlement contenences to resolve cost of service and resolve cost of service and resolve cost of service and resolve closures in the federal investigation in the informal investigation in Docket No. C. 929, 182,000 and the construction cost and the remarkaction cost audit in FAS4-15-002.		Various dates between November 1996 and July 1997	Various dates September 24 between Commission order November approving sattlement filed 1998 and on August 5, 1997	
326	Delude, Sandra, J.	Office of Markets, Tarriffs, and Rates	CEA	, kes	Yes FERC Staff/ Northern Natural	FERC Staff Northern Natural Personnel	Procedural and substantive lesues in rate procedding in Docket No.RP98-203		various dates between May 1998 and April 1999	none	
727	Delude, Sandra, J.	Office of Markets, Tarriffs, and Rates	CEA	Yes	Yes FERC Staff	All parties to the proceeding in Oocket NO. RP88-203, Northern Natural, FERC Staff	Settlement conferences to schools cost of service and race design issues in Docket No RP98-203		Various June 18 of dates June 18 of between Order app May 1999 settlemer and April 16, 1999	June 18 Commission Order approving settlement files on April 16, 1999	
ထု	DeMarr, Tracy L.	Office of Markets, Tarriffs, and Rates	Rates - West		Yes Enron	various	Нойдэу Рапу		Dacember s 1992 - 2000	поле	attended at least one holiday party between Jan 1992 and Dec 2000.
329	Deng, Andy H	Office of the Chief Informati on Officer	Office of Assurance of Officer	S S			·				
	Denice J. Smith	Office of the Chief Informati on	Customer Support & IT Depfoymen	ž							

Response to Question No. 3 losere February 15, 2002 Letter From Senators Lieberman and Thompson

$\overline{}$				1	
¥	V. Was any action V. Date laken by the range Comm. In response to the meeting				
ſ	V. Was any action IV. Date taken by the range Comm. In response to the meeting	furnerous furnerous s and selection that was reached between that was approved by the figure of commission. for and	Settlement of the case approved by FERC.	Interim settlement and meetings agreements were reached geneements were reached where sproved by 12/1/888 the Commission and ultimately resulting in 5/1/701 ferminately resulting in formers of the meeting of the meeting of the general settlements of the groceeding.	Numerous Interim and final meeting settlement agreements between vere reached that were and approved by the and S/17/01 Commission.
-	V. Date range	Numerous Conference s and meetings meetings botween 5/30/39 and 10/22/200	Numerous conference s and meeting from 6/30/99 to 10/22/200	Numerous meetings between 12/18/98 and 5/17/01	Numerous meeting between 12/18/98 and 5/17/01
Ξ	IV. Give I	2-0	3		
9	III. What was the subject matter(s) of the meeting	Procedural schedule. discovery and other schedule. settlement negless; lifernal settlement negociation on substantive issues in case.	Procedural schedule, discovery, and other procedura matters, informal procedura matters, informal settlement negotiation on substantive issues.	Procedural schedule, discovery and other procedural matters, informal settlement negoliations on substantive issues in case.	Procedural schedule, discovery, and other procedural matters; informal settlement negotlations on substantive Issues in case.
£	II. List all that were present at the meeting	All persons and organization on publicating serated service list for whether Bodder Hybraine Co., Docted No. 4799-522, et al., were himled by the Steeralary. Representative of the Secretary. Representative of united courses Mark Surback and custode courses Mark Surback and custode courses Mark Surback and the Secretary. Representative of or other ENADO. All surface services which surback and and Bambi Heckerman. Let all surface and participate and participat	All persons on the publicly-available concelled sever divided by public notice served by the Office of the Secretary. ENTON representatives the public of outside outside coursel Mark Surbided outside coursel Mark Surbides and members of the Northern Studied publicle of officers/arise saff infording Raymond Nepland Bank Hockerman.	All persons on publically-available service list were invited by public control served by the Office of the Socretary. Enron North America Corp. was an intervenor and was represented by Leslie J. Lawner, Esq.	All persons and organization on publically-available service list were invited by public nodic staude by the Office of the Secretary. Ennon North America Corp. was an intervenor and was represented by Rebecca Cantrell
Е	Office Division (a) inititated the meeting	All Docker No. Yes RP90-222 participates	All participates in Litigation Yes Docket No. RP96- 106	All participates in Yes Docket No. RP99- 106	All participates in VSS Docket No. R-P99-106
۵	(a)	≺es	Yes	Yes	Yes
S	Division	Litigation		Litigation	
В	Offlice	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
٨	Name	Denkinger, Marc G	Denkinger, Marc G.	Denkinger, Marc G.	Denkinger, Marc G.
П	-	331	332	333	334

February 15, 2002 Letter	rman and Thompson
Response to Question No. 3	From Senators Liebe

....

	ny)				
¥	VI. Other Comments (if any)				
F	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Several During discovery phase, meetings the Completions and Mesonoten reached lessochering seutement. The Completion of With Completion of With Completion of With Completing the Completing Completing the Completing	Hearings were hold. Certalit issues were settled, Initial decisions were issued, and the Commission issued orders on decisions were Held. Several of those Commission's orders were appeared to the D.C. Commission's orders	Normeron and Serbenori agreement conference was rechold that was a held approved by the between IT/1/00ge Commission. 2/1696	Several Sentement agreement meeting reacted which was between approved by the 4077h Commission terminaling and 1116,2002 proceeding.
-	IV. Date range	Several meetings and teleconfere nces between 10/26/96 and 12/30/01	Numerous meeting and conference s between 7/31/95 and 9/29/2000	Noumerou s meeting and conference s held between 11/30/94 and 2/16/96	Several meeting between 4/27/f01 and 1/16/2002
Ξ	IV. Give the date				
9	III. What was the subject matter(s) of the meeting	Procedural Schedule, discovery, other proedural matters, settlement repositations on substantive matters in case.	Procedural schedule, discovery, and other procedural marties; informal settlement regoldisches or substantive (ssues in the case.	Procedural schdule, discovery, and other procedural matters, informal settlement negotiations on substantive issues in the case.	Procedural schedule, discovery and other procedural matters; informal settlement meters; informal settlement regelations of substantive issues.
Ŀ	II. List all that were present at the meeting	All persons and organizations on a consequence of the control of t	If percons and organization on the service list for Northwest Presiden Corpt., Doctoral, Norther RePed-4dy, with reflected with the Service of Secretary from North America Corp. was an intervent or and was represented by Rebecca Cantrell and Leslie J. Lawnen, Esq.	All persons and organizations on the publicishly available service list for National Fail Gas Supply Corp., Octoch No. FP98-51, viere invited by public notice served by the Office of the Scoretty, Efron North America for Corp. was an inference and was represented by Nethect Cartell and legie J. Lawrer, Esq.	All persons and organizations on the publish-upwaluse service list in Mussissippi River Transmission Corp. Docket No Refor 1222 and Muko 1-25, proordinal schedule, were arhitled by public nortice served by discovery and other the Office of the Secration. Error of proordinal material countries are service list. Error Neural Armerica Corp. was an interventional imposfulor of ordinarial control service must service list. Errorn Neural Secretarial Reform Neural Secretarial Reforms Neural Secretarial Reforms Neural Secretarial Reforms Neural Secretaria Secretari
Е	Office Division (a) Inititated the meeting	All participates in Yes Docket no. ORgo- 11	All participants in Yes Docket No. RP99-409	All participants in Yes Docket NO. RP95- 31	All participants in Yes Docket No. RF01- 292
	(a)	Yes	Yes		
o	Division	Litígation		Litigation	Liftgation
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsol
٧	Name	Denkinger, Marc G.	Denkinger, Marc G.	Denkinger, Marc G.	Denkinger, Marc G.
П	Ψ-	335	336	337	

Response to Question No. 3 wante February 15, 2002 Letter From Senators Lieberman and Thompson

	if any)	The second secon			:			
	V. Was any action IV. Date taken by the range Comm. in response to the meeting							
	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Numerous Settlements in both conference Phrase I and II were meeting from the public settlements threely and three in the public settlements threely and three in the public settlements threely and t		When Florida Gas filed its amendment, the Commission processed it as usual.	Partial Settlement Approved: ALJ Initial decision now before the Commission	9/99-4/00 Settlement approved by Commission.	3/99 - 5/99 Awaiting Commission action on filed Settlement	
-	IV. Date range	Numerous conference s and meeting between 2/29/96 and 4/12/01			6/99 - 4/00	9/99-4/00	3/98 - 5/99	
-	IV. Give the date			7/21/2000				
	III. What was the subject matter(s) of the meeting	Procedural schedule, discovery and procedural matters, informal settlement regotiations on substantive issues in case.			Series of settlement conferences and meetings to resolve rate issues in Docket No. ER99-2326-000, PG&E TO3 transmission rate case.	Series of Settlement Conferences in Docket No ER89-4323-000, dealing with rate issues in PG&E's TO4 transmission rate case.	Settlement conferences to resolve rate Issues in Docket. Mos. ER97-2358-000 and ER98-2351-000, PG&E's TO1 & TO2 transmission rate cases.	
ı ı	II. List all that were present at the meeting	All persons and organizations on service list were invited by public modes served by the Office of the Secretary. Enron North America Corp. was an Intervence and was represented by Lesile J. Lawner, Esq.			Parties to the proceeding	Parties to the proceeding.	Parties to the proceeding.	
ш	Office Division (a) Inititated the meeting	All participates in Yes Docket No. RP96- 129			Public Notice by Yes Staff or Informal Confe	Litigation Yes Staff or Informal	Public Notice by Staff or Informal Talks	
0	(a)		N _o	Yes		Yes		ž
C	Division	Liligation	DEER	Energy Projects	Litigation		Litigation	Office of Dam Safety Energy and Projects Inspections
m	Office	Office of General Counsel	Office of Energy Projects	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
A	Name	Denkinger, Marc G.	Der, Herman	Desmond, Cecilia M.	Deters, Cralg E.	Deters, Craig E.	Deters, Crai <u>c</u> E.	Deubert, Richard E.
	-	339	340	341	342	343	344	2,5

Response to Question No. 3 Second February 15, 2002 Letter From Senators Leberman and Thompson

. 62

	A	В	0	۵	Е		Definition of the first of the	Ed I	<u>-</u>	7	¥	
1	Name	Office	Office Division	(a)	f. Who Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	V. Date range	V. Was any action taken by the Comm. in response to the meeting	Was any action W. Was any action W. Give IV. Date taken by the the date range Comm. in response to the meeting	
346	DeWitt, Thomas	Office of Energy Projects	Oiffice of Environme Energy ntal and Projects Engineerin g Review	ę.								
347	Dhir, Tilak	Office of Energy Projects	D2SI, SFRO Office	ž								
348	Dickey, David R	Office of General Counsel	General and Administrati ce Law	ž								
349	Dickinson, Glorla	Office of the Chief Informati on Officer	Office of the Chief Infrastructu Informati re & on Operations	ž								
350	Dodson, Bonnie	Office of the Executiv e Director	Financial Policy	2								1
351	Donaho, Jack E.	Office of Energy Projects	DPC	Yes	Yes Steve Veatch of FGT	Jack Donaho	Requesting clarification of a question asked in a Commission data request sent in relation to Docket No. CP00-40.			Clarification was provided.		
352	Donaho, Jack E.	Office of Energy Projects	OHO	Yes	Yes Jack Donaho	Rob Klimer with FGT	Clarifying information to be flied by Florida Gas Transmission in its pending amendment.		:	Ŷ.	The amendment was filed on 8/1/2000 in CP00-40-001.	1
353	Donna Lee	Office of the Executiv e Director	Office of Administrati Executiv ve Support No e Staff	Š								

K	VI. Other Comments (if any)				
ſ	V. Was any action taken by the Comm. in response to the meeting	Qu	Q		
-	IV. Date	1/1/99 to	Numerous In-person and telephone conference s between 1/1/99 and 6/15/99	Numerous hr-person and telephone conference s between 1/1/01 and 6/1/01	Numerous In-person and telephone conference no s between 6/1/00 and December 2, 2001
Н	IV. Give the date				
9	III. What was the subject matter(s) of the meeting	The allocation of ISO New England, Inc. administrative costs to intermediate power trades	Solliement discussions concentrally ISO New England, concentrally ISO New England, other broad in the American of ISO New England, from administrative costs to various users of its services various.	Settlement discussions conneming ISO New England, inc. Dociet Mp. 12601-316. 000, Involving the allocation of ISO New England, inc. administrative costs to various users of its services	Settlement discussions concerning california independent System (Departor, Inc., Docket No. Operator, Inc., Docket No. Estroy. 2919-300; involving the design of transmission services, and rates for those services, under the California independent System independent System (Departor, Inc., 1918).
F	II. List all that were present at the meeting	Petsy Carr, Jeff Watkits and Joe Hartzee on behalf of Enron Power Markefulg, finc, and Coval Power LLC Miss Dornini, Joseph Long, Stanley Berman, Edward Riskway and Morris Margolis on behalf of FERC trial staff	Marketing, nor not behalf of Error Proset Marketing, nor and Croal Prose LLC Marketing, nor and Croal Prose LLC Semma. Elevand Rickery and Monta Margalist on behalf of ECC that said Representatives for various other participants in Proceeding	FERCA J. William Cowan Doma Felton and Starth Novossi on behilf of Telemon Power Hardenig, Inc., Mive Domini, Suzamie Medicke, 80b Commin, Suzamie Medicke, 80b Colegon, Nazamie Origina of Comming Loseph Kare on helmal of Felton Representatives for various other participants in Proceeding	FERC ALJ Curis Wagner Ronald Carroll and various other folias hebal of Erron Fower Mintering, Inc. Mise Domini, Linda M. Patterson and El Coseo on hadra of FERC trial Staff Pepresentidos for various other participants in proceeding.
E	Office Division (a) Inititated the meeting	Yes Betsy Carr	Yes proceeding, jointly	FERC ALJ Willem Yes Cowen as Settlement Jud	FERC Chief AJJ Yes Curis Wagner as Settleme
р	(a)	Yes			
ပ	Division	Tariffs and Rates- Central	Tariffs and Rates - Central	Tariffs and Rates - Central	Tariffs and Rates - Central
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Terriffs, and Rates	Office of Markets, Terriffs, and Rates
٧	Name	Donnini, Michael A.	Donnini, Michael A.	Domini, Mchael A.	Donnini, Michael A.
	-	354	355	356	

Response to Question No. 3 Secure February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В		6	ш	u			•		2	г
-	Name	Office	Division	g g	ŧ.	II. List all that were present at the meeting	III. What w subject me the meetin	IV. Give the date	IV. Date	V. Was any action taken by the Comm. in response to the meeting	W. Give IV. Date taken by the the date range Comm. in response to the meeting	<u> </u>
358	Donovan, Nora E.	Office of the Secretar y	Office of the Secretary	ĝ								т
359	Dorsey, Lisa E	Office of the Executiv e Oirector	DLM	2								7
360	Dowd, Judith	Office of Administ rative Law Judges	Office of Administrati No rative ve Law Judges	N N								T
361	Dragg, Joe L.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs and Tarriffs, Rates and West	Š.								
362	Dubbert, Janet M	Office of the Executiv e Director	Office of Manageme ni. the Administrati ve. and e Payroll Director Support	8								1
363	Dunlap, David W.	Office of General Counsel	Energy Projects	£								1
364	Dunn, Brian J.	Office of Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs and Tariffs, Rates Rates and (West)	g	·							1
365	Dunn, Shannon, K	Office of Energy Projects	DEER	₽								1

5, 2002 Letter	
2002	5
չ 🚙 ne February 15, 2002	Thomas
Febru	, pue
3ne	horman
No.	9
o Question No. 3	From Sanatore Licharman and Thompson
nse to	Fron
Response to	

٧		В	ပ	a	Е	L.	9	Ξ	-	٦	×
Name		Office	Division	(a)	I. Who Office Division (a) Intitated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action V. Date laken by the range Comm. in response to the meeting
Dupree, Susan		Office of the Chief Informati on Officer	Know. and Info. Mgt.	οN							
Jykes, Vivian I.		Office of General Counsel	Office of Administrati Seneral ve Counsel Litigation	No		-					
Earley, Wilbur		Office of Commis sioner Massey	n.a.	Yes	Yes Commission	Homering was one in a frece seaf the meeting was one in a frece seaf the seaf of contact meeting in the frece frece in the frece fre	The meeting was one in a series of outrach meetings to get stakeholder input to our recently issued RTO NOPR.	7/12/1999		Issued Order No. 2000.	
Earley, Wilbur		Office of Commis sioner Massey	n.a.	Yes	Yes EPSA	Joe Hartsoe (Enron), Sara Novosel (Erron), Julis Simon (EPSA), Commissioner Massey, May Doyle (Dynegy), John Anderson (ELCON), John Hughes (ELCON), Sara John Hughes (ELCON), Sara Corpiland, Mike Brigss, and Lorraine Cross.	A motion to ask the Commission to hold a technical conference on the implementation of RTO Function 8 of Crder No. 2000.	1/18/2001		Held conference on standard business practices and initiated Standard Market Design initiative.	
Eason, James, E.		Office of Markets, Tarriffs, and Rates	Market Information		Yes Donald Heydt	Donald Heydi James Eason Mary Kay Donald Heydi James Eason Mary Kay and an engineer from Nothem Natural Gas Company	The Office of Enforcement needed cardinction on several receipts regarding construction releaded to Docket 10/25/2001 (No. CPST-191-000 that were Laboritied by Northern Natural Gas Company.	10/25/2001		The Office of Enforcement is still investigating this matter.	
Easton, Robert S.		Office of Energy Projects	Environme ntal and Engineerin g Review	δ							
Ecke⊭, Mark B.		Office of the Executiv e	Office of the Logistics Executiv Manageme e nt	Ñ							
	1	Differon									

Response to Question No. 3 see the February 15, 2002 Letter From Senators Lieberman and Thompson

	エ	V. Give IV. Date laken by the the date range Comm. In response to the meeting				i attended alleast one holiday pany between Jan 1992 and Dec 2000.	See Frank Sparber's response for more details.	See Frank Sparber's response for more details.
	7	V. Was any action taken by the Comm. in response to the meeting				еиои		
5	-	IV. Date range				December s 1992 - 2000		
2	Ŧ	IV. Give the date					10/3/2000	1/11/2001
TI BUILDING	O	III. What was the subject matter(s) of the meeting				Holiday party	CIG tech conference.	Questar Pipeline Order 637 tech conference. Docket No. RP00-397
	ш	II. List all that were present at the meeting				various	See Frank Sparbers response for more details.	See Frank Sparber's response for more details.
	ᄪ	i. Who Office Division (a) Inititated the				Yes Enron	Yes FERC	Yes FERC
		(a)	8	o _N	Ŷ.	Yes		
	ပ	Division	Hydropowe r Administrati on and Complianc e	Division of Hydropowe Administrati on and Complianc e	D2SI	Rates - West	Rates - West	Rates - West
	В	Office	Office of Energy Projects	Office of Energy A Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Name	Ecton, Henry	Edoror, Samuel, E	Eichbauer, Jill, A	Eley, Patricia P.	Eley, Patricia P.	Eley, Patricla P.
		_	373	374	375	376	377	378
-								

Response to Question No. 3 seeme February 15, 2002 Letter From Senators Lieberman and Thompson

Х	V. Was any action IV. Date taken by the range Comm. In response to the meeting	Order following Technical Erron was meely an intervenor in the Conference was Issued proceeding. Erron did not lite a protest or on April 12, 2000.	Mary Hain and I became good finands when she worked as FERC before having to work at Portland General and then Errorn. Mary mow works for PIM. We never discussed anything that she was working on related to FERC or I was working on related to Errorn POBE.
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	Order following Technical Conference was lessued on April 12, 2000.	°°
-	IV. Date range		2 to 3 times a year.
Ξ	IV. Give the date	1/6/2000	
9	III. What was the subject matter(s) of the meeting	Lunch or dinner with a personal friend. Family, health, homes,pets, etc.	
ш	II. List all that were present at the meeting	Ocorna Fullon (Enror Employee), Bill Williams for FSNK, J. Paul Douglas for FSNK, A. J. Paul Douglas for FSNK, Safe and FSNK, J. Paul Douglas for FSNK, Safe and FSNK, J. Paul Douglas for FSNK, Safe and Shapped and Shapped and Shapped and Shapped and Shapped Engly, Kint L Kingger and Shapped Engly, Tank Fange for Garolin Shapped Engly, Tank Fange and Kindsel Caleng, Tank Fenzari, David Glem, Tony Field and Malka Case for FERC.	Mary Hain and myself. Mary Hain is an ex-FERC employee, an ex-Portland General employee and an ex-Enron employee.
ш	Office Division (a) Inititated the	Tech Conf 969 established by Comm. Order	Yes Mary Hain or myself
	(a)	Yes	Yes
O	Division	MIR	MTR
В	Office	Office of General Counsel	Office of General Counsel
A	Name	Ellioti, Sanda L.	Elliott, Sandra L.
	-	379	
_			

VI. Other Comments (if any) 10-3-2000 Two Commission orders and 10-26- compliance with Order 2000 No. 673. IV. Give IV. Date taken by the the date range Comm. in response to the meeting Randal Rich, Kim Corey and Nancy
Bagorite Enton North America.

Johnahoon Flest, Ray James, Pathy
Eley, Frank Spander, Sandra Debute,
Johnahoon Flest, Ray James, Pathy
Eley, Frank Spander, Sandra Debute,
Johnahoon Flest, Ray James, Pathy
Ind. Parsons, Conna Straton Cantoe
Guay, Bob Callin, Frank Gulley, Debra
Lowe, Peter Todalaks for ERC, Myler
Neodel, Sarah Tomaliy and Mark
Heaked for Dyney, Drow Name Booker and
First Manathon/Chevron, Kevin Sweeney
Hor Amoco, Steve Trappy for IRP,
Hor Manathon/Chevron, Kevin Sweeney
Hor Amoco, Steve Trappy for IRP,
Hor More Ulled Mayne Booker and
Wilchee Fullimote for Ecogal Robers
Shawn Cliacepia and Dave Francis for
Wilche Ellighton For Colorado Springs CIC's compliance with Order
Ulli, Wenner Ulledher Colorado Springs
Mache Ellighton For Colorado Springs
Anabert Bin Criffit, Calarry
Anachterner, Ken Albert, Jim Woet,
Gree Strebe, Gedore Pennington, Rick
Smead, and Don Shaper for CiC,
Reacht Winle Cas Sac Treasmission, Kevin
Flank for Van Ness Fedrman, Donald
Flank for Van Ness Fedrman, Donald
Edesiner and Bill Kickert for Williston
Basin III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who Inititated the meeting Commission Notice ž Yes Office of Customer the Chief Support & Informati IT N On Deploymen Officer t Services Office Division MTR Office of General Counsel Elliott, Sandra L. Name Ellis, Doretha 381

Response to Question No. 3 per her February 15, 2002 Letter From Senators Lieberman and Thompson

W. Give IV. Date taken by the sary action the date range (Comm. in response to the meeting to the meeting to the meeting to the meeting. 1982-83 Case settled. 1982-80 Cannisson approved a settlement. 1982-2001 1982-2001 Settlement of rate proceeding filed April 18. Settlement of rate proceeding filed April 18. 772811998 [See supported by all partless.]	
Was any action wen by the meeting the meeting the meeting minimized as settlend. Is settlend.	
S o d S o d o d o d o d o d o d o d o d o d o	Settlement of Rate 9/3/1999 Proceeding filed on September 8, 2000.
1987-93 1987-93 1987-93	
The date the date of the date	8/29/1999
III. What was the subject matter(s) of the meeting the meeting set of the meeting set of set	Cost of Service issues and Rate of Return
	FERC Staff Particla Erooch-Johnson, Cost of Service issues and Frances Segal and Tim Kinsey and Rate of Return Northern Border Personnel
Office Division (a) Intitated the meeting of the of a standards. Falses and a standards. Palses of the office of Gas & Oil Yes Staff and parties buildeard Lingation (Gas & Oil Yes Staff and parties of the office of Gas & Oil Yes Staff and parties of the oil office of Gas & Oil Yes FERC staff and Counsel Lingation (Gas & Oil Yes FERC staff and Counsel Lingation (Gas & Oil Yes FERC staff and Counsel Lingation (Gas & Oil Yes FERC staff and Counsel Lingation (Gas & Oil Yes FERC staff and Counsel Lingation (Gas & Oil Yes FERC Staff and Gas & Oil Yes FERC Staff And Oil Yes	Yes FERC Staff
7 (8) No	Yes
Division Tainfis and Tainfis and Tates East East Cas & Oil Liligation Cas & Oil Liligation Liligation Liligation Cas & Oil Liligation Cas & Oil Liligation Cas & Oil Liligation Cas & Oil	Rates - West Group 1
Office of Markets, and Markets of Markets	Office of Markets, Tarriffs, and Rates
Name Name Emani, John Emani, John Ende, Ken Ende, Ken Ende, Ken	Office of Markets, Enoch-Johnson, M. P. Tarriffs, and Rates
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	390

Response to Question No. 3ne February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action IV. Date taken by the range Comm. in response to the meeting	I do have in my possession copies of numerous data responses, including data responses dealing with the Enron Pension Plan.		I do have in my possession copies of numerous data responses, including data responses dealing with the Erron Ponsion Plan.			
r	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Settlement of Rate 7/30/2000 Proceeding filed on September 8, 2000.	Settlement of rate proceeding flied April 16, proceeding flied April 16, parties.	Settlement of Rate 7/30/2000 Proceeding filed on September 8, 2000.	Settlement of ratio Settlement of ratio F1/1998 4/70/1999 proceeding filed April 16, parties.	Settlement of rate proceeding filed April 16, 1989, supported by all parties.	Settlement of Rate Proceeding filed and 7/11/1997 approved by the Commission on April 1, 1998.
-	V. Date range	7/30/2000	7/31/1998	7/30/2000	4/30/1999	7/31/1998	7/11/1997
I	IV. Give I	9/1/1999	7/1/1998	9/1/1999	5/1/1998	7/26/1998 7/31/1998	7/6/1897
9	III. What was the subject matter(s) of the meeting	Cost of Service issues, Depreciation, Rate of Return and Throughput	Cost of Service Issues and Rate of Return	Cost of service issues and rate of return.	Cost of Service issues and rate of return.	Cost of service issues and rate of return.	Cost of Service Issues, Throughput, and Depreciation
ш	II. List all that were present at the meeting	and parties to the	FERC Staff and Northern Natural Personnel.	and parties to the	FERC Staff and Northern Natural Personnel.	FERC Staff and Northern Natural Personnel	FERC Staff and Overthrust Pipeline Personnel.
Е	1. Who Office Division (a) Inititated the meeting	Yes Northern Border FERC Staff and its Customers proceeding	Yes FERC Staff	Northern Border FERC Staff and its Customers proceeding	Yes Inorthern Natural FERC Staff and its Customeers Personnel.	Yes FERC Staff	Yes FERC Staff
	(a)	×es ×	Yes	Yes	Yes	Yes	Yes
ပ	Division	Rates - West Group 1	Rates - West Group 1	Rates - West Group 1	Rates - West Gloup 1	Rates - West Group 1	Rates - West Group 1
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
٨	Name	Office of Markets, Enoch-Johnson, M. P. Tariffs, and Rates	Office of Markets, Enoch-Johnson, M. P. Tarriffs, and Rates	Office of Markets, Enoch-Johnson, M. P. Tarriffs, and Rates	Office of Markets, Enoch-Johnson, M. P. Tarriffs, and Rates	Enach-Johnson, M. P.	Office of Markets, Enoch-Johnson, M. P. Tarriffs, and Rates
	-	391	392	393	394	395	396

Response to Question No. 3 Meetine February 15, 2002 Letter From Senators Lieberman and Thompson

ب

		_					
х	V. Was any action IV. Date taken by the range Comm. in response to the meeting						
٦	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Settlement filed and approved by the Commission on April 1, 1998.	Settlement of Rate Proceeding approved by Proceding approved by the Commission on July 12, 2000 in Overthrust Pipeline Docket No. RP00, 2-000.			Settlement of Rate Proceeding approved by Proceeding approved by December 30, 1998.	Settlement of Rate Proceeding approved by the Commission on December 30, 1998.
_	V. Date range	3/1/1998	6/31/2000			9/24/1998	######
Ŧ	IV. Give I	9/1/1997	11/30/1999			9/21/1998	10/30/1998 #######
9	III. What was the subject matter(s) of the meeting	Cost of Service Issues, Depreciation, Rate of Return and Throughput.	To discuss clarification of staff data requests submitted to Overthrust regarding cost of service issues.			Cost of Service issues and throughput.	Cost of service issues, rate of return, depreciation and throughput.
4	II. List all that were present at the meeting	Overhrust Pipeline FERC Staff and Overhrust Pipeline (Yee Coverfitust Ploeline FERC Staff and Overifust Personnel.			FERC Staff - Parkéa Encoh Johnson Cost of Service issues and and Borne Pride. Black Marlin (throughput.	FERO Staff and Black Marfin.
ш	the	Overthrust Pipeline and its Customers	FERC Staff and Overthrust Pipeline	Yes FERC Staff	Yes FERC Staff	Yes FERC Staff	Yes its Customers
0	(g)	Yes	Yes	Yes	Yes	Yes	
C	Division	Rates - West Group 1	Rates - West Group 1	Rates - West Group 1	Rates - West Group 1	Rates - West Group 1	Rates- West Group 1
8	Offlice	Office of Markets. Tarriffs. and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
4	Name	Office of Chroson, M. P. Tarriffs, and and Rates	Office of Markets, Markets, Tariffis, and Rates	Office of Markets, Markets, Tanfifs, and Rates	Office of Markets, Markets, Enoch-Johnson, M P. Tariffs, and Rates	Office of Markets, Markets, Froch. Johnson, M. P. Tarriffs, and Rates	Office of Markets, Markets, M.P. Tarriffs, and Rates
Γ	-	397	398	399	400	104	5

Response to Question No. 3 mene February 15, 2002 Letter From Senators Lieberman and Thompson

апу)							
VI. Other Comments (if		To any any and the second seco					
V. Was any action taken by the Comm. In response to the meeting			No	The Commission issued an order on its filing based upon publicly filed comments to the conference.	Q	O _N	
V. Date range			Summer 2001			Various December dates in 1990's	
IV. Give I	-						
III. What was the subject matter(s) of the meeting			Pre-filing conference to discuss proposed changes to lis Cartion Resolution settlerment.	Publicy noticed technical conference to discuss issues concerning Transwestem's Order No. 636 restructuring filing.	Chance meeting in Sun/ise Cafeteria in FERC Bulling with Rey Alvarez of Enron. Some people recognized him and self befor and asked him what he was doing. He said he worked for Enron in South Afmerica but was now back in OC.	Annual Enron holiday party at Phoenix Park Hotel	
II. List all that were present at the meeting			Myself, Robert Petrocelli, John Carlson, Ken Wilte of FERC, Various executives from Northern Natural, including Mary Kay Miller, and several lawyers from Its law firm.	Altorneys and executives representing Transvestern Pipeline Company, intervenors in the proceeding. Myself, Janice Luna, and Mike Lacy from FERC Staff.	Mysell, Robert McLean, Waria Farran. David Tishman of FERC.	Enron employees, FERC employees, other energy industry members	
I. Who Inititated the meeting			Northern Natural Gas Company	FERC		Enron	
(a)	S _N	£	Yes	Yes	Yes	Yes	2
Division	DHAC	ORGANIZA TIONAL MANAGEM ENT	Markets, Tariffs and Rates	Markets, Tariffs and Rates	Market, Tariffs and Rates		DEER
Office	Office of Energy Projects	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Energy Projects
Name	Enrico, Joseph G.	Eskin, Steven J.	Faerberg, David B.	Faerberg, David B.	Faerberg, David B.	Faerberg, David B.	Fargo, James M.
-	403	404	405	406	407	408	409
	Office Division (a) Inititated the at the meeting	Office Division (a) Inititated the meeting	Name Office Division (a) inititated the at the meeting	Name Office Division (a) Inititated the atthe meeting the meeting	Name Office Division (a) Inititated the at the meeting of meeting the meeting the meeting of meeting the meeting of meeting the meeting the meeting of meeting the meeting the meeting the meeting the meeting of meeting the meeting the meeting of meeting the meeti	Hame Office Division (a) Inititated the at the meeting	Name Office Division (a) inititated the at the meeting the meeti

Response to Question No. 3 man February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Give IV. Date taken by the the date range Comm. In response the date to the meeting III. What was the III. What was the at the meeting the meeting the meeting Office Division (a) Initiated the meeting Office of Administrati No Projects Complianc £ £ å ŝ S Š Office of Human the Executive Manageme It Office of Markets Tarriffs, Information and Rates External Affairs Rates -West DP1C ΑN Office of External Affairs the Executiv Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates arrokhpay, Saeed Fayyad, Mohamad Faust, Charles, J. awcett, Rhoda L. Name arrell, Andrew elt, Paula J. 413 415 416 410 41 412 414

Response to Question No. 3 seement February 15, 2002 Letter From Senators Lieberman and Thompson

_	A	8	ပ	۵	В	4	9	I	-		3
	Name	Office	Division	(3)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	IV. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
417	Ferebee, Melissa, G	Office of the Chief Informati on Officer	System Assurance	o _N							
418	Ferguson, Linda M.	Office of the Chief Informati on Officer	Customer Support	S S							
419	Ferguson, Roland J.	Office of Markets, Tarriffs, and Rates	Central	N _O							
420	Fernandez, Alice M	Office of Markets, Tarriffs, and Rates	Office of Markets, Taulifs and Yer Tariffs, Rates East Rates	Yes	Yes INGAA	I den't have that information. Enron My notes list it as an INGAA usually sent representative(s) to these briefing. I don't have any types of meetings.	My notes list it as an iNGAA briefing. I don't have any other details.	2/12/1999		No	
421	Fernandez, Alíce M.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs and Tariffs, Rates and East Rates		Yes INGAA						
422	Fernandez, Alice M.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs and Yes Ingea and Alex Rates East Yes Ingea	Yes		various representatives from pipelines implementation of GISB staff manibers	Implementation of GISB standards by pipelines	4/8/1997		Q.	listed in calendar, no other notes

Response to Question No. 3 and 16 Tebruary 15, 2002 Letter From Senators Lieberman and Thompson

٧	Nаme	Fernandez, Alice M.	Fernandez, Alice M. 424	Fernandez, Alice M. 425	Fernandez, Alice M. 426	Fernandez, Alice M.
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	
С	Division	Office of Markets, Tariffs and Yes FERC and Rates East Rates	Tariffs and Yes Enron	Tariffs and Rates East	Office of Markets, Tariffs and Tres FERC staff and Rates	Office of Markets. Tariffs and Yes FERC staff
٥	(a)	Yes	Yes	Yes	Yes	×es
E	Office Division (a) Inititated the meeting	FERC	Enron	Tariffs and Yes Florida Gas Rates East	FERC staff	FERC staff
Ł	II. List all that were present at the meeting	commissioners, staff, representatives from all industry segments and the financial community	Andrea Wolfman from staff. I believe Shelby Comma and Domna Fulton from the Eruon Pipeline Groups Regulatory staff were present.	I believe Rob Klimer V.P. Rates and Certificates for FGT was there along with other company officials and staff.	various representatives from staff and various representatives. Enron usually sent tepresentatives to these types of meetings.	various staff and marketers. A representative from Enron may have attanded.
9	III. What was the subject matter(s) of the meeting	Financial issues affecting interstate pipelines. Meeting was a publicity noticed conference.	Meeting was part of a series of informal meetings in a runeaking proceeding with representatives from all industry segments discussing issues thatled to Order No. 637.	The meeting was a prefiling meeting to discuss a certificate application that was to be tilled in the rear future.	Meeting was an informal session with pipeline assistant with pipeline pro-Corden No. 637 rulemaking 11/16/1999 process. Similar meetings process. Similar meetings industry segments.	this was an informal session with marketers that was part of the Order No. 637 rulemaking process. Similar
I	IV. Give I	1/30/1998	8/26/1998	10/26/1998	11/16/1998	12/2/1998
-	V. Date range					
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	Commission changed its policies on raiculating return on equity	و	o _V	ON.	Ou
¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting	I'm assuming that Erron representatives were present since this was perical industrywide condience. No Erron representatives made presentations at the public conference.		During the period, FGT engaged in several major expansions of its system. Usually, for these types of fittings companies come in for prefitting meetings. These may have been often smaller prefitting portificate meetings with Ernon owned pipelines, but I don't have dealth of the control of the smaller prefitting conflictate meetings with Ernon owned pipelines, but I don't have dates for those.		

Response to Question No. 3 Wearthe February 15, 2002 Letter From Senators Lieberman and Thompson

7	V. Was any action V. Date taken by the range Comm. in response to the meeting					·	
	V. Was any action IV. Bate IV. Date taken by the the date range Comm. In response to the meeting		· 2		DV	DV.	ON
	IV. Date range						Apr-99
Sdmo	IV. Give	11/17/1998	12/8/1998		3/8/1999	3/16/1999	
Derman and In	III. What was the subject matter(s) of the meeting	Conference call to discuss call issues related to a certificate application FGT was filing in the near future.	The meeting was a publicly noticed conference to discuss an auction proposal included by FERC in the NOPR that led to Order No. 637.		An informal discussion with ploeline representatives as part of the Order No. 637 process. Similar meetings were held with other industry groups.	An informal discussion with marketers as part of the pre Order No. 637 process. similar meetings were held with other inclusity groups.	NOAA Annual Regulatory Affairs Conference. I was a speaker on one of the panels discussing various regulatory issues.
From Senators Lieberman and Inompson	II. List all that were present at the meeting	Pob Kilmer, V.P. Rates and Conference call to discuss Certificates for Forde Gas Transmission other staff and company certificate application FGT officials.	Reprseantalives from all inclusity segments and various staff members.		various representatives of pipelines and FETC staff. Erron pipeline remployees usually attended these types of meetings.	various representatives of companies engaged in gas marketing (marketersjand FERC staff.	various representatives from pipelines and FERC staff
ш	l. Who Inititate meetin	Florida Gas Transmission	Yes FERC	Yes INGAA	FERC staff	FERC staff	Yes INGAA
2	_	,es	Yes	Yes	Yes	Yes	Yes
C	Office Division	Tariffs and Rates East	Office of Markets. Tariffs and Tariffs, Rates East and Rates	Office of Markets, Tariffs and Tarriffs, Rates East Rates	Office of Markets, Tariffs and Yes FERC staff and Rates East Yes FERC staff Rates	Office of Markets, Tariffs and Tariffs and Tariffs and Rates East Yes FERC staff and Rates	Office of Markets, Tariffs and Tarriffs, Rates East and Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	
A	Name	Fernandez, Alice M.	Fernandez, Allice M.	Fernandez, Alice M	Fernandez, Allce M.	Fernandez, Alice M.	Fernandez, Alice M.
	-	428	429	430	431	432	433

Response to Question No. 3 New ne February 15, 2002 Letter From Senators Lieberman and Thompson

- 11

-							
	IV. Give IV. Date taken by the Comments (if any) to date range Comm. in response to the meeting					Since 1992, I believe i atlended 2-4 similar Smeelings, but I have no records of these meetings.	
	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	ON O	Q.	O _N	22	2	O _V
-	V. Date			00-кем			Oct-00
	IV. Give I	1/13/2000	2/10/2000		717/2000	7/28/2000	
	III. What was the subject matter(s) of the meeting	OMTR Outrach with EPSA for details see submittal by Saida Shaalan	see submittal of Saida Shaalan, OMTR Outreach meeting with INGAA	INGAA Regulatory Affairs Conference. I was a speaker on one of the panels.	see submittal by Saida Shaalan	monthly funch with Washington representatives. A FERC staff member is usually invited to discuss current regulatory issues involving pipelines.	seminar/training on current puglatory issues. I gave a presentation on the differences between gas and electric markets.
4	II. List all that were present at the meeting	see submittal by Saida Shaalan	see submittal by Sakla Shaalan	various pipeline representatives and FERC staff. Staff from Enron's washington office usually attended these events.	see submittal by Saida Shaalan for OMTR Outreach with INGAA	Michael Moore with various Washington representatives of Washington representatives of Washington representatives of Vashington representatives. El Paso Pipaline. Erron usually afterded Castif member is usually invited to discuss Co. Castif member is usually invited to discuss Co. Castif March 1997 invited to discuss Co. Carrent regulatory steels involving pipalines.	Office of Markets, Tariffs and Yes Williams. from ERC Rales East Rabinets. Rales
ц	Office Division (a) inititated the meeting	OMTR	Yes OMTR	Yes INGAA	Yes OMTR	Michael Moore with Yes El Paso Pipeline Co.	Judy Neason with Williams.
6	(a)	Yes	Yes	Yes	Yes	8	Yes
C	Division	Office of Markets. Tariffs and Tes OMTR and Rates East Rates East	Tariffs and Rates East	Office of Markets, Tariffs and Tarriffs, Rates East and Rates	Office of Markets, Tariffs and Tariffs and Tariffs, Rates East Rates	Tariffs and Rates East	Tariffs and Rates East
8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Fernandez, Alice M.	Famandez, Allce M.	Fernandez, Alice M.	Fernandez, Alice M	Fernandez, Alice M	Fernandez, Alice M.
L	-	434	435	436	437	438	439

Response to Question No. 3 mene February 15, 2002 Letter From Senators Lieberman and Thompson

	$\overline{}$				
	Х	V. Give IV. Date laken by the the date range Comm. In response to the meeting			
	ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	9	9	SZ SZ
5	_	IV. Date range			
2	Ŧ	IV. Give the date	10/6/2000	6/13/2001	7/26/2001
Dellian and H	9	III. What was the subject matter(s) of the meeting	Discussion of RTO developments in the Southeast. Staff had met with Southeast. Staff had met with the various RTO sponsors in the region. Additionally, we must with various market participants in the region, including public power.	OMTR Outreach meeting with INGAA	EEL-INGAA Briefing on pipeline services for electric generators. The two groups had been working on a joint effort to identify terms and conditions of service for pipelines serving gas fined electric generating plants.
i tom cenatora Erebennian and Indinipadi	Ŀ	II. List all that were present at the meeting	Donna Fullon with Entron was there. I begin be start flycocat. Joe Hartsoe, and Stove Wallon were also there. there may have been other Enron repropses. I believe without Bandee from Febra all was there. Other staff aftended, but I'm not sure win.	see submittet by Saida Shaalan	sse submital by William Edvard Murell
	ш	I. Who Office Division (a) inititated the	Елгол	OMTR	EE
	٥	(a)	Yes	Yes	Yes
	٥	Division	Office of Markets, Tariffs and Fare East Yes Enron and Rates	Office of Markets, Tariffs and Yes OMTR and Rates East	Office of Markets, Tariffs and Tariffs, Tariffs and and Rates East Yes EEI
	m	Office	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	V	Name	Fernandez, Alice M.	Fernandez, Alice M.	Fernandez, Alkce k
		-	440	441	442
-					

Response to Question No. 3 New New 15, 2002 Letter From Senators Lieberman and Thompson

¥	VI. Other Comments (if any)		
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	· <u>8</u>	
-	IV. Date range	May 31- June 1, 2001	
H	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	Second Annial Second Annial The FERC attentions were speakers on a pared on RTO and effectifely issues.	
ш	II. List all that were present at the meeting	John Shek, Senior Director Federal Operatural Markes, Halleyer Effects, Mark Andreson, congressional staff. David Andreson, congressional staff. David Delization, NGC Brengy, Andre Bands, Covania Energy, Annie Capado, Esebert, Javid Could, congressional staff, Seah Camplainn, congressional staff, each Cumplainn, congressional staff, each Cumplainn, congressional staff, Markel Physicol, Congressional staff, Markel Haymood, Dorke Energy, Shah Mill, congressional staff, Markel Haymood, Dorke Energy, Reh Mill, congressional staff, Tacha Geringer, Condition of the Delay (Confidence of Landlen, El Passo, (confid in cell below))	Control McLane Layforn, compressional stelf Kristen Ludecke, 1985 Fowers John Mathis, Eliston Mission, Elevely Yorone McShing Yorone McShing Washing Layforn Ludecke, 1987 Montley, 2001 Cydlane, Delay Wildrolds, Pellinter, compressional stelf, Pellin Montley, Chapter, Boby Wildrolds, Paulette Photoco, Elizabeth Policock, Chapter, Bob Shaff, Chapter, Layfor, Couppessional stelf, Lude Pellint, Ludecke, Compressional stelf, Ludecke, Wildrey, Compressional staff, Ludecke, Wildrey, Compressional staff, Ludecke, Ludecke, Ludecke, Compressional staff, Ludecke, Ludecke, Ludecke, Ludecke, Ludecke, Compressional staff, Ludecke, Lu
ш	I. Who Inititated the meeting	Yes Julie Simon, EPSA	Yes Julie Simon, EPSA
0		Yes	. ≺es
O	Office Division (a)	Tariffs and Rates East	Office of Markels, Tariffs and Tarriffs, Rales Edst and Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets Tamiffs, and Rates
A	Name	(Line 1 of 2)	Fernandez, Alice M. (Line 2 of 2)
	-	443	444

Letter	
2002	200
15,	2
bruary	1 Th
Fe	ċ
: 	200
. 3	2
ž	- 6
Response to Question No. 3 hears rebruary 15, 2002 Letter	From Sonstore Lisherman and Thompson
0	2
se t	ù
Respor	

	_					,	
	Υ	VI. Other Comments (if any)					
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		o _N	Ŷ	D _N	No
Ę	-	IV. Date range		March 15-	-		
2	Ŧ	IV. Give the date	9/19/2000		3/30/2000	10/10/2000	7/5/2000
	9	III. What was the subject matter(s) of the meeting	Staff Conference on competitive Natural Gas Markets PLOO-1, the meeting 9/19/2000 was a publicly noticed meeting that was open to all.	RTO Collaborative Workshop RM99.2. The meeting was publicly noticed meeting that was open to all, the meeting was one in a series of public meeting as was one in a series of public Commission to foster the development of RTOs.	Meeting of the ISO MOU Business Practices Working Group, the meeting was a meeting of all industry, segments to discuss issues regarding coordination of business practices among the Northeast ISOs.	The New England stakeholders wanted some guidance from FERC staff on some organizational issues encountered in tyring to form an RTO. The meeting was open to all parties.	see submittal by Salda Shaalan
Total Colleges Eleberation and Illourpson	L.	II. List all that were present at the meeting	various FERC staff and industry representatives. Dave Neubauer with the Erron Gas Pipelline Group was on one of the panels.	various FERC staff and industry various FERC staff and industry Enror attended. Ohers from Enror may also have attended.	various representatives from industry and FERC staff	see submittal by Akin Haymes	see submital by Saida Shaalan for EPSA Outreach
	ш	I. Who Inititated the meeting	FERC	FERC	Office of Mannes, Tainfis and Ves Montheast ISOs and Fattes East Ves Montheast ISOs Rattes	NEPOOL or ISO- NE	OMTR
			Yes	Yes	Yes	Yes	
	၁	Office Division (a)	Tariffs and Rates East	Office of Markets, Tariffs and Tariffs. Tariffs. Rates East Rates	Tariffs and Rates East	Office of Markets, Tarits and Yes N Tarritfs, Rates East As Rates	Office of Markets, Tariffs and Tariffs, Rates East and Rates
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
,	А	Name	Fernandez, Alice M.	Fernandez, Allca M.	Fernandez, Alice M.	Fernandez, Alice M.	Fernandez, Alice M
		-	445	446	447	448	449

Response to Question No. 3 whe February 15, 2002 Letter From Senators Lieberman and Thompson

...

2	VI. Other Comments (if any)					
	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	. 9	2	All parties had the opportunity to submit and 23, conferences the receipt 2001 of commission issued an order on the proceeding.		92
	IV. Date			January 22 and 23, 2001		May-01
	IV. Give I	4/6/1999	3/15/2001			
	III. What was the subject matter(s) of the meeting	t believe it was a discussion of the Commission's shipper must have title policy and how it affected retail unbundling.	Staff Conference on Competitive Natural Gas Markets in Pt.00-1. The meeting was a publicly noticed conference that was open to all.	Publicly noticed conference that was open to all to discuss electric market issues in the New York ISO.		INGAA Regulatory Affairs Conference. I was a speaker on one of the panels.
=	II. List all that were present at the meeting	Libelieve Randall Rich an attorney for Erricon was present and an Erricon erricopee whose name I don't know. Andrea Wolfman from FERC staff I believe was also there.	various FERC staff and increase and industry segments. Lestle Lawner with Enron North America was on one of the panels.	various industry representatives and FERC saft. Erron respresantatives attended.		Tetro Suff. Enron Washington Conference, I was a speak representatives usually alterned the on one of the panels, meetings.
ш	Office Division (a) inititated the meeting	Office of Markets. Tariffs and Tariffs. Rales Esst Yes Enon. I believe and Rates	FERC	Yes FERC		INGAA
c	(a)	Yes	Yes	Yes	, N	Yes
ن	Division	Tariffs and Rates East	Office of Markets. Tarilfs and Tarilfs. Tarilfs. Rates East Yes FERC and Rates	Office of Markets, Tariffs and Tariffs, Rates East Rates	Procureme	Office of Markels, Tariffs and Yes INGAA and Rates East Rates
ď	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates
A	Name	Fernandez, Alice M.	Fernandez, Alloe M.	Fernandez, Alice M.	Office of the Fernandez, Kimberly, Executiv nt e E Diffector	Fernandez,Alice,M
		450	451	452	453	464

Response to Question No. 3≒≱the February 15, 2002 Letter From Senators Lieberman and Thompson

_			
У	V. Was any action W. Date taken by the range Comm. In response to the meeting		While the alterdance sheel for the While the alterdance sheel for the Esq alterdance indicates that Randal Rich. Esq alterdad for others are of vice-order energiators with "Etoh, and have no recall of his participation, if any, in the recall of his participation, if any, in the recall of his participation, and with in the regarding CIG's compliance with order no. 637
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	The Commission issued and order after the technical conference where the control accepted acc	After CIG submitted a greated compliance filling and public comment, the COmmission issued an ionder addressing CIG'S filling
	IV. Date range		
Н	IV. Give the date	7/15/1998	10/3/2000
9	III. What was the subject matter(s) of the meeting	The meeeling was a technical conference convened as a result of commission order season of commission order season order order season order order season order or	Colorado Inlessiate Gae Company's 637 compliance filling
Ŀ	II. List all that were present at the meeting	The meeting was a technical conference converted as a result of 2 commission order in Representatives from the Commission which the Commission of Staff Northern Natural Case Company, directed the parties to discuss and parties to the proceeding in a number of issues asiming out clocket No. RP99-203-000. Ag general section 4 rate cross-large in Case, number of the commission of the Case, number of the Case in Case, number of the Case in Case	43 attendees at the tech conference including Annala Rich. Esq, of Mazewale & Patieson LLP represent LP representatives Annala Planta LP representatives Annala Planta LP representatives Annala Various and 19 others representing Annala Various market participants such as marketers or LDCs.
Е	Office Division (a) Inititated the meeting	Yee Commission Advisory Staff	Conference
	(a)	Yes	Yes
ပ	Division	MTR	Office of General OGC-MTR ves FERC Tech Counsel
В	Office	Office of General Counsel	Office of General Counsel
A	Name	Fleids, Rhodell G.	First, Jonathan E.
П	-	455	456
_			

Response to Question No. Swethe February 15, 2002 Letter From Senators Lieberman and Thompson

1 1	8	ပ		ш	H 9	9	I	.[-	ſ	¥
fice D		ivision	(a)	Office Division (a) Intitated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date	V. Was any action taken by the Comm. In response to the meeting	IV. Give IV. Date taken by the the date range Comm. in response to the meeting
Office of General Counsel	0	GC-MTR	Yes	OGC-MTR. Yes Conference	23 attendess at the tech conference: Joseph Barrop Bagot of Enror FERC Staff Londhan Fert Stands Debute; Jule Parsons, Farak Sparber, Donnes Statkon, Lamer Stanon, Libit Labe, Statkon, Lamer Stanon, Libit Labe, And Tably Eley, Obestar attendess, William Performant, Tarl Arize, Lamy Coarli, Cuestar Pipeline Co. Order Land Kilmington; Other attendess: William Performs And Miriter, Lamy Coarli, Cuestar Pipeline Co. Order Land Kilmington; Other attendess: William Performs And Miriter, Irany Coarli, Cuestar Pipeline Co. Order Land Kilmington; Other attendess: William Performs of Yan Ness Feldman. Jan Mulliangton; Other attendess: William Performs Jan Kilmington; Other attendess: William Performent Jan Kilmington; Other attendess: William Performs Jan Kilmington; Other attendess Jan Kilmington; Other attendess Jan Kilmington; Performs Jan	Guestar Pipelino Co. Order No. 637 Tech Conference	1/11/2001		After Questar submitted a supplemental films and comment comment for comment comment for the c	After Questar submitted a supplemental filing and him was he declarated and a supplemental filing and him was he discussion at the behindal conference by the Commission was included to Questar's compliance with Confer the Commission with Confer the CST. With Order No. 637.
Office of General Counsei	○ <u>I</u> I	Market Oversight and Enforceme nt	Yes	Yos Not sure.	From FERC: Stuarf Fischer, Kumar Aganasi, Saru Woll, John Koeger, Mark Garbren, Walti McDeale, Dean Wight Fay Monil, Adrea Wolfman, South Miller, Janny Richman, From From, Jee Harsee, Also representatives from Tensasa, Williams; Duck Southern, Boston Perdie, Amenda Hess, Silhe Europies, Dyneyy, GA for Indopendent Europies, Dyneyy, GA for Indo	Information gathering session for Commission report on Bulk Power Markets. Market participants discussed issues and concerns in the bulk power markets			information received was part of the basis for mornisation Statistics November 1, 2000 report on Bulk Power Markels.	
Office of General Counsel		Market Oversight and Enforceme nt	Yes	Ray Alvarez, VP Reg. Enron	Sluari Fischer, Ray Alvarez	Telephone call concerning FERC staff monitoring of California generator outages, re: applicability to wind units.	6/1/2001	_	Ý.	
Office of General Counsel	ن ت	Office of Oversight General and Counsel Enforceme	Yes	Yes FERC	Stuart Fischer, Robert Pease and Ray Alvarez (Vice President, Federal Regulatory Affairs, Enron)	Returning request for conference call in e-mail to Robert Pease dated November 9, 2001.	11/13/2001		No, the matter resolved itself.	This was a non-public communication during informal dispute resolution efforts.

Response to Question No. 3 see the February 15, 2002 Letter From Senators Lieberman and Thompson

-	В	ပ	۵	ш	T T	0	Ξ	[-	r	¥
•	ffice	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	IV. Was any action IV. Date taken by the range Comments (if any) to the meeting to the meeting
	Office of Markets, Tarriffs, and Rates	Policy	Yes	Yes staff	Northern Natural regulatory staff	settlement and pre-hearing discussions in Dockel No. RP92-1		2/92 to 10/92	поле	several mealings were held with all parties in a settlement forum
	Office of Markets, Tarriffs, and Rates	Policy	Yes	Yes staff	Transwestern regulatory staff, Commission trial staff, varous intervenors	settlement and pre-hearing discussions		1/93 to 11/93	case settled	
	Office of Markets, Tarriffs, and Rates	Policy		Yes advisory staff	NGAA, pipeline representatives, Enrom companies may have been present	Discussion of industry experience with the Order No. 636 capacity release program		1997-98	виои	part of the outreach effort to keep up to date on developments in pliene capacity markets
	Office of Markets, Tarriffs, and Rates	Policy	Yes	Yes Commission staff	approx 200 attendes from various industry segments. Erron may have been represented.	Staff presentation on capacity 10/21/1998 auction issues	10/21/1998		OL.	
	Office of Markets, Tarriffs, and Rates	Policy	Yes	Yes Commission staff	approx 200 attendees from various industry segments. Enron personnel may have been involved.	Industry response to Docket KM89-10 rulemaking prosposal on pipeline capacity auctons	12/8/1998			
	Office of Markets, Tarriffs, and Rates	Policy	Yes	Commission staff	Yes Commission staff INGAA members including Stan Horton from Erron	Staff outreach effort to discuss reaction to NOPR in Docket No. RM98-10 and current state of pipeline capacity markets	7/7/2000			

Response to Question No. 3 white February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	C	c	u			3	ŀ		
-	Name	Office	Office Division (a)	(a)	I. Who Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date range	N. Give IV. Date taken by the trace range Comm. In response to the meeting	N. Other Comments (if any)
467	Flanders, Robert A.	Office of Markets, Tarriffs, and Rates	Policy	Yes	Commission staff	Yes Commission self Commission saff	Demo of EmonUnLine and discussion of state of gas, electric markets	4/18/2000		00	
468	Flanders, Robert A.	Office of Markets. Tarriffs, and Rates	Policy	Yes	Yes Robert Flanders	various Erron personnel involved with now chellenges in electronic electronic trading, EmonOhiLine, commerce for regulatory policy.	now challenges in electronic commerce for regulatory policy	5/1/2000		10	This was part of a staff assossment of developments in decironic commerce and implications for requiatory policy
469	Flanders, Robert A.	Office of Markets, Tarriffs, and Rotos	Policy	Yes	Yes Rubert Flanders per Comm. order	approx 200 attendees from various industry sectors. Erron personnel imay have been in attendence.	post-Order No. 637 indutry dialog effort - gas market liquidity	9/19/2000		110	This was a public conference.
470	Flanders, Robert A.	Office of Markets, Tarriffs, and Rates	Policy	Yes	Robert Flanders, per Comm, order	approx 200 attendess from various industry sectors. Lestile Lawner from Enrorn North Armetica was on the roundable panel. Other Enrorn personnel may have been in the audience.	Commission policy on gas affiliates, issues sot for further 3/15/2001 discussion in Order No. 637	3/15/2001		010	Public conference.
47.1	Flanders, Robert A.	Office of Markets, Tarriffs, and Rates	Policy	Yes	The Electronic Yes Scheduling Collaborative	Various members of the Electronic Schedufing Collaborative committee, incuding Charles Yeung of Enron. Several staff members were also present.	ESC status report on programs to develop standards for electronic power scheduling	9/19/2001		00	see more detailed description by Norma McComber.
472	Flanders, Robert A.	Office of Markets, Tamiffs, and Rates	Policy	Yes	Yes INGAA	verious staff and gas industry personnet	NGAA Annual Regulatory Affairs conference		4/28 to 4/30/ 1999	02	A Marian Control of the Control of t

Response to Question No. 3 man February 15, 2002 Letter From Senators Lieberman and Thompson

98

Bracewell & Patterson represents Calypso VI. Other Comments (if any) This was a fact finding trip to better understand eCommerce. Staff "required"
Presidential Permit
application to be filed as B
part of the Calypso
Pipeline project. IV. Give IV. Date taken by the the date range Comm. in response to the meeting 1992-1999 no 5/16 to 5/18/2001 5/1/2000 Pre-filing legal research on the exclusive economic zone boundary between USA and Barhamas III. What was the subject matter(s) of the meeting new challenges in electronic commerce for regulatory policy NGAA Annual Regulatory Affairs Conference Annual Christmas parties Staff Rober Flanders, Marvin Remorter, Kim British Staff Shall Acutan Burque, Enrort Staff Octivation Stafford, Rebess Carriffel, Lissle Stafford, Rebess Carriffel, Lissle Nicolay, Kenn Petro, Staff Shall Michay, Staff Shall Shall Shall Shall White, Staff Shall Shall Shall White, Staff Shall Shall Shall Shall White, Staff Shall Shall Shall Shall II. List all that were present at the meeting various staff, congressional, gas industry, and Enron personnel various staff and gas Industry personnel Richard W. Foley I. Who Inititated the meeting Yes Bracewell & Patterson Yes INGAA Yes Enron (a) Yes ટ g Unice of Orgnalzatio
the nat
Executiv Manageme e
organization Office of Pipeline Energy Certificates Projects Division Administrati on and Case Tracking Policy Policy Policy Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Director Flanders, Robert A. inders, Robert A. oley, Richard W. Fludd, Patricia, I. Ford, Peggy M. Name 475 474 476 477 473

Response to Question No. 3 Newsone February 15, 2002 Letter From Senators Lieberman and Thompson

	Y	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response VI. Other Comments (if any) to the meeting		The Commission issued an order on July 26, 2001. The resition of selection of the commission issued are order on July 26, 2001. The selection of the commission of the commiss	12/00-1/01 No. I sasketed the Chief Judge during these discussions.	The Commission approved an unconfessed approved an unconfessed paper sealment that provided characteristic sealment that provided characteristic state sealments that in the for continuing settlement proceeding, but off or continuing settlement proceeding, but off or continuing settlement proceeding, but off or continuing settlement and fittigation successived issues and fittigation.	
1200	_	ive IV. E		6/25/01-	12/00	January 1998- March 1999	
5	エ	‡ ed					
מוומיו מוומי	9	III. What was the subject matter(s) of the meeting		To determine if agreement could be reached on the following topics: (I) refunds for the more depending to the period (10,200 to 53,101; (2) coeffworthress issues; and (3) what additional load could be moved from the got market to larger term contracts.	Trying to get the California utilities to enter into forward contracts as a hedge against spot market prices.	The Commission initiated hearings into the lustiness and reasonableness of the rate school public to the lustinity Must Referred for Reliability Must Rem (RMR)generating facilities in California	
Topic Court of the Political and Thompson	4	II. List all that were present at the meeting		To determine if agreeme countrie if agreeme countrie if agreeme countries is a contributed on the following boths: (1) return for the period (10,200) of ELOO-95-031 for the period (10,200) of several countries and countries an	Ohje/ More than 75 parties, including the Administrative Law representatives of Error Power Ves Administrative Law Marketing and Portland General Judge Wagner Electric-see service list in PLO1-2.	numerous representatives of electric unities in California, orwars of Relation in Yes Administrative La California, the California IsO Carporation and offer including Errora Power Marketing, Inc.	
	п	I. Who Office Division (a) intittated the		Chof Chambraire Law Ettop.95-031 Judge Waprer	Chief Administrative Law Judge Wagner	Chlef Administrative Law Judge Wagner	
4	2	(9)	2	Yes		Yes	£
C	اد	Division	Registry		Litigation		Cheif Economic Advisor
0	n	Office	Office of the Secretar y	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs,
<	1	Name	Foreman, Florísa G	Forman, Howard B.	Forman, Howard B.	Forman, Howard B.	Office of Markets, Forsberg, Rene' Linn Tarriffs,
			479	480	481	482	

Response to Question No. 3 fear. February 15, 2002 Letter From Senators Lieberman and Thompson

	Х	V. Was any action IV. Dust taken by the rappnes VI. Other Comments (if any) range Comm. In response VI. Other Comments (if any)			Response dated received 01/28/02. RIMS Submittal 20020130-0308 responding to 01/11/02 RIMS Issuance 20020114-0223.				
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting			See 02/27/02 Agenda Item C-5				Commission issued order on settlement
=	-	IV. Date range							
Sdillo	r	IV. Give the date							Early 1993
Delilian and II	9	III. What was the subject matter(s) of the meeting			Provision of described Information to assist in Docket No. CP02-27-000's proposal.				Restructuring of Northern Natural
rioni senators Lieberman and Inompson	ų.	II. List all that were present at the meeting			Signiten T. Veakch, Director Cordificates and Regulatory Reporting Provision of deserthed Fordio Gas Transmission Co. 1400 Information to assist in Docke Smith Street, suite 369F PO Box 1188 (No. CP02-27-000's proposal. Houston, m TX 77251-1189				Customers, Steff, Company
	ш	Office Division (a) inititated the meeting			Yes Fowlkes, Sr.		`		Starf
	۵	<u>(e)</u>		2	×e×	No No	Š.	No	Yes
	ပ	Division	Division of Financial Policy	DHAC	obc	Office of the Chief Network Informati Operations on Officer	Human Office of Resources and the Executiv Organizatio No e nal Director Manageme	Energy Projects	Office of Markets, Division of Tarriffs, Tarriffs and Yes Staff and Rates-East Rates
	В	Office	Office of the Executiv e Director	Office of Energy Projects	Office of Energy Projects	Office of the Chief Informati on Officer	Office of the Executiv e Director	Office of General Counsel	Office of Markets, Tarriffs, and Rates
	A	Name	Office of Division of the Foster Jr, William Doc Executiv Financial Office of Division of	Foster, Etta,L.	Office of Fowlkes Sr., Edward , Energy Projects	Frailey, David G.	Frain, Philip A.	Francese, Albert J.	Fray, Jackson L.
		-	484	485	486	487	488	489	
,				لـــــــــــــــــــــــا	<u> </u>		·	لنجسب	

Response to Question No. 3 least February 15, 2002 Letter From Senators Lieberman and Thompson

	_			,,		
	¥	IV. Give IV. Date taken by the trange Comm. in response Comm. in response to the meeting				Control of the Contro
	ſ	V. Was any action taken by the Comm. in response to the meeting				
u O	-	IV. Date range				
sdwor	Ξ	IV. Give the date				
perman and I	9	III. What was the subject matter(s) of the meeting				
rioni senators Lieberman and Inompson	L	II. List all that were present at the meeting				
	ш	I. Who Office Division (a) inititated the				
- 1		<u>(a)</u>	N	o _N	Š	٩
	o	Division	Office of Markets, Farriffs, Central 1 and Rates	Office of the Chief the Chief of Officer	Div. of Adm. & Case Tracking	DEER
	В	Office	Office of Markets, Farriffs, and Rates	Office of the Chie Informal on Officer	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
	٧	Nаme	Frazier, Deborah A.	Freeman, Wanda B.	French, E. Louise	Friedman, Paul D.
		-	491	492	493	494

Response to Question No. 3 it each February 15, 2002 Letter From Senators Lieberman and Thompson

06/

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

- .

¥	V. Give IV. Date taken by the the date range Comm. In response (I. Other Commants (if any) to the meeting						
f	V. Was any action taken by the Comm. in response to the meeting				No,	No.	VO.
-	IV. Date range						ons evening between No. 5/30/01,
Ξ	IV. Give the date				9/8/2001	8/1/2001	
9	III. What was the subject matter(s) of the meeting		,		Party	After work happy hour.	Happy hour for new employees
F	II. List all that were present at the meeting				Gueste who are also FERC Thiopleses. Gelean Oberol and boyfriend Scott (an Euron employee). Ander Voussa, May Morton, Leonard Tao, Jason Stansk, Oga Tao, Jason Stansk, Oga Tao, Morbesthita, and Moress I don't remember. Non-FERC Employee Guessis - don't know names.	Guests who are also FERC Employees - Geeta Oberol and boyffends Soot (as farron employee), Marla Voures, Leonard Tao, Jason Stanek, Olga Kololushkira, Joyce Kim, Loffe While.	FERC employees: Ogga Koloutshkina, Oldensi Vasagoli, Loesgh Luwell and Others I don't remember Ex-ERC employees - Roger Glaian, others I don't know. Non-ERC employees - Nancy Bagat (Enron Employee) and others.
E	1. Who Office Division (a) Intittated the				Markets. Lodie Write (party Tariffs and Yes hnost)	Yes Geeta Oberoi	yas Joyce Kim and Roger Gibian
0	(e)	£	£	2	Yes	Yes	Yes
၁	Division	STARS program	Rates - Central	Office of DIVISION the OF Executive FINANCIAL POLICY	Markets, Tariffs and Rates	MTR	MTR
В	Office	Office of the Executiv e Director	Office of Markets, Tardffs, and Rates	Office of the Executiv e Olirector	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Fuiter, Amanda C.	Fultan, Robert W.	Funes, Leola R.	Gadani, Agnasa	Gadani, Jignasa	Gadani, Jignesa
	-	499	200	501	502	503	504

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Give IV. Date taken by the the date range Comm. in response to the meeting to the meeting sire. Sire. No.	was the nater(s) of the date rig	II. List all that were presont III. What was the at the meeting field commissioner Massay and his Annual Regulatory Affairs Resistants what his Resultant Mark Mark Mark Annual Regulatory Affairs assistants what when Resemblers, Mark Annual Regulatory Affairs assistants, Mark Mark Mark Mark Mark Mark Mark Mark	II. List all that were preson III. What was the at the meeting the	Division (a) initiated the at the meeting the meeting at the meeting the meeti	Division (a) initiated the at the meeting the meeting at the meeting the meeti
	Jelory Affairs 9. 67/2001	Jatory Affairs (6/1/2001)	Michael representations and an article of the control of the contr	MTR Yes INGAA The Companyees including Virinbury FEEC employees including Virinbury Bagor. I don't remember. Namon't Resemberg, Mark Conference I Gord remember. Namon't Resemberg, Mark Conference Classics who are also FERC Employee. MITR Yess Eugene Chace Mark Yours, Mary Morthor, Lecond Farewell party. MITR Yess Eugene Chace Mark Yours, Mary Morthor, Lecond Farewell party. (Adult Mark 2014 and 18 and 19 and 18 and	MTR Yes INGAA assistants Manual representatives and the statement of the s
6/1/2001		vee), Farewell party.	Guests who are also FERC demotives the membrane and polytrated Social for Error employees. Gent for a membrane and a marker yourse, Angel Neuron and Farenett party. Tao, Jason Stanes, Olge and Others I don't remembra. Non-FERC Erroryce Guests - don't know names	Cluses who are also FERC Cluses who are also FERC Cluses who are also Oxerol and Confined Soci (on Error employee). Wes [ugene Crace Maria Voras. Any Monton, Leorard Tao, Jason Stanek, Orge Tao, Jason Stanek, Orge Tao, Lason Stanek, Orge Gussis - dor't know names	Clussis who are also FERC Clussis who are also FERC Clussis who are also Deten and Downfrand Scot (an Error employee). MIR Yees (party host) Tao, Lason Stanet, Orga Tao, Lason Stanet, Orga Clussis - don't know names
				DHAC No	
	,			Denn Szietry and No Inspections	Office of Dam Salety Energy and No Projects Inspections
				Market Developme No	Office of Martet Namens, Natret Tariffs, Developme No Rates Rates
1994-2001	Environmental compliance 1994-2001		Transvestorn, Forda Gas, Northern Border, Northern Natural	DEER Yes same as above Transwestern, Forida Gas, Northern Environmental compliance Border, Northern Natural	Yes Isame as above Border, Northam Natural Environmental compliance
1994-2001		Environmental compilance	Same as above Transvestern, Fordra Gas. Northern Environmental compilance Border, Northern Natural	Innewseaton, Florda Gas, Northern Environmental complance Border, Northern Natural	Innewseaton, Florda Gas, Northern Environmental complance Border, Northern Natural
	Ervironmensal compliance		same as above Transvestorn, Forda Gra, Northern Border, Northern Natural	5 Same as above Transwastern, Florida Gas, Northern Border, Northern Natural	No No Transwestem, Forda Gas. Northern No No
ss same as above	No.	No Yes			Office of Christophy Projects of Markets, Tarriffs, and Rates Office of Christophy Projects Office of Markets, Tarriffs, Tarri

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A	В	O		В	±	9	Ξ	-	ſ	X
- 1	Office	Division	(a)	1. Who Office Division (a) Inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting
	Office of Markets, Tarriffs, and Rates	Office of Markets, CENTRAL. Tarriffs, GROUP II Rates	2							
Gargon III, Emory E	Office of the Chief Informati on Officer	Customer Support	Yes	Yes Emory Gargon, Robert Fulton	Emory Gargon, Tracy Gargon, Donna Fulton (Former Entron Employee), Robert Fulton and children of each.	Social Gatherings at the Fulton's House		1992-	No	I'm sure of the exact dates but I had been over to the Fution household several times during the above time period.
Gargon III, Emory E.	Office of the Chief Informati on Officer	Customer Support		Yes Enron	Many Kay (Enron), Donna Fuliton (Enron)ohna Enron Employees, Emory) Holiday party Gargon and many other FERC employees	Holiday party		Dec 1992 - No Dec 1994	97	I'm not sure of the year I attended but I attended one or two of the Ernon Holiday parties in Washington DC
Garrison, Janice K.	Office of Markets, Tarriffs, and Rates	Frant Office	Yes	Office of American Gas American Gas Tarriffs, Front Office Yes Association and Rates	Опктомп	Discussion of industry accounting issues affecting gas companies nationwide(uirsdictional and non)uisdictional)		8/15/1994 No.	Ö	
Garrison, Janice K.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffe, Front Office Yes INGAA and Rates	Yes	INGAA	Unknown	Discussion of industry Cocounting issues affecting pivisdictional natural gas companies.	4771992	_	No.	Joint meeting of accounting/finance representatives of NIGAA member companies and FERIC accounting staff.
Garrison, Janice K.	Office of Markets, Tarriffs, and Rates	Office of Variets. Tarriffs, Front Office, Yes INGAA and Rates	Yes	INGAA	Unknown.	Discussion of Industry accounting issues affecting jurisdictional natural gas companies.	10/22/1992		ć.	Joint meeting of accounting/finance representatives of NGAA member companies and FERC accounting staff.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

X	VI. Other Comments (if any)	obin meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff.	Joint meeting of accountinglinance representatives of INGAA member companies and FERC accounting staff.	John meeling of accounting/finance representatives of INGAA member companies and FERC accounting staff.	Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff.	Joint meeting of accounting/finance representatives of NGAA member companies and FERC accounting staff.	Joint meeting of accounting/finance representatives of NGAA member companies and FERC accounting staff.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No.	No.	No.	No.	No.	No.
-	V. Date range						
I	IV. Give I'	10/20/1993	4/20/1993	10/18/1994	4/20/1995	11/9/1995	4/25/1996
9	III. What was the subject matter(s) of the meeting	Discussion of industry seconding issues affecting invited gas companies.	Discussion of industry Cocounting issues affecting jurisdictional natural gas companies.	Discussion of industry Discussion of industry Industrial gas companies.	Discussion of industry cocounting issues affecting industring industrial gas companies.	Discussion of industry accounting issues affecting invisional natural gas companies.	Discussion of industry accounting issues affecting jurisdictional natural gas companies.
F	II. List all that were present at the meeting	Unknown.	. Илкложп.	Unknown.	Unknown.	Олклон п.	Unknow.
E	Office Division (a) Inititated the	INGAA	INGAA	INGAA	INGAA	INGAA	INGAA
	(a)	Yes	Yes	Yes	Yes	Yes	Yes
O	Division	Office of Markets, Tarriffs, Front Office Yes INGAA and Rates	Office of Adrices. Tarriffs, Front Office Yes INGAA and Rates	Jarkets, Tarriffs, Front Office Yes INGAA and Rates	Office of Varkets, Tarriffs, Front Office Yes INGAA and Rates	Office of Markets, Tentific, Front Office Yes INGAA and Rates	Office of Varkets, Tarriffs, Front Office Yes INGAA and Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janica K.	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.
	-	518	519	520	521	522	523
١			· · · · · ·				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

- 62

_	,			,	,		
¥	V. Was any action V. Date taken by the range Comm. In response to the meeting	Joint meeting of accounting finance representatives of INGAA member companies and FERC accounting staff.	Joint meeting of accounting/finance representatives from INGAA member companies and FERC accounting staff.	Joint meeting of accounting/finance representatives of INGAA nember companies and FERC accounting staff.	John meeling of accounting/inance representatives of INGAA nember companies and FERC accounting staff.	This was an industry conference.	This was Coopers & Lybrand's Electric and Gas Year End Issues Forum.
f	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	No.	No.	No.	No.	No.	No.
-	V. Date range					08/11/199 6- 8/14/1996	
	IV. Give I	11/6/1996	4/24/1997	10/22/1997	4/23/1998		12/12/1995
9	III. What was the subject matter(s) of the meeting	Discussion of Industry Discussion of Industry Integration of Industry Integration of Industry Companies.	Discussion of industry accounting issues affecting jurisdictional natural gas companies.	Discussion of industry accounting issues affecting jurisdictional natural gas comparities.	Discussion of industry cocounting issues affecting introductional natural gas companies.	Discussion of gas industry accounting issues affecting jurisdictional and nonjurisdictional gas companies.	Discussion of electric and gas accounting issues affecting furrisdictional and nonjurisdictional electric and gas companies nationwide.
ш	II. List all that were present at the meeting	Unknown.	Unknown.	Unknown.	Unknawn.	Олкпомп	Unknown.
ш	I. Who Office Division (a) Inititated the	INGAA	INGAA	INGAA	INGAA	American Gas Association	Office of Markets Tront Office Yes Coopers & Lybrand Unknown. Rates
	(a)	Yes	Yes	Yes	Yes	Yes	Yes
C	Division	Office of Markets, Tarriffs, Front Office Yes INGAA and Rates	Office of Markets. Tartiffs. Front Office Yes INGAA and Rates	Front Office Yes INGAA	Office of Markets, Tartiffs, Front Office Yes INGAA and Rates	Office of Vankels, Tarriffs, Front Office Ves and Rates	Front Office
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.	Garrlson, Janice K.
Г		524	525	526	527	528	529
	<u> </u>						

_						
¥	V. Was any action IV. Date taken by the range Comm. in response to the meeting	This was Coopers & Lybrand's Electric and Gas Year End Issues Forum conference.	This was Coopers & Lybrand's Electric and Gas Year End Issues Forum conference.	This was INGAA's 1996 Fall Accounting Issues Conference.	This was INGAA's 1998 Fail Accounting Subcommittee Meeting.	
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No.	No.	No.	No.	Nane.
-	IV. Date range			09/30/199 6- 10/01/199 6	09/20/199 8- 09/22/199 8	October 1996- August 1997
I	IV. Give the date	12/16/1998	12/8/1994			
9	III. What was the subject matter(s) of the meeting	Discussion of electric and gas accounting issues affecting turisdictional and nonjurisdictional electric and gas companies nationwide.	Discussion of electric and gas accounting issues affecting jurisdictional and nonjurisdictional electric and gas companies nationwide.	Discussion of Industry accounting issues affecting jurisdictional natural gas companies.	Discussion of Industry cocounting Issues affecting invisidictional natural gas companies.	Procedural and substantive issues in consolidated rate proceeding in Drocket Nos. RP96-366-000 and FA94-12-002.
1	II. List all that were present at tho meeting			Илкламп.	Опкломп.	Office of Nackels. FERC Staff Ford a FERC Staff and Florida Gas and Florida Gas Transmission personnel. Rates
E	Office Division (a) intittated the meeting	Office of Markets. Tariffes, Front Office Yes Coopers & Lybrand Unknown. and Rates	Office of Markets. Tarriffs, Front Office Yes Coopers & Lybrand Unknown. and Falses	INGAA	NGAA	FERC Staff/Florida Gas Transmission
	(a)	Yes	Yes	Yes	Yes	Yes
0	Division	Front Office	Front Office	Office of Markets. Tariffs, Front Office Yes INGAA and Rates Rates Rates Tariffs, Front Office Yes INGAA and Anters. Tariffs, Front Office Yes INGAA Rates		Front Office
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
٧	Мате	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janice K.	Garrison, Janíce K.
	1	530	531	532	533	50

Х	IV. Was any action IV. Date taken by the range Comm. in response to the meeting					Enron counsel attended and had a few words to say, but those are privileged, of course.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	September 24, 1997 Commission order approving Settlement filed on August 5, 1997.		A.	No.	No. This was a settlement conference
-	V. Date range	November 1996-July 1997				
Н	IV. Give I				3/7/1999	12/20/1999
9	III. What was the subject matter(s) of the meeting	Settlement conferences to resolve cost of service and rate design issues in Docket No. RP86-386-000, Issues in the informal investigation in Docket No. P922-182-000 and the construction cost audit in FA84-15-002.		Petition for writ of certioralt of Northern States Power Co. v. Northern States Co. v. 98-3000. 176 F.3d 1090 (8th Cir. 1999)	Preparation for oral argument in Northern States Power Co. v. FEHC, 8th Cir. No. 98-3000	To discuss possible settlement in EL99-93 complaint
	II. List all that were present at the meeting	All parties to the proceeding in Docket FP69-S96-000 and FA94-15-002, noviding Prefit Gas Transmission and FERC staff.		Course Power Advanced or Wellmer Course of Peccard for Technon Power Advanced or Wellmer Course & Pickering Jeffron D. Walkiss Receives It & Parkering Jeffron D. Walkiss Coursed Power Load Sollabor Connect Department of Justice, John H. Charles Allon Sollabor Course Technology Sollabor Course Sollabor Course Justice Centeral U.S. Petition for wit of certioral of Montane States Power Co. v. V	Lamy D. Gastelger Altorney Office of be abolitor feedant Energy Regalatory Commission Leffing D. Walkiss Bracwell and Patterson Counted for Error Power Marketing inc. Byron E. Stams Counsel for Wisconsin Electric Power Co.	ALJ Cowan, Staff, and presumably To discuss possible most of the parties, including Enron, in gettlement in EL99-93. Docket No. EL99-93. There is no list.
E	Office Division (a) inititated the meeting	Office of Markets Front Office Yee FERC Staff Falles		Yes Louis R. Cohen	Yes Jeffrey D. Walkiss	Yes sett conference
Ω	(a)	Yes	ž			
υ	Division	Front Office		Soticitor's Office	Solicitor's Office	Office of Administrati General ve Counsel Litigation
8	Offlice	Office of Markets, Tarriffs, and Rates	Office of Administ rative Law Judges	Office of General Counsel	Office of General Counsel	Office of General Counsel
٧	Name	Garrison, Janice K.	Gasser, Kristin	Gosteiger, Larry D.	Gasteiger, Larry D.	Office of Gastilo, Jane, Carme General Counsel
	-	535	536	537	538	S

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						_					
	¥	VI. Other Comments (if any)	Mojave Pipeline Company, RP01-172. Enron North America Corp. intervened on December 13, 2000. There was no further participation by them in this proceeding.	Michigan Gas Pipeline, RP96-295	MoJave Pipeline Company, RP95-175	froquois Gas Transmission Corp. RP97-126	Koch Gateway Pipeline Company, RP95- 362	ANR Pipeline Company, Transco., RP98- 174-001	San Diego G&E ER98-496	CAISO, ER98-1057 (RPTO Agreements)	
	7	V. Was any action IV. Date taken by the range Comm. in response to the meeting		No	No					-	
5	-	IV. Date range		1996-1997 No	1995-1996 No	1997-1999	Sept. 1996	1998-1999	1998-1999	1998-1999	
2	r	IV. Give I									
Delinan and H	G	III. What was the subject matter(s) of the meeting		To discuss settlement	To discuss settlement	To discuss settlement	To discuss settlement	To discuss settlement	To discuss settlement	To discuss settlement	
non centrals Eleberation and Incompany	ш	II. List all that were present at the meeting		was	Staff and interested parties were invited. Enron Capital and Trade Resources Corp. Intervened (but was not active)	Staff and Interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active)	was	Staff and interested parties were invited. Enron Capital and Trade Resources Corp. Intervened (but was not active)	Enron Power Marketing. Intervened (but was not active)	Enron Power Marketing. Intervened (but was not active)	
	ш	Office Division (a) Inititated the meeting		Yes FERC Trial Staff	Yes FERC Trial Staff	Yes FERC Trial Staff	Yes FERC Trial Staff	Yes FERC Trial Staff	Yes FERC Triat Staff	Yes FERC Trial Staff	
I		(a)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	χes	Ş
	ပ	Division	Office of Administrati General ve Counsel Littgation	Litigation	Litigation	Litigation	Litigation	Liftgation	Litigation	Litigation	Case Tracking
	В	Office	Office of General Counsel	Office of General Counset	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of or General Counsel	Office of General Counsel	Office of Markets, Tarriffs, and Rates
	A	Name	Office of Office of Gastilo, Jane, Carmet General Counsel	Office of Gastilo, Jane, Carmel General Counsel	Office of Gastilo, Jane, Carmer General Counsel	Office of Gastito, Jane, Carmel General Counsel	Office of Gastilo, Jane, Carmer General Counsel	Office of Gastlo, Jane, Carmer General Counsel	Office of Gastilo, Jane, Carmer General Counsel	Office of Gastilo, Jane, Carmer General Counsel	Gavelek, Donald, P
		-	540	541	542	543	544	545	546	547	548
•											

_					
×	V. Give IV. Date/taken by the the date range Comm. In response VI. Other Comments (if any) to the meeting		I am relying entirely on recollection. I was the Decetor of the Electine Applications program for most of the identity and fame in that it specify, I receive documes sprine in that it specify. I receive documes sprine in that it specify. I receive documes sprine inquires a state for guidance on firing inquirements. I have proken to a representative could well have spoken to a representative form. Please disregard prior response which was induced rankly submitted without these ocuments.		POE and the Confederated Titles supposement filled of in application for a new items of or the Petton Hydrosteint Project on June 29, 2001. That application is panding before FERC.
ſ	V. Was any action taken by the Comm. in response to the meeting				ğ
-	IV. Date range				
Ξ	IV. Give the date				9/18/2000
9	III. What was the subject matter(s) of the meeting				Representatives of PCE and the Water Springs These quested an opportunity to meet with FERC saff to decuse procedural issues and sales for filling an amendment application to reflect their intent to file a plum fectores explication to reclose applications for the feat comenting applications for the reflect paginations for the reflect paginations for the reflect to the reflect the project No. 2030.
Р 6 Н	II. List all that were present at the meeting	-			Nam Allan, FERC Stews Padula, POE Utdo Gliert, FERC Ellen Korthusus- Vew, FERC Dernis Karron, Confedender Tiebes of Veram Springs FERC Springs FERC Springs Confedender Sp
ш	Office Division (a) Inititated the	1		,	Yes Julie Kell, PGE
	(a)	^o Z	Ŷ.	ž	say
ပ	Division	OALJ	Associate	Market Information	Energy Projects
8	Office	Office of Administ rative Law Judges	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel
A	Мате	Gekas, James C.	Gelinas, Donald, J.	Giblin, Karen A	Gilbert, Linda S.
	-	549	550	. 221	552

		<u> </u>			
Х	V. Was any action IV. Date taken by the range Comments (if any) to the meeting	POSE and the Confederated Tribos filed their request for approval of the selfement from the selfement of the selfement of the selfement of the selfement of their selfement of their selfement of their selfement of their foreign of their filling and ceselved comments and intervention and named to selfement and amended the selfement and amended their selfement and amended their selfement of their selfement and smertiding the selfement of howeverter 21, 2000. The decision is reported at 95 EEKC pair. 91, 185.			Enron Capital & Trade Resources Corp. was not an active participant in this school ground participant in this position or actively engage in settlement discussions, and did not attend all of the conferences, if any)
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	No.		June 18, 1999 Commission Letter Order approving uncontested settlement 87 FERC par. 61,321 (1999).	August 3, 1986 Commission Order on Contested Settlement. 84 FERC par. 61,143 (1999). reh'g denied, 85 FERC par. 61,426 (1998).
-	IV. Date range			Various dates between May 1998 and April 1999	Various dates between November 1997 and March 1998
Ξ	IV. Give the date	4/4/2000			
9	III. What was the subject matter(s) of the meeting	FE and the Confederated Tribes requested of meeting with FEPCs staff to discuss shell proposed staff to discuss a meeting rooped selection of the confederation of the confederation of the proposed selection of the period of the confederation of the patients of the soft of the confederation of the patients of the competing applications that the patient of the competing applications that they have applications that the patient of the competing applications that they have a patient of the competing applications that they had already field.		Settlement conferences to resolve cost of service and rate design issues in Docket No. RP98-203-000.	Settlement conferences to resolve cost of service and rate design issues in Docket No. RP97-33-000/
<u> </u>	II. List all that were present at the meeting	Robin Thompkins, Portland General Electric (PGE) A.W. Turner, PGE, Julie (PGE) E.J. May T. PGE Brock and Profession and Profession and Profession and Profession and Profession and Profession Audorecy, Confederated Threas of Young Springs, Canel Carely, Relice story Consultant, Confederated Tribes of World Nathory Confederated Tribes of World Member 1, 161a Council Member Varion 4 Council Member Varion and Member FERC (7) Member FERC (7) James Hunter, FERC (7)		All parties to the proceeding in Docket. Settlement conferences to No. RP98-203-300, Northern Natural resolve cost of service and Gas Co. (Northern), Including Northern rate design issues in Docket and FERC Trial Staff. No. RP98-203-000.	All parties to the proceeding in Docket No. RPSy 2000, Koch Gatway Nebelle Company (Koch), Inchuding PERG Trial Staff and Ernor Capitals AT Triade Resources, Corp., (who was an intervency in the proceeding).
Ë	Office Division (a) Initiated the meeting	Ves General Electric		Public notice by FERC Trial Staff	Yes FERC Trial Staff
	(e)	×es	ŝ	Yes	≺es
O	Division	Energy Projects	MAPS	Office of Administrati General ve Counsel Littgation	Office of Administrati General ve Counsel Liftgation
В	Office	Office of General Counsel	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel
A	Name	Gilbert, Linds S.	Gilliar, Geoffrey, G	Gilmore, Edith A.	Gilmore, Edith A.
	-	563	554	555	556

- PE

Γ	A		C	6	4	ш	ď		-	-	×
-	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	IV. Date	V. Was any action IV. Bate taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
557	Gilmore, Edith A.	Office of A General Counsel	Office of Administrati General Counsel Litigation		Yes PERC Trial Staff	All parties to the proceeding in Dockel Settlement conferent Mr. FFox 344.000 were invited to already in the Library Set Settlement conference already set on Capital & rade design issues it rade Resources, Corp. an interveniry No. RFox 344.000 in the proceeding.	Settlement conferences to solve cost-fiservice and rate design issues in Docket No. RP97-344-000.		Various dates between August 1998 and November 1998	No.	Enron Capital & Trade Resources, Corp., was not an active participant in this proceeding (meaning if did not lake a prosilion, or actively engage in settlement discussions, and did not aftend all other settlement conflerences, if any).
558	Gilmore, Edith A.	Office of / General Counsel	Office of Administration of Seneral ve		Public notice by Yes FERC Trial Staff	All parties in Docket No. RP002-000. Centrust Plepital Company (including Intervence Erron North America Corp.) and FERC Trial Staff.	Settlement conferences to resolve cost-of-service and rate design issues in Docket No. RP00-2-000.		Various dates between November 1999 and March 2000	Various dates between July 13, 2000 Letter Order November approving settlement, 92 1999 and FERC par. 61,027 (2000), Aborth	
559	Gilmore, Relonna J	Office of External Affairs	Office of External Affairs	Ŷ.							
260	Glasgow, Donna	Office of Commis sioner Massey		Š							I have been the primary scheduler in Comm. Massey's effice from May 1933 to the present. I have spoken with several people from Ernor (Joe Hartsce, Donna Fulton, Lisa Yoto, Mancy Bagot) to schedule meetings.
561	Glasgow, Rossell	Office of Markets, Tarriffs, and Rates	Division of a Tariffs and Rates - East		Commission Staff- OMTR Outreach	Commission Staff -Uncertain of everyone - Saida Shalan OMTR Coureach has submitted group listing	OMTR outreach meetings with INGA and EPSA to hear various views on industry issues. Enroh had representatives present		Summer 2001	No specific action. Just Outreach meeting. See Saida Shaalan's response for Outreach meeting	
562	Glasgow, Rossell A.	Office of Markets, Tarriffs, and Rates	Division of Tariffs and Rates - East	£	·			·			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	ments (if any)	atlended two meetings with Errors staff as described by: Karen Robinson - 12/7/2000 Salde Shaafan - 5/30//2001	·					ere publically noticed.	
	VI. Other Comments (if any)	l atlended two meetings wi described by: Karen Robin Salda Shaalan - 5/30/2001						All conferences we	
ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting			Adopted standards developed by the Industry for electronic bulletin boards	1999-2000 No specific action taken	09/19/200 No specific action, but the 0; March meetings may have 15, 2001, provided information used 1999 in formulating actions		The Commission issued a The Commission issued a large 1922 1922 series of orders in Docket All conferences were publically noticed No. KS92-11.	A Commission order was issued in the proceeding.
-	IV. Date range			March 1993 - July 1993	1999-2000	09/19/200 r 0; March 15, 2001, 1999		1992-1992	
Ξ	IV. Give the date		,						9/23/1994
9	III. What was the subject matter(s) of the meeting			Develop standards for plpeline Electronic Bulletin Boards as mandated by the Commission	Staff outreach to industry segments to uncover background conerning their concerns and interests.	Auction proposal for released and pipeline capacity, affiliate Issues		Texas Eastem's Order No. 636 Compliance filing in Docket No. RS92+11	Texas Eastern's one-year report in DCcket No. RP94- 239-000, on its compilance with Order No. 636.
4	II. List all that were present at the meeting			Representatives from all segments of natural gas Industry	Industry members from different segments of the industry	Industry Members		I don't have a list. Most intervenors were present at the various converences.	I don't have a list. Most intervenors were represented at the publically indiced conference.
ш	Office Division (a) Inititated the	Yes See comments		FERC	Markets. Tariffs, and Yes Commission staff Rates	Markets. Parifis, and Yes Commission staff Rates		Yes Commission	Office of Jarkels, Tarriffs, Rates - Est. Yes Commission and Rates
	(a)	Yes	ž	Yes	Yes	Yes	No.	Yes	Yes
o	Division	Market Information	Office of Dam Safety Energy and Projects Inspections	Markets, Tariffs and Yes FERC Rates			Certificates	Rates -	Rates - Es
В	Office	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of Markets. Tarriffs, and Rates	
A	Name	Godding, George A.	Goggins, Charles P.	Goldenberg, Michael General Counsel	Goldenberg, Michael General Counsel	Office of Goldenberg, Michael General Counsel	Office of Goldup, Marianne D. Energy Projects	Goodman, Grace E.	Goodman, Grace E.
П	-	563	564	565	566	567	568	569	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A B C D E F G H I	C D E F	D E F	E F G	F			- -	-	П	ſ	Σ
Name Office Division (a) Inititated the at the meeting	III. List all that were present subject matter(s) of at the meeting the meeting	III. List all that were present subject matter(s) of at the meeting the meeting	III. List all that were present subject matter(s) of at the meeting the meeting	III. List all that were present subject matter(s) of at the meeting the meeting	Were present subject matter(s) of the meeting		IV. Giv		V. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action taken by the Comments (if any) comm. In response to the meeting
Office of Markets. Rates - Yes stelf or ALJ Torritos Torritos Fauses Yes stelf or ALJ Fauses Fauses	Rates - Yes Commission trial (don't have a list East	Rates - Yes Commission trial (don't have a list East	l don't have a list	l don't have a list	·	Tennessee's rate liling in Docket No. RP91-203.	-		1991-1994	Sommission orders were ssued.	The meetings would have been conferences held by the AJ, assigned to the case, or settlement conferences. All would have been noticed.
Office of Markets. Rates Yes INGAA Staff or 1 don't have a list. Filing requirements for NGA Special And Resear and Section 4 and Resear and Section 4 and Resear and Form 2. The meeting would have been prior to or around have been prior to or around that the meeting sound or around have been prior to or around have a list. The properties of the library of the properties of the library and the librar	Office of Markets, Rates - Yes OPPR Staff or I don't have a list. I and Rates	Rates - Yee OPPR Staff or I don't have a list.	I don't have a list.	I don't have a list.		Filing requirements for NGA Flower 2. The meeting would have been prior to or around he line of the Issuance of the INOPTER 3. and RM85-3 and RM85-3.			1994-1995	Final rutes were Issued.	The meding was held in the OPR front office, and the office director, his deputies, andor division directors were present.
Office of Markets. Goodman, Grace E. Tariffs. East Yes Commission I don't have a list. proposed in Docket No. R-01. Rade Rates	Ratiss - Yes Commission I don't have a list. East	Ratiss - Yes Commission I don't have a list. East	i don't have a list.	i don't have a list.		Hourly firm up service, as proposed in Docket No. RP01- 81.			Apr-01	Apr-01 Orders were issued.	The meeting was publically noticed.
Ortice of Markets. Coodman, Grace E. Tarritis. Ratios. And East And East And Fates	Rates - Yes Commission Staff I don't have a list. East	Rates - Yes Commission Staff I don't have a list. East				Texas Eastem's compliance with Order No. 637 in Docket No. RP 00-468.			Jan-01	Action scheduled for 2/13/02 agenda	The meeting was publically noticed.
Office of Markets. Goodman, Grace E. Tarriffs, East Vos Director Rates Rates Are Director Rates Rates Are Director	Rates - Yos Director OPR (don't have a list. East	Rates - Yos Director OPR (don't have a list. East	Yos Erron or OPR (don't have a list. Director	OPR 1 don't have a list.		Presentation of Enron's electronic trading capabilities			19987	19987 Not to my knowledge.	The presentation was held in the Commission meeting room and many EERC employees were present, as well as many Einon staffers (moluding former staff).
Godson, Andre, A. General MTR No	MTR	MTR	NO						·		

				1
×	VI. Other Comments (if any)			
7	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		Eventual resolution of the lectinities is sues regarding from the Probable Maximum Flood.	No
-	IV. Date range		Үеағ 2000	10/17/92 to
Ξ	IV. Give the date			
9	III. What was the subject matter(s) of the meeting		Goar Tommers genedicately assed for my review and comment of drich elemens addressed on the comment of conference of the comment of the conference of the co	Procedural and substantive issues in proceeding
F	II. List all that were present at the meeting		Эвгу Gazmer	All active participants
E	I. Who Office Division (a) Intitlated the		Yes Director, DZSI	Litigation Yes Staff/Northern
Ω	(a)	2	Yes	Yes
၁	Division	Office of General & General Administrati No Counsel ve Law	Division of Dam Safot and rispections (D2SI)	Litigation
В	Office	Office of General Counsel	Office of Energy Projects	Office of General
A	Name	Gorton, Ann E.	Gotzmer, Jerrold W.	Govan, Cynthia A
П	-	577	878	

L	_	٥	(c							
		۰	Т	5	ш	_	9	Ŧ	-	7	¥
	Мате	Office	Division	(a)	I. Who Office Division (a) Inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
089	Govan, Cynthia A	Office of General Counsel	Liligation	Yes							was the supervisor on the following cases interview of the following cases with may have had an farmor eith that intervened and where before the manner of the following dates: Morthern Boarder Polinie Co., FAQA-96-000. Northern Boarder Polinie Co., FAQA-96-000. Northern Boarder Polinie Co., FAQA-96-000. PARTY-26 1071459 (171499). PARTY-26 1071459 (171499). Tansemission Rebu-96 (14094 to 172096). Tansemission Rebu-96 (14094 to 172096). Tansemission Repu-96 (14094 to 172096). Tansemission Reput-96 (14094 to 14094 to 14094 to 172096). Tansemission Reput-96 (14094 to 14094 to
581	Govan, Cynthia A.	Office of General Counsel	Office of Administrati General ve Counsel Littgation	Yes	Commission order in Docket No. EL00 95	Commission order All parties to the proceeding including. Ongoing Trial procedures in Docket No. ELOR Erron and Portland General.	Ongoing Trial procedures		08/01/01 - continuing	None pending	
582	Gramilch, Robert E	Office of the Chairma n	Advisor		Enron trial attorneys	Dick Tabors, consultant to Erron Strath Novosel, Erron Erron attorney (7) Jon Bartus, FERC Trial Staff Judy Cardell, FERC Trial Staff	Settlement conference to second set set of the AEP. CSW merger. Discussed compatition analyses being performed by Erron and Trial Staff. Discussed Erron claims of discriminatory transmission access by merger applicants.		Spring 1999	No. All intervenors' views wee considered as Trial staff negotiated a settlement with the merger applicants.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Х	V. Was any action IV. Date taken by the range Comm. in response to the meeting	I was one of the Commission's expert witnesses in the case.	Alan was a colleague at Lawrence Berkeley National Laboratory.	During the times it was on Commissioner and Chair Moler's Staff 1 attended many courtesy meetings, including meetings with Enron-affiliated pipelines	Briefing on Order No. 637		Series of meetings		Dr. O'Nelli, myself and Virginia Strasser visited Erron headquarters and trading floor. Various Erron employees talked to us and escorted us finough the trading floor. I recall telking to Steve Kean and Bruce Stram. The three of us were in Houston on Stram.
	ſ	V. Was any action W. Give IV. Date taken by the the date range Comm. In response to the meeting	All intervenors' views were considered by Trial Staff.	.No.	ON	No	None	None	No	ON.
5	-	IV. Date range	spring 1999		1992-1994 No		1992-1998 None	2000- present	2000-2002 No	Between April 25- 28, 2000
2	I	IV. Give the date		10/30/2000						
Solution and	9	III. What was the subject matter(s) of the meeting	Open settlement discussions	Brief personal meeting to say helfo while he was in town. We may have talked about. Western physical grid characteristics.	Courtesy visits		INGAA conference on regulatory issues	Nancy Bagot attended environmental consumer advocate. White paper dialog on Natural gas issues	Courtesy visit to introduce Ms. Corman	Visit to the trading floor
i i oni conacci o Erobolinani anta i nombodi	L	II. List all that were present at the meeting	Various meetings including all intervenors in AEP-CSW merger (itigation proceedings.	Alan and myself		INGAA members Including Enron- affiliated pipelines, Marsha Gransee and Willam Murrell, INGAA staff	INGAA members pipeline en prosentative induding Ernon pipeline INGAA conference on employees. AGA representative, regulatory issues FERC staff.	All gas indurstry segments	Donna Fulton, Shelley Corman, Ellen Schait, Michael Bardee, and others	Richard O'Neill, Virginia Strasser, Markha Granseo and Enron employees
	ш	Office Division (a) Inititated the meeting	FERC Trial Staff	Alan Comnes, Enron	Chairman Yes Enron Pipelines	Yes INGAA	Keystone	Keystone	Yes Donna Fulton	Yes Don't know
	۵	(a)			Yes	Yes	Yes	Yes	Yes	
	ပ	Division	Advisor	Advisor		Assistant General Counsel	Front Office Yes Keystone	Front Office Yes Keystone	either Associate General Counsel or Deputy	Associate General Counsel, Gas & Off
	В	Office	Office of the Chairma n	Office of the Chairma n	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsel
	٧	Name	Gramlich, Robert E.	Gramlich, Robert E.	Gransee, Marsha	Gransee, Marsha	Gransee, Marsha	Gransee, Marsha	Gransee, Marsha	Gransee, Marsha
		-	583	584	585	586	587	588	589	290
1	_									

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_								
×	V. Was any action IV. Date taken by the range Comments (if any) range Comm. In response to the meeting	During the time that I served on Commissioner and then Chairman Moler's personal staff as her must gips assistant, I aparticipated in routine routing type assistant, I by vaflous entitles, I have no particular memory of meeting with any Errora-efficiated company staff during this time period.				The state of the s		
	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	No V						
-	IV. Date	1991-1994 No						
-[=	IV. Give the date							·
0	III. What was the subject matter(s) of the meeting	Courtesy visits						
Ŀ	II. List all that were present at the meeting	Commissioner and then Chairman Betsy Moler						
В	the the	Erron (as Enron) Yes and/or gas affiliates						
6	(a)	Yes	o _N	ON	N	ž	Ŷ.	£
O	Division	personal staff	Office of Markets, Division of Tarriffs, Market and Information	Office of System Informati Assurance on Office	Pipeline Certificates	Registry and Service Branch	Division of Financial Services	Office of Markets. Division of and and Litigation Rates
8	Office	Office of Chair Betsy Moler	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer	Office of Energy Projects	Office of the Secretar y	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates
A	Name	Gransee, Marsha	Gratchen, Mark E	Graves, Rose Mary	Gray, Charles W.	Gray, Tiffany M.	Office of the Creen, Chrisandra C. Executiv	Green, Douglas, M.
	-	591	592	593	594	595	596	

Υ	V. Was any action IV. Date taken by the range Comm. In response to the meeting			·
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No.	No.	ςς
-	IV. Date range	•		
Н	IV. Give the date	6/7/1999	6/29/1999	7/28/1999
9	III. What was the subject matter(s) of the meeting	The procedural requirements for the elecensing, fransist, and surrouder (including damenows) of the license for Portland General Electric's Bull Run Project No. 477	The procedural requirements for the relationship, transfer, and surrender (including damerows) for the license for Portland General Electric's Bull Run Project No. 477	The procedual requirements for the relocation, transfer, and surround (relocation) and surround (relocation) and surround (relocation) and the license for Pordland General Electric's Bull Run Project No. 477
ı	II. List all that were present at the meeting	Audie Keil, Director, Hydro Licensing and Water Rights, Proteated General Electric Ton Sulfivan (position of proteated General Electric Ton Sulfivan (position of proteated General Electric Ton Right; PERC, Clinco of Energy Deposits, John Electric Sulfivan (Energy Proteate Reginal Sulfirm; Energy Proteate Reginal Sulfirm; Clow, FERC, Office of Energy Proteate Conv. FERC, Office of Energy Proteated Conv. FE	Julie Kell, Diector, Hydro Licensing and water Regile. Portland Ceneral Electric Ton Mark, Altoney for Deduction General and Ceneral Electric John Esco. Herric, Office of Energy Projects ton Cow. FERC, Office of Energy Projects ton Cow. FERC, Office of Energy Projects ton Cow. FERC, Office of Energy Projects Alan Martinic, FERC, Office of Energy Projects Alan Projects Alan Projects Alan Projects Confice of Energy Projects Alan Proje	(Telephone conference) Julie Keli, Mecco, Hydro Licensing and Water Rights, Pordland General Electric Form And Annery for Perchand General Electric Robin Tomptins (position Electric Robin Tomptins (position Steve Padula, (position unknown) Steve Padula, (position unknown) Steve Padula, (position unknown) Hastrieler, FERC, Pertland, OR, Regional Office, and me.
=	Office Division (a) Inititated the meeting	Yes Electric Company	Yes Electric Company	Yes Electric Company
6	(a)	Yes	≺es	Yes
0	Divísion	Energy Projects	Energy Projects	Energy Projects
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Greenbaum, Alan	Greenbaum, Alan	Greenbaum, Alan
	-	298	299	009
_				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

F	_					
	¥	V. Was any action IV. Date taken by the rasponse Comm. in response to the meeting				
	7	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	No.	No,	None	None
	_	V. Date range			July 26-31, 1998	September 12-19, 1999
2	н	IV. Give I	10/28/1999	3/22/2004		
	G	III. What was the subject matter(s) of the meeting	The procedual requirements for the enforcements and surrender (including alm removal) of the license for pertiand General Electric* Bull Run Project No. 477	The procedural requirements for the relicensing, transfer, and surrender (filotuling dam removal) of the license for Portland General Electric's Bull Run Project No. 477	Company Field Audit in Omaha. Nebraska in conjuction with Northern Natural Rate case filing RP98- 203-000	Field audit in Omaha, Nebraska in conjunction with rate case filing in Docket No. RP99-322-000
	F	II. List all that were present at the meeting	Jule Kell, Director, Hydro Licensing and Water Rights, Portland General Electric Trom Marks, Marmay Director, Trom Markshall, Logardon untronon) Portland General Electric Kewin Markshall, Logardon untronon) Portland General Electric Kewin Derland General Electric Kewin Comera Electric Robert Steles. Stallium Exemply Policies Hospison Hashing Staran, EERC, Office of Energy Projects Hospison Hashing Staran, EERC, Giffice of Energy Projects Hospison Hashing Staran, EERC, Giffice of Energy Projects Hospison Hashing Staran, EERC, Giffice of Energy Projects Peter Microwen, EERC, Ciffice of Energy Projects Peter Microwen, EERC, Ciffice of Energy Projects Peter Projects Stalan Margon EFEC, Ciffice of Energy Projects Peters (2017) Staland Energy Projects Peters (2017) Staland Energy Projects Staland Energy Projects Staland Energy Projects Peters (2017) Staland Energy Projects Staland Energy Projects Staland Energy Projects Peters (2017) Staland Energy Projects Staland Energy Project	Julie Keil, Director, Hydro Licensing and Warder Rights, Portland General Electric Tom Mark, Altomey for Portland General Electric, and me	FERC Staff and Northern Natural employees	FERO Staff Northern Border employees
	Е	I. Who (a) Inititated the meeting	Yee Electric Company	Yes Electric Company	FERC Staff Yes Northern Natural Gas Company	Yes Northern Border
	۵	(a)	Yes	Yes	Yes	Yes
	၁	Office Division	Energy	Energy Projects	Office of Compilanc Markets, e and and Audits	Office of Complianc Markets, e and Tarriffs, Operational and Audits
	В	Office	Office of General Counsel	Office of General Counsel		
	A	Name	Greenbaum, Alan	Greenbaum, Alan	Greene, William, H.	Greene, William, H.
	Γ	-	109	602	603	604
	ᆫ	L				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	8	O		ш	1	ď]	-		2
	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What w subject ma	IV. Give	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range. Comm. in response Vi. Other Comments (if any) to the meeting
605	Greene, William, H.	Office of Markets, Tarriffs, and Rates	Office of Complianc Markets, e and Tarriffs, Operational Rates Audits	Yes	FERC Staff/ Florida Gas Transmisston	Yes FERC Staff Florida Ferc Staff Florida Gas Personnell Gas Transmission	Procedural and Substantive issues in Florida Gas rate case Docket No. RP96-366-000		October 1996 through August 1997	None	
909	Office of Greenfield, Lawrence General Coursel	Office of General Counsel	Markets, Tariffs & Rates		Yes Enron Corporation	Other than a general recollection that the meeting includent myeal and other Commissions staff members, if do not excell spendicable who might have been present, and I have no more or ecords that indicate who attended.	My general recollection is that Errors was considering developing an independent framsmission company.			To the best of my recollector, Error did not make any filing and thus no Commission action was taken.	The above is based on a position reference in a SES (be applicated to summed in a SES (be applicated to summed in a SES (be applicated to summed in a seminal and a semina
	Greer, Brenda L. 607	Office of General Counsel	General Administrati ve Law	8							
	Griebenow, Kevin, C Energy Projects	Office of Energy Projects	Office of Division of Energy and Projects Inspections	Š							
	Griffith, Donald W.	Office of Energy Projects	D2SI-PRO	8							
	Griffith, L. Ann	Office of Markets, Tarriffs, and Rates	Tariffs & Rates - West	ž							

~ **£**

	4	8	O	٥	ш	4		.	-		×
-	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date	V. Was any action taken by the Comm. in response to the meeting	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting to the meeting
611	Gross, Anthony, M	Office of the Executiv e Director	MAPS	S N			-				
612	Gross, Edward A	Office of Markets, Tarriffs, and Rates	West 1	£					-		
613	Grossman, Herbert	Office of Administ rative Law Judges	ALJ	Yes	Yes i did, by public order.	Charles F. Caldwell, Esq., Albert S. Thouse, Esq. of Vincon & Elikir, inpresenting EOTT Energy Operating Partial perferencing, Jany ReMbrock, Esq., Donald Carwon, Esq., of Akin, Gurnp, Strauss, Hauer & Feld, and Bill Gurnp, Strauss, Hauer & Feld, and Bill Gurnp, Strauss, Pauler & Feld, and Bill Co.,	The subject matter of the preheating conference was to establish a procedural schedule in Docket No. OROD. 11-000, a compaint case fled by EOIT Energy (an affiliate of prehimals affect of prehimals (Concording the prehimals).			l set a procedural schedule.	The meeting was a protesting conference set by my order, dated November 8, 2000.
614	Оговятал, Нег ъ ет	Office of Administ rative Law Judges	ALJ	, se	I mitated the Yes telephone conference.	Some or all of the attorneys representing COTT Tenay Coperating To determine whether it was Limited Panestable (an Enroy affiliable), within my gover to mitigate Concoro Pelandia Company, and FERC listedian corpenses that ECOTS Staff who had been present at the prehashing conference on 1106/00, compalled it to withdraw its fur which I have submitted a form; and compalent.	To determine whether It was within my power to mitigate illigation coopered that EUT Ferrery suggested that EUT Ferrery suggested that compelled it to withdraw its compelled.			I determined that there were no expenses that I could milligate that would cause EOTT to confinue in the filligation, ordered the other participants to brief the question of whether EOTT's whithdrawal of the complaint automatically proceeding.	et a
	Gruenke, Diane M.	Office of Markets, Tarriffs, and Rates	Central	2						·	

Response to Question No. 3 in one February 15, 2002 Letter From Senators Lieberman and Thompson

	V. Was any action IV. Date taken by the taken by the Comments (if any) range Comm. In response VI. Other Comments (if any) to the meeting			These meetings are referrd to as the FERC staff/NGAA Accounting Laison Committee meetings. Typically that are one-day meetings hald whose a year, spring and fall. They are an informal fortunn for discussing infrancial accounting and reporting matters affecting purisectional ass pipelines. The protest brother Commission infliatives. The protest include Commission infliatives. FAS cactions, changes to the tax Code and emerging issues.	
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting		00	οι	Subsequently a general representation of the approved by the dominisors by the forminisors by order lessed July 27, 1995 in Model Nos. Rep3-34, RP94-231, C994-254, C994-254, CP94-211, CP94-211, CP94-211, CP94-211, CP94-715, RS92-87 and CP95-13, RS92-87 and CP95-13.
-	IV. Date range				
Ι	IV. Give the date	·		semi-amually	3/30/1995
В	III. What was the subject matter(s) of the meeting		Annual Regulatory Affairs Conference - Order 637, pipeline safety, the gas pipeline certificate process, staus report or market monitoring	Financial Accounting and interest.	A meeting to explain various appects of an Offer of Settlement designed to Settlement designed to Settlement designed to Transvesser nate change and certificate applications filed with the Commission. At this publicy noticed meeting Transvesser notifiered appects of its offer to settle capacity turbuck, rate design, take or-pay and gathering facility issues.
F	II. List all that were present at the meeting		Numerous representatives from industry and FERC staff. See Kenneth Anderson's response for a list of attendees.	Chief Accounting Officers of FERC jurisdictional gas pipelines and members of the Commission's accounting staff (See Wayne Mcdanalis response for a list of attendees.)	A meeting to explain various appears of an Other of Septement designed to resolve mercous entering the septement designed to resolve mercous entering the septement of the septe
3	Office Division (a) Inititated the meeting		INGAA	INGAA	Yes The Commission
۵	(a)	Š	Yes	Yes	
ပ	Division	Division of Tariffs & Rates East	Office of Regulatory Executiv Accounting Yes INGAA e Director	Office of Regulatory the Regulatory Executiv Accounting Yes INGAA Director	Division of Tariffs and Rates - Central
В	Office	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates
Α	Name	Gruenke, Steven, J	Guest, James K	Guest, James K	Guest, Wayne, R
	-	616	617	618	0.9
_					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

G III. What was the subject matter(s) of	F G II. List all that were present subject marter(s) of	F G II. List all that were present subject marter(s) of	F G II. List all that were present subject marter(s) of	F G II. List all that were present subject marter(s) of	G III. What was the subject matter(s) of		T Give		V. Date	N. Give IV. Date taken by the	K I. Other Comments (If anv)
Unice Division (4) Immater une at the meeting subject mater(s) or meeting the meeting the meeting	at the meeting subject matter(s) of the meeting	at the meeting subject matter(s) of the meeting	at the meeting subject matter(s) of the meeting	at the meeting subject matter(s) of the meeting	subject matter(s) of the meeting		-	the date	range	Comm. in response to the meeting	range Comm. in response VI. Other Comments (if any) to the meeting
Office of Division of Markets, Tariffs and Central Commission State Commis	50-80 persons including representatives from Transwestern Pipeline Company. Transwestern's customes, the California PuC and Commission staff.	50-80 persons including representatives from Transwestern Pipeline Company. Transwestern's customes, the California PuC and Commission staff.	50-80 persons including representatives from Transwestern Pipeline Company. Transwestern's customes, the California PuC and Commission staff.	50-80 persons including representatives from Transvestern Pheline Company, Transvestern's customers, the California PUC and Commission safif.	(2 0 0 0 0 0 m o m	All the publicly noticed meeting 1 ransvestem organises about a for 10 central and 10 central and central central and central		4/12/1995		Subsequently a settlement was filled and approved by the Commission by order Stated July 27, 1985 in Docker Nos. RF94-284, CF94-284, CF94-284, CF94-284, CF94-284, CF94-284, CF94-284, CF96-270, CF94-581, CF96-270, CF9	
Office of Dwiston of Markets Tarriffs, Reg Name A response and Commissioners and Commissioners and Commissioners and Commissions of the Upper Commissioners and Commissions of the Upper Commission Suff was a series of panel and Commissioners and Commissions of the Upper Commission Suff was a series of panel and Commissioners and Commis	Various intestate pipoline representatives and Commission staff and Commissioners	Various intestate pipoline representatives and Commission staff and Commissioners	Various intestate pipoline representatives and Commission staff and Commissioners	Various Interstate pipeline representatives and Commission staff and Commissioners	Regulatory and pipeline industry feases for that period industry feases for that period of time. The bro-day meeling representatives and Commission staff was a series of panel decusions for the purpose of all commissions and decusions for the purpose of decusions for the purpose of decusing current issues affecting the pipeline industry.	Regulatory and pipeline industry issues for that period of then. The two-day meeting was a series of panel discussions for the purpose of discussions current issues facing the pipeline industry.			04/28/199 9 - 04/30/199 No	Q.	
Guey-Lee, William Y Energy DHAC No	DHAC		N								
Gunarahe, Athvis Executiv Regulatory No Audits Athvisia Constant	Office of Divition of Executiv Regulatory No Executiv Regulatory No Divector Divector	Division of Regulatory No Audits	2			-	l				
Office of Division of Natives Tariffs and Yes FERC Northern Natural Gas Co. (Mary Kay Rate Schedule Limited Firm Miller) FERC staff Intervenus Transportation (LFT) False Fa	Division of Northern Natural Gas Co. (Mary Kay Rates - Miller) FERC staff intervenors West	Division of Northern Natural Gas Co. (Mary Kay Rates - Miller) FERC staff intervenors West	Yes FERC Natural Gas Co. (Mary Kay Miller) FERC staff intervenors	Northern Natural Cae Co. (Mary Kay Miller) FERC staff Intervenors		Rate Schedule Limited Fin Transportation (LFT)	E	7/20/2000		Order Issued 9-27-00. 92 FERC para. 61,255 (2000)	
Office of Office	DEER	DEER	2								

×	VI. Other Comments (if any)								
f	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	No. Untimately, after numerous settlement conferences, the Staff conferences, the Staff 1994-1995 and the parties reached a settlement which was sporoved by the Commission	No.	No.	No. After many meetings No. After many meetings a settlement was reached which was later approved by the Commission	No.	No.	No.	No.
-	IV. Date range	1994-1995	1991-1992 No.	1997-2000 No.	1996-1997	1998-1999 No.	1998-1999 No.	1999-2000 No.	1994-1995 No.
-	IV. Give the date								
9	III. What was the subject matter(s) of the meeting	Possible Settlement of the rate case.	To try to settle the rate case in Columbia Gas Transmission, RP90-108.	To try to settle the rate case in Trunkline Gas Co., RP96-129.	The purpose of the meeting was to try to settle the rate case in Colorado Interstate Gas Company, RF96-190. I don't remember whether any Enron representatives attended any of the conferences, but they did onterwente.	To try to settle the rate case in Northern Natural Gas Company, RP97-248.	Tp try to settle the rate case in Overthrust Pipeline Co., RP97-301.	To try to settle the rate case in Kansas Pipeline Company, RP99-485.	To try to settle the rate case in KN Interstate Gas Company, RP94-93.
4	II. List all that were present at the meeting	Any participants or parties in Colorado Infersible Sea Company, RP93-99, a Prossible Seas rate case who chose to attend the rate case, conferences	Any participants or parties that chose to attend. I don't remember whether any Enron representatives attended.	I don't remember who attended all of the conferences but Enron intervened in the proceeding.	This was a series of informal series of informal participant conferences. Any participant or party was free to attend the meeting.	I don't remember who attended the Staff for settlement conferences but an Enron company. Ves conferences Morthern Natural Gas Company filed the rate case.	i don't remember who attended the meetings but Enron intrvened in the proceeding.	to try to settle the rate case attended at of the meetings but Enron in Kansas Pipeline Company intervened in the proceeding.	I don't remember who altended the All participants and settlement convenence or whether any telegation Tyee parties in RP94-93, Enron representatives attended but Enron did intervene in the case.
Е	Office Division (a) Inititated the meeting	Staff Informal Yes Settlement Conferences	Staff. It was a // Yes settlement conference.	Yes conferences		Staff for settlement conferences	Staff-settlement conferences	Yes conferences	All participants and parties in RP94-93,
	(a)	Yes	Yes	Yes	Yes	Yes	Yes		Yes
O	Division	Litigation	Litigation	Litigation	Litgation Yes Staff	Litigation	Litigation	Litigation	
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
4	Name	Hadlock, Lorna J	Hadlock, Łorna J.	Hadiock, Lorna J.	Hadlock, Lorna J.	Hadlock, Loma J.	Hadlock, Lorna J.	Hadlock, Lorna J.	Hadlock, Lorna, J.
	-	626	627	628	629	630	631	632	633

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

										
Σ	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	energen eine Gescher und der Gescher d	makan ma	Andrewsky components of the co	ere plantere i mare de de desprise e se proposado de la composição de la composição de la composição de la comp	energement data (Poppe populario mana a mana populario mana persona da mana persona da mana persona da mana pe			eleventojo mateka kandojo erakojo verantojo erakojo er	
5	W. Give IV. Date taken by the the date range Comm. In response to the meeting	No.	No.	No.	No.	No.	No.	No.		
-	N. Date range	1994-1995 No.	1992-1993 No.	1992-	2001-2002 No.	1998-1999 No.		1999-2001 No.		
Ξ	IV. Give the date					-				
G	III. What was the subject matter(s) of the meeting	To try to settle the rate case in Missippi River Transmission, RP93-94	To try to settle the rate case in Quester Pipolinc Co., RP91 140	To try to settle the rate case in Tennessee Gas Pipeline, RP91-203.	To iry to settle the rate case in Colorado interstate Gas Co., RP01-350.	To iry to settle the rate case issues in troquots Gas. Fransmission System, RP04-72.	To try to settle the issues in Sea Robon, CP95-169.	To try to settle the rate case in Yentos Gothering system, RP01-196.		
4	II. List all that were present at the meeting	I don't remember who attended the conferences but Enron intervened in the rate case.	I con't remember who attended the settlement confernces but Enron intervened in the proceeding.	I don't remember who attended the sottlement conferences but Enron intervened in the proceeding.	I don't remember who attended all of the meetings but Enion intervened in the proceeding.	I don't remember everyone who attended all of the meetings but Enron intervened in the proceeding.	I don't remember who attended all of the settlement conferencesbut Enron frietvened in the proceeding.	I don't remember who atended at of the conferences but Enron intervened in the proceeding.		
E	i. Who Office Division (a) inlittated the meeting	Litigation Yes Staff settlement	Yes Staff- Settlement Conferences	Yos Staff - Settlement Conferences	Litigation Yes Staff-settlement conferences	Yes Slaff-settlement conferences	Yes Staff-settlement conferences	Litigation Yes Conferences		
	(a)	Yes		Yes	Yes	Yes		Ϋ́es	ž	Š
o	Division		Litigation	Litigation		Litigation	Litigation		DEER	OED. MAPS
8	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counset	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of the Executiv e
Ą	Name	Hadlock, Lorns, J.	Hadlock, Lorne, J.	Hadlock, Lorna, J.	Hadlock, Lorna, J.	Hadlock, Lorna, J.	Hadtock, Loma, J.	Hadlock, Lorna, J.	Hall, Charles, R	tish, Jason C.
	-	634	635	636	637	638	639	640	641	24.5

	A	6	c		ш	ı			[-	-	۷
	Name	Office	Division	(a)	ŧ	III. What was the subject mater(s) of at the meeting the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action taken by the Comm. in response to the meeting	V. Was any action N. Give IV. Date taken by the the date range Comm. in response to the meeting
643	Hall, Marie-Beth	Office of Markets, Tarriffs, and Rates	Office of Office of Markets, Chief and Advisor Rates	No							
644	Hamilton, Joseph	Office of the Chief Informati on Officer	OCIO	°Z							
246	Office of Markets, Hammack, Robert E. Tarriffs, Litigation and Rates	Office of Markets, Tarriffs, and Rates	Litigation	2							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

i 117

~	VI. Other Comments (if any)	Phor to 1989, the accounting frequence that seed of FRCs, the Office of the Natural Gas Association of America Marked Casteria Parased out, All MRAAA members competies as the Seed out, All MRAAA members of Marked Dashed Casteria Marked Ma
7	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No. these meetings were to obtain a special process of the start of th
E	IV. Date range	
Ξ	IV. Give the date	11/8/1996
ව	III. What was the subject matter(s) of the meeting	Gas Industry Lilison Accounting Group, Fair meeting with EFEC, ANA Movember 6, 1996. See November 6, 1996. See Oppics addressed at this meeting.
F	II. List all that were present at the meeting	ANF. Elli Johnson, Alporquini-Sarah Juon Slaby, CVGS, William Sulte, CNG, Suchigler GG, GG, Ella Horner, CNG, Cholmbies Gay Barard, Columbia: Gay Barard, Columbia: Gay Barard, Columbia: Gay Barard, Columbia: Gay Barard, Calumbia: Gay Barard, Calumbia: Gay Barard, Calumbia: Columbia: Gay Barard, Calumbia: Gay Barard, Columbia: Gay Barard, Carawaki, Panthadie: David Frederick; Southern Nat Houra Heroderick; Southern Nat Norma Heroder
ш	Office Division (a) Inititated the meeting	Office of Market Market Markets. Markets Tairlifs, Developmer Yee Lisson Group Feliss
	(a)	Ves
ပ	Division	Market Developme
В	Office	Office of Markets, Tariffs, F Faires
٧	Name	Kanagami, Lyle T.
	-	946 846

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

. ¥

Х	V. Date taken by the range Commin in response to the meeting	Profor to 1999, the accounting representatives of FERC, the Office of the representatives of FERC, the Office of the Natural Gas Asscribblion of America. [NUSAAN) member companies net havine a (INDAAN) member companies net havine a passer in the spring and fail, at a hotel in washinghout DC. Usabalis, a higher lavoid member companies and the surface (NP of Controller) of the various case. And the Controller of Controller of the Controller of the various case. The Controller of Controller of Controller of the Controller of the Controller of	
ſ	V. Was any action W. Give IV. Date taken by the the date range Comm. in response to the meeting	No, these meetings were to discuss gas industry to discuss gas industry constrains an accounting issues, and not specific Commission.	
-	IV. Date range	,	
Ξ	IV. Give	4/24/1997	
9	III. What was the subject matter(s) of the meeting	The purpose of these meetings were to discuss concluding issues of general concern to the gas factor of general concern to the gas factor and	
LL	II. List all that were present at the meeting	William Intersidate. Nets Racilie. Countrible Goss Transic Geige Bane, meetingsywee to fibeuses william blassin Store Bjotz. Transcountment Goss, all blaume; Corcounting stease of general regression of the Transcountment Goss, all blaume; Corcountment Goss, all blaume; Corcount blaumes and contrava e complete last Pragrands. Programmer Corc, Mellagan Goss, Susammer Harris, Clic Cland Corcountment, Clic Cland Corcountment, Clic Cland Corcountment, Clic Cland Corcountment, Clic Cland Marval. Blob Morgan; Englanding or best on the sease and contraval and contraval contrav	
ш	i. Who Office Division (a) Inititated the	Office of Market Market Trainfish. Developme Yes (Jason Group Rates of the Control of the Contro	_
	(a)	se ,	
O	Division	Market Developme nt	
В	Office	Office of Markets. Tarriffs, I and Rales	
¥	Name	Hanagami, Lyle T.	
	-		647
_			_

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		· · · · · · · · · · · · · · · · · · ·						
×	VI. Other Comments (if any)	Prior to 1999, the accounting representatives of FERC, the Office of the Other Accountant (Oxy, and Interestal Matural Gas Association of America (INGAA) menture companies may the hole as (INGAA) member companies may have a language of the companies of the hole of the companies of the hole of the companies of the hole of the companies of the co						
7	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	No, these meetings were concerns an accounting concerns on accounting concerns on accounting seuse, and mut specific seuse speriding before the Commission.						
-	IV. Date range							
. =	IV. Give the date	10/22/1997						
9	III. What was the subject matter(s) of the meeting	The purpose of these meetings were to discuss accounting testes of general concern to the gas findustry. I do not have a list of the topics that were discussed.						
F	II. List all that were present at the meeting	The purpose of these meetings were ut decutes the purpose of these meeting. The purpose of the purpose of the purpose of the purpose of general meeting. The purpose of the purpose of pu						
Э	I. Who Office Division (a) Inititated the	Office of Market National Market National Market National						
	(a)	Yes						
၁	Division	Market Developme nt						
8	Office	Office of Markets, Tarriffs, and Rates						
A	Name	Hanagami, Lyle T.						
	-	648						
ш								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Prior to 1999, the azcrounting members between 1999 the accounting Core of the Corle Accounting COCA), and intersal in Natural Gas Association of horiestal and a horie in Washington DC. Usudily, a figher fived accounting officer (Ver Occinitelly) of the Washington DC. Usudily, a figher fived accounting officer (Ver Occinitelly) of the Washington DC. Usudily, a figher fived in member companies, the on a smaller scale, Sometime in 1998 or 1999, these meetings were the bad with the Edison Efectic Institute (EE) and their immember companies, the on a smaller scale Sometime in 1999, these meetings were to without a butter of the Usudily Extra of Illiands. Usudily, Wayner McDanal, (EERC) and John Amer Stricks of the gas industry. Occadinating issues were schemifted by both INGAA, and these neetings would be discussed at these meetings.	
	V. Was any action taken by the Comm. In response to the meeting	No, these meetings were for discuss gas furtistry to discuss gas furtistry in contract so necounting seases, and not specific seases, and not specific commission.	On January 28, 1996, James Guest, Director, James Guest, Director, Guestor,
[IV. Date		01/07/199 8, 01/08/199 8, 01/09/199
2	IV. Give	4/23/1998	1/7/1998
	III. What was the subject matter(s) of the meeting	Accounting issues of concern to the Industry	Re: AC97-181-000. Company Transvestems Company Transvestems Sp8.37 Pequest to Adopt Faced Asset Method of Accounting for System Gas. Collow-up to FERCs 11/26/97, FAX question and Transvestem's response.
	II. List all that were present at the meeting	attended this meeting but I do not have a complete Ist of the EFEC, COA participates. My guess that it would include all higher twest minagers, such as Branch Chiefs and Directors.	yle Hanagami (FERC) and Both Chandler (Transverstern) on 17098. Lyle Hanagami (FERC), Both Chandler (Transverstern) and George Fastuca (Transverstern), George (Transverstern), George Fastucal(Transverstern), George Fastucal(Transverstern) on 1998.
ш	I. Who Inititated the meeting	Office of Market Market Market Territy. Developme Yes Liteson Group Rates	Office of Market Tartific Developme Yes Lyle Hanagami and Arabes of the Company o
٥	<u>a</u>	Yes	Yes
ن	Office Division	Market Developme nl	Market Developme nt
ď	Office	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, I and Rates
٨	Name	Hangami, Lye T.	Hanagami, Lyle T.
	-	649	020

V. Was any action
IV. Give IV. Date taken by the
the date range Comm. in response to the meeting to the meeting Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who intitiated the meeting S_N Š Š £ Š S ş Office of Division of Markets, Administration Tarriffs, on & Case and Tracking Office of Dam Safety. Energy and Projects Inspections Tariffs and Rates Central Central Office Division u.a. ' DLM Office of Markets, Tarriffs, and Rates the Office of the Executiv Office of Markets, Tarriffs, and Rates Office of Administ rative Law Judges Hannan, Charles F ndley, Charlotte A. irding, Richard C. arding, Peggy A. Hannan, Darla E. Harfeld, David 1. Name Harley, Dora C. 652 653 656 651 654 655

__ 2

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A B C D E F	0	٩	L			L	e		-		
the at the meeting	II. List all that were present at the meeting	II. List all that were present at the meeting	II. List all that were present at the meeting	II. List all that were present at the meeting	were present	= 3 €	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action W. Date taken by the action in response (If any) to the meeting
Office of Markets, Energy Yes Chief Accountant personnel the rames of the Enron Final Rates	Office of Markets, Energy Yes Chief Accountant personnel he names of the Encon and Rates	Energy Yes (The Office of the Do not recall the names of the Enron Markets	The Office of the Do not recall the names of the Erron Chief Accountant personnel	The Office of the Do not recall the names of the Erron Chief Accountant personnel	<u> </u>	Fina Subs	Friancial audit of Transwestern (Enton subsidiany)FERG Form No 2		7/1994 -	Yes, soveral accounting issues were taken for noncompliance	Performed a comprehensive financial audit of their EERC Form No.2, Annual Rapport of Major Natural Gas Comprehensive. Our ream concession of a manager, two senior and one pulso and auditor. We concluded the audit in 1994 for the financial reporting species 1990, 1983. The scope of the audit was to determine compliance or one-compliance with the audit was to determine compliance or one-compliance with the audit was to determine compliance or one-compliance with the audit was to determine compliance or one-compliance with the audit was to determine formed and the surface of the reducting sease at which problem to the Provision of the network of the pulson of contracts or the company presonnal we worked with during the audit.
Harris, Sherielle Evecutiv MAPS No	MAPS	MAPS	No								
Office of Office of Markets, Division of Hart-Herris, Yolanda, Tariffs, Tariffs and No and Rates-West Rates		Division of Tairlis and No Radas-West	ON.								
Office of the Partmann, Shelley Executive MAPS No breador											
Hastreller, James, L. Energy Engineerin Yes, Manager And Manager Holoct Jim Hastreiler Getrom decom	Environme Intal and Engineeth' Yes John Ester-Project Jim Hastretter Presenter Yes Manager 9 Review	Environme Intal and Engineeth' Yes John Ester-Project Jim Hastretter Presenter Yes Manager 9 Review	Yes John Esler-Project Jim Hastreiter			Bull Ru decom	Bull Run Project (p-477) decommissioning		06/01/200 0 12/02/200		These communications were monthly phone calls concerning status of the decaministination process.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

71	V. Was any action taken by the range Comments (if any) to the meeting to the meeting		The details of the September 19, 2000 meeting are contained in the submittal of the Cor Crow of the Office of Energy Projects. Division of Environmental and Engineering Review (PJ-11).		information from all sessions, along with written comments, was used to assist in developing the RTO Final Rule (Order No. 2000).
-	rı Se VI. O				Inform writen develo
-	IV. Give IV. Date taken by the the date range Comm. In respons to the meeting		See comments below.		
	IV. Date range				
	IV. Give the date		9/19/2000		7/12/1999
9	III. What was the subject matter(s) of the meeting		Lake Elsinore Advanced Pumped Storage Project (formerly PN-11504-000). See comments below.		This session was one of about the outread meetings with available meetings with available meetings prougs accordance measurement of the major industry sections involved in the establishment of Regional Transmission Groups. The purpose was to provide meight on the RTO NOFP from EFECK Staff and to learn what suggestions and concerns the industry had This session focused on meregy marketies and fact from meregy marketies and coursed on thankson of Several marketies and coursed on transmission coursed to thankson of Several marketies and coursed on transmission courses to build provide provide coursed on transmission courses. Public power interests, among users ISOs, and government agencies.
4	II. List all that were present at the meeting		Lon Crow et al. for the Commission and representatives of a number of outside parties, including Enron North America Corp. See comments below.		This session was one of about the outseth meeting the care of about the notineach meeting about the notineach meeting about the notineach meeting about the notineach of a session for session for a session for a session for session for a ses
ш	the		Yes below.		FERC
6	<u> </u>	2	Yes	Š.	Yes FERC
ن	Division	Office of Tariffs Markets, and Rates and Branch Rates	Energy Projects (GC-11)	Division of Dam Safety and Inspections	Office of Tariffs and Tariffs. Rates. Rates Central Central
-	Office	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Energy Projects	Office of Markets, Tariffs, and Rates
4	Мате	Hasty, Adrian R.	Hathaway, Merrill	Hawk, John, K.	Haymes, Alan D.
Γ	-	663	664	665	
L.					

124

This was a regular meeting of the stakeholder group that provides input to the PJM Board on tariff items. VI. Other Comments (if any) V. Was any action
IV. Give IV. Date/taken by the
the date range Comm. in response
to the meeting 5/25/2000 8/7/2000 Alan Haymes, FERC, Joe Bambenek,
Chonstoners Energy, Thom Woulder,
MISC, Don Douglas, Energeney, Many (locu whose assignment was
Synday, Denog, May, Applit, UsiliCorp., to work out solutions to
Synday, Forta Bronn, Rody, Saee,
Synday, Forta Bronn, Rody, Saee,
Costin, MISC), Te3 Farm, AEP, Mark
Midwest and Aliance RTOs. MAAC Restructuring, Order No. 2000 Compilance; No. 2000 Compilance; Reactive Power Tariff Provisions, Revisions to the PAM Operating Agreement; Prointly Provisions, Alternative Dispatch Procedures III. What was the subject matter(s) of the meeting December Aller Berry Spotor Down, Harry December An Her Berry Spotor Berry Francis Power Spotor Spotor Spotor Competition Power Spotor Competition Power Spotor Competition Power Spotor Competition Power Spotor Spotor Competition Power Spotor Competition Power Spotor Spotor Spotor Spotor Power Marshing The December Spotor Spotor Power Marshing The Spotor Sp II. List all that were present at the meeting d Midwest ISO and C Ves Alliance J. Companies I. Who
(a) Inititated the meeting Yes PJM Division Tariffs and Rates -Central Tariffs and Rates -Central Office of Markets, Tarriffs, and Rates Office of Markets, Ti Tarriffs, and Rates Office Haymes, Alan D. Haymes, Alan D. Name 668 299

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	V. Was any action IV. Date taken by the range Comments (if any) range Comm. in response to the meeting		
P	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	É	
-	IV. Date range		
Ξ	IV. Give the date	10/20/2000	
9	III. What was the subject matter(s) of the meeting	FERC Staff Invited the participations and subscholders in the New England proposed RTO in the New England proposed and the possibility of adopting and the possibility of adopting parties.	
<u>.</u>	II. List all that were present at the meeting	Altan Haymes, Julia Tuzun, Amy Blauman, Roland Weetwoch, Bidan Dunn, Blein, Gald, James Akrar, Leon Junn, Blein, Gald, James Akrar, Leon Marandre and Marker, Leon Marandre and Marandre, Erent Clean Fellon, Ernow Mary Vasile, David Fellon, Ernow Packin, Northeast Hayman, Mary Vasile, David Gouglands, Love Rackin, Northeast Lillimiss, Arden and Judy, Bangor Hydro, Mike Small, Bongar Hydro, Claik Obress, Derost David, Mick Julia Marker and Jewing Cell Seat Banasis, Marker College, Tom Backer, Hober Border, Gentler Pigm, Ball David, Mordermon, Marker (2) Seat Banasis, Mordermon, Marker (2) Seat Banasis, Mordermon, Marker (2) Seat Banasis, Mordermon, Marker (2) Seat Banasis, Morder, John Chaye, Bigt T, Masthead Consequents, Fellon Marker, Saland Shallor Marker, Saland Seat Mork, Kathlerer Cantiguan, Bold Salandre, Seat Bosher Goldershmidt, United Binantine, S. Pellahard M. Levenzo, Hubel Lawrence, Sleve Gowwood, CMP, Paul Krawerzyk, NSTAR, Steve Telether, Danne Morris.	
ш	I. Who Inititated the meeting	Yes FERC Staff	
6	(a)	Yes	£
O	Office Division (a)	Wite of Wite of Tariffs and Sawkrists. Rains and Pariffs. Rains and Central	OGC-MTR
8	Office	Office of Markets, Tariffs, and Rates	Office of General Counsel
4	Name	Haymes, Aun O.	Hecht, Rachel R
Г	-	699	670
_			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	any)	Avarious limes, during the period July 1992 through December 2, 2001, I have bedeatic utility fundanty and concasts for the electric utility fundanty and concasts for the electric utility fundanty and concasts for the period of the period o	(Contri) Prefilling meetings were generally related to proposed applications, what appellication was required to be included in mining and without a confinition and minings were moreoted. Many of the prefilling meetings may disting and without a competition. No action was attained from competition. No action was attained by the Commission regarding these meetings. Technical confierces were meetings. Technical confierces were acted by the Commission in an order, or action was a risked by the Commission in an order, or intending these tending the industry ordered. Commission action in the automore of these meetings. How automore of these meetings, the moutome of these meetings. How meetings in the moutome of these meetings in the moutome of these meetings.
_	ants (if	Avarious times, during the period July 1992 through December 2, 2001, 1 have obedric utility industry on Corporate between the 1992 through products for the control of the 1992 through through the 1992 through through through the 1992 through throug	Conrid Prelling meetings were generally related to proposed applications, what all makes and water specifically was required to be included in many or the prelling meetings are moreoted. Many of the prelling meetings moreoted in the proposed arranaction or competition. No action was the by the Commission regarding these meetings. Technical conferences were meeting, such commission in an order, or understanding based meeting to meeting the moreoted with the commission in an order, or understanding these meeting a their depending of these meetings are meeting to the moreoted with regards to any of these meetings.
	Comm	nes, durin Decembra Several F Several F Industry Ried purs Industry In these In these In these In these In these In these Includion with Sion) with Sion) with Sion) with Sion) with Sion) with Sion at several at Sion at several at s	illing mee aposed a sposed a sposed a sposed a sposed a whether my of the en effect and compe compared in the discussion of the sposed and committee and sposed and committee and sposed and the discussion fact.
	. Other	At various fir 1992 through been one of the ord been one of the ord been one of the FPA. Do separate the FPA or separate the Commiss protectic tech three Commiss protectic tech three Commiss or separate the Commiss of the	ont'd) Prelated to proceedings and self-cally very fillings and eded. Manocement it in reaction can by the real by the really prelatings. The delings and by the medic issu medi
\vdash	tion VI	A P P P P P P P P P P P P P P P P P P P	O 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9
-	any ac y the in resp		
	V. Was any action taken by the Comm. in respons to the meeting		
-	IV. Was any action IV. Date taken by the range Comm. in response to the meeting	July 1992 - December 2001	
Ī	IV. Give IV. Date taken by the the date range Comm. In rest to the meetin		
\vdash			
9	ras the itter(s)		
	III. What was the subject matter(s) of the meeting		
\vdash			
	II. List all that were present at the meeting		
L	lat were		
	II. List all that at the meeting		
L			
Ш	ed the		
	I. Who Inititated meeting		
Ω	(a)	Yes	
၁	Office Division (a) inititated the meeting	Office of Variets. Tarriffs, Rates West Yes. and Rates	
В	Office	Office of Markets, Tarriffs, F and Rates	Office of Markets. Tarriffs, and Rates
	<u>e</u>		niej. 7
A	Nаme	(Line 1 of 2)	Hedteng, Daniel, T. (Line 2 of 2)
П		Не (Li)	물리

etter 127	J Y	V. Was any action IV. Give IV. Date laten by the the date range Comm. In response IV. Other Comments (if any) to the meeting							
2002 on	_	IV. Date range		:					
ıry 15, nomps	Ξ	IV. Give the date							
3 in the Februa	ຍ	III. What was the subject matter(s) of the meeting							
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	11	II. List all that were present at the meeting							
Respons	Ш	Office Division (a) Inititated the meeting		-					
	٥	<u>(a)</u>	2	2	Š	2	Ŷ.	o N	g
	0	Division	Office of Administratificaccutiv ve Support No e Staff	Issue Markets, n and Tarriffs, Resolution and Manageme Rates nt	Chief Economic Advisor	MTR	Central	Rates • West	Division of Pipeline Certificates
	В	Office	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
	A	Name	Hedberg, Heldy L	Hegerle, Mark E.	Helman, Udi	Henry, Cynthia, M.	Hensley, Erica Lynn	Herbert, W. Keith	Office of Division of Hernandez, Sheila D. Energy Proline Projects Certificates
		-	673	674	675	929	577	879	670

VI. Other Comments (if any) V. Was any action
Pe IV. Date taken by the range Comm. in response to the meeting Commission approved the settlement agreement. Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson 1994-2001 1994-2001 1995-96 5/95 -IV. Give the date III. What was the subject matter(s) of the meeting type of facility being constructed under prior notice annual reports under 157,207 and 284,11 environmental compliance annual reports under 157.207 and 284.11 environmental compliance environmental compliance Rate increase litigation Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border II. List all that were present at the meeting Parties to Docket No. RP95-185 Northern Natural CP96-660 Vorthern Natural CP97-598 vorthern Natural CP98-146 Vorthern Natural CP00-46 answestern CP-327 Vorthern Natural Northern Natural l. Who Inititated the meeting Trial Staff, Parties to the case same as above (a) Yes Division Market Oversight and Enforceme nt DEER DEER DEER DEER DEER DEER DEER DEER DEER Office of General Office Office of Energy Projects rting, Mary M. ertling, Mary M. rting, Mary M. erting, Mary M. Heydt, Donald A. rlling, Mary M. rtling, Mary M. ertilng, Mary M. ertling, Mary M. ertling, Mary M. Name 680 684 688

689

	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting	EPMI was an acitve intervenor.	Portland General Electric was an active intervenor.	EPMI was an intervenor	EPMI was an intervenor	Meeting included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case.	Meetings included pretrial conferences, settlement conferences and telephone conferences and telephone conferences on the issues in the rate case.	Meeting included pretrial conferences, settlement conferences and telephone conferences and a hearing	Meeting included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. Enron Power Marketing, Inc. was a party in the proceeding	Meetings included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. Enron Power Marketing, Inc. was a party in
	7	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Settlement offer pending before the Commission	1999-2000 Initial Decision pending before the Commission.	Commission approved 1999-2000 the settlement agreement.	1997-1998 Commission Approved the Settlement	Yes. The Commission approved a settlement offer, ending the case. 76 FERC para, 61,109	No. A contested offer of 1997-1998 settlement is pending before the Commission	No. An initial decision by the Presiding Judge is pending before the Commission	Yes. The Commission approved a settlement offer ending the case. 92 FERC para. 61,114	Yes. The Commission approved a settlement offer, ending the case. 83 FERC para. 61,247
	-	IV. Date range	1997-98	1999-2000	1999-2000	1997-1998	1995-1996	1997-1998	1999-2000	1999-2000	1997-1998
	r	IV. Give									
	9	III. What was the subject matter(s) of the meeting	Formula rate for power exchange	Interconnection Argreements	Tariff Unbundling case	Management costs sought by CAL ISO	Northern Natural Gas Company rate case, Docket No. RP95-185	California Power Exchange Corporation rate case, Docket Nos. ER98-210 and ER98- 1729	Slerra Pacific Power Co. transmission interconnection case, Docket No. ER99-28, et al	PJM Interconnection, LLC rate case, Docket Nos. ER00- 298 and EL00-41	California Independent System Operator Corporation rate case, Docket Nos, ER98- 211
4	1	II. List all that were present at the meeting	Parties to Docket No. ER98-210 and ER98-1729	Trial Staff, Parties Parties to Docket No. ER99-28, EL99- to the case 38 and ER99-945	Parties to Docket Nos. ER00-299 and Tariff Unbundling case EC00-41	Parties to Docket No. ER98-210	Northern Natural Gas Co., Trial Staff, other parties, Presiding Judge	Enron Power Marketing, Inc., Trial Staff, other parties, Presiding Judge	Portland General Electric Co., Trial Staff, other parties, Presiding Judge	Presding Judge, Trial Staff, parties	Presiding Judge, Trial Staff, Parties
	П	Office Division (a) Inititated the meeting	rial Staff, Parties o the case	Trial Staff, Partles to the case	Trial Staff and Parties to parties to the case EC00-41	Trial Staff and parties to the case	Presiding Judge, Trial Staff, Northern Natural Gas Co.	Presiding Judge, Trial Staff, Enron Power Marketing, Inc.	Presding Judge, Trial Staff	Yes Trial Staff , Parties	yes Trial Staff, Parties
	9	(a)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
,	ر	Division	Market Oversight and Enforceme nt	Market Oversight and Enforceme nt	Market Oversight and Enforceme nt	Market Oversight and Enforceme nt	MOE	MOE	MOE	MOE	MOE
0	۵	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
<		Мате	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.	Heydt, Donald A.
	I	-	069	691	692	693	694	695	969	269	869
_	_									_	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

... 2

-							
	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting					I included this conference solely because ferror is a member of INCAA and had employees in afterdance.
	7	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting					<u>ş</u>
	-	IV. Date range					30/1999
	I	IV. Give the date	·				
	O	III. What was the subject matter(s) of the meeting					The theme of the conference was "Market Diven awas "Market Diven Exposation". Confers and Change." The panel subjects finduded "Construction/Centificate Sconstruction/Centificate Construction/Centificate Construction." Tegglading Octorogenes." Tegglading Octorogenes." Tegglading Octorogenes." Tegglading Sissues." Septil Team Piciling Glasses." Septil Team Market! and "Roundable Discussion with Commissioners".
I ou control Picaciman and Inompor	عنا	II. List all that were present at the meeting					I do not recall specifically who was in alternature. However, the conflictions appeted lists the following as industry speakeds or conference of fill-disk. Shelly Commer file from, Domes Fullor History, Chountab. Harry Misses (Columba). Harry Wilses (Columba). Harry Wilses (Columba). Phylin Huckabes (Sempta). Share Misses (March Tomatia (PostE). Amor Contrans (Kin Tomatia). Pullor Misses (March Tomatia). Judy Nasson (Williams). Dan Devide (March Gas Dept Link), Bill Grygar (Orice Frengy). Seara Russell (Christ Frengy). Seara Russell (Christ Frengy). Permy Luckay (Fellow). Eller Vallacine (NE Energy), and Eller Vallacine (NE Energy), and Sileven L. Hotton (Lolo Energy).
	ш	Office Division (a) Inititated the meeting	-				Intersate Natural Gas Asas. of America
Ī	۵	(3)	δÑ	No	N N	ž	Yes
	ပ	Division	MTR	DHAC	Office of Markets, Market Tarriffs, Information and Rates	Division of Environme ntal and Engineerin g Review	Legal Advisot
	В	Office	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Commis stoner Massey
	A	Мате	Office of Higginbottom, Samue General Counsel	High, Shana, C	Hill, Craig, A	Hill, Jennifer	Office of Commis Massey Massey
Ī		-	669	700	701	702	602

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

В		o	0	Н	ц		ļ	-		4
Name Offic	Se Div	ision	(a)	the	II. List all that were present at the meeting	III. What w subject ma	IV. Give the date	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
Office of Commis Hassey Hassey	of states				(Control) The conference agenda shows the following FERC Staff speakers. After Fermands, EM or Americal Fermands and Particular Staff should be conferenced and the conference of the conference	The theme of the conference was varietted Driven was available. Or charles of Change, "The panel subjects included "Construction/Certificate "Construction/Certificate Stasses," "Sequilating Convergence," Sequilating Convergence, "Sequilating Convergence," "Sequilating Convergence," "Sequilating Convergence," "Septiment Periods Issues," "Stort Term Natient," and "Roundable Decussion with Commissioners' Assistants."		-		

Letter	
/ 15, 2002 Le	
=	
February 1	Ī
in the	
က	-
ŝ	
se to Question No. 3 in the February	
0	
Response to (L

From Senators Lieberman and Thompson

X	V. Was any action IV. Date taken by the range Comments (if any) range Comm. In response to the meeting	The conference was included solely because Erron is a member of NGAA and had a few employees in altendance.	
ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	O _V	
-	IV. Date range	19/2000	
Ē	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	The MKSAN Regulatory Mains Conference is held ammally and had as list bloost "The Plottin to Clean Exergy." Pland to Uchan Exergy." Pland to Warwing at the Speed of Lehthor's An Wear Load. "The News Joan". "Breaking Ground in a New Exerging the Speed of Charlownment." Technology Changes ERCC. "Pipelines Charlomess," and "The View at the Top."	
F	II. List all that were present at the meeting	ween in standardiene However, the members some institution of the Michael American Speakers. The members speakers supported support supports the following as speakers. Bright Charlot School Full Support School School Full Support School School Full Support School Full F	
Е	I. Who Office Division (a) Inititated the	intersiste Natural Gas Assir. of America	
	(a)		
S	Division	Legal Advisor	
В	Office	Office of Commis Sioner Massey	
A	Name	Office of Gameria Hillard, Andrea Ridding Soneri Massey	
1	ا ا		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

X	V. Was any action a careful and the comments (if any) and comm. In response to the meeting	I hourded this conference solely because Error is a member of INCOA and had employees in attendance.	
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	ć.	NO,
-	IV. Date range	06/16. 18/2001	
Н	IV. Give the date		4/18/2000
9	If. What was the subject matter(s) of the meeting	The conference there was From the Plot Light to the Spot Light. The panels for Louded Narket Realites. Pippeline Safety Updan, "Departed Safety Updan," Chode 673 and New. "Awfaret Moritaring Lighters," "Andrea Moritaring Line Vision Ferrory Plots," and The Vision Ferrory Plots, and Commissioners' Assistants	The meeting was a demonstration of Erron Orline.
11.	II. List all that were present at the meeting	to not know all of the altendees, but the following are listed in indee conference pages are specially accordance application as populine industry sposekers. Namy Bagod Helton, Shield, Comman (Farron, Judy Wassan, Williams, Janice Apperl (Farrong) Andreas, Marchiams, Janice Apperl (Farrong) Andreas, Marchiams, Janice Apperl (Farrong) Andreas, March Comman). Cont Mohatt (Van Noss Fedhaman), and Robun Jones (Milliams Baski). The following FERC Staff members are staying as selections; and recommendation of the staying and selections and Kevin Inged Mortan, Can Latrang, and Kevin Miller, Andreas Wolfman, Randy Markhus, Can Latrang, and Kevin Marcher. Commissioner Marcher Commodation, the Commissioner and addition, the Conference concluded with the staditional Commissioners? Assistants panel, but I was not able to participate the year.	This meeting was held in the Comment of the Comment of Comments of
Ε	I. Who Office Division (a) inititated the meeting	inersale Naural Yes Ças Asar. of America	Unknown (Enron or Commission Staff)
П	(a)		
၁	Division	Legal Advisor	Legal Advisor
В	Office	Office of Commis Scioner Massey	Office of Commis sioner Massey
Ą	Name	Office of Commis Soner Massey Massey	Office of Commis Hillard, Andrea Riddl signer Massey
	-	902	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	K	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting			
	7	V. Was any action W. Give IV. Date taken by the the date range Comm. in response to the meeting	g		
5	-	IV. Date range			
	Τ	IV. Give the date	7/22/1999		
	9	III. What was the subject matter(s) of the meeting	Otew and I worked together for 6.5 stars during my tenure as Director of Regulatory Addisor the Tieges Power and Programmer and the Amaret Hub Partners. Overgonation and its storage attitistic house was our outside coursel and the Amaret Hub Partners. Other was our outside coursel and was with Boken and Botts and was with Boken and Botts and was with Boken and Botts and was the Side and Botts and the Side and Partners and Walthary and Side and Coursels. The Amaret and Coursels with more at Capital City Howard and spid properties. Other thera lacking about our framilies. Other thera lacking about our formal wash to one focal what we discussed. I would discussed. I would discussed. I would be present the present in the meding as purely personnal.		
	i i	 List all that were present at the meeting 	Drew Fossum and I were present.		
	ш	Office Division (a) Inititated the	Yes Erron		
	۵	(a)	×es	No	S _S
	၁	Division	Legal	Office of the Chief Systems Informati Engineerin No on g	мла
	В	Offlice	Office of Scornis Scornis Massey	Office of the Chief Informati on Officer	Office of the Executiv e
	А	Name	Office of Commissioner Massey	Hinz, Andrew D.	Hobbs, Brian
		*-	708	202	710
1	ш				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	၁	0	Е	Ŧ	Ð	Ξ	-	ſ	Ж
+	Name	Office	Division	(a)	i. Who Office Division (a) inititated the	II. List all that were present at the meeting	ill. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	VI. Other Comments (if any)
7117	Hogan, Kenneth, J.	Office of Energy Projects	Office of Engineerin g and Projects Environme ntal Review	N _O							
712	Holden, Edwin W.	Office of General Counsel	Energy Projects	o S							
713	Office of Holmes, Jacqueline Gouneal	Office of General Counsel	Energy Projects	Y es	I don't know. I Yes assume the Company.	Representatives of the pipoline andor their agents and FERC staff members (if don't remember specifically who).	I think it was a preffing meeting for the Calypan Populine proflect, though im not sure whether the project was specifically identified by name.		July/Augus Vor Sept. 2001	The only lesue of interest come which was either statement or absence are his meeting or absence are his meeting or Say Mac Seed of Sale Punit or Say Mac Seed of Sale Punit or Mac Say Mac Seed of Say Mac Say	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

х	VI. Other Comments (if any)	This is an organization made up of entities concerned with helping own mount taken by the consumers meet their energy needs. The commission commission beard of binectors	
f	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No action was requested Commission	
_	IV. Date range		
I	IV. Give the date	1/28/2000	_
ø	III. What was the subject matter(s) of the meeting	Quanterly meeting of Board of Diectors of the Nativnal Low income Telengy Consortium (NLEC). Frimary bolds of discussion was planning of americal conference, which was to be held June 12 - 15, 2000.	
it.	II. List all that were present at the meeting	Janine Migeen, Erron Corporation (by Conference call), either Beard of Divector members, including Sup-Present. Escusive Depart of His Corporation (Superpresent Escusive Depart of His Corporation Admires to Save Karen Anderson, Allares to Save Eseng, Toon Beath, Stork Elevan, Mark Corporation (Corporation Corporation (Services Corporation C	
3	Office Division (a) Inititated the meeting	Regularly Yes sorbacked quarterly meeling	
۵	(a)	X es	
O	Divísion	Energy Projects	
8	Office	Office of General Counsel	_
Ą	Name	Office of Hollnes, Jacqueline SGeneral	-
	-		717

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

B 2 8 4			
X	VI. Other Comments (if any)	The NLEC is a group of entities concerned with meeting the energy needs of low with meeting the energy needs of low meeting of the concerned of the concerned of the group. The main analyky of the organization is the planning of the analysis of the concerned with a salising low income concerned with assisting low income presenties of these concerned with assisting low income concerned with assisting low income presentied.	
	V. Was any action W. Give IV. Date taken by the the date range Comm. In response to the meeting	Nagura 15 R Na action was requested of or or takens by the Commission to the contraction was requested to the contraction was required to the contraction was r	
-	IV. Date range	August 5 & G. 1989	
H	IV. Give I		****
9	III. What was the subject matter(s) of the meeting	Regularly scheduled quarterly meeting to Broad of Disectors of National Conflictions of Confording Mouth (LEC) Strategic Planning for confinely givest rivery general — evaluation as conference, including signal of the Conference of National Conference of Conference and Conference of Conference and Conference of Conference and Conference of Conference and Conferenc	
	If. List all that were present at the meeting	Sue Present, Enron Conocation. Sue Present, Enron Conocation. Sue Present, Enron Conocation. Morecalk, Obio, Enrop Found, Parker, Anna Harle, Charlo Man, Dollar Enrop Found, Regularly scheduled quantlerly from Enroot, Marker, Dollar Enrop Found, Regularly scheduled quantlerly Ron Enroot, Marker, Pebb of Presents of Suepa Present, Peb Bob Conselver, HOT, Ron Goose, Willowsonia Popularia Low Troome Conselver, HOT, Ron Goose, John Present, Peb Bob Conselver, John Harlon, Audrey, John Harlon, Sociation of America, Suebodien of America, Peb Rondown States on April 2018 on Conference, Learning Companies, John Romer, Markey, Chindra Destrublina Compression, John Rosen, Energy, Della McChury, Florida planmating for John Save Energy, Della McChury, Florida planmating for John Mordelburg, Societtie Lutter, Miller and Conference, decussion of United Way of America; Straame Beannath Conference, discussion of Christ, Julia Solatman, Bastimore Gas & Eschetz, Columbia Gas Puer Funds Network	
E	I. Who Office Division (a) initiated the meeting	Regulary Yes scheduled quartety meeling	
	(a)	Yes	
၁	Division	Energy	
В	Office	Office of Counsel	
Ą	Name	Office of Holmes, Jacqueline (Scienca) Courses	•
	-		715

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

П		s th leing igy
¥	VI. Other Comments (if any)	The MLEC is an organization of entities concerned with enabling low income concerned with enabling low income is more that is energy needs. The primary eduly of the group is workshops designed to provide volleable workshops designed to provide valuable low incomments to breas oncerned with inclining low incomments to be consumer meat their energy energia.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No action was requested of or taken by the Commission
-	IV. Date range	
Ī	IV. Give the date	2/5/1999
9	III. What was the subject matter(s) of the meeting	Regulary scheduled quantity meeting of the Board of Directors of the National Low Microme Energy Connectual (NLEC) of which the commission is an ex-official commission of the National Commission of the Commission of the American Commission of the American Official Commission of the
14.	II. List all that were present at the meeting	Janine Migden. Ernon Corporation: Vicy Present. Exception (Janine Migden. Ernon Corporation: Vick Minoczek, National Ernorgy Vissiliance Present Exception: Association Association of Database. Balancers dea & Electric Christopher Dollare Emergy Principare dea & Electric Christopher Dollare Emergy Principare dea & Electric Christopher Stockholm (Professional Association of Consumer Proceedings Association of Consumer Proceedings (April 2014) (A
Е	I. Who Office Division (a) initiated the meeting	Regulary Yes scheduled quartery meeling
	<u>s</u>	
υ	Division	Energy Projects
В	Office	Office of Significant Counsel
4	Name	Office of Hômes, Jacqueline S'General Counsal
Γ	-	
Į	1]

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

í	-1	·	2 45
	×	VI. Other Comments (if any)	The NLEC is an organization of entities concurrented with enabling team income constanters to meet little entiting trades of constant and the constant and the continuous security of the continuous security of the constant and the constant and the constant and the constant and the continuous security of the constant and the cons
	•	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No action was requested of or taken by the Continission.
	-	IV. Date range	
1	ı	IV. Give the date	4/17/1998
and in the contract of	9	III. What was the subject matter(s) of the meeting	Regulary scheduled quarterly meeting of the Board of Drectors of the National Low Income Electy Consorthum (NLEC). Primary focus of meeting was paraming or Loren 1980 conference.
	LL.	II. List all that were present at the meeting	Jamine Migden, Errort Corporation; Size Presext, Esceptive Directory Videy Microset, National Energy Assistance Microsetty, Children Aden National Association of Community Action Association of Community Action Association of Community Action Freedom (Cliente Energy Freedom (Cliente Energy Freedom (Cliente Energy Freedom (Cliente Energy Freedom (All Press) Freedom
	ш	i. Who Office Division (a) intittated the	Regularly Yee scheduled quarterly moeiling
	۵	(e)	Yes
	၁	Division	Energy Projects
	В	Office	Office of Coursel
	A	Name	Office of Homes, Jecqueline Scienced
		-	717

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action W. Date taken by the range Comm. In response to the meeting	The MLEC is an organization of entities concerned with evabling low incomes of concerned with evabling low incomes of construction consistency in the organization is not collicion member of the beard of Directors. The primary staining of its amust conference, any which ond ratioger of properties of the population of the organization of the orga	
ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	No action was requested of or taken by, the Cummission.	
-	IV. Date range	7. × × 1.10/1.096	
Ŧ	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	Regularly scheduled quarterly meeting of the Board of Directions of the National Low morner Energy Consortium. Primary flox; sof meeting was evaluation of the 1898 conference and finitel gammary for June 1999 conference.	
4	II. List all that were present at the meeting	Janier Magden, Enron Corporation; Suber Present, Encusive Diederury Vicky Microsce, Valetaria Encative Diederury Vicky Microsce, Valetaria Encative Diederury Vicky Microsce, Valetaria Encative Diederury Assistanta Association of Community Acidon and Electric Choly Dalip, Dolar Elevery Futu Acid Electron From Elevery Encators (ky Oriconare Energy Educators (ky Oriconare Energy Educators) Acidonary Acidonary Journal From Visconarie Linguity Community Elevent Energy Educators (ky Oriconaries Educit (ky Oriconaries Oriconarie	
E	I. Who Office Division (a) inititated the meeting	Tegularity Yes concelled quarterly meeting t	
0	(a)		
0	Division	Energy	
В	Office	Office of Sourceal	
A	Name	Office of Holmes, Jacqueline S General Gourse	
	-	278	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

r	-		5 > 9	
	¥	VI. Other Comments (if any)	The NLEC is an organization of entities concerned with neading frow increase to consume to meet their energy needs of the Board of blocks. The primary analysis of the Board of blocks. The primary analysis of the agentation is the planning of its amunit conference, at which workshops designed to provide walled information to groups and includicals concerned with the presented it law income energy consumers are	
	-7	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	No action was requested of, or taken by, the Commission.	
-	-	IV. Date range		
	I	IV. Give the date	11722001	
	9	III. What was the subject matter(s) of the meeting	Regularly acheduted quarterly meeting of the Board of the	
	L	II. List all that were present at the meeting	Tainine Mighth, Errort Corporation; Stap Freacht Executive Diversity (Medical Residue) Minecach, Misharen Erroryt, Addisokuna Directors, Kannh Anderson, Alliance in Seve Erroryt, Kann Roum IVI Seve Erroryt, Kann Seve IVI Seve Erroryt, Mannish Ullian Seve Erroryt, Mannish Ullian Maldimersota Lagal Assattmer (My Chrosis Erroryt, Andre Ford, Kall IVI Chross Erroryt, Andre Ford, Kall IVI Severment Vi Mannish Ullian Secrement Of Mannish Ullian Secrement Of Namichal Ullian Secrement Of Namichal Millian Secrement Of Severment HER. Rou Glosse, Individual Member (IV) Glosses, Individual Member (IV) Glosses, Individual Member (IV) Glosses, Individual Member (IV)	(Conrd) Al Guyant, CAP Services, Inc., John Holler, National Otherst Research, Matter, Fill Albert, Fall Albe
	ш	Office Division (a) Inititated the meeting	Regulary Yes stheldred quarknymeeling	
	۵	(F)	Yes	
	U	Division	Energy Projects	
	æ	Office	Office of General Counsel	Office of General Counsel
	4	Name	romes, Jacqueline S. (Line 1 of 2)	Holmes, Jacqueline S., (Line 2 of 2)
		···	719	002
1				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	×	V. Was any action IV. Date taken by the ration in response Orim. In response to the meeting	During the period in question (Lanuary 1, 1992 and beentheart, 2001), Irinay well was alreaded by expresentatives and/or alreaded by expresentatives and/or alreaded by expresentatives and/or alreaded by expresentatives and/or properties of the pr			
	r	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	See below below	No.		
Ę	-	V. Date range	See below	fall 1999 through P		
	I	IV. Give I				
Dellian and	ဗ	III. What was the subject matter(s) of the meeting	See Comment section below	Whether PC&E should use a 12-CP (coincident peak) methodiogray to design retail transmission rates v. use of marginal cost approach		
FIGHT SCHOOLS EIGHGINGH AND THOMPSON	ъ	II. List all that were present at the meeting	See Comment section below	Staff Attinopey, Johan Scott, Moin Maragiamoco, Marcia Hooks, Jaura Sheappard, Linda Lee Staff technical expent, Cnrig Delers, Attancey Hanny Univers of the particle, United Postal Carrior (California, Podez Attoney Hanny Partico, Attoney Roband Carrior) of Particle, Attoney Postal Carrior (Saloromia, Podez Attoney May Derston, Attoney Roband Carrior) of Brancowsi & Patricor, Internor Staff Ermon & a technical expet for Ermon & a technical expet for Ermon		
	ш	Office Division (a) Inititated the meeting	Yes See Comment section below	Yes Part of Settlement Hegodiations		
	Δ	(a)		Yes	N _O	Š
	ပ	Division	Energy Projects	Division of Litigation	DHAC	Market
	В	Office	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of Markets, Farriffs, and Rates
	Ą	Name	Office of Hormes, Jacqueline S General	Hooks, Marcia C.	Hooper, Mark R.	Hough, James L.
		-	721	722	723	
	_					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	エ	V. Was any action IV. Date taken by the range Comm. Ir response VI. Other Comments (if any) to the meeting						Don't recall when the hearing ended.
	-7	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	ON	No	Q.	No V	At.) issued order to compet discovery.	06/20/199 ALJ and Commission 3 - ? Issued orders.
5	-	IV. Date range	04/09/199 2 - 04/10/199 2	05/19/199 2 - 05/20/199 2				06/20/199 3 - ?
2	Ξ	IV. Give the date			7/28/1992	6/23/1992	9/24/1992	
The limit of	9	III. What was the subject matter(s) of the meeting	Settlement conference in Panhandle's Docket No. RP91-229-000.	Settlement conference in Panhandle's Docket No. RPS1-228-400.	Settlement conference in Panhandie's Docket No. RP91-229-000.	Conference to set procedural schedule in Panhandie's Docket No. RP92-166-000.	Prehearing conference to discuss motion to compel discovery in Parhandle's Docket No. RP92-166-000.	Hearing on Panhandle's general Section 4 rate increase filing in Docket No. RP92-166-000.
Total Collators Eleberinan and Highlipson	L	II. List all that were present at the meeting	Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural and Ermon Gas Marketing intervened and can be assumed to have attended the meeting.	Myself other FERC staff, Panhandie representatives and intervenors. Erroro Stas Markelling and Northern Natural intervened and can be assumed to have attended the meeting.	Mysell, other FERC staff, Panhandle representatives and intervenors. Etmo Sas Marketing and Northern Mahural intervened and can be assumed to have attended the meeting.	Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting.	Myself, other FERC staff, Panhandle representatives and intervenets. Northern Natural intervened and can be assumed to have attended the meeting.	Myself, other FERC staff, Panhandle Epresentatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting.
	Ë	Office Division (a) Inititated the	ALJ	ALJ	AL.J	ALJ	AL.J	ALJ
		(a)	Yes	Yes	Yes	Yes	Yes	Yes
	O	Division	Office of Market. Markets. Markets. Developme Yes ALJ and nt Rates	Office of Market Market Tarriffs, Developme Yes ALJ and nt Rates	Office of Market Markets. Markets. Tarriffs, Developme Yes ALJ and and Rates	Office of Market Markets. Markets Tarriffs, Developme Yes ALJ and nt Rates	Janes of Market Markets, Markets, Developme Yes ALJ and nt Rates	Office of Market Varkets. Market Tarriffs, Developme Yes ALJ and Rates
	m	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Name	Howard, William	Howard, William	Howard, William	Howard, William	Howard, William	Howard, William
		-	725	726	727	728	729	730
į	_!							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		····			
X	VI. Other Comments (if any)				
-,	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	01/25/199 4- ALJ and Commission 02/24/199 issued orders.	None that I am aware of	The Commission issued Order No. 637 in February 2000, which did not adopt the original auctioning proposal.	1938-1939 The Commission issued Order No. 637
-	IV. Date range	01/25/199 4 - 02/24/199 4		Sometime in 1999 is my best estimate	1998-1999
I	IV. Give the date		7/12/1999		
9	III. What was the subject matter(s) of the meeting	Hearing on Panhandle's seyeral Section 4 rate increase filling in Docket No. RP91-229-000.	it was an RTO outreach meeting, at which RTO's were 7/12/1999 discussed.	The Commission's notice of proposed fulemaking in RM98 (10-100 for auctioning ploeline capacity.	There was a series of includes in meetings with industry representatives while fourth of the control of the con
11-	II. List all that were present at the meeting	Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural Intervened and can be assumed to have attended the meeting.	I don't have the attendance sheet, but numerous FERC staff and industry terpresentatives were prisent, including an Enron representative.	There were a large number of representables of els segoments of the The Commission's notice of returning solutions of els segoments of the The Commission's notice of presumably including representatives. (proposed rulemaking in RMs of various larger comparises as well segondly, and members. Presentations were capacity.	Industry representatives and staff professer Languesentatives participated in at least come of these metings, along with representatives of all segments of the natural gase industry.
3	i. Who Office Division (a) initiated the meeting		FERC	Markets, Tariffs, and Yes. The Commission. Rates	The Commission staff
۵	<u>s</u>	Yes	Yes	Yes	, Yes
၁	Division	Office of Market Harket Tariffs, Developme Yes ALJ and Rates	Office of Market Narkets Tarill's, Developme Yes FERC and nt Rates		Narteits, Tatiffs, and Raites
8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel
Ą	Name Howard, William Howard, William		Howe, Richard	Howe, Richard	
	1 1 731 H 732		733	734	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	8	O	Ω	ш	F 6 6 H	G G	E E		t Was and artico	X	
-	Name	Office	Division	(a)	Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date range	IV. Give IV. Datetaken by the the date range Comm. In response to the meeting	IV. Date larken by the range Comments (if arry) to the meeting to the meeting	
735	Howe, Richard	Office of General Counsel	Markets, Taiffs, and rates	Yes	Office of Markets. Counsel Tariffs, and Yes The Commission Counsel	This was a publicly noticed meeting. I show so the meetings was at the morthough with several other. In purpose of the meetings staff members, but I controver to the was to be precised as the member who they were. Then were estimate mort of members and they were. Then were estimate mort of members and more of the unknown cantries and more of the unknown cantries on the members the manner of any of estilements.	The purpose of the meelings was to threpdate a version threpdate a version of the consequency flings in docket Nos. RP93 56 et al. to recover the costs incurred in its lake-dr-kay settlements.		The meeting or meetings orcured betwee August 1, 1993 and December 31 of that year,	The meetings of coccured in meetings of coccured in Jeanusy 1994 the between Commission approved a August 1, settlement agreed to by 1993 and if the parties. On that it has a set of that year, year,		
736	Huang, James,F	Office of Energy Projects	0251	S.							AND THE REAL PROPERTY OF THE P	
737	Huang,James F	Office of Energy Projects	DZSI	S _Z								i
738	Huber, Rabert P	Office of Administ rative Law Judges	Office of Administrati ve Law Judges	S Z								ì
739	Hughes, Dawn W.	Office of Markets, Tarriffs, and Rates	DIVISION OF ADMINIST RATION AND CASE TRACKING	Š								
740	Hughes, Merita	Office of the Chief informati on Officer	Office of the Chief Know, and Informati Info. Mgt. on Officer	ç 2								F.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

:	~	nents (if any)		n response to my call, PGE filed on 7/2000 in request for more time to accept the ransler.	Vr. Jim Wyatt returned my call and said to gnore the Inc.	They said my question would be answered in their form reply to my letter (dated 10/03/01) acknowledging PGE's acceptance of the transfer.	Our site visit to Northern Border Pipeline lasted two weeks who are discussed accounting and procedural issues related to new construction of a pipeline.	
		VI. Other Comn		In response to my call, PGE filed on 7 a request for more time to accept the transfer.	Mr. Jim Wyatt return ignore the Inc.	They said my question would be ans in their form reply to my letter (dated 10/03/01) acknowledging PGE's accord the transfer.		
	5	V. Givo IV. Date lateon by the the date range Comm. in response to the meeting	OJ.	Not applicable.	not applicable	not applicable	I was not on the audit at the time it was completed but I believe any issues found were then rolled over into a rate proceeding in October 1999.	
[-	IV. Date range				09/06/01 to 10/03/01		
	=	IV. Give the date	10/19/2001					
Dim imilia	9	 What was the subject matter(s) of the meeting 	Prefiting meeting for the safe of PGE to Northwest Natural Gas	i left a message advising Mr. Steele that Portland General Electric (PCE) Company's acceptance of the Order Approving Partial fransfer of License for P-2233 would be due July 22, 2001.	I left a message for Mr. Sleele, noting that a 7/20/01 filing by PGE included Inc. and asked if the company had changed to a corporation.	l asked who would be the new licensee's contact for questions about day to day project operation.	Construction Audit of Northern Border Pipeline (FA88-007)	
	_	II. List all that were present at the meeting	David Hunger FERC Michael Coleman FERC William Heronymus, economomist at Charles River Corp Officals from Ervon, PGE and NW Natural gas	Robert Steele	Robert Steele	Robert Steele and/or Ms. Julie Keil	I cannot remember specifically who altered it consisted of FERC audit to am learn members and Northern Border accounting staff.	
	ונ	Office Division (a) intitrated the meeting	Yes Naturai Gas	Yes James Hunter	Yes James Hunter	Yes James Hunter	FERC - audit team	
Ī	키	(a)	Yes	Yes	Yes	Yes	Yes	S.
(ی	Division	Tariff and Rates, West	БНАС	DHAC	DHAC	Financial Policy	DEER - Hydro East No Branch 2
[20	Office	Office of Markets. Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of the Executiv e Director	Office of Energy Projects
	A	Name	Hunger, David, E	Hunter, James O.	Hunter, James O.	Hunter, James O.	Office of the Hutcheson, Christine Executiv e Director	Hutzel, Janet, D
		-	741	742	743	744	745	746

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-						
X	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting		INGAA Regulatory Affairs Conference. General discussion of topics - presentations by Commission staff and Industry.		Public conference attended by many with many speakers from a variety of Industry sections.	
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		Q.		Commission ultimately put out a NOPR on Standards of Conduct	Ultmately proposal for auction in Order no. 637
-	IV. Date range					
- =	IV. Give the date		5/18/01. 5/18/01		t-Mar	10/20/1998
9	III. What was the subject matter(s) of the meeting		construction, progress of Commission on 607 filings, other		Slandards of Conduct Conference	Auction Conference
H A	 List all that were present at the meeting 		Wide range of pipeline personnol. I Melieve Nancy Bagor from Transverseten was there. Staron Fordkus from Clouk was there. Jane Lewis (AGA), Numerous chiess i earnof romembe by prame or affiliation. Commission Saff including the remarked. Laurel Hyde. Scott Miller. Person Commission Saff including the Fernandez, Bab Christin, Bob Fleranders, Mark Rosenberg, Joe Diooley, Ed Marrell, Pauls Cronklin.		From Commission: Laurel Hyde, Demetian dans, Deme Habs, Michael Odderbeug, Andrea Woffman, Rebord Flanders From Industry: A runcher of Confletence perfes (probably 20 or mars) spoke at The conference – including Leslie Lawmer of Erron North America.	FERC presenters, Laurel Hyde, Gary Mahrenholz, Robert Flanders, Dick O'Meill + an audience - Representing large number of Industry participants- likely including Enron
m	1. Who Office Division (a) Inititated the		INGAA		FERC	FERC
a	(a)	Š		- Committee		Yes
3	Division	East Group No One	Office of Market Markets, Market Tarriffs, Developme and nt Rates	Office of Market Market Tardiffs, Developing and rith Rates	Office of Market Varkets, Market Tarriffs, Developme and Rales	Division of Market Developine Yes FERC
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates
A	Name	Huyler, Kevin, H	Hyde, Laurel C	Hyde, Laurel C.	Hyde, Laurel C.	Hyde, Laurel C.
	4-	747	74 84 84	749	750	751

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

			1		C);	1	
ス	VI. Other Comments (if any)				voluted action, after the Tueffing, Errori and Tueffing, Errori and Tueffing, Errori and Tueffing, Errori and Tueffind General filed a Tueffind General filed a Tueffind General form and Portland General counsel Tuefficient affiliates a further of proposed manger Tuen Power Marketing, transaction; proposed method of accounting Tuent Company in Tuefficient General for the merger, plenned filing timeline. Tuefficient Company in Tuefficient General for the merger, plenned filing timeline. Tuefficient Company in Tuefficient General for the merger, plenned filing timeline. Tuefficient General for the merger, plenned filing timeline. Tuefficient General for the merger plenned filing timeline. The plenned filing timeline for the merger plenned filing timeline. The plenned filing timeline filing timeline. The plenned filing timeline filing timeline filing timeline. The plenned filing timeline filing timeline filing timeline filing timeline. The plenned filing timeline filing ti		
7	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	September Transwestern came in Wilson British Will a filling utfirmtely November their proposal was Abdo dened. April 25, 2001			No direct action; after the meeting, Ernon and perfect deeper liber a plorit operation seeking political seeking commission approval of mengage of their tespenher and Portand Central Electric Company in Electric Company in Electric Company in Charles Me ECG95, and Diodect No. ECG95, all conditions after their company in Charlest No. ECG95, all conditions after their company in Charlest No. ECG95, all conditions are all conditions and action and action of their conditions are all conditions and actions are all conditions		
-	IV. Date range	September November 2000					
Ŧ	IV. Give the date	September Fall 2003 November 2000			8/20/1996		
9	III. What was the subject matter(s) of the meeting	Options for pipeline capacity			Prelling conterence related to proposed integer of Enror Composition and Porlatio General Corporation		
4	II. List all that were present at the meeting	Transmestern Staff including myself. Shelley Yes Prediting RPO1-56- Kormen Enton, Nancy Bagot- 1000			Milke Narve (rapresenting Portland Cheral Corporation), but Hartson Corporation; trainfies trainfies trainfies and Yee Hartson Corporation; frainfies trainfies and Yee Hartson Corporation; Presence of Corporation; Cortical Artson (PERC-OGC); Tony Ingram (PERC-OGC); Tony Ingram (PERC-OGC); Tony Ingram (PERC-OGC); Tony Ingram (PERC-OCK)		
ш	1. Who Office Division (a) Inititated the meeting	Transwestern Prefiling RP01-56- 0000			ийке меечь от Joe Нийкое		
Ω	(Î)	Yes	S S	8	Yes	운	Š
0	Division	Division of Market Developme nt	Office of Division of the Organizatio Executiv nat e Executiv Changeme	DHAC	OMTR Tariffs and Rates- Central	DZSI	Office of Market Markets, Information Tarriffs, Data and Collection Rates Group
В	Offfice	Office of Markels, Tarrills, and Rates	Office of the Executiv e Director	Office of Energy Projects	Office of Markets. Tarriffs. and Rates	Office of Energy Projects	Office of Markets. Tarriffs, and Rates
A	Name	Hyde, Laurel G.	lacono, David J.	lldari, Hossein	Ingram. Tony V.	iverson, Delores C.	Jackson, Ava M.
	-	752	753	754	755	756	757

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	Name 1	Jacobs, Karen, M.	Jagilani, Sanjeev G. 759	James, Raymond E.	Javonillo, Antonio D.	Jayjach, Nicholas, J. Energy Engineerin Intal and Projects g Review	Office of Uncholae, J. Energy Projects
В	Office	Office of Energy Projects		Office of Markets, Tarriffs, and Rates		Office of Dergy Projects	Office of J. Energy Projects
O	Division	Gas Branch 2	Office of Markets, Division of Tarriffs, Tarriffs and Rates-East Rates	Energy	Office of Administrati Markets, on and Tarriffs, Case and Tracking	Office of Environme Francy Engineerin Grojects g Review	Office of Environme Italiand Energy Engineerin g Review
۵	(a)	No	Yes	Š.	Š.	Yes	×es
П	Office Division (a) Initifated the meeting		Office of Markets, Division of Tantifes, Touffe and Yes The Commission and Rates East			Yes Nicholas Jayjack	Portland General Electric - various
Ŀ	II. List all that were present at the meeting		There were approximately 47 people including about 7 members of commission staff. And one Enron representative.			Оаче Непіглявл	Nicholas Jayjack and numerous state and federal fish and wildlife agencies. Non-governmental organizations, and others.
9	III. What was the subject matter(s) of the meeting		The meeting was a GridSouth RTO meeting to see if public by wher entitles could resolve issues related to their potential participation in GridSouth.			Called Dave to discuss the National Marine Fisheries Service occurrent with how the Iterashing process for the Williamster Ealls Project (P. 2223) is going, specifically concerning a disagreement between PCE and NMFS repaiding fisheries related studies at Williams.	Numerous emails updating the relicensing processes for Willamette Falls (P-2233). Bull Run (P-477), Oak Grove (P-135), and North Fork (P-285). Horizonlantic Prohests
н	IV. Give I'						
-	/. Date range		May-01				03/99 through 12/01
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	-	May-01 No official action.			g	8
¥	V. Was any action IV. Date taken by the range Comments (if any) to the meeting		None.		-	יוח הטל sure of the axact clate. י believe ת was on or about June 6, 2000.	No idea how many emails. As far as I recall, most were meeting notices, meeting visits, strucy reports, and the like. In almost all cases, I was one of many concurrent recipients of the emails.

Response to Question No. 3 in me February 15, 2002 Letter From Senators Lieberman and Thompson

A		В	၀	0	Ш	4	9	H	_	5	×
ž	Name	Office	Division	(e)	L. Who Office Division (a) initiated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	V. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	VI. Other Comments (if any)
ayjack.	Jayjack. Nicholas. J	Office of Energy Projects	Environme ntal and Engineerin g Review	Yes	Portand Geoeral Electric	Mitcholas Jayjack and mmerous focal, sate, and federal agrectics, non-governmental organizations, and other entitles.	Mollings regarding scheduling of meetings, meeting ageends, draft and final study reports, and similar written materials read of the Bull Run (P4TT), Calk Grove (P158), and North Fonk (P2165).	***************************************	12/01/199 8 brough 12/31/200	940	Th not sure bow many mallings that I received, possibly upwards of 50 to 75,
Joe, Sabina U.	ina U.	Office of Markets, Tarriffs, and Rates	Lifigation	Š.							
John Myler		Office of General Counsel	. d3	No							
они, с	John, Christopher	Office of Commis stoner Breathitt	N/A	Yes	Yes (Enron)	FERC BEAT Luncheon. No available List of Atlendees it is a group of 25 Industry Washington Representatives	I stoke on a panel with Mary Benge (FERC) concerning our perspectives as new advisors to the Commissioner		-	none	
John, C	John, Christopher	Office of Commis sioner Breathitt	NA	Yes	Invitation by INGAA	Yes Invitation by INGAA/Commission and Pipeline Employees Pipeline Issues	Spoke on Natural Gas Pipoline Issues	5/15/1998		попе	Possible that Enron Employees were present
John, C	John, Christopher	Office of Commis sloner Breathitt	N/A	Yes	Invitation by INGAA	Yes Invitation by INGAA Commission and Pipsline Employees Order 637 tssues	Order 637 issues	4/30/1999		none	Possible that Enron Employees were present

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Was any action V. Date laken by the range Comments (if any) to the meeting to the meeting				Possible there were Enron Attendees	Selfement Conferences on rate case fled by Florida Gas Transmission		
	P	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	ыоле	None		None		None	
5	-	IV. Date range							
chino	エ	IV. Give the date		4/18/2000	5/1/2000	7/9/2000		9/19/2001	
Delinan and In	9	III. What was the subject matter(s) of the meeting	Courtesy visit: Enron Pipeline Issues	ENRON ONLINE DEMONSTRATION	Electric: RTO Formation	Natural Gas Order No. 637 OUTREACH	Rate Case (RP91-187-000) issues. I was expert witness on rate design matters in this rate case. These were settlement conferences	Enron Reorganization	
rion senators Erepennan and Indulyson	4	II. List all that were present at the meeting	Commissioner	FERC employees; Enron presenters.	Sleve Kean Commissioner Breathit	FERC STAFF Natural Gas Pipeline Representativos	FERC Litigation Staff Florida Gas Transcrission Employege Intervenors in Rate Case	Emon's Commissioner Breathit, Mark Frevert, Enron Reorganization Yes (Washington Office Steve Kean and Linda Robertson	
	ш	J. Who Division (a) Inititated the meeting	Yes Washington Office Breathlit	Yes FERC E-Mail	Yes Washington Office Breathit	Yes INGAA Members	Yes FERC STAFF	Enron's Washington Office	,
	۵	(a)	Yes	Yes	Yes	Yes	Yes	Yes	δ
	ပ	Division	V.V.	NIA	NA	N/A	N/A	N/A	Dockets
	В	Office	Office of Commis stoner Breathitt	Office of Commis sloner Breathitt	Office of Corranis sloner Breathit	Office of Commis sioner Breathitt	Office of Pipeline Regulati on	Office of Commis sioner Breathitt	Office of the Secretar
	A	Name	John, Christopher	John, Christopher	John, Christopher	John, Christopher	John, Christopher	John, Christopher	Johnson, Capria
	П	***	07.7	77.1	772	773	774	775	776
-	اـــا			L	L				

152		ts (if any)								
	×	VI. Other Commen								
-etter	ď	V. Give IV. Date laken by the the date range Comm. in response to the meeting				· · · · · · · · · · · · · · · · · · ·	Delegation Order authorizing the abandonment was issued on 1/16/2001.			
2002 I	-	IV. Date range								
iry 15, nomps	Ξ	IV. Give the date								
3 in the Februa berman and Ti	9	III. What was the subject matter(s) of the meeting		17778 4166001			Obtain additional info regarding Unit #12 they were proposing to abandon in CPO1-16-000. Info filed with FERC 1/8/2001.			
Response to Question No. 3 in me February 15, 2002 Letter From Senators Lieberman and Thompson	Ь	II. List all that were present at the meeting					Called Keith Petersen, Northern Natural Gas Co, who had Michele Winckowski call me back on 1/5/2001 & respond to my questions.			
Respons	ш	1. Who Office Division (a) Inititated the meeting					Me (per my Yes supervisor's Instructions)			
	۵	(a)	2	S	2	£	Yes	ĝ	ŝ	£
	ပ	Division	Office of General & General Administrati No Counsel ve Law	DEER	Rates -	Dockets	Pipeline Certificates	Division of Tariffs and Rates - West	Litigation	Litigation
	B	Office	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Secretar Y	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counset
	<	Name	Jahnson, Carof, C.	Johnson, Craig J.	Johnson, David, M.	Johnson, Jeff	Johnson, Patricia S.	Johnson, Ralph A.	Johnson, Toyla L.	Johnson, Toyia L.
		-	777	877	972	780	781	782	783	
	!					"				

Response to Question No. 3 in ule February 15, 2002 Letter From Senators Lieberman and Thompson

		(if any)				
	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting to the meeting.				
	ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting				<u>e</u>
=	-	IV. Date range				Aug Sept. 2001
2	Ξ	IV. Give the date	-			·
Delliali alla II	9	III. What was the subject matter(s) of the meeting				These meetingsbleichone conferences were part of the flightlich involving Puget. Sourd, Dockel No. ELD1-10. Old start associated deckets and involved the usual (and memorals) a large and complex proceedings, Marin 140 not meetingsbleichstamber and meetingsbleichstamber and meetingsbleichstamber associated with litigathe a large and complex proceeding. Alb 140 not meetingsbleich may of them centived on logistical proceived on logistical profession, many of them controvation, and of better of the processing seculated of the processing seculated of the processing disposarion of the market data ordered by the presiding disposarion of the market data ordered by the presiding lidde.
i i oni ociiatoi s Eleberinian anu inompson	ш	II. List all that were present at the meeting				representatives of numerous filgalling parties (including Errorn companies) and Commission trat self (impreself, limit Lee, Natiel Tings Stewart and Demission Political Stewart and Tomal Political Political Stewart and or
	Ш	Office Division (a) Inititated the meeting		·		Yes various parties
		(a)	No	No	8	, Ace
	ပ	Division	Press Services	Tariff and Rates - Central	DHAC	Litigation
	В	Office	Office of External Affairs	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel
	٧	Name	Jones, Andrea T.	Jones, Carolyn G.	Jones, Elizabeth, L	Jones, Janet Kay
			785	786	787	788

VI. Other Comments (if any) <u>*</u> No. The presiding judge t issued orders disposing of various motions and, after the Sept4-6 hearing, issued a report. IV. Give IV. Date taken by the the date range Comm. in response to the meeting Response to Question No. 3 in une February 15, 2002 Letter From Senators Lieberman and Thompson Aug-Sept. 2001 III. What was the subject matter(s) of the meeting There were approximately 100 active parties in Docket No. On the litigation in Docket No. Most Jud and a service ELG1 - 10-000 (prohearing north angueries; arguments of a agruments in Docket No. On the present at the ineumy in Sept. 2001. several motions and the ineumy in Sept. 2001. II. List all that were present at the meeting I. Who
(a) inititated the meeting Yes Presiding Judge ŝ 8 Ş ę £ ŝ Data Collection Group Office of the Director Division Littgation Rates Central Dockets OALJ PLM Office of Markets, Tarriffs, and Rates Office of the Secretar Office Office of General Counsel Office of Administ rative Law Judges Judd, Anastasia A. Jones, Janet Kay lones, Kevin, A Joseph, John F Name Jones, Linda Jones, Eric 789 790 791 793 794 792

Response to Question No. 3 in are February 15, 2002 Letter From Senators Lieberman and Thompson

Υ	V. Was any action Y. Date taken by the range Comm. In response to the meeting					
ſ	IV. Was any action IV. Date taken by the the date range Comm. in response to the meeting	ino, this case is pending commission review		dates from Commission accepted april, 1998 hegotlated resolution to May, involving cost of service 1999, as rate issues, resulting in Issued by reduction in rates		
-	IV. Date range	july 25, 2001 to present	various dates from april, 1998 to May, 1999, as issued by all	various dates from april, 1996 to May, 1999, as Issued by alj		
	IV. Give the date					
Э Н	III. What was the subject matter(s) of the meeting	issue of the cost of power in pacific northwest, and definition of spot market.	cost of service technical issues related to request between aep and csw for merger authority	cost of service lechnical issues related to request between asp and csw for merger authority		
F	II. List all that were present at the meeting	100 - as noted in transcript of pusses of the cost of bod of cost of bod apprehenses which I do not have copy pacifics northwest, and of featinition of spot market.	approximately 100+ people, with appearances as unded in transcript of meeting which I do not have	approximately 100+ people, with appearances as noded in tenanciple of meeting which I do not have		
В	1. Who Office Division (a) Inititated the	ferc, pursuant to order in el01-10	Yee Dockel no EC-40	Yes Docket no EC-40		
	(a)	Yes	×es	Yes	ž	8
O	Division	fttgation	litigation	litigation	Office of Markets, Tarriffs, Rates East No and Rates	DEER
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
٨	Name	Kane, Joe R.	Kane, Joe R.	Капе, Јое R.	Kane, Laura B.	Kartalia, Stephen, D.
	-	796	797	798	799	008
			~~~			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	α	c		ш	4		. ]	-		- 4
-	Name	Office	Division	(a)	Office Division (a) initiated the meeting	If. List all that were present at the meeting	the er(s) of	IV. Give I	IV. Date	V. Was any action IV. Give IV. Date taken by the range range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response IV. Other Comments (if any) to the meeting
801	Kett. John S.L.	Office of General Counsel	Energy	Yes	Yes Commission staff	Stewn Harris, Vice President of Transvesstem Commercial Group made a presentation. Others present included Commission staff, members to the public, and representatives of various entities, including the Commission, the Public Utilities Commission, the Public Utilities Commission, the Public Utilities Commission, the Public Utilities Commission of Search and Public Commission of Search Search Search Search Companies. El Pesso Corporation, Kinder Marqan Mentil Lynch, Credit Suisses, Occidental Energy, Marketing, Micross Storage, Southern Californal Generation Collidornal Search Californal Generation Press, Inc.	Public bethnical conference in Docket No. P.LO 14-000, California Natural Gas Transporfation Infrastructure	5/24/2001		Ġ.	Thad one or two conversations prior to the conference with Joseph Harsse of Errori, conference agenta, and capter for Errori, the conference agenta, and other procedural matters.
802	Kee, Laik H	Office of the Executiv e Director	MAPS	£							
803	Keegan, James R.	Office of General Counsel	Market tarlif and Rates	Yes	Yes The Commission	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Tectrical conference in RP94- 21 re: Northem's tariff sheets for recovery of system balancing costs.	12/16/1993		Commission order Issued 2/15/95	
804	Keegan, James R.	Office of General Counsel	Markets Tariffs and Rates		The Commission	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall Who else attended.	Methodology for the recovery of Northern's stranded csts and gas supply realignment costs. RP94-274	10/25/1994		Filing accepted by 3/3/95 letter order	
805	Keegan, James R.	Office of General Counsel	MTR	×es	Yes The Commission	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Technical conference in RP94 416 regarding Northern's system balancing agreements	5/25/1995		Commission letter order dated 6/29/95	in this case, as in all my technical conditions, there was notobably a preceeding telephone call to Northern to satablish the date of the conference, and possible the format to be followed at the conference.
806	Keegan, James R.	Office of General Counsel	MTR	Yes	Yes The Commission	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Technical conference in Docket No. RP91-224 regarding Northern's tariff provisions for gas processing.		October 1991- April 1992	Commission order issued 7/24/92	

2 Letter	
200	5
15,	200
February	and Thor
tine	nan
≘.	4
რ.	4
ŝ	=
Question	From Senators Lieberman and Thompson
\$	Ş
Response to Question No. 3 in the February 15, 2002 Letter	ū

		ł
=		ı
5		ı
Б		ı
j		I
		Į
3		ł
2	Ē	ł
4	ö	ł
5	8	ı
_	Ħ	ı
_	Ξ	1
Ξ,	$\simeq$	ı
9	亡	I
_	=	i
2	2	Ì
ט		ı
-	Ξ	ı
D	≒	ı
3	ĕ	ı
_	Ε	ı
=	ѿ	Ì
2	ڡۣ	l
	<u>.e</u> .	I
2	_	ı
_	S	ı
Ξ.	Ë	ı
•	2	ı
5	ā	ı
?	Ξ	ı
ξ.	ě	ı
¥	U)	ı
•	Ε	ı
3	5	I
polise to guestion No. 3 in the February 13, 2002 Letter	From Senators Lieberman and Thompson	١
ň	<b></b>	l
Ë		I
•		ı
2		ı

	х	V. Was any action taken by the Comments (if any) Comments (if any) to the meeting								
	ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	Commission order issued 5/16/96	Commission order issued 10/5/95	Commission order issued 11/21/91	Commission order issued 8/3/95	Commission order issued 8/31/94	Commission order issued 11/5/96	Commisson approved the settlement 96 FERC 61,261	Commission approved settlement by letter dated 6/18/99
	-	IV. Date range								06/01/98 to 6/1/99
2	Ξ	IV. Give the date	3/23/1995		9/5/1991	5/25/1995	6/14/1994	8/1/1996	1/1/2001	
	Ð	III. What was the subject matter(s) of the meeting	Technical conference in RP96 38 et al. re stranded costs	Technical conference in Docket No. RP95-185 re terms and conditions in Northern's general section 4 rate case.	Technical conference in RP91 181 re Northern's Purchased Gas Adjustment clause	Technical conference re Northern's Carlton Resolution	Technical conference re Northern's miteage zones	Technical conference in RP96 270 re storage service parameters	Settlement discussion re New England ISO's recovery of admin costs.	Trial and settlement discussions regarding Northem's general section 4 rate case in RP96-203.
	ь	II. List all that were present at the meeting	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Mary Kay Miller for Northern Natural 168s, and others, but I do not recall who else attended.	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Mary Kay Willer for Northern Natural Gas, and others, but I do not recall who else attended.	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who elso attended.	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Judge Wm. Cowan, Donna Fulton and Saeah Novosel for Enron, Mike Donnini Joe Long Suzzane McBride Dave Cain Joe Kane for FERC Staff	For Staff: Cindy Govan, Sandy Johnson, Johnson, Pat Finoth- Johnson, Jon Ogur, Barry Sallinan, Former Owens, Bill Gary, Ed Swyder, Kewh Pewerhaadyt, For Northern Rewh Pewerhaadyt, For Northern Mannar, May Gwy Miller, Stewer Kirk, Andy Saff, T. Kkner, Milee McGowan L. Williams, J. Katt, Many other parfies partigated in these meetings. See the springed of these meetings. See the
	Ш	Office Division (a) Inititated the	Yes The Commission	Yes The Commission	Yes The Commission	Yes The Commission	Yes The Commission	Yes The Commission	Yes The Commission	Office of Office of Serveral Administratify vs The Commission Coursel (we fligation
1	Δ	(a)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	ပ	Division	MTR	MTR	MTR	MTR	MTR	MTR	MTR	Office of Office of General Administrati
	В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
	∢	Name	Keegan, James R.	Keegan, James R.	Кевдап, Јатез R.	Keegan, James R.	Keegan, James R.	Keegan, James R.	Keegan, James R.	Keegan, James R.
		-	4 4 807	808	809	810	811	812	813	814
Į	_									

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	$\overline{}$				· · · · · · · · · · · · · · · · · · ·					
	У	V. Was any action IV. Date taken by the range Comm. In response to the meeting							is altended public commission meetings. Office Outracach meetings to the marketing community, and an Electronic Scheduling Collabractive meeting in all of which there was an farmon participant, and all these inneeding are being reported by others on the Commission staff.	
	ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	. ON	Commission order issued8/5/96	Commission orderr dated 3/28/97	Commission order dates				Various dates Commission settlement between grders 2000
<b>.</b>		V. Date range								Various dates between 1997 and 2000
Schillo.	Η	IV. Give I	8/15/2000	5/10/1995	9/18/1996	2/22/1994				
Delilian and H	ව	III. What was the subject matter(s) of the meeting	Friendly conversation and dinner at the Energy Bar dinner with Joe, an old office mate of mine at the Commission.	Technical conference in Docket No. RP95-161 re liquidated damages for violating Northern's tariff.	Technical Conference In RP96-302 regardung Critical Day penalities on northern	Technical conference in Docket No. RP94-64 et al., re Northern's proposal to recover third party pipeline costs.				Settlement conferences to resolve Issues in Traliblazer rate case (RP97-408)
I I UIII Sellatolis Elebellilali allu I II UIII psoli	LL.	II. List all that were present at the meeting	Joe Hartsoe of Enron	Mary Kay Miller for Northern Natural Gas, and others, but t do not recall who else attended.	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.	Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended.				Settlement conferences to Trailbazer Trailbazer (PR97-408)
	Ш	I. Who (a) Inititated the meeting	l đid.	Yes The Commission	Yes The Commission	Yes The Commission				Yes Staff
	۵	(a)		Yes		Yes	No	N _O	Yes	
	O	Office Division	MTR	MTR	Market tariffs and Rates	MTR	Division of Dam Safety and Inspection	Litigation	Office of Policy Markets, Innovation Tariffs, and and Communic Rates ation	Administrati ve Litigation
	В	Office	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of of General Counset
	Ą	Name	Keegan, James R.	Кеедап, Јатеѕ R.	Keegan, James, R	Keegan, James, R	Kelley, George A.	Kelly, Francine Julia	Kelly, Kevin, A.	Kelly. Richard E.
		+-	815	816	817	818	819	820	821	822

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	V. Date taken by the rapinse Or. Other Comments (if any) to the meeting			-							
7	V. Was any action IV. Date taken by the range Comm. in response to the meeting	Commission settlement order	None to date	None to date	None to date	Commission settlement orders	Commission settlement order	Commission settlement orders	Commission settlement order		
-	IV. Date range	Various dates in Comr 1999 and order 2000	Various dates in 2000 and 2001	Various dates in 2001 and 2002	Various dates in 1999 and 2000	Various dates in 1997-99	Various dates in 1994	Various dates in 1999-2000	Various dates in 1995-96		
H	IV. Give the date										
9	III. What was the subject matter(s) of the meeting	Settlement conferences to resolve issues in Northern Border rate case (RP99-322)	Settlement conferences to resolve issues in Texas Gas' rate case (RP00-260)	Settlement conferences to resolve Issues in Transco's rate case (RP01-245)	Settlement conferences to resolve Issues in Stingray's rate case (RP99-166)	Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP97-375)	Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP94-267)	Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP99-381)	Settlement conferences to resolve issues in Williams rate case (RP95-136)		
	II. List all that were present at the meeting	All or most parties, including Staff and Northern Border	All or most parties, including Staff, Texas Gas, and Enron North America	All or most parties, including Staff, Transco, and Enron North America	Alt or most parties, including Staff, Stingray, and Enron North America	Alt or most parties, including Staff, Wyoming Interstate, and Enron North America	All or most parties, including Staff, Wyoming interstate, and Enron North America	All or most parties, including Staff, Wyoming Interstate, and Enron North America	All or most partles, including Staff, Williams, and Enron North America		
Ш	Office Division (a) inititated the meeting	Yes Staff	res Staff	Yes Staff	Yes Staff	Yes Staff	Yes Staff	Yes Staff	Yes Staff		
٥	(a)									SN N	8
0	Division	Administrati ve Litigation	Administrati ve Litigation	Administrati ve. Litigation	Administrati ve Litigation	Administrati ve Litigation	Administrati ve Litigation	Office of Administrati General ve Counsel Litigation	Administrati ve Litigation	Energy Projects	Division of Tariffs and Rates-West
В	Office	Office of General Counsel	Office of General Counset	Office of General Counset	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Markets, Tarriffs, and Rates
A	Name	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.	Kendali, Jack	Kendrick, Deering T.
	-	823	824	825	826	827	828	829	830	831	832

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

- 091

	_								
	¥	V. Was any action range clarken by the range Comm. In response VI. Other Comments (if any) to the meeting							נון פ
	ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting					n/a	Q.	ה/ה
=	-	IV. Date range							
edillo	Ξ	IV. Give the date					8/1/2001	6/7/2001	9/8/2001
Delinali alla III	9	III. What was the subject matter(s) of the meeting					happy hour	social gathering	social gathering
I I OIII SCHALUIS EIGBEITHAII AIIU IIIOIII psoii	īr	II. List all that were present at the meeting					Jignasa Gadani, Megan, Geeta Oberoi and Scott (Enron employee), Lodie White, Maria Vouras, Joyce Kim, Eugene Grace	Sandy Grace, Eugene Grace, Jignasa (Gadan), Ogya Kolukushkina and Hurband Vel, Geata Oberdi and boyfriend Scott(Erron employee), Elizabeth Rylander and husband Jason, Maira Vouras, Lodie White, Joyce Kim	Geeta Obero; and boyfrlend Scott (Ernon employee), Lodie White, Jignase Sadani and froammate Megan, Jason Stanek, Mary Morton, Eugene Grace, Joyce Kim, Suzanne McBride and husband, Rhodell Fields
	ш	Office Division (a) Inititated the meeting					Yes Geeta Oberoi	Yes Eugene Grace	Lodie White
	٥	(a)	ž	S S	8 .	Š	Yes	Yes	
	ပ	Division	DEER		Office of Policy and Markets, Innovative Farriffs, Communic and ation Rates (DPIC)	Systems Support	Market, Tariffs, Rates	MTR	MTR
	В	Office	Office of Energy Projects	Office of Administ rative Law Judges	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
	∢	Name	Kerrigan, Jennifer L.	Khalatnikov, Felix M.	Khu, Kim, T	Kilchrist, Patricia D.	Kim, Joyce Yung	Kim, Joyce Yung	Kim, Joyce Yung
		-	333	334	335	336	337	838	839

Response to Question No. 3 in une February 15, 2002 Letter From Senators Lieberman and Thompson

During the period in question I have had discussed routine maters related to docketed workload related to affiliated pipelines. VI. Other Comments (if any) V. Was any action
IV. Give IV. Date taken by the
the date range Comm. in response
to the meeting III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who Initiated the meeting Office of Division of the Executivy Accounting executivy Accounting Policy S. ž ŝ ž ŝ ş Office of Developme and Markets. (Complianc and Operational Rates Audit Group) Office of Dam Safety
Energy and
Projects Inspections Know, and Info, Mgt. Associate Director Office Division DHAC DFP Inkenberg, Charles, berlin, Robert F. Klynsma, Sara C. Klng, Wayne B. Name Kienk, Cynthia dose, Mark Kim, So Y. 840 842 845 843 844 841

846

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Ĺ	Δ.	ď	_	c	ш						
-	Name	Office	Division	<u>s</u>	Office Division (a) initiated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action V. Date taken by the range Comm. in response to the meeting
847	Knight, Bobby W.	Office of Administ rative Law Judges	Division of Logistics Manageme nt	Š							
848	Knight, Franklin D.	Office of Markets, Tarriffs, and Rates		, kes	Trial attorney on Trial attorney on RP96-45	Trial staff assigned to Northern Border Setting Northern Border rate RP96-45. Northern Border staff, case RP96-45	Settling Northern Border rate case RP96-45		Series of meetings In 1996	Northern Border RP96-45 rale case was settled	
849	Knight, Franklin D.	Office of Markets, Tarriffs, and Rates		Yes	Litigation Yes Staff attorney on Docket RP93-34	Trial Staff assigned to Transwestern Docket RP93-34, Transwestern staff, and customer staff	Setting the Transwestern RP93-34 rate case		Series of P meetings in 1993	Rate case in Docket RP93-34 was settled	
850	Kochhar, Medha , L	Office of Energy Projects	Branch gas 2	Yes	Branch gas Yes Medha Kochhar	do not know	request for nonjurisdictional facilities			memo to OGC (Fredric Minnis), Notice of Protest for CP94-716-000.	
851	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2	Š							CP1992-0469-000
852	Kochhar, Medha, L.	Office of Energy Projects	Gas, Branch 2	Š							No communications for the following occumunications for the following occuments: CP1920-202173.  7971920-20206, CP1920-2033. CP1932-0551. CP1932-0551. CP1932-0651. CP1932-0651. CP1932-0651. CP1932-0651. CP1935-0590. CP1935-0590. CP1935-0590. CP1935-0590. CP1935-0790. CP1935-0790. CP1935-0790. CP1935-0790.
853	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2	×es ×	Yes Steve Veatch	Medha Kochhar	DEIS comment meeting format.				Trequested FGT to bring alignment sheets to discuss location of properties if andowner has cuestions.

Response to Question No. 3 in are February 15, 2002 Letter

-163

					<u>.</u>	From Senators Lieberman and Thompson	berman and Th	ompso		-	}	
П	٧	В	ပ	Ω	ш	Ŀ	9	H		ſ	Х	
	Name	Office	Division	<u>3</u>	Office Division (a) Inititated the	<ol> <li>List all that were present at the meeting</li> </ol>	III. What was the subject matter(s) of the meeting	IV. Give I	IV. Date range	IV. Was any action IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. in response VI. Other Comments (if any) to the meeting	
4	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Steve Veatch	Medha Kochhar	Route alternatives through Lecta Miley's property and Central Maintenance Welding Inc.			FGT made a filing for the revised routes through these areas.		
22	Kochhar, Medha, L.	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Steve Veatch of FGT	Get Landowner Information and issues raised by the landowners.		09/01/99 and 01/01/200		General information exchange regarding the project and issues raised by landowners and commenters prior to FEIS.	
9	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Steve Veatch and Medha Kochhar Rob Kilmer	Medha Kochhar	wanted to know if they can come for a technical meeting to discuss format of preconstruction filings			We had a technical meeting with FGT staff and FERC at FERC headquaters to discuss format of preconstruction filings.		
7	Kochher, Medha, L	Office of Energy Projects	Gas Branch 2		Steve Veatch of FGF	Medra Kochtar	Plonity of construction of decaratics. Stews gave the order of priority that construction decarated around the submitted. Storage youts, staging areas. Wrestleng Storage youts, staging areas. Wrestleng Storage would be submitted. Storage youts, staging areas. Wrestleng Storage would be submitted. The submitted in the submitted of security and storage with the submitted in the submitted storage with the submitted storage wi			و ،	Later there were several phone calls between 38/00 and 5/6/00 to ciscuss construction filings specifically the alignment sheets.	
	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Steve Veatch	Condemnation of properties owned by Central maintenance, Conrad, and Varrum					
9	Kochhar, Medha, L	Office of Energy Projects	Gasi Branch 2		Yes Medha Kochhar	Sleve veatch	Landowner (Mr. Paquin) concerns and what is done. Update on construction status and forthcoming filings for MS. Loop.		6/13/00 - 8/7/00			
02	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Steve Veatch	Construction plans for the remaining of the facilities (Tempa South lateral Extension. Requests for inservice of W.E.		8/11/00 -			

856

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

- 19

						i i oni ocinatora Erepermiani ana i mompaoni	מו שווים וו	Schillo	₹ .		
	A	В	S	۵	ш	Ł	9	Ξ	-	7	¥
-	Name	Office	Office Division (a)		I. Who Inititated the meeting	<ol> <li>List all that were present at the meeting</li> </ol>	III. What was the subject matter(s) of the meeting	IV. Give If	V. Date t	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the rate and comments (if any) ange Comm. In response to the meeting
861	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Eric Barbee	Construction status along WLE, WetaInd restoration Plan				
862	Kochhar, Medha, I	Office of Energy Projects	Gas Branch 2		Yes Steve Veatch	Medha Kochhar	Petrash Property and wetland restoration issue		9/11/00 -		During this time period I talked with Eric Barbee (environmental inspector to get updates on the wetland restoration on Petrash property (what, when where, howetc.).
863	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Steve Veatch	Landowner concerns		12/11/00 -		Sleve Veatch provided more information through Eric Brabee (El).
864	Kochhar, Medha, t.	Office of Energy Projects	Gas Branch 2		Yes Steve Veatch	Medha kochhar	Public scoping meeting for the Tempa South Laterat extension.	•			
865	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha Kochhar	Rob Kilmer (FGT)	Construction schedule for MS Loop and other remaining facilities				Later on 1/11/01 Steve Veatch and Rob Kilmer provided details of schedule construction. I informed him the items we need to have.
866	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Meedha Kochhar	Ryan Childs	Change in construction method from directional drift to open cut and our concerns.		1/23/01 and 1/30/01		
867	Kochhar, Medha, L	Office of Energy Projects	Gas Branch 2		Yes Medha kochar	Steve Veatch	Construction updates - MS- Loop, SSL, FL-Loop, and LWL		2/12/01 -	-	
868	Kochhar, Medha, 1	Office of Energy Projects	Gas Branch 2	Yes	Yes Medha Kochhar	Ryan chlids	Tempa South Lateral Extension (CP99-94-003) OCA's letter and Golden aster issue and FWS comments.		2/20/01 -		t had follow-up phone conversations for this project with Sleve Veatch, Ryan Childs, and Rob Kilmer who provided updates on this project issues.
S S S S S S S S S S S S S S S S S S S	Kochhar, medha, L	Office of Energy Projects	Gas Branch 2	Yes	Yes Medha Kochhar	Sleve Vealch	Status of TSLE project Implementation Plan filing. Steve informed me in his follow-up call that National Gypsum would gas from peoples and therefore, FGT productif file and not accept this forcer.		4/9/01 -		

Response to Question No. 3 in true February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Was any action IV. Date taken by the range Comm. in response to the meeting		Street Varion controlled with Street Vestch or TGT representative and medical Kochhar, during the allower medicand period vest all rether to FCT These IV Period COS9-44-00 and CPS8- FASE IV Period COS9-54-00 and CPS8- TASE IV Period COS9-54-00 and CPS8- TASE IV Period COS9-54-00 and CPS8- TASE IV Period COS9-54-00 and CPS8- FASE IV Period COS9-54-00 and CPS8- FASE IV PERIOD COSP (PASE IV PERIOD COSP) for the party compliance monitoring services.	FF Expansion Project by Northern Natural Gas Comapny Docket No. CP94-224-000.		Docket Mo. CP94-224-000, EF Expansion Project.	Several phone conversations were made during this period at phone calls were project related, issues: multiple lines, minimize giph-fo-width, noad through subdivision and scale tearwings. Eff. Expansion Project, Northern Mauural Gas Company, Docket NO. CP94-224-000.	CP94-224-000, EF Expansion Project. We conducted compleme hispedions during construction. Ebasco Environmental was also tasked to do inspections.
	ſ	IV. Was any action IV. Date taken by the the date range Comm. In response to the meeting							
5	ŀ	IV. Date range		4/6/2000 -	3/230/199 4 - 6/14/1994			3/30/1994 to 8/8/3/1994	
	Ξ	IV. Give the date							
Delliali alla II	9	III. What was the subject matter(s) of the meeting	Ft. Mead Pipeyard		Landowner address corrections, Clarifications on right-d-width, Plate River Estale, comments from SHPO, FERC Plan and Provedures.	Site visit and trip agenda.	Right-of-width issue in residential and forested area and small diameter pipe.	Project specific issues: D-Line and permit status	Fleid trip for 10/24/94. Construction status, City of Cottage Grove Issue, Blweekly reports, MNDNR,
I form Seriators Ereperman and Infinipson	F	II. List all that were present at the meeting	Sleve Veatch		Cheryl Sanders	Medha Kochhar	Medha kochhar	Chery Sandes and Dave Brown	Medha Kochhar
	Е	1. Who Office Division (a) Inititated the meeting	Yes Medha Kochhar		Yes Medha Kochhar	Yes Dave Brown	Yes Dave Brown	Yes Medha Kochhar	Yes Danny Russel
	۵	(a)		Yes	Yes				Υes
	O	Division	Gas Branch 2	Gas Branch 2	Gas Branch 2	Gas Branch 2	Gas Branch 2	Gas Branch 2	Gas Branch 2
	В	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	A	Name	Kochhar, Medha, L	Kochhar, Medha, L	Kochhar, Medha, L	Kochhar, Medha, L	Kochhar, Medha, L	Kochhar, Medha, L	Kochhar, Medha, L
	1	-	870	871	872	873	874	875	876

Response to Question No. 3 in uie February 15, 2002 Letter From Senators Lieberman and Thompson

		8	ľ		1
¥	VI. Other Comments (if any)	Have no recollection of what the question(s) were or if we alked more than a couple of times about the facts in the application. Nothing size was discussed.			
,	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Order issued April 11, 2001		поле	иа
-	IV. Date range	Late Nov 2000 to Feb/Mar 2001		03/01/200 1- 03/30/200 nane	
Ξ	IV. Give the date				6/7/2001
9	III. What was the subject matter(s) of the meeting	Docket No. CP01-33-000, telephone call to ascertain some factual information on abandoning a pipeline suspension bridge across the Platte River in Dodge and Saurders Counties, Nebraska.		If was a happy hour at the first history and a happy hour at the first Thereof granted by the Energy Bar Association Young Lawyers Committee. Social was in attendance with his now former girlifered Geats Oberol, OSC, FERC. We exchanged greelings and some facts about our moment and the manual Art has the worked for on rendom that he worked for Energy. Learn It item in the defer on rendom that he worked for Energy is a second-	It was a party hosted by Gene Grace and his wife Sandy in their home 2 cott (Ermon with his now former griffiend Geals Oberd. We briefly alked about their marriage plans and his kids from prior marriage.
Ь.	II. List all that were present at the meeting	Docket No. CPO1-33-000, telesen. Director. Certificates & seortain result actual information on Reporting, Northern Natural Gas suspension bridge across to Company.  Page 18-12-12-12-12-12-12-12-12-12-12-12-12-12-		Scott (Emor employee), Geets Roger Ciblan, EBA (Detec), Lode White, Joyce Kim, YLC vice-chalf Roger Giblan, and other attorneys from various law firms.	Scoti (Enron employee), Jignasa Scoti (Enron employee), Jignasa Gadan and Pre romeae Megan. Milec Gaden and Pre in San and its gliffetter (Harry), Len i San and its gliffetter (Harry), Len i San and its gliffetter (Harry), Len i San and its gliffetter (Lide White Gaesa Obeco, Maria Visa San and Canco Si field in Annual Maria Canco Si field in Annual Canco Si field in A
Е	Office Division (a) Inititated the meeting	Yes Myself		Roger Giblan, EBA YLC vice-chair	Genre Grace, OGC, FERC
_	(e)	Yes	S.	Yes	Yes
ပ	Division	Pipetine Certificates	DHAC	MTR	MTR
В	Office	Office of Energy Projects	Office of Energy Projects	Office of General Counsel	Office of General Counsel
Ą	Name	Koester, Thomas F.	Kolak, James	Kolotushkina, Olga	Kolotushkina, Olga
	4~	778	878	879	Coo

_	,		
Δ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		
	IV. Was any action IV. Bate taken by the the date range Comm. In response to the meeting	, eju	
-	IV. Date range	03/2001	
Ξ	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	It was a happy hour at Red Bage organized by Joyce Kim for the Energy Bar Association Young Lawyers Commilled members. There I ment Hamo, Elevan employees. We exchanged greenings. At the time, did not know, where six he worked (for Enon light of the ERA annual morello on Any 126, 2001 at the Capitol Hillown when she gave me her business candings on the ERA annual morello on Any 126, 2001 at the Capitol Hillown when she gave me her business candings.	
Ŀ	II. List all that were present at the meeting	It was a happy hour at Red Sage organized by Juyce Kim Change Sage organized by Juyce Kim Mancy (Enron employee), Jignasa Association Young Lawyers Nancy (Enron employee), Jignasa Committee members. There I Gadani and her roomate Megan, Joe melt Nancy (Enron employee), Juyce Kim, OGC, Godani and her roomate Megan, Joe melt Nancy (Enron employee), Juyce Kim, OGC, Godani and the roomate Megan, Joe melt Nancy (Enron employee), Juyce Kim, OGC, Godani ERA YLC vice-chief, and 2.3 their line, I did not know other altoneys from private law firms where she exheet of creving whose names I do not know. The change of the Eth, amount meeting on April 52, 2031 at the Capitol History where she grave me her business cand	
ш	Office Division (a) Inititated the	Joyce Kim, OGC, FERC	
٥	(a)	Yes	2
ပ	Division	MTR	Office of htal and Engineerin Projects
В	Office	Office of General Counsel	Office of Energy Projects
٧	Name	Kololushkina, Olga	Kosa, Jarrad T.
	-	881	ç

Response to Question No. 3 in une February 15, 2002 Letter From Senators Lieberman and Thompson

-		
×	Vi. Other Comments (if any)	
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	Yes. On Warch 16, 2001, following a revised pro- following a revised pro- following a rower break of the Commission or orderence issued an order accepting a subject for modifications. By order proceeding in Docket No. Re
_	IV. Date range	Followup conference 10/17/00
·   ±	IV. Give the date	9/14/2000
9	III. What was the subject matter(s) of the meeting	Columbia Gas Transmission Corporation's Gardon's filling in Decket No. P.P.Oc. 374-00. roposiding to modify its procedures. The filling was as to rouble i colmission conference by Commission Gente stand \$0.200 (92 FERC, P. 61, 173).
- н - н	II. List all that were present at the meeting	Altendees at 8P142000 Technical conferences at 8P142000 Technical about floated on attendance about 10-bigs General (FREC-OEGY). The Cheek Tibble of FREC-OEGY and Anderson (FREC-OEGY) and Anderson (FREC-OEGY) and Anderson (FREC-OEGY) and Anderson (FOUNDER) (FOUNDER
Е	I. Who Office Division (a) Inlittated the meeting	The Commission (9.2 FERCP P 61.173)
۵	(a)	Yes
O	Division	MTR
В	Office	Office of General Counsel
٧	Name	Koves, Scott E. (Une Office of 1 of 2) Counsel
	-	
Ч		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V. Was any action V. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting		
	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting		Yes. A proposal to settle the issues set for the issue set for the issue on the issue in the issue is the issue
-	IV. Date		
.[	IV. Give		10/29/1996
	III. What was the subject matter(s) of the meeting	Columble Gas Transmission Corporation's 62000 filling in Decelet No. FPNO 2314.000 proposed to modify along appendy aution talf if procedures. The filling was as as for public Technical Conference by Commission crice insused by Commission	Forda Gas Transmission Company's proposed changes to enms and changes to enms and conditions of service, including allocation of storage casts, field in Dockel No. Pres-Gabouto on 820/86, and set for technical conference by order issued 930/96 (76 FERC P 61,351).
	II. List all that were present at the meeting	(Cortif) Jeffry L. Kirk (Mountainers as So. So.), Stand Sherman (Polanda Ser So.), Sharman (Polanda Ser So.), Sharman (Polanda Ser So.), Sherman (Polanda Ser Sor), Sherman (Ser Sor), Sherman (Ser Ser Ser Ser Ser Ser Ser Ser Ser Ser	l ton't erail If was present.
	Office Division (a) inititated the meeting		The Commission Yes (76 FERC P 61.351)
6	(a)		Yes
C	Division		MTR
a	Office	Office of General Coursel	Office of General Counsel
Δ	Name	Koves, Scott E. (Line Ceneral 2 of 2)	Koves, Scott, E.
	-	884	885

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

....0

	1				
71	V. Was any action IV. Date taken by the range Comm. In response to the meeting				
	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Yes. On 52899, the Commission issued a Commission issued a conference report, approving John motion for approving John motion for approval of wathers, including a proposal of Profide Gas Thramshiston mon-compliance penalties arrangeous lendings and offercial managements entitled and careful and arrangeous feelings and feelings arrangeous feelings and feelings arrangeous feelings and feelings arrangeous feelings arrangeous feelings are also feelings are also deed that arrangeous feelings are also feelings are al	Yes. On May 2, 1995, Transwestern filed a confessed settlement of many issues in the dockeled proceedings and, in an order issed Live 2, 1995 (2 FERC P 6, 1085, terlearing denied, 10, 1985 at 73 FERC P 6, 1085, the Commission approved the settlement.		
-	IV. Date range				
-	IV. Give the date	3/11/1999	4/12/1995		
	III. What was the subject matter(s) of the meeting	Florida Gas Transmission Company's annual cash-out proof filed on 12/1808 in Docket No. RP99-186-000 and set for technical conference by Commission FIERC P 61,120;	Many issues regarding Transwestern rate, service, and certificate matters, but formicipally the issue of the realfocation of costs of turned- back capacity.		
	II. List all that were present at the meeting	l have no records of who was present.	to the have a record of the attendees, by the treat that it was widely-attended by many of the frace-renes and also by Many issues regarding commission safe assigned to the Transwestern rate, sender continent continence in the sender continence of the sender continence in transwestern processing principally the seuse of Pharm Company at all the sender continence in the sender continence in the sender continence in each doctor.		
٥	T the	The Commission Yes (86 FERC P 61.129)	Yes   don't know.		
6	<u>a</u>	Yes	Yes	No	No
·	Division	MTR	MTR	MTR	n/a
	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Administ rative Law Judges
<	Name	Koves, Scott, E.	Koves, Scott E.	Kozlowski, Hadas Z.	Office Admin Krauthamer, Michael, rative Law Judge
	-	886	788	888	088
_	1		L		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.. **£** 

	×	V. Was any action IV. Date taken by the range Comm. In response IV. Other Comments (if any) to the meeting					
	ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting		No impact on regulatory fillings or cases. Best practices and experiences discussed by FERO FIRST Project Teams.	None.		None.
Ē	_	V. Date range					
odillo.	I	IV. Give I		10/21/1998	10/21/1998	10/21/1998	10/21/1998
sperillall allu 18	9	III. What was the subject matter(s) of the meeting		Emory's reenqineering influence of Customer service influence of an order service of the control	Tour of trading floor.	Tour of trading floor.	Tour of trading floor.
FIORE SERVICE ELEBERINAL AND ERORIPSON	F	II. List all that were present at the meeting		Emon's reenquireering inference services (Steptanle W, Miller, V.PCustomer to about 5 members EMISCAN Services for Florida Gas fransmission of perdoming pere reviews Company Carolyn Benry (CIV.  Economic Policy). FERIC FIRST performance evaluation proposal services in the performance of perdominance and personal purposes. Teams marbur in about 5 years, when selphilican so and work efficiencies are realized.	James B. Fallon, V.P. for Power Trading - Erron Capital & Trade Resources Corporation	Judy Gomez - V.P. Gas Trading - Erron Capital and Trade Resources Corporation Carolyn Berry, Orc. Of Economic Policy - FERC FIRST Project	Judy Gomez - V.P., Gas Trading - Enron Capital and Trade Resources Corporation Carolyn Berry, Olb., Ol Economic Policy - FERC FIRST Project
	Ε	Office Division (a) Inititated the meeting		Yes Kevin Medden	Yes Kevin Madden	Kevin Madden	Kevin Madden
		(a)	g				
	ပ	Division	Information Office of Technology Markets, and Tarriffs, Organizatio No and nal Rates Manageme	Central	Central	Central	Central
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	٧	Name	Krug, James M.	Lacy, Michael P.	Lacy, Michael P.	Lacy, Michael P.	Lacy, Michael P.
	П	-	890	891	892	893	894

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

١	٧	_	C	9				. -	-		
	Name	Office	Division	(a)	the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	IV. Date	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date laken by the Property of the response VI. Other Comments (if any) to the meeting
acy, /	Lacy, Michael, P.	Office of Markets, Tarriffs, and Rates	Central	Yes	Yes Kevin Madden	Stanley Horton, President - Erron Gas Pipuline Group Carolyn Berry, (Oton G Ecanomic Policy) Fere First Project	(1)Natural gas rate policles - rapacity authoris, seasonal rates, volumetic rates, SFV vs. other rate design methodologies (2) Enron reengineering efforts	10/21/1998		No impact on Commission rate policies. FERO FIRST - Best teaming practices and experiences noted for white forms from the Competitive Markets Team	
aFav	LaFave, Mary G.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs and Tariffs, Rates-West Rates	ž							
affer	Lafferty, Ronald N.	Office of Markets, Tarriffs, and Rates	Rates - West	Š							
agnic	agnion, William, N	Office of Energy Projects	D2SI-PRO No	Š							
ake.	Lake, Julia A.	Office of General Counsel	Markets, Tariffs and Rates	N _O							
ane,	Lane, Dennis	Office of General Counsel	Selicitor's Office		Jeffrey D. Watkiss	Yes Jeffrey D. Walkiss Mr. Walkiss and Mr. Lane	Attempt to get FERC to file amicus brief or to Intervene In California class action lawsuit.		August- September No 2001		FERC is frequently asked to intervene in federal district court or the state trial court actions. We almost always decline such requests.
arca	Larcamp, Daniel L.	Office of Markets, Tarilfs, and Rates	Office of Vartets, Tarriffs, Front office Yes Enron and Rates	Yes	Enron	see response to Boxer letter	reporting requirements for gas sales in California	6/28/2001		Ou	As reported in the Boxer response I do not recall who exactly was present at this meeting

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

7	IV. Give IV. Date laken by the the date range Comments (if any) to the meeting to the meeting	this was an outreach session with the Electric Power Supply Association and several of its members. One person was from Enron	this was an outreach meeting with EPSA	this was an OMTR outreach meeting- further details in Saida Shallan's writeup		ako viewed ElPaso's and Dynergy's trading ficors on this trip	recall two meetings on this topic
-	V. Was any action taken by the Comm. in response to the meeting	٠ و	2	00	. 00		۶
Ŀ	IV. Date range	_					2003/2001 no
1	IV. Give the date	5/30/2001	7/5/2000	7/7/2000	7/18/2000	12/7/2000	
# H	III. What was the subject matter(s) of the meeting	comparability of transmission service, efficient RTO formation and operation	RTO functions	636 issues, pipeline rate flexibility, higher pipeline ROEs, efetric and gas convergence	view Erron pipeline operations center and trading 7/16/2000 floor	part of trip to assess part of trip to assess recessary capabilities for staff's Market Observation Resouce Center	NOPR on affiliate issues
	II. List all that were present at the meeting	12 outsiders and 29 FERC staff-ace details on report by Saida S'Hallan	10 outsiders;18 FERC staff-see summery by Salda Shaalan	15 outsiders;25 FERC staff	Slan Horton and others from Enron- see response to Boxer letter	Office of Markets.  Standis, Front office, Yee Commission skilf see response to Boxer letter and Pales.	Joe Hartsoe(Enron),Joan Dreskin(INGAA)
	1. Who (a) inititated the meeting			OMTR		Commission staff	Enron
	(3)	Yes	Yes	Yes	Yes	Yes	Yes
O	Office Division	Office of Varkets, Tarriffs, Front office Yes OMTR and Rates	Office of Markets, Terriffs, Front office, Yes OMTR and Rates	Office of Varietis, Tarriffs, Front office Yes OMTR and Rates	Front office Yes cent recall	Front office	Office of Markets.  Markets.  Branfis, Front office Yes Enron and Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
4	Name	Larcamp, Daniel L.	Larcamp, Danlel L.	Larcamp, Daniel L.	Larcamp, Daniel L.	Lercamp, Daniel L.	Larcamp, Daniel L.
	-	206	903	904	902	906	20
_	٠	——— <u> </u>					

200
Crom Constorn Linkorman and Thompson
Jun 200
200
1 010
Coop
1

From Senators Lieberman and Thompson

	х	V. Was any action IV. Date taken by the rate of the response of the meeting to the meeting		meetings were intended to provide details of Commission orders on California/West power matters just voided by the Commission. Briefings took place at the same time press was being briefed on order details.	This was INGAA fall meeting, I was a speaker	NGAA Board of Directors meeting-1 was a speaker	overview of gas pipelines for electric staff	
	7	IV. Give IV. Date/taken by the the date range Comm. in response to the meeting	011				. Ou	92
	-	V. Date range	2000/2001 110	2000/2001 no	Fall 2001 no	2000/2001 по	96-unr	
	Ξ	IV. Give I						7/12/1999
3	Ð	III. What was the subject matter(s) of the meeting	current gas Issues	Commission orders	current gas Issues	gas issues	pipeline locations	pre-Order 2000 issues
Tom Common Properties	L.	II. List all that were present at the meeting	Joe Harsoe	Joe Hartsoe (Enron), and representatives from California IOUs and generators, and Cal ISO	do not have records-one or two Erron current gas Issues representatives attended	pipeline executives-do not have list of atlendees-believe Stan Horton fron Enron was present	pipeline representatives "Shelton Camon and Don Gelinas-OMTR staff pipeline locations	16 FERC staff, 14 members of EPSA, including Joe Hartsoe from Enron
	Ħ	Office Division (a) Inititated the meeting		Diffice of Varkots, Tanffs, Front office Yes Commission staff Rates		INGAA		FERC staff
	a	(a)	Yes	Yes	Yes	Yes	Yes	Yes
	၁	Division	Mice of Markets, Tarriffs, Front office Yes Enron and Rates	Front office	Office of Markets, Tarriffs, Front office, Yes INGAA and Rates	Office of Markels. Tarriffs. Front office   Yes INGAA and Rates	Office of Markets. Tarriffs, Front office Yes INGAA and Rates	Office of Markets, Markets, Front office   Yes   FERC staff and Rates
	В	Office	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	Α	Name	Larcamp, Danlel L.	Larcamp, Daniel L.	Larcamp, Daniel L.	Larcamp, Daniel L.	Larcamp, Daniel L.	Larcamp, Daniel L.
		-	806	606	910	911	912	913

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_							1	
×	V. Was any action IV. Date taken by the range Comm. In response to the meeting	amual meelings-was one of soveral speakers	this was a prefiling meeting					
	IV. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting		no but merger ultimately was approved			No action	No action	
-	V. Date	2000/2001 110						
	IV. Give I		8/20/1996			2/7/2001	12/7/2000	
ď	s the er(s) of	current electric issues	EnconPorland General merger			FERC staff tour of Oak Grove, Nork Fork and Willamette hydroprojects (Project Nos. 135, 2185 and 2233) and meeting regarding contemplated relicensing of above named projects.	Meeting regarding proposed relicensing of North Fork and Oak Grove hydro Project Nos. 135 and 2195	
n	II. List all that were present at the meeting	do not have attendee list but think one or more Enron reps were present	Dan Larcamp, Tony Ingram(FERC staff),Job Hartsee,Jack Tompkins, John Echols, Wand Curry,David Poe,Job Feltz,Mike NaevelEnron employees or counsel)			David Heintzman of Portland General Betric Co. John Balt (FRKC staff), Steve Hocking (FERC Staff) and myself (Quentin Lawson, OGC)	Julie Kiel, Portland General ElectricCo. John Blair (FERC staff),and myself. and myself	
ш	Office Division (a) Initiated the meeting	EPSA	Yes Enron			Yes FERC staff	Yes fero staff	
-	e e		Yes	Š	ž	Yes	Yes	શ
C	Division	Office of Markets, Tarriffs, Front office and Rates	Electric	General and Administrati ve Law	Office of Infrastructu the Chief Infrastructu re & Operations Officer	Energy Projects	Energy Projects	OEA
a	Offlice	Office of Markets, Tarriffs, and Rates	Office of General Counsel		Office of the Chief Informati on Officer	Office of General Counsel	Office of General Counsel	Office of External Affairs
4	Name	Larcamp, Daniel L.	Larcamp,Daniel,t.	Office of Lawrence, Lynette T. General Counsel	Lawrence, Philip R.	Lawson, Quentin	Lawson, Quentin, A.	Leach, Kimberly A.
	-	914	915	916	716	918	919	S

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

소	VI. Other Comments (if any)								Private meetings lasting no more that 10 minutes each were held with each party and the Chief Judge to announce what a party
	VI. Other								
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	-						Dec. 1997. The Commission has yet April 1999. to act on the settlement.	The Commission set the matter for hearing.
-	IV. Date range							Dec. 1997 April 1999.	June 25, 2000 - July 9, 2001
Ξ	IV. Give the date								
9	III. What was the subject matter(s) of the meeting						-	The transmission revenue requirement and rate design for PG&E's transmission facilities	Potential refunds from the disfunctional California markets.
4	II. List all that were present							FERC Shaff Pacific Gas & Electric Co., In Pacific Gas & Electric Co., In Proceedings of Sas & Electric Co., (Including Error, and other padies to Double Nose, ER97-2359, ER98-2351, and ER98-2361.	All parties to the proceeding (approximately 170)including Enror and Portland General. This was a settlement conference befor the Chief
E	I. Who Office Division (a) Intitlated the meeting							FERC Staff Pacific. Gas & Electric Co.,	Yes Commission order in EL00-95
٥	(a)	S	S S	2	Š	Š	ž	Yes	
0	Division	Markets, Tariffs & Rates	Customer Support, COTS	OZSI-PRO	D2SI-PRO	CEA	DZSI	Office of Administration Counsel Litigation	Office of Administrati General ve Counsel Litigation
В	Office	Office of General Counsel	Office of the Chief Informati on Officer	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel	Office of General Counsel
A	Name	Leahy, Deborah B.	LeBianc, Karen E.	Lee, Chin Y.	Lee, Chin Y.	Lee, Eugene	Lee, Francis	Lee, Linda L.	Lee, Linda L.
$\vdash$		126	922	1 626	924	925	926	726	

¥	V. Was any action V. Date laken by the range Comm. In response to the meeting							Commission accepted the Portland General was an intervenor Settlement	Commission accepted the Enron Marketing was an intervenor Settlement
٦	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Commission approved the settlement agreement with the contracts for RMR units.	None pending	June 2000 continuing None pending	March 11 - Initial Decision pending 08/19/99 before the Commission.	08/01/01 - Pending before the 09/24/01 Commission	No action on this subject.	Commission accepted the Settlement	Commission accepted the Settlement
-	V. Date range	January 1998 - March 1999	08/01/01 - continuing	June 2000 continuing	March 11 -	09/24/01	December 2000 - January 2001		1997-2001
I	IV. Give I			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				6/18/1905	
9	III. What was the subject matter(s) of the meeting	The contract terms and conditions for reliability must run units in California	Ongoing trial procedures	Settlement discussions on the rate for access to the transmission facilities under the control of the Cal ISO	Settlement discussion relating to the interconnection of the Alturas line running from Reno, Nevada to Alturas, California.	Pre-irial conferences and trial on whether the price for contractivity in the Pacific Northwest for the pacific Northwest for the pacific 25, 2000, through June 20, 2001, should be mitigated.	Whether generators and marketers were willing to enter into forward contracts with California utilities to supply energy in California	Standard costs	Transmission Rates
	II. List all that were present at the meeting	All parties in Docket Nos. ER98- 441, ER88-495, and ER98-496, including Enron (approximately 125 people).	Commission order. Ves in Docket No. EL06 All parties to the proceeding including 95	Settlement discussion Commission Chief All gattles to the proceeding, including the discussion believe. 2019 in ERGO. Enron.	Sterra Pacific, FERC Staff and all interveners in Docket No.ER99-28. Including Portland General	Commission order All parties in Docket No. EL01-10, including Enron and Portland General Control of Control Control of Control Control of Control Control of Control	All parties to the Forward Contracting deputes in California, Docket No. El00, 95. This includes Enron and Portland General.	Parties to Docket No ER96-714	Yes to the case ER95-697 and ER97-4468
Ш	Office Division (a) Intitated the meeting	FERC Yes Staff/PG&E/SoCal Edison	Commission order in Dacket No. EL00 95	Commission/ Chief Yes Judge in ER00- 2019	Sierra Serra Pacific/FERC Staff(corrected)	Commission order in EL01-10	Yes Commission order	Trial Staff, Parties to the case	Trial Staff, Parties to the case
۵	(g)	Yes	Yes	Yes				Yes	Yes
U	Division	Administrati ve Litigation	Administrati ve Litigation	Administrati ve Litigation	Office of Administrati Seneral Counsel Litigation	Office of Administrati General ve Counsel Litigation	Office of Administrati General Counsel Litigation	Litigation	Litigation
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.	Lee, Linda L.
	-	929	930	931	932	933	934	935	936

15, 2002 Letter	nos
5,	ĕ
February '	and Thor
3 in the	berman
ä	<u>0</u>
ž	۳,
Response to Question No. 3 in the February	From Senators Lieberman and Thompson

	¥	V. Was any action IV. Date taken by the range Comm. In response IV. Other Comments (if any) to the meeting					This response covers several meetings of the North American Electric Bellability Countils, School Special School Special School Special School Special	Mr. Rodriquez, along with other members of the Electronic Scheduling Collaborative, the Electronic Scheduling Collaborative, provided a summary of their progress at producing a consensus filing on electronic communication protocosts and business inneclices for the electric chatesty.
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	-				None	Q.
	-	V. Date range					July 1997 - November None 2001	
-	Ŧ	IV. Give the date						1/17/2001
	O	III. What was the subject matter(s) of the meeting					Discussion of electric system reliability and operations.	The Commissions advanced NOPR on Open Access Same Time Information System (OASIS) Phase II.
	F	II. List all that were present at the meeting					Belween 20 and 100 people alterded the meetings. Error employees who Obscussion of electric systems participated frictulated and reliability and operations. Arroy Rodriques.	NERC's Electronic Severat OMTR employees including Severat OMTR employees including Scheduling myself, Andry Rodinjuez was the Erron participant
	E	I. Who Invision (a) Inititated the meeting					North American Yes Electric Reliability Coun	NERC's Electronic Yes Scheduling Collaborati
	۵	(a)	ટ્ટ	N N	. 2	S		
	ပ	Division	Office of Environme anergy ntal and Projects Engineerin g	000	Division of Environme ntal & Engineerin g Review	Office of Environme Energy ntal and Projects Engineerin g Review	Office of Policy Markets. Innovation Fariffs. & Rates Rates	Office of Policy Markets, Innovation Tarriffs, & and Communic Rates ation
	В	Office	Office of Energy Projects	Office of the Chief Informati on Officer	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	
	٧	Name	Lee,Eddie,NMf	Leibtag, Ahava R.	Leiss, John, S.	Leitzke, Peter A.	LeKang, Donald, J.	LeKang, Donald, J.
	П	-	937	938	939	940	941	
	_			L.—				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V. Was any action V. Was any action V. Date taken by the comments (if any) range Comm. In response to the meeting	This was a demo of there softwere "Erron On-Line"			Cookcut at my house and at Donna Fullon's house old friends		
	×.	This was			Cookaul house o		
-	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	No		-	-	June 26, A settlement was filed 1995 to with the Commission and Dec. 1995 accepted.	March 18,1996 to with the Commission and September accepted.
-	IV. Date				Summer 1995	June 26, A settlem 1995 to with the C Dec. 1995 accepted	March 18,1996 to September 1996
-	IV. Give the date	4/18/2000					
	III. What was the subject matter(s) of the meeting	Enron On-Line Presentation open to public			Cookout	Numerous Issues in Northern Natural Gas Company Docket NorPBS-185-000 Section 4 rate case. Fleid Audit to Omaha Neb. Settlement Conferences at FERC	Field Audit of Northern Border Pipeline Company Docket No. RP84-45-000 Section 4 rate case and Settlement conferences
	II. List all that were present at the meeting	Office of Market Market Training Developme (Yes Commission Staff Members of the Commission Staff and Pates			Donna Fulton	Mary Kay Miller Steve Kirk Donna Fulfon Willam Cordes Mike Mogowan Tim Kissere Mary Darveaux Dari Doman Steve January	Litigation   Yes Commission Staff   Ray Nepal Janet Place Bambl   Heckerman Larry DeRoin
2	Coffice Division (a) initiated the meeting	Commission Staff			Yes Donna Fulton	Yes Commission Staff	Commission Staff
c	(a)	Yes	Ž	NO.	Yes		Yes
c	Division	Market Developme nt	East	Division of Environme ntal & Engineerin g Review HEB-1	Litigation	Litigation	
9	Office		Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
<	Name	Lengenfekter, David	Lentini, Alfonso	Leppert, Patricía	Lesile, Ralph E.	Leslie, Raiph, E	Leslie, Ralph, E
	-	943	944	945	946	947	948

_ f

21	2	V. Give IV. Date laken by the the date range (Comm. in response to the meeting to	The rease was suressfully mediated and a settlement filed with the accelerant filed with the accepted.	festinony fred, Trai Hold, Pending Commission action	Various actions were Erron representatives intervene and altend taken depending on the almost all rate case proceedings at FERC rate case at itssue.	This was a public hearing on four issues set down by the Commission for trial in these through   Issued an Initia Decision Godes. It have ougher of the estimony oscotoco on Cacheer 15, 2001   Prefelhe Company and all the other patients of this proceedings.		
	-	IV. Date to	Summer in 1997 C	Summer T 2001	1992 - the tr	08/29 through 11 08/30/200 o		
1		IV. Give						
		III. What was the subject matter(s) of the meeting	Mediation Conference on a compressor station fuel filing	Transwastern Pipeline Company Docket No.RF98- 000-et.al California Complaint Hearling	settlement conferences and technical conferences	Formal Hearings in Transwestern Pipeline Company, Docket No.RF97- 288-009 et al		
		II. List all that were present at the meeting	uliter and other Northern	Therese K. Lohman	Erron Representatives that Intervened sertlement conferences and in rate case.	Attorneys and witnesses for Commission order Transvescent and other parties. All setting issues for appearances are noted in the public hea		
ш	,	Office Division (a) inititated the meeting	Litigation Yes Pipeline Company Personnel	Litigation Yes Pipeline Company Steve M. Harris	Various Pipelines Various Pipelines Companies	Commission order setting issues for hea		
_		(a)	Yes	Yes	Yes	Yes	Š	
٠		Division				not appikcable	Office of Division of Markets, Administrati Farriffs, on and and case Rates Tracking	Division of
m		Office	Office of Markets. Tarriffs. and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Administ rative Law Judges	Office of Markets, Tarriffs, and Rates	, July 20 11
4		Name	Lesile, Ralph, E	Leślie, Ralph, E	Leslie, Ralph, E	Leventhal, Jacob	Lewis, Annatte L.	
Γ	Γ	-	949	950	951	952	953	

~ 2

_							
7	V. Was any action V. Date laken by the range Comm. in response to the meeting	this was a large public meeling, with many staff as well as customer groups in aftendance.		-			
ſ	Was any action     Date laken by the the date range Comm. in response to the meeting	not that I know of.				On July 16, 1999, the Commission accepted an offer of settlement	NO
-	IV. Date range	January 23 n 24, 2001				Various	1/1/99 -
Ξ	IV. Give the date						
Ø	III. What was the subject matter(s) of the meeting	Northern's Order No. 637 compliance in Docket No. RP00-404-000				The Responsible Participaling Transmission Owner Agreements fliet by the CAISO for Pacific Gas & Electric, and Southern California Edison.	ISO-New England, ER98- 3554-000: The allocation of ISO-New England, Inc.'s administrative costs to Intermediate power trades.
ш	II. List all that were present at the meeting	Cheum Ni, Harris Wood, Laura Welsen, Gary Marenbotz and other FERC staff, numerous Northern Natural and customer groups				CAISO, Sialf, intervenors	Besy, Carr, Joff Wakks, and Joseph Hordon on behalf of Enon Pawer (2554-00). The allocation of the all
Ε.	1. Who Office Division (a) initiated the meeting	Yes FERC staff				Yes CAISO, Staff	Yes Betsy Carr, Esq.
D	(a)	Yes	S _N	S.	S		Yes
ပ	Division	west	Central, Group 4	Central, Group 4	OGC, Administrati ve Litigation	Litigation	Office of Administrati General ve Counsel Litigation
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of General Counsel
A	Name	Deb, Louís	Lippert, Robert, M	Lippert, Robert, M	Llayd-Bey, Cheryil	Llucas, Camille M.	Long, Joseph, H
П	-	955	926	756	958	959	OBO
-							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

- 28

¥	VI. Other Comments (if any)		
7	V. Was any action IV. Date taken by the the date range Comm. In response	. 9	The caso was settled and the Commission accepted the settlement on 7/1/99
-	IV. Date range	1/1/01 - 6/1/01	2/28/97 -
I	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	ISO-New England, ER01-316- 000: Settlement discussions concerning ISO-New England, Ire., Involvante the allocation of ISO-New England's administrative costs to various users of its services	Settlement conferences to resolve NEPOOL's Open Access Transmission Tariff filing
Ŀ	II. List all that were present at the meeting	Donna Fution and Sarah Novosel on Marbard of Europ Novew Marketing, Inc., Michael Openier, Stzanne McBidde, Mars Kedgar, Arcaph Long, David Cain and Joseph Kane on behalf of Cain and Joseph Kane on behalf of Perfor Trial Stuff Representatives of proceeding	New England Power Pool (NEPOOL) Jocket No. Oxida 227 Caurt removed Softlement conferences to foods the Long-social principle foods to Open in detail, but representatives of almost recove NEPOOL's Open in detail, but representatives of almost recove NEPOOL's Open in all parties to the case came to some finds
Е	i. Who Office Division (a) Inititated the	FERC Settlement Yes Judge William Cowan	Yes FERC Staff and other parties
	(a)	Yes	Yes
0	Division	Vifice of Administrati Seneral Litigation	Office of Administrati General ve Counset Litigation
В	Office	Office of General Counsel	Office of General Counset
Α	Мате	Lorig, Joseph, H	Long, Joseph, H
П	-	961	
_			

Letter	
15, 2002	
15,	
February	į
3 in the	
3	•
Š	•
Question	T
2	
Response to Question No. 3 in the	L

- 83

From Senators Lieberman and Thompson

-		· · · · · · · · · · · · · · · · · · ·		
2	V. Was any action IV. Date laken by the range Comm. In response VI. Other Comments (if any) to the meeting			
-	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	into not believe that the promised no construction of any specific action in the manufacture to Mr. Kittle's suppertors to Mr. Kittle's suppertor to Mr. Kittle's suppertor the factor to Mr. Kittle's Suppertor Mr. Suppertor Works to be in fact on March 1941 the meeting was to be in fact of Mr. Kittle's meeting was open to all interested presents of the meeting was open to all interested presents of the meeting was open to all interested presents of the meeting was open to all interested presents.	_ <u>2</u>	
-	/. Date			
- 2	IV. Give IV	2/16/2000	3/29-30, 2000	
٥	III. What was the subject matter(s) of the meeting	The Commission had previously amonomed by previously amonomed by another offer that was feared on Jennary 11, 2000, that the conditions are not seen to the previously and the suggested that the Krinssa City workshop, Air Robins Killer and the suggested that the Krinssa City workshop and the suggested that the Krinssa City workshop and the previously with the fease and the previously with their ideas on RTO proposals.	Promotion of RTO's at FERC's Kansas City RTO collaborative workshop	
4	il. List all that were present at the meeting	Only Mr. Robin Kittle of Enron and myself were on the telephone.	Several fundred people including Joe FFRC's Kanasa City RTO Hertsee of Enron collaborative workshop	
4	Office Division (a) inititated the meeting	1 Initiated the Yes phone conversation.	Yes FERC	
0	(a)	99	Yes	ક્
0	Division	Office of Policy Parkets. In & Salates. Salate	Policy Innovation & Communic ation	DEER
В	Office	Office of Markets of Tariffs, and and Rales	Office of Markets. Tarriffs, and Rates	Office of Energy Projects
V	Name	Longenecker, William	Longenecker, William	Looney, James Timot
L	-	963	964	965

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	×	W. Was any action     W. Date taken by the     the date range Comm. In response to the meeting.	no meetingsinot applicable.			
	P	V. Was any action taken by the Comm. In response to the meeting		None other than thanks.		An order was issued on February 1, 2002 February 1, 2002 (February 1, 2002) (February 1, 2002) (March 1, 2002)
=	-	V. Date range		Feburary 2001		1/24/2001
5	I	IV. Give				1/23/2001
Mellian and II	Э	III. What was the subject matter(s) of the meeting		Mr. Sleele had volunteered to have PGE provide creteshorms for the running credit and provided and of the bucoming one day of the bucoming one day of the bucoming one day of the provided to the special and the provided for the installation of the provided for the installation and when the refreshment's were to be provided for the installation.		Order No. 637 Technical Conference in Docket No. RP00-404
rioni senators Elevennan and Indinpson	F	II. List all that were present at the meeting		pavij raq		Various Northern Natural employees, Octobrines of the public and Intervented Saff Technical Intervented Saff Inches (Saff Intervented Plants Conference in Docket No. Ryb02-404 and Irene McCallister.
	В	1. Who Office Division (a) Inititated the meeting		Ves Engineer for PGE		Yes Staff
	۵	(a)	S.	Yes	No	Yes
	ပ	Division	same	D2SI	Central	West
	В	Office	Office of Administ ralive Law Judges	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates
	¥	Name	Lopez, Dolores, Calde	Lord, David, W	Lord, Melissa, M	Lowe, Debra A.
		-	996	967	968	9
	_					

	V. Was any action V. Date laken by the range Comm. In response Io the meeting	Overhrusst Popiline is operated by Questan Plane Co. Questan is not no pipeline si malon in malon si malon			
	IV. Give IV. Date taken by the date range Comm. In response to the meeting	Мо	Souldmont was subsequently filed and approved by order issued April 1, 1998,	Settlement was Settlement was and subsequently fined and March, approved by line 2000. Commission in an order isaued July 13, 2000.	
-	IV. Date	7/11/1997 No.		Feb. and March, 2000.	
	IV. Give	7/6/1997	8/27/1997		
	III. What was the subject matter(s) of the meeting	Feld Audit in Overthrust's general skollon 4 rate case proceeding in Docket No. RP97-301	Settlement conference convened in Overbrust's Docket RP97-301 rate case	Conterence calls to discuss settlement proposal in Overthrust Pipeline Company's Docket No. RP00-2 rate case. Conference calls (3-4) occurred during February and March 2000.	
	II. List all that were present at the meeting	Debta Lowe, Particle Enoch-Johnson, Fleid Audit in Overthrust's Staff (Partic Callin, Loward Wilght), general section 4 rate cas and 681 Hind with Obestar Corporation proceeding in Docket No. (operating Operation of Operations) Repgr.301  Repgr.301	Soot Brown (Counsel). Robert Callin and Leonard Width (Cultural methogens), various customers; and Dehat Loue, Donald Williams (estimate). Paridia Entoch-Johnson, George Shriver, Andrew Bieltz with Starf.	Soot Brown (Coursel/Questar) and Robert Catlin (Questar); Edith Glimore (Coursel/Staff) and Debra Love with Staff	
L	the	Yes Staff	Yes Staff	Yes Staff	
	(a)	Yes	Yes	Yes	No
	Division	West	West	West	Litigation
	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarrills, and Rates
	Name	Lowe, Debra A	Lowe, Debra A.	Lowe, Debra A.	Lucas, Сатійе М
	-	970	971	972	973

_		1				
Υ	VI. Other Comments (if any)					
	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	A Partial settlement was fled on February 6, 1996 and Certified on March 17, 1998. The settlement was accepted February 7, 2001.	On June 28, 1996, the various Commission accepted mettings. San Diego's offer of settlement.	various An Infilal Decision was meetings issued August 12, 1996.	On April 29, 1999, the Commission accepted for filing the report on outstanding issues and established further procedures.	The settlement flied October 2, 1998, was accepted by the Commission on May 28, 1999.
-	IV. Date range	various meetings through 1998	various mettings	various meetings		
I	IV. Give the date	7241995	1/22/1996	1/22/1996		
9	III. What was the subject matter(s) of the meeting	PICALE's proposed network transmission, firm and non- firm colet-based and and and services and shalling the nobotal top. ERS-880-00 and obsel 20.00. In response to the Commission's proposed understanding on open access non-discliminating.	San Diego's proposed open access network and point-to-point transmission tarffs.	San Diego's implementation of rates terms and conditions of the Commission's pro- forma transmission tariffs.	Outstanding issues filed by the CAISO in compliance with a September 11, 1998 Order.	Interconnection Agreements filed in Docket Nos. ER98- 1028-000, ER98-1029, et. al.
ш	ll. List all that were present at the meeting	Pacific Gas & PG&E. Commission staff, and Staff Company intervenors (see service list)	San Diego Gas & Electric, Staff, and Intervenors (see service list).	San Diego Gas & Electric Company. Slaff, and intervendrs.	The California ISO, FERC staff, intervenors (see service list)	All parties in the proceeding.
ш	i. Who Office Division (a) inititated the meeting	acific Gas & Electric Company [†] Stoff	San Diego Gas & Yes Electric Company/Staff	San Diego Gas Yes and Electric Company/Staff	Litigation Yes The Commission	Litigation Yes Chiso, Staff CAISO, Staff
Q	(a)	Yes		Yes	Yes	Yes
0	Division		Litigation	Litigalion		
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
¥	Name	Lucas, Camille M.	Lucas, Camille M.	Lucas, Camille M.	Lucas, Camille M.	Lucas, Camille M.
		974	975	976	977	978

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Γ		***************************************		٤	T	T
\ \ \	W. Was any action     W. Date laken by the range Comm. In response to the meeting			Cominiscion accepted Tennessee's filing with a common and a concerts relating to it other than what is a resolved vit a the con- conference and common and a conference and common and a co		
-	V. Was any action IV. Give IV. Date laken by the the date range Comm. In response to the meeting	The Commission The Commission accepted a settlement in Meetings this proceeding on February 24, 2000.	Various Pending the Issuance of a Meetings Commission Opinion	Commission accepted Tennessee's filing wir modifications - order dated approx. D7/37/1998 findicated that Enon seelewed that Enon seelewed that is concerned that is concerned that is concerned that is concerned and conference and conference and conference and proposal conference and proposal		
-	IV. Date	Various Meetings	Various			
-	IV. Give			4/8/1998		
	III. What was the subject matter(s) of the meeting	Meter SErvice Agreements filed by the CAISO in Docket No. ER98-1499-000 et al.	Transmission Owner Tariff rates filed by PG&E in Docket NO, ER97-2358-000	technical conference re: Tennesses Sproposed firm benchasi resvice in Docket No. RPasa-90-000		
IL.	II. List all that were present at the meeting	All parties in the proceeding.	All parties in the proceeding.	do not have a record		
ш	1. Who Office Division (a) inititated the meeting	Litigation   Yea CAISO. Staff	Litigation Yes Clectric/Staff	Yes Commission		
٥	(a)	Yes	Yes		2 5 6	2
O	Division			MTR	Office of Identification and Manageme Rates nt	Office of lentificatio Markets, n and rand and and Rates Manageme
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Lucas, Camille M.	Lucas, Camille M.	Lucas, Jenifer L.	Office of Markets, Lucas, Ronald Georg, Tarriffs, and Rates	Uccas, Ronald Georgi Taniffs, Resolution and Managene Rates
	-	626	980	186	982	9
_			······································			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-45

¥	ments (if any)			Nothing seemed to be out of the ordinary for this meeting followed by comments and reply comments.	nusual in the meetings - to settle	Nothing seemed unusual in the conferences, parlies disagreed but came to resolution	
	Vi. Other Com			Nothing seemed to this meeting follow reply comments.	Nothing seemed unusual in parties were trying to settle	Nothing seemed u conferences, partition resolution	
ſ	V. Was any action  IV. Give IV. Date laken by the Comm. In response (If any) the date range (comm. In response (to the meeting)	-		Commission order on restructuring was issued December 17, 1992 for Transwestern	Rate settement approved Mothing seemed unusual in the meetings - 300/1904	OGHERTOR Commission issued order Nothing seemed unusual in the 8	Not that I am aware of
	IV. Date range				01/26/199 3 - Rate settle 11/04/199 3/30/1994	06/16/199 8 - 07/15/199	
Ŧ	IV. Give the date			7/7/1992			May-00
9	III. What was the subject matter(s) of the meeting			Informational discussion of Transwestern's restructuring plon in RS92-87	General Section 4 rate case (full rate case) Prefrearing conferences and settlement conterences	RP98-203 technical conference as a result of Section 4 General Rate Case proposal to revise fariff terms and conditions	Manipulating of ATC in the
Ŀ	II. List all that were present at the meeting			Transwestern staff, FERC staff, RS intervenors, State PuCs, Transwestern shippers	Transwestern staff, FERC staff, State PuC's, Transwestern's shippers, intervenous, commentors and protectors to RP93-34 Kenneth Ende lead attorney with Kathleen Dias	Northern staff, FERC staff, State PUC, intervences, commentore, probestors and Northern's shippers. Rhodelt Fields led Tech conf	Richard Tabors, Enron's lawyer, Dick O'Neill, Ron Rattey, Bill Meroney, Rober Morle, Pat Alexander, and
Ш	i. Who Office Division (a) inititated the			Yes Commission	Commission set Yes dates for conferences	Yes Commission	Office of Richard Tabors Tarriffs, Developme Yes (consulting for
	)n (a)	off no No	na No				, ne Yes
٥	Divisio	Office of Identification Markets, n and Tarriffs, Resolution and Manageme nt	Issue Identificatio n and Resolution Manageme	f Administrati ve and Case Tracking	Office of Administratil Markets, ve and Tarriffs, Case and Tracking	Office of Administration Markets. Ve and Tranfffs, Case and Tracking	Energy Developm
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs,
4	Name	Office of Markets, Lucas. Ronald Georg Tarriffs, and Rates	Lucas, Ronald Georg	Office of Markets, Luna, Janice Glasgow Tarriffs, and Rates	Luna, Janice Glasgow	Office of Markets, Markets, Luna, Janice Glasgow Tarriffs, and Rates Rates	Luong, Thanh H.
	-	984	985	986	987	988	

202
From Senators Lisberman and Thompson

_								,
Α	IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting							
	IV. Was any action IV. Date taken by the the date range Comm. in response to the meeting	-	NEPA determination: It was determined by staff that no environment in the treat was expected with the sale of facilities since inconstruction was involved.					Action is pending.
-	V. Date range				ĺ			
	IV. Give							7/18/2001
9	III. What was the subject matter(s) of the meeting		RE: Docket No. CP97-40, Abandonment of Facilities by Abandonment of Facilities by Sale (Section 7b of the Natural Gas Act). The Abandonment in the filling as to whether facilities would be meded to be constructed by Northern Naturals affiliate, From Northern Naturals affiliate, From					Technical conference addressing capacity allocation on the El Paso Natural Gas
4	II. List all that were present at the meeting		RE: Docket No. CP97.40, Abardoment of Peablies by Sale (Section 70 of the Natural (Section 70 of the Gas Company Whether (Sephrone call was placed for Caleffication in the filling as to Whether faillites would be Newton Natural saffilliate, Fromon					Representatives of numerous parties to the proceeding, including Rebecca Cantrell of Enron Corporation and Jane Tholk of Enron North America
В	Office Division (a) Inititated the meeting		Yes Alisa Lykens				_	Yes Commission
6	(a)	No	хөд	Š.	No	8	Š	Yes
0	Division	Dam Safety and Inspections SFRO	DEER	Central	Markets, Tariffs and No Rates	n/a	Office of the Secretary	MTR
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Commis sioner Breathitt	Office of the Secretar y	Office of General Counsel
A	Name	Lutticken, Gerard M.	Lykens, Alisa M	Lyles, Tina C.	Lynch, Joseph C.	Lynch, Linda Marie	Lynch, Mary	Lyon, Andrew L.
	-	066	991	992	993	994	995	

	VI. Other Comments (if any)		t began employment with the Commission for the Bost and was assigned to this electric rate proceeding in the Office of Electric Power Regulation.	Degan employment with the Commission on 11 (2008 and was assigned to this electric rate proceeding in the Office of Electric Power Regulation.	i have no meeting notes in my flies, but i often don't take or don't keep notes, so I can't swear I was never at a meeting with	
	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	-	The Commission Issued a spension of selection of selectio	The Commission issued a letter order on 9/20/99 approving the 21/99 uncorrested offer of settlement after the Administrative Law Judge certificated the settlement to the Commission on 12/99.		
-	V. Date		11/98 through 03/99	11/98 through 03/99		
. -	IV. Give I					
	III. What was the subject matter(s) of the meeting		Company, Double Wo R198. 7199-000 Settlement Electric Groupany, Double Wo R198. 1909 allow Control Settlement Groupany-specific rate onspany-specific rate investigation.	Per Portand General Electric Company, Docket No. ER99- 373-500-5 Settlement republishers repaiding youther company-specific rate investigation.		
<u> </u>	II. List all that were present at the meeting		1 to not know the names of altionwys members of vortand General Electric Company in alterdance at ERCA Staff members in alterdance at ERCA Staff members in alterdance Company, Dicket No FERCA Staff members in alterdance FERCA Staff members in alterdance FERCA Staff Authorney, Livita M FERCA STAFF AUTHORNEY	1 to not know the names of attorneys method of Portland General Electric Company in attendance and Electric Company in attendance and EEC Staff members in attendance Company, Droke No. EEC Staff members in attendance Company, Droke No. EEC Staff and Staff and Company, Droke No. EEC Staff Antomy, Linda M. Robert Machiney. EEC Technical Investigation. Staff it is possible that other Staff meetings.		
1	Office Division (a) Initiated the meeting		Yee I do not know.	Yes   do rot know.		
	<u>(E</u>	No	Yes	Yes	Yes	o Z
ر	Division	Division Of Dam Safety, Atlanta Regional Office	Division of Tariffs & Raters - Central	Division of Tariffs & Rates - Central	omtr	EEO
a	Office	Office of Energy Projects	Offices of Markets, Tardiffs, and Rates	Office of Markets, Tarriffs, and Rabes	Office of General Counsel	Office of the Executiv e Director
٥	Name	Cyon, John C., Jr.	Machuga, Roberl, T	Machuga, Robert, T	Macpherson, Janice General Counsel	Madeline H. Lewis
	-	266	866	66	1000	1001

N. Give IV. Date laken by the the the date range (Comm. in response to the meeting) 191 Eventual settlement Response to Question No. 3 in ีก่อ February 15, 2002 Letter 1996 From Senators Lieberman and Thompson 10/19/2001 III. What was the subject matter(s) of the meeting Proposed sale of Portland General Electric to NW Natural Gas Co Rate case issues, rate of return issues were my area. II. List all that were present at the meeting Do not recall. I know Mike Coleman and David Hunger. Company, Staff, Intervenors I assume PGE or NW Natural Gas Co. Office of Markets. Settlement Tarriffs, Litigation Yes Conferences and Rates I. Who
Division (a) Inititated the meeting Office of Markets, General Tariffs and No Counsel Rates Yes ĝ ĝ Office of Markets, Taviffs and Tarriffs, Rates East and Rates Division of Hydropowe r Administrati Non and Compilance West 4 Office of Markets, Tarriffs, and Rates Office ffice of nergy rojects langanello, Rodney, lancke, Helen E fargolis, Morris Name Magos, Zeny C. Mark, John 1004

1003

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Give IV. Date laken by the the date range Commin response (VI. Other Comments (if any) to the meeting Commission issued rules or policy statements. 1992-2001 Over the past decade the Commission has held inunquous workshops and generic betterface conferences involving issues affecting the effection to the state of the conference involving issues affecting the effection the distriction pricing, segional transmission organizations). Forton would have consistent organizations or the conference and properly indicated in many of these oversits, along with a broad spectrum of Industry Interest groups. III. What was the subject matter(s) of the meeting it. List all that were present at the meeting Don't recall, A broad spectrum of interest groups affected by electric issues, Office of General Yes Commission Front Office I. Who
Division (a) inititated the Office of Markets, Administratil Tarriffs, on & Case No and Tracking Rates 0 ş ŝ ž Office of Markets, Taniffs, Rates West hand Rates the Logistics Executiv Manageme e Market Office Office of General Counsel Office of Markets, Tamffe, and Rates Marfette, Cynthia A. Marshall, Terrift A. Marsden, Annette Name Marshall, Jean 1007 1008 1009 1010

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

K	V. Give IV. Datelaten by the the date range Comm. In response VI. Other Comments (if any) to the meeting		Procedural communications		Isolated communications between ENTRIX, fine, and Calypso may have courted in support of effort to compile environmental data for the EtS.		
ſ	V. Was any action IV. Date taken by the the date range Comm. in response	-		No	No	None	1 reviewed and 1 to request for proposals 05/07/200 (REP) being issued by Calypso to captire a filtre
-	IV, Date range	Later to the state of the state	11/26/01 to 02/11/02	11/29/01 to 11/30/01	08/01/200 1 to No 12/01/200	04/22/199 9 to 05/03/199 9	05/03/200 1 to 05/07/200
Ξ	IV. Give the date						
9	III. What was the subject matter(s) of the meeting		E-mail regarding 1) responses to Florida Dept. of responses to Florida Dept. of remonness to Florida Dept. of questions reagrding questions reagrding questions reagrding questions reagrding questions reagrding questions reagrding perplemental interfacts on 2 remonnemental interfacts and 3 remonnemental data questions from FREC and 3 remonnement des presents fr	The Calypso Pipeline Project is being offered for sale by Enron	Calypso Pipeline Environmental Impact Statement - Environmental data	Status of determination of new extra construction workspace impacts	The third-party contract to prepare an environmental impact statement for the Calypso Pipeline Project.
L	II. List all that were present at the meeting		James Mards	James Martin	Alice Weekley - Calypso Pipeline	Donna Martens - Northem Natural	James Martin
ш	i. Who Office Division (a) Inititated the meeting		Yes Allce Weekely	Yes Alice Weekely	ENTRIX, Inc. (3rd Yes Party EIS confractor)	Yes James Martin	Yes Stephen Veatch James Martin
0	(B)	ŝ	Yes	Yes	Yes	Yes	Yes
၁	Division	Litigation	DEER	DEER	OEER	DEER	DEER
8	Office	Office of Seneral Counsel	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
¥	Name	Martin, Dawn K	Martin, James A	Martin, James A	Martin, James A	Martin, James A.	Martin, James A.
		1012	1013	1014	1015	1016	1017

194

These forums were held on an irregular basis when staff thought it would be helpful to get user input for improvements to the online systems. VI. Other Comments (if any) Based on user comments, system were Tri reviewed to see if by improvements could be to made and sometimes or training was offered. Algonquin filed a revised compliance to order No. 637 Various procedures were reviewed to see if we could improve service to the public. V. Was any action
IV. Give IV. Date taken by the
the date range Comm. In response
to the meeting 10~Jan-01 4/2/1996 User's Forum to find out how to better serve our users III. What was the subject matter(s) of the meeting To discuss various issues raised by Algonquin Gas Transmission Company's fillings (Order No. 637 compliance filling) User Forums for CIPS and RIMS BIII McDemont OEDICFO Kathy
Culjana CEDICFO Kathy
Culjana CEDICFO Kathy
Culjana CEDICFO Kathy
Culjana Ketek CEDICFO Jim Olando
CONI Kathy Ketek CEDICFO Jim Olando
COSI Ann Manin CEDICFO Jim Olando
COSI Ann Manin CEDICFO Jim Olando
EMPI Taylor OPP Michael Coograve
TERS Auffront Verine Terrority Data
Corp. Justino Dandread KRS Pet Belon
Kech Kathy Mongean Ernen Corp
Ketter Navier Ostroper
Ketter Navier Ostroper
Ketter Navier Ostroper
Ketter Several Stocy Vangeck
Altin Gump II. List all that were present at the meeting Technical Conference - Several parties attended. Enron or one of affiliates may have been present No records kept of attendees Public Reference Room I. Who Inititated the meeting Yes FERC FERC Yes (a) Yes Š ž Division of Hydropowe r Administrati on and Complianc Office of Knowledge the Chief and Informati Information Y on Manageme Officer nt Office of Knowledge the Chief and Informati Information You Manageme Officer nt Division of Tariffs and Rates-East Division D2St Office of Energy Projects Office of Energy Projects Office of Markets, Tarriffs, and Rates Office fartin, Rebecca M. lartin, Richard, R λartin, Valerie V. Martin, Judith S. fartin, Judith S. Name 1018 1019 1020 1021

[	-							2	5		
	A	В	O	Δ	Э	Ł	9	Ξ	_	7	×
-	Name	Offlice	Division	(a)	1. Who Office Division (a) Inititated the	<ol> <li>List all that were present at the meeting</li> </ol>	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response Comm. In response to the meeting
1023	Martinic, John	Office of Markets, Tarriffs, and Rates	rates	o _N						-	
1024	Mary C. Lauermann	Office of the Executiv e Director	DRA	Yes	Yes Marv Rosenberg	Marv Rosenberg, Kim Bruno, Perry Brown, Mary Lauermann	EnronOnline	06/00/2001		ON.	Have no recollection on any Enron employees name that attended the meeting. Check with Marv Rosenborg, he coordinated the whole meeting.
1025	Mary Trainum	Office of the Executiv e Offrector	Human Office of Resources the & & Executiv Organizatio No e nal Director Manageme	o Ž							
1026	Massey, Jeffie J.	Office of Administ rative Law Judges	OALJ	S.							
1027	Massey, William L.	Office of Commis stoner Massey		Yes	Yes Joe Hartsoe	Thomas White, Stanley Horton, Terry Thorne	Courtesy call - tell about their reorganization - meet new management team	7/21/1993		No.	Meeting scheduled by Donna Glasgow.
1028	Massey. William L.	Office of Commis sloner Massey		Yes	Kenneth Lay Yes (reception invitation)		Reception to meet Enron Board of Directors	10/12/1993		Q.	This was a social event at the Williard Hotel that I may or may not have attended.
2079	Massey, William L.	Office of Commis stoner Massey		Yes	Yes Gary Barber	Ken Harrison, CEO and Dick Reiten, Pres., Portland General Electric	Courtesy	10/22/1993		8	Meeting scheduled by Valerie Mersier.

esponse to Question No. 3 in whe February 15, 2002 Let From Senators Lieberman and Thompson
------------------------------------------------------------------------------------------------

	_		γ						
	¥	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	Meeling scheduled by Donna Glasgow.	Meeting scheduled by Donna Glasgow.	Meeting scheduled by Dorina Glasgow.	Goolifmed by Valente Merster and Coolifmed by Donna Gasgow.	Meeting scheduled by Donna Glasgow.	Calendar indicates that I was out it, so meeting was held with Mike Bardee, one of my staff members. Meeting scheduled by Donna Glasgow	
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	No	No	No	No	No	No	NG
	-	IV. Date range			The state of the s	The state of the s			
	Ξ	IV. Give	10/28/1993	5/5/1994	7/20/1994	9/30/1894	1/6/1995	6/21/1995	7/31/1996
	១	III. What was the subject matter(s) of the meeting	Courtesy	A number of pipeline policy Issues.	Bulk power pool	Courtesy visit and briefing on the draft Governing Agreement of the Northwest Regional Transmission Assoc.	NGAA's tegiciative and regulatory goals during Mr. Kinder's chairmanship	Current state of the bulk power markets	Industry trends
	4	<ol> <li>List all that were present at the meeting</li> </ol>	Bill Cordes, P., Northern Natural Gas; Mary Key Miler, VP, Rates, Northern Natural Gas & Bill Kockenmeister, GC, Northern Natural Gas Co.	Stan Horton, CEO, Enron Interstate PL A number of pipeline polloy	Steve Kean, Jeff Skillings, Joe Hartsoe Bulk pawer pool	Randy Roach, Steve Larson welf-A; Markene Hutshigue, Melinda Horgan. Gany Barbour Werdrand General. Courtesy visit and because Council, Chirt Reses, Bob Agreement of the N Mickensa welveget Sound Power & Regional Transmiss	Rich Kinder, P & COO, Enron and newly-elected Chair of INGAA and Jerry Halvorson	Jeff Skilling, Ken Rica and Steve Kean Current state of the bulk WEHTON	Kenlay
	ц	Office Division (a) inititated the meeting	Yes Donna Fulton	Yes Joe Hartsde	Yes Joe Hartsoe	Ceta/Gary Barbour	Yes Lurraine Cross or Jerry Halvorsen	Yes Joe Hartsoe	Yes Ken Lay
-	-	(a)	Yes	Yes	Yes	Yes	Yes	Yes	Yes
,	٥	Division							
9		Office	Office of Commis signer Massey	Office of Commis sloner Massey	Office of Commis sioner Massey	Office of Commis stoner Massey	Office of Commis sioner Massey	Office of Commis sioner Massey	Office of Commis sioner Massey
٧		Name	Massey, Willam L.	Massey, William L.	Massey, William L.	Massey, William L.	Massey, William L.	Massey, William L.	Massey, William L.
L	1	-	1030	1031	1032	1033	1034	1035	1036

197

seting scheduled by Donna Glasgow. eeting scheduled by Donna Glasgow. Meeting scheduled by Donna Glasgow. Aeeting scheduled by Donna Glasgow Lunch meeting. Scheduled by Donna Glasgow. Weeting scheduled by Donna Glasgow Meeting scheduled by Donna Glasgow VI. Other Comments (if any) IV. Give IV. Date taken by the the date range Comm. in response to the meeting ટ ę 2 8 11/12/1996 6/26/1997 9/12/1997 4/17/1998 9/22/1999 7/23/1998 7/10/1998 Update on electricity markets over the summer and RTOs III. What was the subject matter(s) of the meeting Courtesy, overview, update on pipeline (Stan Horton on GISB Board) ransmission access Seamless tariff Pipeline policy Retail access James Fallon and Joe Hartsoe w/Enron and Michael Stosser of Heller Ehrman Raiph Cavariagh, NRDC; Karen Tomcala, PG&E & Joe Hartsoe, Enron II. List all that were present at the meeting Stan Horton, Bill Cortes and Shelly Corman Jeff Skillings, Terry Thorne, Steve Kean, Joe Hartsoe İsteve Kean, Joe Hartsoe, William Butler Jerry Halvorsen, INGAA and Stan Horton Jeff Skilling, Joe Hartsoe, Steven Kean, Richard Shapiro Stan Horton, Enron Adella Borrasca s w/Heller, Ehrman, White f. Who inititated the meeting Yes Jerry Halvorsen, InGAA Donna Fulton Alfie w/PG&E Yes Sara Novosel Yes Donna Fullon Joe Hartsoe Joe Hartsoe Yes Yes Yes Yes (a) Xes Division Office of Cornmis sioner Massey Office of Commis sloner Massey Office of Commis sloner Massey Office of Commis sioner Massey Office assey, William L. ƙassey, William L. lassey, William L. łassey, William L. issey, William L. Massey, William L. vassey, William L. Namo 1037 1040 1041 1044

15, 2002 Letter	5
ທີ	è
~	È
February	and That
√_	2
	È
ຶ.⊆	Ī
က	2
ö	.9
ž	-
Question	From Sanatore Lisharman and Thomneon
2	č
Response to Question No. 3 in the February 15, 2	ů

	¥	IV. Was any action IV. Date taken by the range Comm. in response to the meeting	Meeling scheduled by Doma Glesgow.	This information is based on an e-mail that was sent out to LAN-H.O. The session was held in the Commission Meeting Room.	Meeling scheduled by Donna Glasgow.	Meeling scheduled by Donna Glasgow.	Meeting scheduled by Donna Glasgow.
	J	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	in the fall of 2001 the commission initiated a Rulemaking on interconnection Pollcy.	ON.	No	No	. Q
	-	IV. Date range					
	I	IV. Give the date	1/13/2000	4/18/2000	5/18/2000	10/19/2000	12/18/2000
	9	III. What was the subject matter(s) of the meeting	Meeling with Electric Power Supply Assoc. and several of their Board Members in Calcuss intercentedion policy and generation inhalance issues.	Information session: EnronOnLine web-based global internet transaction and auction system and demonstration of OnLine Trading	OASIS and price spike study	Courtesy briefing - GISB Board	PL safety
	Ш	II. List all that were present at the meeting	John Cohen wildsker & McKenzier, Illammy Glotfley, Ron Matlock wildspley, Ron Matlock wildspley, Bob Religion, Bob Religion, Bob Religion, Bob Religion, Bob Religion, Mary Doyle, Dan Monta, & Oshinsky, Mary Doyle, Both Monta, Can Sanford Harman, Rowsea William, Wangoel, Ellie Reseman wilf-ricewaternouse/Coopers LLC; Mary Bernath Mary McKensyaternouse/Coopers LLC; Mary Both Tighe Wallandiol Eurog, Inc.; Scort Helper Willers, Julie Simon, Mark Bennet WEPSA.	Bob Shulls, Shelley Corman, Joe Hartsce, Steve Kean	Richard Tabors, Joe Harisoe, Lisa Yoho, Julie Simon and Jeffrey Watkiss	Stan Horton, Enron; Sleve Bergstrom, Dynesy, Villa Templeton, Consultant for Courtesy briefing - GISB End Users and Bit Boswell, Dominion Board (GISB Board)	Gerry Owens, Asst. Stan Horton, Shelly Corman, Steve to Jerry Halvorsen (Kean
	ш	I. Who Inititated the meeting	Yes EPSA Bater.		Yes Joe Hartsoe	Yes Donna Fulton	Gerry Owens, Asst. to Jerry Halvorsen
		(a)	Yes	Yes	Yes	Yes	Yes
	ပ	Office Division (a)					
	В	Office	Office of Commis Stoner Massey	Office of Commis sioner Massey	Office of Commis sioner Massey	Office of Commis sioner Massey	Office of Commis sioner Massey
	A	Name	Massey, Willem L.	Massey. William L.	Massey, William L.	Massey, William L.	Massey, William L.
Ì		-	1045	1046	1047	1048	9
•							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

....6

L	A	8	0	0	11.	ш			ŀ		2
	Name	Office	Office Division (a)	(3)	the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Vi. Other Comments (if any)
1050	Massey, William L.	Office of Commis signer Massey		Yes	Yes Mary Doyle. Dymegy	Julie Strron, EFSN, John Anderson, Decuss john motion fie, CICOM, John Hughes, ELCOM, Erest, Trens, Erech Michael, Stern Michael, Elecom, Erest, Erens, Eren	Dbcuss loht motion flied in Dbcuss loht Ms99-2000 of ELCON, EPSA. Errou Power Marketing, Inc., Reliant Erreity Prever Generation, inc. and Dynesy inc. to convene a technical convener a technical coordination.	1/18/2001		. on	Meeting scheduled by Domis Clasgow.
1051	Massey, William L.	Office of Commis sioner Massey		Yes	Yes Gerry Owens, Asst. Jerry Ha	sivorsen, INGAA and Stan	Do not recall specifically, but probably pipeline policy.	5/9/2001		No.	Lunch meeting. Scheduled by Donna Glasgow.
1052	Massey, William L.	Office of Commis sioner Massey		Yes	Yes Cynthia Sandherr	H. Eugene Lockhart, P and CEO, Kathleen Magnuder, VP of Law and Government Affairs and Cynthia Sandherr, VP with The New Power Company	Issues impeding new competitors entrance into existing competitive energy markets.	5/25/2001		2	Meeting scheduled by Donna Glasgow.
1053	Massey, William L.	Office of Commis sioner Massey		Yes	Yes Laura Sullivan	Steve Kean	Generic energy policy issues	6/21/2001		QN ON	Meeting scheduled by Donna Glasgow.
1054	Massey, William L.	Office of Commis Sloner Massey		Yes	Yes Laura Sullivan	Mark Frevert, VP. Enron, Sara Novosel, Sleve Kean, Linda Robertson	Electricity competition	9/19/2001		No	Meeting scheduled by Donna Glasgow.
1055	Massey, William L.	Office of Commis sloner Massey		Yes	Yes Cynthia Sandherr	H. Eugene Lockrart, Cynthia Sandherr Retail energy service and Kathkeen Magnder with The New Providers Fower Company	Retail energy service providers	9/24/2001		- PA	Meeting scheduled by Donna Glasgow.
1056	Massey, William L.	Office of Commis sioner Massey		Yes	Joe Hartsoe, Enrom	Yes Joe Hartsoe, Erron William Allison, Pres., Florda Gas	Courtesy	6/12/1993		N _O	For this meeting and many others, I was probably accompanied by a member of my staff. Donna Glasgow is my primary appointment scheduler and talks with most people calling to schedule pointments, in ther absence, Valente Mersile scheduled

etter	
7	
, 2002 L	
'n,	
>	
Паг	
ģ	
Ŧ	
n tirle	
2	
0	
Ž	
o	
estio	
Ξ	į
0	
SE	ı
ŏ	
Response t	
ď	

From Senators Lieberman and Thompson

	х	VI. Other Comments (if any)	Meeting scheduled by Donna Glasgow.		for not keep a personal log of such communications. 1 get many such communications from enanty all the populates, and while I am sure some were from Enron Companies, 1 do not have recall of the specific details for an each instance.		The meetings were declared as the declared coverings of the declared coverings of the declared coverings of the declared coverings of the declared as the declared the declar		
		VI. Other Co	Meeting schedu		t do not keep a promunications communications pipelines FERC sure some were do not have recalled instance.		Enron represent participants in th power marketer transmission gur would be favorat		
	٦	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	, oN		Several a Not that I can recall.				Data request to Transwestem. Jan 28, 2000 order accept subject to revision, pro forma sheets filed in -001 as a
	-	IV. Date range			Several a year.		approx. monthly 1997-1998		
-	T	IV. Give the date	1/27/1994						11/3/1999
	တ	III. What was the subject matter(s) of the meeting	the meeting  Electric marketing affiliales - industry should go  FERC pipeline construction policies and proceedures, courters popies of official filings, inquires as to share of central speak inquires, dither general type inquirities, standards for the electric transmissions system reading oberal access under commissions opener to open access under commissions of other (No 289, commissions of other washing the control open access under commissions of other washing transmissions of other washing transmissions of other washing transmissions of other washing transmissions of other washing the control of the control				Transwestern RP99-481-000, 001 filings. Establish Enhanced firm backhaul service		
	4	II. List all that were present at the meeting	Jeff Skilling, Ken Rice, Terry Thorn, Sleve Kean & Dee Tagllavia (and possibly Joe Hartsoe)		Myself.		Numerous industry representatives - reprovemently 40 to 60 individuals at each meeting progressmatrices were from utilities, prover marketers, public prover, industrial constraints, etc. Enror representatives were. Richard presentatives were. Richard presentatives were. Richard presentatives were. Richard charges in Years, Marketing, Charges in Years, Marketing, Regulatory Affairs.		Transwestern RP99-A Transwestern RP99-A Unavailable Emblish Emblish Emblish Embarded firm backh Senvice
	1	1. Who Office Division (a) Inititated the	Yes Joe Hartsoe		Various Enron Pipeline Companles,		N. American Yes Electric Reliability Council		
	-	(a)	No Yes		g	Yes	2	Yes	
	اد	Division	Division (a) Inititate The following the fol		Tariff and Rates - East	DHAC	Office of Markets, Tarriffs, Rates West Yes FERC and Rates		
	n	Office	Office of Commissioner Secretary y Coffice of Energy Projects Office of the Energy Projects Office of the Coffice of the Coffi		Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates	Office of Energy Projects		
	1	Name	Massey, William L.	Mastrorocco, Rita M.	Office of Mathura, Randolph E. Energy Projects	Matthew T. Sweet	Matyas, Douglas E.	Maynard, Monica A.	McCallister, frene A
			1057	1058	1059	1060	1061	1062	

etter	
- 1	
15, 2002 Let	From Sonatore Hoberman and Themsel
'n	9
-	
5	
Ĕ	Ē
ğ	7
Ē.	i
ွှစ	2
<u> </u>	1
=	Š
۳.	3
۶	-
Ξ	
.0	;
S	è
Ĭ	ú
G	2
\$	Š
Response to Question No. 3 in the February 15	Ц
Ë	
ğ	
ě	
œ	

om Senators Lieberman and Thompson

_					·,	
*	V. Was any action taken by the Comments (if any) ange Comm. In response to the meeting	On Nov 14, 1997, ALJ reported settlement in principle achieved Nove 13, 1997 and final settlement may be submitted by Dec 1, 1997.	January 2002, FERC requested Northern Marural to refile its Order 637 compliance filing.		I do not recall any communications and/or meetings with Errorn other than in the usual course and scope of the mediation effort.	
-	IV. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Commission directed NN Commission directed NN to revise true-up mechanism and rejected coverage to electrically diven compressors. Remaining issues are to be resolved by parties in regoliations before a settlement ALJ.	ON.		NOPR action is pending, but this roat solely I but and rosepone to the August of mediation. (See SE RTO 2001 Mediation Report issued in docket RTD1-100 on	
-	IV. Date range		1/24/2001		July and August of 2001	
	IV. Give the date	7/30/1997	1/23/2001 1/24/2001 No			
_ H	III. What was the subject matter(s) of the meeting	Northern Natural Gas Co. (filings in RP97-275 and TN97- 2-60 to seabilish Periodic Falsa Adjustment (PRA), to an annually activat fuel retention amount of a surventages and PRA filings.	initial Order No. 637 compliance filing		Mediation efforts to establish an RTO in the Southeast. (See SE RTO Mediation Report issued in docket RTO1-100 on 9/10/01)	
<u> </u>	II. List all that were present at the meeting	FERC Staff and Interested parities. James R Keegan (OGC)	Northern Natural, parties staff		hundreds of parties participated in the planes RTO mediation affort over the curse of a 45 day line partied the curse of a 45 day line partied because of a 45 day line partied to decket RTO 1-100 on 91-1001 to to decket RTO 1-100 on 91-1001 to the parties of the parties of the by a marrebar of our mediation learn that Enron also participated.	
Ш	Office Division (a) Inititated the meeting	FERC	FERC		yes Order	
	(a)	Yes	Yes	2	Yes	2
C	Divísion	Office of Markets Wast Yes FERC and Partes West Yes FERC and Partes	Office of Markets, Tarriffs, rates west Yes FERC and Rates	General and Administrati	OALJ	of Organizatio nat
8	Office		Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Administ Iretive Law Judges	Office of the Executiv e
A	Name	Mccalister, Irene, A	Mccallister, frene, A.	McCarthy, Arlene T.	Office Office Admini McCartney, Bobble, J. Pative Law Judges	Office of the Office of ACCracken, Monica Executiv Organizatio No
	-	1064	1065	1066	1067	4000

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	VI. Other Comments (if any)	This meeting was one of a series, normally hold semismusily, referred to FERC Staff/NGAA Accounting Lialson Meetings.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	2
-	IV. Date range	
Ξ.	IV. Give the date	
9	III. What was the subject matter(s) of the meeting	Financial accounting and reporting matters of mutual inferest.
T C	II. List all that were present at the meeting	Tod Lindholm, Enron; Nick Basaon, El-Bason McNethall Gas PL, Jeff Basaon, El-Bason McNethall Gas PL, Jeff Basaon, El-Bason McNethall Gas PL, Jeff Basaon, El-Bason McNethall Gas Plante Enroll Francis Pariett Gas Interest Layne Flandwid Southern Natural; Richard Flangeneld, Natural Gas PL, Geng Glober, Penhandine Eastern, Tod Hopkinson, Williams Harris, John Kivac, Agborquar, Cult Gas, William Johnson, Allor Gas, William Johnson, Allor Gas, William Johnson, Allor Gas, William Johnson, Allor Gas, William Johnson, McNeth, Agborquar, Cult Kunnets, John Kivac, Agborquar, Cult Kunnets, Johnson Petty, Adabem McCoepy, Tromeop Gas, Palval Cul, Ouester, George, Carnets, George, Collegants, Gold, Trans, Bill Lakes Gas Frants, Laud Winders McSondon, Gragon y Berson, Wayne McDavia, Rusself Saudre, Johns Gastricon, James Gastricon, Barnes  Barnes Gastricon, Barnes Gast
Е	I. Who Office Division (a) inititated the	INGAA
٥	(a)	8 >
υ	Division	Regulatory Accounting Policy
8	Office	Office of The Essentific Director
A	Name	Ciffice of Regulationy the WcDanal, Nationin We Executiv Accounting Yee (NGAA) Director
	-	690)

This meeting was one of a series, normally held semiannually, referred to FERC StaffilNGAA Accounting Liaison Meetings. V. Was any action
W. Give IV. Date taken by the
the date range Comm. in response
to the meeting Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting Financial accounting and reporting matters of mutual interest. Jamus Sanders, Ernon Corp., John Anders, Sanders, Ernon Corp., John Taracconfinential Gas Pt., Eugene Badds, KN Energy Batter Perus, Peafil Coas Trans; Layer Endand, Sander Sander Pt., Sopher Canholl, Natural Gas Pt. Co. Of Armetra: Alm Harris, Layer Endand, Esstern Pt., Stepher Grambil, Natural Gas Pt. Co. Of Armetra: Alm Harris. Trunkline Gas; Susta Herris, Fraza Gas Trans; Christy Hodro. El Paso NG; Bill. Johnson, AMS; Stenher Karaccasell, Peacific Gas Trans; Christy Hodro. El Paso NG; Bill. Johnson, AMS; Stenher Karaccasell, Peacific Gas Trans; Curls kenned, Alective Algorique, Medithwest Pt.; John Klree, Algorida Tennesse and Stander, Peafile Intersite Frans, George Petty, Alabam Tennesse advantant Gass; First Machan Tennesse and Relander, Johnson French, Nativer, Carlot, Southelb Gas E. ERNO Grander, Joseph Franghane, Jander Garricon, Jannes Guster, Jannes Garricon, Jannes Guster, II. List all that were present at the meeting Office Division (a) Inititated the meeting Yes MGAA Office of Regulatory W Executiv Accounting Yr Name

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

П	,	mality ings.
ス	VI. Other Comments (if any)	This mealing was one of a series, normally referenced to FERC StaffMGAA Accounting Liaison Meelings.
ſ	V. Was any action W. Give IV. Date taken by the the date range Comm. in response to the meeting	
-	IV. Date range	
Η	IV. Give the date	
9	III. What was the subject matter(s) of the meeting	Financial Accounting and respecting matters of mutual littlesest.
_	<ol> <li>List all that were present at the meeting</li> </ol>	Bob Chandler, Emon: Wayne Allred, Irlanence, Jahn Anna, 1404A; life Boune; Transconliental Gas Pit.  Boune; Transconliental Gas Pit.  Blucce Event, Perelik Gas Transis;  Blucce Event, Perelik Gas Transis;  Blucce Event, Perelik Gas Transis;  Sussan Hardir, Faced Gas Transis;  Sissan Hardir, Faced Gas Transis;  Trans, Culter Sander, Remedy, Neuthera Pit.  Trans, Culter Sander, Remedy, Neuthera Pit.  Trans, Culter Remedy, Neuthera Pit.  Trans, Culter Remedy, Neuthera Pit.  Trans, Culter Remedy, Neuthera  Transis Culter Gas Gas Transis;  Transis Culter Gas Gas Transis  Mullion Sander, Sander, Sander,  Peorific seasiester Transis;  Transis Culter Remedy Neuthera  Transis Culter Lakes Gas  Transis Culter Lakes Gas  Transis Culter Lakes Gas  Transis Culter Lakes Gas  Transis Culter Remedones.  Transis
Ε	I. Who Office Division (a) Inititated the	Yes INGAA
	(a)	\$5 >-
၁	Division	Regulatory Accounting Policy
B	Office	Office of the Court of Executive Office of Office of Court of Cour
A	Name	Office of Regulatory that Security Accounting
	-	1701
I		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		The state of the s
×	VI. Other Comments (if any)	This meeling was one of a series, normally held semiannually, referred to FERC Staffin GAA Accounting Listson Meetings.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	g g
-	IV. Date range	·
Ē	IV. Give the date	
9	III. What was the subject matter(s) of the meeting	Financial accounting and reporting matters of mutual interest
	II. List all that were present at the meeting	doc Chandler, Northern Natural, John Anns, INGAAY, Kick Beelle, Timnsoor, Williams Ford, Poeline, Williams Ford, Poeline, Williams Ford, Poeline Gaster Poeline, State State State State State Herits, Passa Caster, Alan Herits, Taurkline Gast, Gast, Alan Herits, Passa Caster, Alan Herits, State Manna, Manna Natural Gast, Jeff Lewell, And The poeline, Cast July Mandonal, Monta, Poeline, Caster, Manna, Monta, Poeline, Caster, Manna, Poeline, Charles Rohr, Pannan Rainer, State, Caster, Casterno, Fart, Caster, Casterno, Patrician Willer, Great Lakes Gast, Transmission, Patrician Kauser, Charles Gast, Transmission, Razz, Cabt, Columbia Gast, Charles McDanal, and James Sprith, Alan Manna Sprith, Alan Manna Sprith, Alan Spritter, Janes McDanal, and James Sprith, Alan James,
ш	1. Who Office Division (a) inititated the meeting	INGAA
	(a)	Yes
ပ	Division	Regulatory Accounting Policy
В	Office	Office of the V. Executiv e Director
Ą	Name	Office of Regulatory the Evecutor Accounting yes INGAA e Dolicy
П	-	2
	L	***

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Υ.	VI. Other Comments (if any)	This meeting was one of a saries, normally hald servitamusally, referred to FERC. Staffin/GAA Accounting Liaison Meetings.
٦	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	
Ŀ		
Ξ		
9	III. What was the subject matter(s) of the meeting	Financial accounting and reporting matters of mutual inferest
Ŀ	II. List all that were present at the meeting	Bob Chandler and George Fastuca, Northern Natural, John Ams, NGSA, Julf Bourne, Treat, Corl Stateway, William Bourne, Treat, John Ams, NGSA, Julf Bourne, Treat, Parlandle Eastern, Susie Harris, Panhandle Eastern, Susie Harris, Panhandle Eastern, Susie Harris, Panhandle Eastern, Susie Harris, Algoriquir, Ozin Homec, Colorado Huntestite Morta Lastern, Questing Hill Johnson, ANR; Stanley, Milliams MC, Cast, Curtis Kennedy, Northwest Pheline, Dick Kennedy, Northwest Pheline, Dick Kennedy, Northwest Pheline, Dick Kennedy, Northwest Pheline, Dick Kennedy, Northwest Cast, Curtis Kennedy, Corp. (Stepart Medical Medical Cast, Curtis Medical Medical Cast, Curtis Kennedy, Corp. (Stepart Medical Sandra Meyer, Southern Natural Cast, Julie Hangami, Janice Garrison, Wayne McDanal, Janice Garrison, Wayne McDanal, Janice Garrison, Wayne McDanal, Janice Garrison, Lannie Guster, Ju., and Thomas McLaughlin.
Ε	I. Who Office Division (a) intitlated the	it GAA
۵	(a)	>> >
O	Division	Regulatory Accounting Poticy
В	Office	Office of Evecutive Director
A	Name	Office of Requisitory United With Pregulatory Counting Yes INGAA Director Policy
	-	1073

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		
X	V. Was any action V. Date taken by the range Comm. In response to the meeting	This meeting was one of a series, normally held semiamusity, referred to FERC Staffit/GAA Accounting Lisison Meetings.
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	<u> 2</u>
-	IV. Date range	
• H	IV. Give the date	
9	III. What was the subject matter(s) of the meeting	
=	<ul> <li>I. List all that were present at the meeting</li> </ul>	Bob Chander and George Fastuca.  Northern Mayore Alred.  Tenesco, Jean Arms, NGAA Nick Beciler, Transco, Gary Bernard.  Colombia Gas Trans, John Daigh, Gas, David Forderld., Padiler  Gas, David Froderld., Padiler  Gas, David Froderld., Padiler  Gas, David Froderld., Padiler  Gas, David Froderld., Padiler  Alan Harris. Texas Eastern Trans;  Canton Harris. Texas Eastern Trans;  Alan Harris. Texas Eastern Trans;  Colorado Innerstanc, Bill Johnson,  Heidelberg, Agborduin: Norme G.  Colorado Innerstancy, Northwest,  Agborduin: Soudhor Rattware P.E.; Kalth  Moore. Southern Natural Mark P.  Patrica Shelton: El Pesos. Joh Slaby,  Patrica Shelton: El Pesos. Joh Slaby,  Patrica Shelton: El Pesos. Joh Slaby,  CNO Trans, William Sulte. CNO  Manner Gass, Jule Willet., Charal Lakes  Gas, Cy Zelout Norm Gas Trans.  FERC Participants: James Gast Light  McDanal, Joseph Harkins, Charles  McDanal, Joseph Harkins, Charles  McDanal, Joseph Harkins, Charles  Gartaco, Kenneth Anderson and  Thomas McLaughlin.
n	I. Who (a) Inititated the meeting	WGAA
	(a)	× es
o	Office Division	Regulatory Accounting Policy
В	Office	Office of the Executive Director
A	Name	Office of Regulatory the Country Accounting Yes INGAA Policy Director Policy
	-	

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieherman and Thomnson
ເດົ	Š
Ξ	8
a.	2
2	۲
ep	è
ŭ.	0
<u>=</u>	č
مبري مع ^ا يم	2
=	9
~	9
ž	-
Ξ	ž
₽	to
es	2
Ž	Ü
9	ξ
ž	2
Se	ц
0	
ğ	
ĕ	
I.	

K	VI. Other Comments (if any)	This meeting was one of a series, normally back samiannually, referred to FERG. Staffink GAA Accounting Liston Meetings.		
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	. — 94		
-	IV. Date range			
H	IV. Give the date			
9	III. What was the subject matter(s) of the meeting	maters of mutual Interest		
u.	II. List all that were present at the meeting	Bob Charider and George Fastica, Nordrein Matural; John Anns, NGAA, Bearend, Chornha Gas; NGAA, Bearend, Chornha Gas; Nesh Bearend, Chornha Gas; Joseph Bearend, Chornha Gas; Joseph Charles and John Charles and		
ш	i. Who Office Division (a) Inititated the meeting	NGAA		
۵	(a)			
0	Division	Regulatory Accounting Policy		
В	Office	Office of the Executive Director		
A	Nатте	Office of McDanal, Malcolm W Executing Accounting Yes INGAA Policy		
Ш	-			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		
K	V. Was any action IV. Date taken by the range Comments (if any) to the meeting	This meeting was one of a series, normally hald semianusally, referred to F.ERC StaffmVGA-A Accounting Lisison Meetings
ŗ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	·
-	IV. Date range	
Ξ	IV. Give the date	
9	III. What was the subject matter(s) of the meeting	Financial accounting and reporting natters of mutual inforest.
	II. List all that were present at the meeting	Ed Parks, Emon, Nink Baüle, Selven Betz, Willson Bast, Columbia Gas; Silven Betz, Willston Bast, Julin Betz, Columbia Gas; Silven Betz, Willston Bast, Julin Betz,
3	I. Who Office Division (a) inlititated the	INGAA
Δ	(a)	× × ×
υ	Diviston	Regulatory Accounting Policy
В	Office	Office of the Executiv Director
A	Name	Office of Regulatory the Regulatory Executive Accounting Yes INGAA Policy Director
	-	9701

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.10

K	VI. Other Comments (if any)	This moeting was one of a series, nomally bed semiamusily, referred to FERC StaffinGAA Accounting Lidison Meetings.			
f	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	· 2			
-	IV. Date range				
Ξ	IV. Give the date				
9	III. What was the subject matter(s) of the meeting	Financial accounting and reprofing matters of mutual interest			
L.	II. List all that were present at the meeting	John Ame, MCAA, Jin Boune. Transco. Petrick Florgeati, Cohestunes Florgeati, Cohestunes Florgeati, Fardes, CAG, Sterg Gubber, Ele Paso Fardes, CAG, Sterg Gubber, Leff Fardes, CAG, Sterg Gubber, Leff Fardes, CAG, Sterg Gabber, Leff Farder, Sterg, Sterger, Barber, Leff Farder, Sterger, Barber, Marian, Branch Ratural, ARR Papeliner, Gay Leflers, Duke Ferrey, Bohn Woore, Southern Matural; Bran ferporting and ARR Papeliner, Gay Leflers, Duke Farder, Sterger, Barber, Julia Farder, Sterger, Barber, Branchiston, Particle Willell, Great Lafes Transensiston, Particle Willell, Great Lafes Transensiston, Particle Barroo, Londs Green, Stear Toblert Barroo, Londs Green, Stear Toblert Barroo, Longs Both, Kan Anderson, Green Lit, Traverde Bort, Kan Anderson, Green Lit, Traverde Bort, Kan Anderson, Chele Lit, Tavarde Bort, Kan Anderson, Chele Huchtstean, Elain Hohme, Bob Lynch, Down'd Belley, Coug Patowich, and Gsill Shull.			
Э	1. Who Office Division (a) intitlated the	INGAA			
	(a)	Yes			
၁	Division	Regulatory Accounting Policy			
В	Office	Oifice of the Executive of Director			
A	Name	Office of Regulatory the Policy Director Director			
	-		107		
			_		

Letter	
5, 2002 1	
75	
ebruary 1	
n ine F	
=	
n No. 3 in	•
stion	,
Que	•
Ç	
Response	1

From Senators Lieberman and Thompson

7	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	This meeting was one of a series, nomally bad serialentally, referred to FERC Staffill OAA Accounting Listson Meetings	This meeting was one of a series, normally held serriannally, referred to FERC Staffill/CAA Accounting Lialson Meetings.
J	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	g.	No V
F	IV. Date range		·
Ξ	IV. Give the date		
5	III. What was the subject matter(s) of the meeting	Financial accounting and reporting matters of mutual interest	Financial accounting and interest of mutual interest
4	II. List all that were present at the meeting	John Ams, INGAA, Bob Batchelor, Fenory, Hong Ay, Villiams Cangor, Hong Ay, Villiams Companies, Cest Grüber, I.P. Peson Person, Cangor,	Alan Harris, Duke Erengy, Jelf Herrists, Willems Stass, Magaret Moorteon, El Paso Erengy, Jelf Worteon, Staff Magaret Moorteon, El Paso Erengy, Jim Willedbrass, Honde Gas; Particia Sandars, Honde Gas; Particia Sandar, Great Leber Er Tansmission; Rod Hayselt, Ernor Corporation; Mic Bourne, Williams Corropanies, Byorn House, El Paso Erogy, Bill Ford Polyte, El Paso Erogy, Silvano, Williams Corropanies, May Sirror, Columbia Gas; FERC Participants, John Delware, Jim Küsest, Ken Marker, Bolb Lowin, Mile Oliva, Mark Kosa, Ferg Participants, Mark Mosa, Participants, Mark Mosa, Participants, Mark Mosa, Participants, Mark Mosa,
ш	Office Division (a) Initiated the meeting	INGAA	INGAA
	(a)	Yes	Yes
0	Division	Reguatory Accounting Policy	Regulatory Accounting Policy
В	Office	Office of the Country of Executive Director	Office of the Cartesian of Executive Director
A	Name	Office of Regulatory the Executiv Accounting Yes INGAA Policy	Office of Regulatory Ves INGAA Accounting Ves INGAA Deficy
		1078	6201

5, 2002 Letter	
5, 200	nson
February 15	Thom
Febru	. pue
n the	rman
lo. 3 i	Liebe
Response to Question No. 3 in the Feb	From Senators Lieberman and Thompson
Ques	n Sen
se to	Ę.
espor	
ř	

- 21

7	ants (if any)	This meeling was one of a series, normally, held semembally, referred to FERC StaffikedAA Accounting Lision Meelings.				
	VI, Other Comm	This meeting was one of a series, no hald semamually, referred to FERC StafffMGAA Accounting Lelson Mee				
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting		-	-		
	IV. Date range					
Ξ	IV. Give the date					
9	III. What was the subject matter(s) of the meeting	Financial accounting and inspecting matters of mutual (interest)				
4	il. List all that were present at the meeting	Jun Guest, FERC, Mark Klose, FERC, Jule Willer, Great Lubes Gas Treaenfastor, Jehn Cobb, Error, Jim Beborne, Williams, Jehn Kork, FERC, Dought Stodel, FERC, Than Smith, FERC, Double Brengy, Doke Brengy, Parkica Worderhol, Northern Broder, Mayor Simon, Charles Fandmarder, Mayor Simon, Charles Fandmarder, Wolf, Duke Energy, Margarder, Wolf, Duke Energy, Margarder, Per Person Stoney, Margarder, FERC, Sube Harfe, Williams, FERC, Sube Harfe, Williams, Companies, Deanne Farmer, Nilssource/Columbia Gas, Robert Charles, Elborn Farmer, Nilssource/Columbia Gas, Robert FERC,				
3	Office Division (a) inititated the	MGAA			-	
6	(a)	Yes	S.	Š	S E	2
ပ	Dívision	regulatory Accounting Policy	Office of Markets. Division of Tarriffs, Market and Information Rates	Customer Support Group	Office of Markets, Farriffs and Farriffs, Rates and East Rates	Pipeline Certificates
В	Office	Office of the Executive of Director		Office of the Chief Informati on Officer		Office of Energy Projects
A	Name	Outca of regulation Pee INGAA Granal, Malcolm W Executiv Accounting Pee INGAA Director	McDaniei, Walter, E.	Office of the Chief McDermott, William Ginformati on Officer	McEneely, Megan, E.	Office of McGehee, Michael J. Energy Projects
	-	1080	1081	1082	1083	1084

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				7	7			
×	V. Was any action taken by the Comments (if any) to the meeting			Andriadana empression de la companya del companya del companya de la companya de	The state of the s			
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting					.cv	Early December, No action taken. 2001	Mid September No action was taken. , 2001
F	IV. Date range					04/28/199 9 04/30/199 No. 9	Early December, 2001	Mid September , 2001
Ξ	IV. Give the date							हा <u>.</u>
Н	III. What was the subject matter(s) of the meeting		Andreas and Andrea			l do not recall.	I was the luncheon speaker and addressed future regulatory treads and issues. I paid for my lunch.	I was the luncheon speaker and addressed future regulatory treads and issues. I paid for my lunch.
	II. List all that were present at the meeting					Unkrown, it was a INGAA Regulatory Committee spansored Committee Professored Committee Forest Self-ing Doma Falling Self-ing Doma Falling Self-ing	Approximately 20 INGAA Regulatory Affairs members were present. Anchding one Enron representative whose name I can not remember.	Approximately 25 EPSA Regulatory Affairs members were present, including Donna Fulton, Director, Regulatory Affairs, Enron.
ш	I. Who Office Division (a) intitlated the					INGAA Reg. Affairs Committee Member	Yes Willston Basin	Yes Reflant Energy
٥	(a)	ž	Ŷ.	S _O	Š	Yes	Yes	Yes
၁	Division	Hydropowe r Administrati on and Complianc e	Division of Environme ntal & Engineerin g Review	DEER	ADMINIST RATION	Office of Markets, Rates and Tariffs, Tariffs, and Central Rates	Rates and Tariffs Central	Office of Markets, Rates and Tarriffs, Tariffs and Central Rates
B	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects		Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and: Rates	Office of Markets, Tarriffs, and Rates
٧	Name	McGovern, Peter J.	McGuire, John R	McKitrick, Seth R.	Office of McKnight, Angela M. General Counsel	Office of Markets, Michael ( Tariffs, and Rates	McLaughlin, Michael	Office of Markets, McLaughiin, Michael ( Tarriffs, and Rates
Ц		1085	1086	1087	1088	1089	1090	1091

Letter	
/ 15, 2002 Leti	
#3	
-ebruar	
in the f	
S	-
n No. 3 in	
Question	Targette Comment
0	1
Response to Question No	Ľ
Ľ	

From Senators Lieberman and Thompson

_	,	·		· ·		
X	VI. Other Comments (if any)					
ŗ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	No action was required or taken.	No action was taken as a fleter result of this meeting. However, the Commission did ultimately decide to promulagiate changes in its regulatory program, i.e., Order No. 637.	No action was taken as a direct result of this meeting, However, the Commission did ultimately decide to promulate changes in its regulatory program, i.e., Order No. 637.	, oN	No.
-					l belleve it was in the surnmer of 1998.	
F	IV. Give the date	9/7/2000	3/8/1999	3/16/1999		5/14/1998
9	III. What was the subject matter(s) of the meeting	It was a roundtable discussion on electricity and antitrust priorities held by the Arrerican Antitrust Institute. I was a parelist discussing governance issues.	This was one of many meetings staff held during this infine frame with all segments of the Industry to assess the need for further changes in the Commission's interstate natural gas pipeline regulatory program.	This was one of many meetings staff held during this infore farm with all segments of the Industry to assess the need for further changes in the Commission's interstate matural upgas pipeline regulatory program.	INGAA Open House reception.	do not recall. I presented a presented a presentation filled "Moving Towards the Market - Rates" at the conference.
4	II. List all that were present at the meeting	American Antitusi Percent Inducting Donna Filten. Institute Oriector, Regulatory Affaits, Emon.	Unknown, It was an informal meeting with riterstate matural gas pipelline representatives. As I recali, approximately 30 persite, including stiff attended. I assume one or more stiff attended. I assume one or more trian admission pipeline representatives were present.	This was one of many with interesting mereing mereing set finded during with interestiate natural gas marketers. Inner farme with all espensed As I read, approximately 20 people, of the industry to states the tricklung set all attended, is assure one feet for further changes in or more Errora affiliated a manufacture of the Commission's their state representatives were present.	Unknown. As I recall, over 100 paople were present I assume one or more from efficient efficialised pipeline representatives were present.	Unknown, It was a INGAA Regulatory Maris Committee sponsored for a conference, Lordel seeing Downs Fellion, Director Regulatory Affairs, Enror, at the conference.
ш	Office Division (a) Inititated the	Institute	Yes Commission Staff	Yes Commission Staff	Yes INGAA	INGAA Reg. Affairs Member
	(a)	Yes	Yes	Yes	Yes	Yes
ပ	Division	Rates and Tariffs Central	Rates and Tariffs Central	Office of Markets, Rates and Tarriffs, Tarriffs and Central Rates	Office of Markets, Rates and Tarriffs, Tariffs and Sates	Office of Markets, Rates and Tarriffs, Tarriffs and Central Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates			Office of Markets, Tarriffs, and Rates
A	Name	Office of Markets, Markets, Markets, Markets, and States and Rates Rates	Office of Markels, McLaughlin, Michael ( Tariffs, and Rates	McLaughlin, Michael	McLaughlin, Michael (	Office of Markets, Markets, McLaughlin, Michael ( Tarriffs, and and Rates
	-	1092	1093	1094	1095	900

15, 2002 Letter	
February .	i
No. 3 in the	
<b>Question</b>	
Response to C	

From Senators Lieberman and Thompson

- 6					1	
¥		IV. Was any action IV. Date taken by the range Comm. in response to the meeting				
	_	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No.	No.	A settlement was ultimately reached and approved by the Commission.	A settlement was ultimately reached and approved by the Commission.
	IV. Date					
			4/10/1996	3/30/1995	4/12/1995	
	g	III. What was the subject mater(s) of the meeting the meeting two seas Commiston held rechricis conference to explore intensial issues. I do not recall. I presented a presentation titled Truture and the Regulation' at the conference.		do not recali. I presented a presentation titled "Future Rate Regulation" at the conference.	To explore the possible selfence of Transverselem Perfeller Company's "capacly furn-back" proceeding. In short, back proceeding, in short, back selfence of the selfence of th	To explore the possible sellenter of Transverselent Playeline Company's "capacy furn-back" proceeding, in short, praceeding, in short, praceeding, in short, praceeding, in short, or as alterphing to work our a fong term rabe agreement with its customers and various state commissions.
	L.	II. List all that were present at the meeting	Unknown, it was a Commission held technical conference open to the public. It was widely attend so I assume a number of Erron or Erron affiliated pipeline representatives attended.	Unkrown, It was a INGAA Regulatory MAfairs Committee sponsored member Fulton, Director, Regulatory Affairs, Ernon, at the conference.	To explore the possible selfement of Transwestine selfement of Transwestine selfement of Transwestine pipaline Company's "type and selfement conference open to all short, Transwestian had parties of record in Docket No. PR93, and and was stempting and work our all not germ to all any selfempting work our all not germ the and wenter state and was state of the custom the commissions.	To explore the possible settlement of Transvests between the Transvests peritement of Transvests peritement of Transvests peritement of Transvests the held settlement conference open to all four transvessem had readily to the settlement of the open to all four transvessem had readily to the settlement of the open to all four transvessem had readily to the settlement of the open transverse and and was state and white custom and various state commissions.
	ш	I. Who Inititated the meeting	Yes The Commission	INGAA Reg. Affairs member	Yes The Commission	Yes The Commission
		(a)	Yes	Yes	Yes	Yes
	ပ	Office Division (a)  Collice of Asses and Markets, Tanffs, Tan			Rates and Tariffs Central	Rates and Tariffs Central
	8	Office		Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Мате	McLaughlin, Michael (	McLaughlin, Michael (	McLaughlin, Michael (	McLaughlin, Michael (
		-	1097	1098	1099	1100

Response to Question No. 3 in the February 15, 2002 Letter From Senators I joharnan and Thomnson	
onse to Question I	

×	V. Was any action IV. Date taken by the range Comm. In response to the meeting		Happenstance meeting in FERC (unch froom. Conversation ensued regarding mutual friends.	Enron hosted annual holiday parties over the period in question. I attended several but not all of these parties. Altendance at the parties for FERC employees was permitted by FERC ethics officiels.	Meeting to catch up after many years. Discussed mutual fiends and past events.		I reconstructed information on this meeting bleaded on a conversation with Bob Planders. I had on a conversation with Bob Planders. I had not netwary 25, 2002. The list of attendees, subject matter and date are based on may recollection and that of Bob Flanders.	
	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	, No.	No.	QL	No		ON	
	IV. Date range	01/01/199 2 2 12/02/200 No. 2	Summer 2001	December of several years	Summer 2001			
I	IV. Give the date						9/19/2001	
O	III. What was the subject matter(s) of the meeting	These meets usually, but not always, center around a company's plan to file a major new pipeline a construction application, a request to create a new service. or some other novel or noteworth initiative.	Lunch	Annual Holiday party	Personal conversation regarding mutual friends and past social events		ESC status report under RM0-10, OASIS Phase II Advanced Notice of Proposed Rulemaking	
ш	II. List all that were present at the meeting	These meets usually, burn always, center around a always of a turner of prefiling mode, are we petime a meetings requested by Erron or Erron particular application a diffillated company, by severe or severe other mode, or	Ray Alverez, Enron Employee, David Faerberg, David Tishman, and Robert Lunch McLean	rkmerous industry guests	Tom Briggs and Robert McLean		External: Mike Komnos: David Cook; Models, David Dworzak, Charles Yeung, Olhers??? Internal: Alson Silverstein; Shelton Carnon; Kevin Silverstein; Shelton Carnon; Kevin Medis, Soot Miller, Man' Rosenberg; Bob Flanders; Don Lekang, Norma McOmber; Others????	
ш	Office Division (a) Inititated the	Yes. The company	Yes Robert McLean	Markets Tariffs and Yes Enron Corp. Rates	Yes Robert McLean		Mike Kormos Yes (PJM/Elctrnc Schding Collab)	
0	(a)	Yes	Yes	Yes	Yes	S S	Yes	٥
O	Division	Rates and Tariffs Central	Markets, Tariffs, Rates		Markets, Tariffs and Rates	HUMAN RESOURC ES	Office of Division of Policy Markets, Innovation and and Communic Rates atton	Markets, Tariffs, Rates
В	Office	Office of Markets, ( Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of the Executiv e Director		Office of General Counsel
ď	Name	Office of Markets, McLaughlin, Michael ( Tarriffs, and Rates	Office of McLean, Robert , Dou'General Counsel	Office of McLean, Robert, Dout General Counsel	Office of McLean, Robert, Dour General Counsel	Office of HUMAN The HUMAN MCNAIR, SHELLEY, Executiv RESOURC Director	McOmber, Norma G.	McWane, Sarah H.
Γ	-	1101	1102	1103	1104	1105	1106	1407

15, 2002 Letter	
ebruary	
No. 3 in the Fi	
to Question	
Response	1

From Senators Lieberman and Thompson

Γ	A	В		6	1	1	2	F		And the second s	A sometiment of the second sec
	Name	Office	Diviston	(a)	the	II. List all that were present sat the meeting	s the er(s) of	IV. Give I'	V. Date	V. Was any action taken by the Comm. In response to the meeting	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting to the meeting
	Mead, David E. 1108	Office of Markets. Taniffs, and Rates		Yes	Chief Chief Feconomic Yes   don't know   Advisor   Chief Feconomic Yes   don't know   don	Dan Warkles (alty for Enron), Roas Backer, Chorsulaten for enrony, Richard The design of markets Tabors (consultant for Enrony), Richard (operated by Independent O'Hell (ETEKC Staff), other ETEK System Operators in the staff (swhoun! don't remember), and altechickly industry myself.	The design of markets severated by Independent System Operators in the electricity Industry.	Ø V.32	Some time during the Mo. late 1990's		
1109	Meehan, John K.	Office of the Executiv e Director	Regulatory Audits	Š							
1110	Meek, Ruby A.	Office of Administ rative Law Judges	OALJ	No O			,				
	Mettz, Arnold H.	Office of General Counsel	Administrati ve Litigation	Yes	Yes FERC trial Staff	These were settlement conferences (that were open to parties in Wyorning interstate 39s Co., Docket No. RF99-831.	Cost of service and rate design issues set for hearing in Docket No. RP89-381.		03/23/200 0 and 04/07/200 0	A settlement among O3/23/200 everybody but one party o and was approved by the O4/07/200 Commission. The one o party was severed to pur sue fligation by itself.	
All	Mettz, Arnold H. 1112	Office of General Counsel	Office of Administration	\$6. ×	Yes FERC Trial Staff	Settlement conference that was open to parties in Kern River Gas Transmission Co., Clockel No. RP99- 1274.	rate design issues set for hearing				do-targatifiqueperminis. — — aghlicicatore egy l'en-usocumico epipelemi con
	Meltz, Amold H.	Office of General Counsel	Office of Adminstration	Yes	Yes FERC Trial Staff	Settlement conferences that were open to parties in Williams Natural Gas Pipeline Co., Docket No. RP95-136	Cost of service and rate design issues that were set for hearing		08/03/95 and 04/02/96		And the second s
	Metz, Arnold H. 1114	Office of General Counsel	Office of Administration Counsel Litigation	Yes	Yes FERC Trial Staff	Settlement conference open to parties Cost of service and rate in Viking Gas Transmission Co., design issues set for hea Dooket No. RP92-46	Cost of service and rate design issues set for hearing	-	2 to 25/01/199	04/01/199 2 to Settlement approved by 05/01/199 the Cennnission 2	
	Meltz, Arnold H.	Office of General Counsel	Office of Administrati General ve Counsel Littgation		Yes FERC Trial Staff	Settlement conferences that were open to parties in Viking Gas Transmission Co, Dockel No. RP98- 0290	Cost of service and rate design issues set for hearing		6 to 8 12/02/199	10/28/189 8 to Settlement approved by 12/02/199 the Commission	Additional and the state of the

	The second secon				The state of the s	Annual Control of the	***************************************		***************************************	The same of the sa	
	A	В	U	٥	ш	ш.	9	Ŧ	-		×
	Name	Office	Divísion	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date laken by the range Comm. In response IVI. Other Comments (if any) to the meeting
1116	Meltz, Amold H.	Office of General Counset	Office of Administrati Seneral ve Counset Litigation		Yes FERC Trial Staff	Settlement conferences open to parties in Wyoming Intersiate gas Co. Docket No. RP97-375	Cost of service and rate design Issues set for hearing		11/13/199 7 to 06/02/1998	11/13/199 Settlement approved by 7 to Commission	
1117	Meltz, Arnold H.	Office of General Counsel	Administrati ve Litigation		Yes FERC Trial Staff	Settlement conference open to parties in Wyoming Interstate Gas Co Docket No.RP94-267	Cost of service and rate design issues set for hearing	11/2/1994		Settlement approved by the Commission	
1118	Melvin, Dennis H	Office of General Counsel	Administrati ve Litigation		Yes FERC Staff	All parties to the proceeding in Docket No. RP98-274, including Black Marlin Pipeline and FERC Staff	Settlement conference to resolve cost of service and rate design issues in Docket No. RP98-274	10/27/1998		Commission approved proposed settlement by letter order dated Decembe 30, 1998	
1119	Melvin, Dennis H	Office of General Counsel	Administrati ve Litigation		Yes FERC Staff	At parties to the proceeding in Docket No. RP90-119, Including Staff and possibly Enron Power Marketing	Settlement conferences to resolve cost of service and rate design issues, including PCB cleanup expenses	6/29/1990		Order Issued on 4/15/92 accepting settlement	
1120	Melvin, Dennis H	Office of General Counsel	Administrati ve Littgation		Yes Public notice by FERC Staff	All parties in Docket No. RP88-177- 119, et al., Including FERC Staff and possibly Enron Capital & Trade Resources Corporation	Settlement conference to resolve Order No. 636 transition costs	1/2/1992		Commission approved "global" settlement on May 12, 1994	
122	Mercney, William	Office of Markets, Tarriffs, and Rates	Pifice of Market arrives. Market Tarriffs, Developme Yes arrived fill Rates	, ke	Midwest Price Spike Team	see comments	Observed patterns of trading and pricing in June 1980 in the inidwest.		August or September 1998.	August or September No specific action. 1990.	One of several meetings with a range of advanced managed of modern places researched from a range of industry segments reparding the non-time of the modern of the modern of the modern of the modern of the several. THE POLICOWING WAS TOO LONG TO FIT IN HEAVOR'S DEPETH FIELD. To the modern of the several that the modern of t
1.33	Meroney, William	Office of Markets, Tarriffs, and Rates	Markets, Market Arkets, Developine Yes FERC and nt Division Rates	, Xes	FERC	various industry representatives	general review of Commission policies and role of new CMTR, meelings held to discuss industry views from all segments		2000 and 2001	2000 and no, information only	I have not specific recollection of Enron, but these neekings were conducted at part of setting up OMTR and oxigoing input from the entire range of industry. I believe Enron must have been included, although I don't have names of specific individuals.

Response to Question No. 3 เก้าที่e February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Was any action transporter range Comm. in response VI. Other Comments (if any) to the meeting		From June 1993 until October 2000, I was Comm. Masseys secuenty. While with Comm. Masseys secuenty. While with Comm. Massey 1 dd handle fin a deficial senso is a number of busic fings in from Elemon and some of its subsidiaries. I also served as back up to the Commits. Scheduler and I could have possibly scheduled meetings.		Joined the Commission on February 4, 2002, Answers to 3(3&b) are "No" if they refer only to the time the has been employed at FERC.	Andrew Committee	AND THE PROPERTY OF THE PROPER		
	ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting						- Annual Control of the Control of t		
-	-	IV. Date range						:		
Sed IIIO	I	IV. Give the date								
Delliali alla II	9	III, What was the subject matter(s) of the meeting								
	u.	II. List all that were present at the meeting	,							
	ш	I. Who Office Division (a) Intitated the								
	٥	(a)	οN	No	ક્ર	No	Š	윉	2	2
	၁	Division	Office of Logistics The Executiv Manageme No e e nt	(6/93 . 10/00)	Office of Markets, Division of Tariffs, Tariffs and No Rates-West Rates	Office of External Affairs	DZSI	Environme ntal and Engineerin g Review	Office of Knowledge the Dhief Knowledge Information Managemo on int	Press Services
	60	Office	Office of the Executiv e Director	Office of Commis sloner Massey	Office of Markets, Tarriffs, and Rates	Office of External Affairs	Office of Energy Projects	Office of Energy Projects	Office of the Chief Informati on Officer	Office of External Affairs
	A	Name	Mersler, Valerie	Mersler, Valene T.	Mey, Thomas W.	Meyers, Edward	Migliore, Sophie M.	Miles, Ann F.	viles, Arvise, R	Miller, Celeste M.
		-	1123	1124	1125	1126	1127	1128	1129	5
- 1										

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		any)			And the second second	To the second se		
	¥	V. Was any action IV. Date taken by the range Comments (If any) and to the meeting to the meeting of the meeting of the meeting and the comments of the meeting of the meet						
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting			None.	None	None.	None.
	-	IV. Date range			May-01	Jun-01	Jul-01	0et-01
	I	IV. Give the date		-				
	9	III. What was the subject matter(s) of the meeting			Possible settlement of generators with the state of California.	Purpose was to discuss general market design issues for the whole country going forward.	Tour of the Commission's new market room known as the "Market Observation Resource" (MORe)	Allegations by Enror that the CAISO was Intentially constraining the transmission filter from California to Oregon in order to keep prices autificially low.
		II. List all that were present at the meeting			Charle Whitnore, FERC, Jule Simon, Possible settlernent of John Stout, Relant, Joel Namon, California, Dynegy, Jim Steffes, Enron.	Rick Shaptro, Enron. Sarah Novosell. Erron. One other Enron representative who worked legislative Issues and whose name I cannot remember.	Chirsty Mcolay, Enron.	Two regulatory people from Emon whose name forn't romember. Robert Pease, FERC.
		Office Division (a) inititated the			Office of Market Market Tarriffs, Developme Yes Self initiated and Tarriffs, Developme Yes	Office of Market Sarah Novoseli, Tarriffs, Developme Yes Enron and Arates nt	Office of Market Market Tarriffs, Developme Yes Christy Nicolay and Astes	Enron
4	2	(a)	N.	No	Yes	Yes	Yes	Yes
	ار	Division	Office of Division Office of Tariffs and Markets, Tariffs and Rates Rates Office of Markets, Rates-Tariffs and Rates		Market Developme nt	Market Developme nf	Market Developme nt	Markets. Market Kas Enron and n Rates
9	١	Office		Office of Markets, Tarriffs, and Rates		Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	
		Name	Miller, Christopher, L.	Miller, Jean, M	Miller, William Scott	Miller, William Scott	Miller, William Scott	Miller, William Scott
L			1131	1132	1133	1134	1135	200
-	_							

ruary 15, 2002 Letter	T
on No. 3 in the Febr	Erom Constone Lichamon and Thamson
Response to Question No. 3 in the February 15,	from Const

From Senators Lieberman and Thompson

Ц	A	В	ပ	0	ш	1	9	H	-	The state of the s	Х
-	Name	Office	Division	(B)	i. Who Office Division (a) inlittated the meeting	<ol> <li>List all that were present at the meeting</li> </ol>	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	IV. Give IV. Datelathen by the the date range Comm. In response VI. Other Comments (if any) to the meeting
1137	Miller, William Scott		Markot Developme nt	Yes	Office of Market Market Market Market Market Tariffs, Developme Yes Self-initiated Tariff Market Mar	Rick Shapiro, Enron	Discuss Enron's plans for continuing performance on all obligations in light of the S&P-downgrade and the end of the Eymegy dea).		28-Nov-01 None.	None.	Ageneral concern regarding a decrease in fouldity of the power and gas markets had been expressed over the last few months if Errorn reached datault. We were trying to assure beformand the performance.
1138	Miller, William Scott	Office of Markets, Tarriffs, and Rates	Office of Market Market Tarills, Developme Yes Erron and Rates	Yes	spiro,	Rick Shapiro, Enron. Greg Whalley (sp), Enron.	Market conditions, Erron parformance on obligations and methods used to mitigate effects of any non-		28-Nov-01 None.	None.	Olscussed effort by Enron over the last few weeks to "Tatten" their books (ie., be neither long version of their books (ie., be neither long version or highlons and have them picked up by other market have them picked up the event of an Erron dofuult.
1139	Miller, William Scott		Office of Market Markets, Market and nt Rates	Yes	Office of Market Rick Shaptro, Tarriffs, Dovelopme Yes Enron and Rates	Rick Shapiro, Enron.	Performence by Enron on oxigations, particularly retail oxigations.		29-Nov-01 None.	Моле.	
	Miller, William Scott		Market Developme nt	Yes	Joe Hartsoe, Enron	Office of Market Market Tamils Developme Yes Joe Hartsoe, Enron Joe Hartsoe, Enron . Rates . Rates	Discuss the performance and delivery of Enron pipelines, particularly Northen Natural Gas and Transwestern.		30-Nov-01 Nane.	Nane.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Г	1	1	8 25 5				
	VI. Other Comments (if any)		Anwer (3/a). As a part of normal case intervors, work, was a case team member in the work, was a case team member in Southwest Medical Budge MeworChartel 8, a Southwest Medical Budge Medical 8, and Medical Budge Medical 8, and Mewer (1964 Medical 8, and Mewers (1967 Mewer & Light Mewer (1967 Mewers (1967 Mewer & Light Mewer) (1967 Mewers (1967 Mewer) (19				
	IV. Give IV. Date taken by the the date range Comm. in response to the meeting						
	IV. Date	Aug. 20- 27, 2000					
	IV. Give	·					
	III. What was the Subject matter(s) of the meeting	Discuss the Marketer sector's whose or coustes of the ordisonal Energy Crists. One of several meetings with all mostery sectors, regulators and interest groups.					
ш	II. List all that were present at the meeting	Gorad Williams, FERC. Michele Vollace, FERC, Judicellen, Lide Simon, EPS, Sool Krant, Divide Entroy, John Frobinson, FERC. Les Berratt, Date Elem Vinile, Entron. Dane Elem Vinile, Entron. Dane Elem Vinile, Entron. Dane Elem Vinile, Entron. Dane John Morris, Pods Ref. Gorage Edach, John Morris, Pods Ref. Gorage Edach, 1998, Ref. Gorage Edach, 1998, Ref. Gorage Edach, 1908, Ref. Gorage, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Minger, 1909, Ref. Ming					
ш	Office Division (a) Inititated the	Yes Self initiated					
2	(a)						
c	Division	Office of Division of Markets, Market Tantfe, Developme and Rates	Lifegallon				
a	Office		Office of Marteria, Tarthia, and Rates				
A	Name	Miller, William, Scott	Mills, Edward W.				
	-						
_		·					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Х	V. Was any action IV. Date laken by the range Comm. In response VI. Other Comments (if any) to the meeting			WASHINGTON TO THE PROPERTY OF	- The second and a			
	ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	-						
_	-	IV. Date range							
sdimor	π	IV. Give the date							
perman and Tr	9	III. What was the subject matter(s) of the meeting							
rioni senators Lieberman and I nompson	u	II. List all that were present at the meeting							
	ш	Office Division (a) Intitlated the		-		-			
	٥	(g)	Š	S.	g	Š	Š	S.	£
	ပ	Division	Office of Knowledge the Chief and informati information N on Manageme Officer nt	MAPS	MAPS	Office of Intal and Projects g Review	Document Control	General and Administration of the control of the co	Energy Projects
	В	Office	Office of the Chief Informati on Officer	Office of the Executiv e Director	Office of the Executiv e Director	Office of Energy Projects	Office of the Secretar y	Office of General Counsel	Office of General Counsel
	¥	Name	Miner, Arve	Mistry, Sanjay, D	Mistry, Sanlay, D	Mitchnick, Alan D.	Mitry, Linda L.	Moira Notargiacomo General Counsel	Molloy, Elizabeth
	1	-	1143	1144	1145	1146	1147	1148	2
ŧ									

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	any)			resemble to the second			
Я	IV. Give IV. Date laken by the the date range Comm. In response IV. Other Comments (If any) to the meeting						
	V. Was any action taken by the Comm. In response to the meeting	Audit report issued on December 7, 2001.		Ma,			
	IV. Date range	July 2000 - December 2001		10/17/95 No.			
٦.	IV. Give the date						
8	III. What was the subject matter(s) of the meeting	Oliciussions focused on Northern Natural Gas Company, S. The purpose was activities. The purpose was compliance with the compliance with the Commission's accounting and reporting requirements reporting requirements reporting requirements		Environmental compliance related to pipeline construction.			
11.	II. List all that were present at the meeting	DRA audt team namberis Dwght Stodel, Susan Tolbert Scott Motory, and Orleach Reseally fleet vanious meetings and pitore conversations with Nothern Maxtural Gas Company personnel to include. Plant Accounting Dispertment namagement and saft, Project Managers, Project Engineers, and Regulatory		For CP95-385-000: Glen Hass and Joste Call, both of Northern Natural Gas Chingany, For CP95-42-000: Stephen Veatch of Florida Gas Transmission componation, For CP95- 688-000: No recollection.		·	
4	f. Who Office Division (a) inititated the meeting	Audit team for Yes Docket # FA00-38- 000		Yes Rafael Montag			
C	(g)	Yes	g 2	Yes	2	N _O	£
0	Division	Office of Division of Executive Regulatory E Executive Audits	D2SI- NYRO	DEER	Division of Regulatory Accounting Policy	Office of Customer the Chief Support & If on Deploymen Officer t Services	None
æ	Office	Office of the Executiv e Dhector	Office of Energy Projects	Office of Energy Projects	Office of the Executiv e Director	Office of the Chief Informati on Officer	Office of Administ rative Law
A	Name	Molony, Scall, P.	Office of Monahan, Michael, R. Energy Projects	Montag, Rafael, L.	Moore, Marian	Moore, Natalie Lawa	Moore, Teresa E.
		1150	1151	1152	1153	1154	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	<		(	9			. 3	1				
		•	ر	-	Ц	_	9	F	-	ſ	×	
	Name	Office	Division	(g)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. in response to the meeting	
1156	Morgan, David M	Office of the Executiv e Director	MAPS	ટ્ટ					-			
1157	Morgan, Ethel L.	Office of General Counsel	Energy Projects	2							The state of the s	
1158	Morgan, Frances	Office of the Secretar y	Dockets	S								
1159	Morgan, Joseph	Office of Energy Projects	Assistant Director's Office	Ñ								
1160	Morgan, June D.	Office of Energy Projects	Division of Dam Safety and Inspections	NO.								
191	Morierty, John, E	Office of the Chief informati on Officer	Office of the Chief informati Front Office N on Officer	S N								
1162	Morice, Kay I.	Office of Markets, Tarriffs, and Rates	Office of Issue Markets, In and Tarriffs, Resolution and Manageme Alates		Yes OMTR	Lorraine Cross (INGAN), Joan Dreskin (MCAN), Cy Zedor (Resiant Energy), Jake Hatt (E Pase), Dona Fulton (Erron), Lev Poseskany (Williams), Den Jarcamp (OMTR), Kevin Macden (OMTR), Shelbu Camon (OMTR), CO Yosel (WINT), Reo Cupina (OMTR), Mar Rosenberg (OMTR), Kay Morice (OMTR)	OMTR Outreach Meeting to discuss what the pipeline segment thought the Commission should be doing.	7/13/1999		Ö	This was one of about a dozen meetings with different industry segments that took place before OMTR was started up to get a same of what the priorities of the different industry segments we as far as what they hought the Commission should be doing and to let then know what was going on with the formation of the new Office of Marthesis. Tariffs, and Rates.	

Response to Question No. 3 in the February 15, 2002 Letter	
5.	
February	F 2 C
in me	
ŝ	
Š.	-
Question	
\$	
Response	Ľ

From Senators Lieberman and Thompson

	any)	neetings to get a stry on should if this w out my office non was	Yangan and Andreas			
7	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	This was one of about a dozen meetings and effected includes segments to get a sense of what the different includes segments to get the commission should be defined, Lowly have a record of this modern on rey adended in the world may consider a form this meeting before the segment of my discipled and the first meeting before the segment at this meeting but law is use if Emory was present at this meeting but law one at the previous meeting in July 1999.		,		
The state of the s	V. Was any action taken by the Comm. In response to the meeting	. ç			Q2	Yes, the Commission issued an order early July establishing a fortude for 2001 computing refunds and sent the matter to
	IV. Date range					early July 2001
	IV. Give the date	2/10/2000			9/8/2001	
	III. What was the subject matter(s) of the meeting	OMTR Outreach Meeting to discuss water the ploeline agreement frought the Commission should be doing.			Social event	it was a settlement conference to address the disputes in the wholesale California efectricity markets.
3	If. List all that were present at the meeting	Oan Larcamp and representatives from INGAA - Enror may or may not have attended this meeting.			Various staff of FERC OCC, Including Lodie Willie, Jigness Gatani. Eugens Gode Ceed South, Maria Vourse, Code Ceed South, Maria Vourse, Lodie Willie, FERC Jost Set Maria en annes Lodin Manach Henry, Also, non-the Left Cest Set Maria en annes Lodin Manach Left Maria Maria en annes Lodie vars annes Lodie vars annes Lodie vars annes Lodie vars Set Lodie v	Representatives from all participants in the California wholesale electricity market, FERC Chref Judge Wagner and his staff, other face staff including David Reich and Howard Foreman.
	ag.	Yes OMTR			codie White, FERC	Assistant Yes Commission
-	<u>s</u>		NO NO	S _O	Yes	Yes
	Division	Issue Identificatio n and Resolution Manageme nt	Office of Markets. Data Tarriffs, Collection Nand Rales	Rates Central	Assistant	
	Office	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Commis sioner Brownell	Office of Commis sloner Brownell
V	Name	Morice, Kay I.	Morris, Patricia, W.	Morton, Barbara	Morton, Mary C.	Morton, Mary C,
	-	1163	1164	1165	1166	1167
١						

Letter	
15, 2002 Let	1
15	
in the February 18	11 11 11 11
in the	
No.	-
Response to Question No. 3 is	Prome Open to the contract of the
nse to	1
Respoi	

From Senators Lieberman and Thompson

	ny)					•
4	V. Was any action IV. Date taken by the range Comm. in response to the meeting to the meeting					
	IV. Was any action IV. Bive IV. Date taken by the the date range Comm. in response to the meeting	- <u>e</u>	02	10		
-	IV. Date range	sometime In June 2001		Sometime in July or August, 2001		
	IV. Give the date		6/27/2001		·	
9	III. What was the subject matter(s) of the meeting	Solial Event at Exgene Grace's home	Performance at the MCI Center of Michael Flatley's Feet Of Flames	Social event at Cafe Japonese in Washington, DC		
4	II. List all that were present at the meeting	Various staff from FERC OGC, Chulding Eugene Catene, Sangy, Graco, Jignasa Gadani, Lotae White, Maria Vuorsa, Loyce Kim, Michael Henry, Elizaben Rylander, Oga Koldushima, Leonard Tao, Geeta Koldushima, Leonard Tao, Geeta Morbor Other non-FERC staff were there, but I do not know their names. Man, Obero's boyfend, an Erron Han, Obero's boyfend, an Erron his name is Scott.	Varous staff from FERC OGC, including Jignasa Gadani, Olga Kotousikhna, Maria Youras, and Geala Oberoi. Ms. Obero's boyfrend, an Error employee was present, but only know that his name is Scott.	Various FERC OGC staff, including Lode Withlie, Mart Vouras, Eugene Grace, lignase Gedani, Joyce Kiri, and Geeta Oberoi, Ms. Oberoi's boyffend, an Erron employee, was also present, but I only know his name is Soutt.		
Ш	Office Division (a) inititated the meeting	Yes EERC OGC	Assistant Yes FERC OGC	Assistant Yes Lodie White		
۵	(a)	Yes	Yes	Yes	ž	
O	Division	Division Assistant			Assistant	
8	Office	Office of Commis sioner Brownell	Office of Commis sioner Brownell	Office of Commis stoner Brownell	Office of Commis sioner Brownell	
¥	Мате	Morton, Mary C.	Morton, Mary C.	Morton, Mary C.	Morton, Mary C.	-
Γ	-	1168	1169	1170	1171	ĺ

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		(if any)	meeting about mbers, s. I cannot PR staff							
	~	/I. Other Comments (	The meeting was a generic meeting about matters affecting all GSF incomes. Including any Emon affiliates. I carnot necessing your from affiliates. I carnot an accessely who from OPR start all processely who from OPR start all allowded the meeting.							
	7	IV. Give IV. Date laken by the the date range Comm. In response to the meeting to	Commission referred confested proceeding to Tro for ALJ Wagner for measurement discussions, in gettlement that was all accepted by the Commission.							
	-	IV. Date range								
	I	IV. Give the date								
	Ö	III. What was the subject matter(s) of the meeting	A future funding mechanism for GRI (see, Dockel No. RP92-133 et al.)							
	ı	II. List all that were present sat the meeting	Commissioners, OPR staff, officials / from GRI, and reps. of GRI pipeline if imembers, including Ernon affiliates.					-		
***************************************	ш	Office Division (a) Inititated the	Yes The Commission							
ŀ		(a)	Yes	S S	ž	ę.	S.	S _O	£	£
	٥	Division	Office of Pipeline Energy Certificates	MT1	Division of Logistics Manageme nt	Division of Human Resource Manageme nt	Division of Environme ntat and Engineerin g Review	DZSI	Agenda and Minutes	Office of Dam Safety Energy and Projects inspections
	6	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of the Executiv e Director	Office of Energy Projects	Office of Energy Projects	Office of the Secretar y	Office of Energy Projects
	Α	Name	Mostey, Berne L.	Office of Markets, Mount, Samuel Colin Terriffs, and Rates	Mowery, Bevorly	Office of Division of Human Mrozowski Michael J Executiv Resource e Manageme Director nt	Mudre, John M.	Mukherjee, Banoy	Murafka, William A.	Murphy, John, R.
-		Ť-	1173	1174	1175	1176	1177	1178	1179	1160
L	_				L					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	T	η	T	· · · · · · · · · · · · · · · · · · ·	T	<del></del>	1
X	V. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting		TOTAL AND		-		These are a series of regular curteach meetings held periodically with members of different fullsafts yellowed to full curteach to these their views on current market issues, regulatory, concerns and priorities. I altended some, but not all of these meetings. Meledrings with INGAA and EFSA dome or EFSA form included representatives of Error.
ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	C	- And Andrews of the Control of the		Commission order issued.		N.
L	IV. Date range						Various from 1999 No. to present
.  #	IV. Give the date				01/22- 23/2001	1/9-10/2001	
9	III. What was the subject matter(s) of the meeting				NYISO's September 1 and 6, 2000 report on its markets and priority of fixes to certain market rules, etc.	Algonquin and Texas Esstern's filings to comply with Order No. 637	Oureach
7	II. List all that were present at the meeting				Office of Markets, Tariffs and Commission Order About 200 people, including NYISO Markets, Tariffs and Ves Establishing Tech participants, including Enron Raies Raies	Commission Order Approximately 50 parties to Approximately 50 parties to Conf.	Various (details reported by Saida Shaalan or Kay Morico)
E	1. Who Office Division (a) Intittated the				Commission Order Establishing Tech Conf.	Commission Order Establishing Tech Conf.	Yes OMTR Staff
	(8)	2	£	8	Yes	Yes	Yes
၁	Division	Division of Environme ntal and Engineerin g Review	DEER	OALJ	Tariffs and Rates East	Tariffs and Rates: East	Office of Policy Markets. Innovation Tariffs, & & and Communic Rates atton
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Administ crative Law Judges	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Taniffs, and Rates
A	Name	Murphy, Patrick K.	Murphy, Sean C.	Office - Admini Murray, Matthew, Owerstive Law Judges	Murrell, Penny S	Murrell, Penny, S	Murell, William E
П		1181	1182	1183	1184	1185	1186
-							

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Mame Office Division (a) Inititated the in List all that were present subject matter(s) of the date range meeting at the meeting the meeti	X	VI. Other Comments (if any)	Oont recall any details of the meeting. It's by faited on my calendar, but not sure who are indeed.	This conference was open to the public and attended by at least 200 individuals.
Name Office Division (a) inititated the at the meeting	-	V. Was any action taken by the Comm. in response to the meeting	No. However, these issues are continuing concerns, and main concerns, and main concerns, and main subsequent forministion. They described on TROs, elsertis practice on RTOs, elsertis frainfact and most sean darks and most recently standard market despin.	Final rule issued in February 2000, Order No. 537
Mame Office Division (a) inititated the at the meeting at the meeting subject mater(s) of meeting at the meeting at the meeting subject mater(s) of meeting at the meeting subject mater(s) of the meeting subject mater(s) of meeting at the meeting subject mater(s) of the meeting subject	-	IV. Date range		
Market, William E  Market, Immovition  Office Division  (a) In Ititated the at the meeting at the meeting the meeting at the meeting at the meeting the move of the most of the meeting at the meeting that were present industry participants and many series along the move of the move of the move of the following that a silon of the move of the		IV. Give the date		12/8/1996
Marrell, William E Tariffs, Rates alton (Policy Mark Bennet, Fales (Policy Markets, Innovation (Policy Markets) (Policy Markets, Innovation (Policy Markets) (Policy M	H 0	III. What was the subject matter(s) of the meeting	OASIS Phase II, RTO function 8, and seams issues	Discussion of RAMS8-10 auction proposal for pipeline capacity
Name Office Division  Office of Policy Markes, Innovation Rafes and alton Markets, Innovation Rafes alton Rafes after	ıı	II. List all that were present at the meeting	Not sure of Commission staff who properly practicipants were scheduled to be Mark Bennet, were scheduled to be Mark Bennet, Elmort, Charles Yeung and Jeff Brown, Clannor, Allan Mober, APPA; and Mary Doyke, Dynegy.	Energy Technologies, Jeffrey A. Herandogless, Jeffrey A. Levin, New York Morrantial Excit. Annual C. Gould Permayavania Office of Consume Advocate, LLC, Mark B. Levin, Utility Economic Engleser, Utility Economic Engleser, William P. Bowwell Amer Gas Assay. Copp.; Rich Kaine, Koolh Gaseway; Copp.; Robit Kaine, Koolh Gaseway; Copp.; Robit Sand J. Genler, Processes, Gas A. Cobramers Group; Dolores D. Chezz, Collins, Codastal Corp., Michael D. Kathyn L. Patlan, Dynegy; Shelley, Comman, Enron Gas Pipelline Group, (Control in cell below)
Name Office Division  Office of Policy Markes, Innovation Rafes and alton Markets, Innovation Rafes alton Rafes after	Ш	I. Who Inititated the meeting	Mark Bennet, EPSA	Commission Staff
Name Name Murrell, William E. Lihe 1 of 2)		(a)	Yes	Yes
Name Name Murrell, William E. Lihe 1 of 2)	S	Division	Policy Innovation & & Communic ation	Policy Innovation & Communic ation
Name  Murrelt, William E.  (Line 1 of 2)	8	Office	Office of Markets, Tamiffs, and Rales	Office of Markets, Tariffis, and Rates
1 187	A	Name		Murrell, William E. (Line 1 of 2)
		-	1187	8

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	(if any)	,	ranscript was	s an Enron is since left the ed as a
	VI. Other Comments (		Noliced Public conference. Transcript was kept.	Stone Walton who is listed as an Enron entoloryes on the program has also as the company and is now employed as a consultant to RTO West.
-	IV. Give IV. Date taken by the the date range Comm. in response to the meeting		None.	No specific action is affithulable to this accordence, although the Commission has conflinated to process esses raising RTO formation issues, and is all contemplating all contemplating or stondard market design for regional withdesale electric markets.
-				10/15- 19/2002
2	IV. Give the date		5/29/1997	
	III. What was the subject matter(s) of the meeting		Issues and Priorities in the Natural Gas Industry	RTO standardzed market design
	II. List all that were present at the meeting	(Contro) Philip M. Budzh, Nabural Gas Supply Assnr, Dave D'Miesandro.  Supply Assnr, Dave D'Miesandro.  Mark Caudi, Atlanta Gas Light Controly, Glor Reterior, Columbal Gas: Lila Rohman, Cort Edison, Bruce Gas: Lila Rohman, Cort Edison, Bruce Plipelines; Thomas J. Eastmen, Plipelines; Thomas J. Eastmen, Maltonal Fuel Gas Supply, Reterior, Jr.  Nothorn Indiana Public Sarvice Co.  Hordmen Indiana Public Sarvice Co.  Hordmen Mora Rep. R. Reberston, Jr., Northern Indiana Public Sarvice Co.  Hordmen Malana Public Sarvice Co.  Hordmen Malana Public Sarvice Co.  Hordmen Malana Public Sarvice Co.  Policy Sar	Commissioners, Commission staff, industry officers participating, and attending general public (see the notice for the conference issued in Docket P1.97-1.000 for a list of invited speakers	Refer to Attachment 2 for list of meeting attendees
4	Office Division (a) Inititated the meeting		Office of Variety Policy Variety Policy Tariffs, Innovation Yes Commission and & Planning Rates	Yes Commission
0	(a)		Yes	
O	Division		Policy Innovation & Planning	Office of Policy Markets, Innovation Tarriffs. & and Communic Rates allon
B	Offlice	Office of Markets, Tariffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Murrell, William E. (Line 2 of 2)	Murrell, William E	Murrell, William E
	-	1189	1190	1191

Letter	
15, 2002 L	
15	
>	
February	į
in the F	
₽.	
က	•
Š	-
Question No. 3	F
_	
sponse to	Ļ
Re	

- 73

From Senators Lieberman and Thompson

	o	<u> </u>	0	9	• =		J Was any action	¥
	Division (	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	IV. Date taken by the range Comm. In response to the meeting
Office of Markets. Marifes, and Rates	Policy Irmoudion & Communic atton	Yes INGAA & EEI	Joe Hatteop, Ernon Tansportetion Services, Andy Kaz, EEE, Chagi Chancellor, Calpiner, Rebreit Kraue, Obde Energy, Gest Transmission, John Dreselin, MiGAA, Church Kridenman, Dreselin, MiGAA, Church Kridenman, Dreselin, MiGAA, Church Kridenman, Dreselin, MiGAA, Church Roscher, Obbe Energy North America. Rick Stoney Peplines, Call Levander, Niscoure Peplines, Long Michael, Berling on joint effort to Regarder Peplines, Call Levander, Niscoure Stoney, Recker Call Michael, EEE, Christ, Alexinger Pepline Goldy, Stanon Koya, Niscoure, James Dayla, Alaegheny Fengri Staph Ferny, London, Robert Fendreck, David Marcel, Lall Menorey, Bendres, Diek Christ, John Fengr Staph Ferny, Veloco, Rosse Graften, Michael Woleco, Rosse Graften, Michael Woleco, Rosse Graften, Michael Woleco, Rosse Graften, Michael Veloco, Rosse Graften, Michael Tany,	Briefing on joint effort to identify terms and conditions of pipeline service to serve gas-fired electric generators	7726/2002		g	
Office of Markets, Tarriffs, and Rates	Policy fnnovation & Communic ation	Yes INGAA	Various members of pipeline staff and Amust regulatory update for Commission staff	Annual regulatory update for INGAA members.		Various, typicatly May each No. Pear 1994- 2001	δ.	INGAA routinely invites senior staff and Commissioner a sessioners to participate as speakers of senior staff are conference. I was an invited speaker or attended an many of these conferences beginning in either 1994 or 1995. Emor officier and staff water in attendence servery years.
Office of Markets, Tarriffs, and Rates	Policy Innovation &Communi cation	Enron, Shelfy Corman	Don't recall. Shelly Corman, other Erron and Transwestern oppiline ("Pepresentatives, and perhaps other Commission staff members	Briefing on Transwestern proposal to sell pipeline capacity through Enron-on- line	10/4/2000		Don't recall any Commission action associated with this. Transwestern subsequently sold capacity through Enron-	

Response to Question No. 3 in the February 15, 2002 Letter	
تــ	
20	
7	Ì
<del>2</del> ,	Š
>	From Senatore Lieberman and Thompson
na	Ļ
효	7
ű	Ö
e.	č
星	2
3	Š
<u>ō</u>	=
=	ě
tio	ţ
es	2
ð	Ű.
٥	Ę
9	ù
Ĕ	
ğ	
ě	
latin.	

	_				
	Х	V. Was any action rape of the reports of the reports of the reports of the meeting of the meetin	Meeting fisted in calendar for room 8A-05. but no details and haven't found any notes.	In house training. Jo Tally may have more details about initiation.	
	ŗ	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Don't recall	Mo,	A final rule was Issued in early 2000, Order No. 637
5	_	IV. Date range			
7	н	IV. Give the date	6/31/2000	4/18/2000	12/12/1896
	9	III. What was the subject matter(s) of the meeting	Don't recaß	information briefing on Erron- on-line	Pre-gas rule outreach. This was one of a saries of meetings below the different industry groups to discuss anitural gas mankels, arections to proposals in the NOPR in Docket No. RANGE. To Discuss the RANGE. The Docket No. RANGE. To Discuss the RANGE. The Docket No. RANGE. The RANGE. The Docket No. RANGE. The Docket No. RANGE. The Docket No. RANGE. The Docket No. RANGE. The RANGE. Th
	4	II. List all that were present at the meeting	Don't fecas!	Several presenters from Enron, and large number of Commission staff	Berowick Submelland, Astall & Benaut, Benaut, Astall & Benaut, Be
	ш	Office Division (a) Inititated the	Yes Don't recall	Yes Don't know	Yes Commission Staff
		(a)			
	٥	Division	Office of Policy Markets, Innovation and Communic Rates atton	Office of Policy Markets, Innovation Tardiffs, and Communic Rates	Office of Policy Markets, innovation & and Tadiffs, and Series And Policy Activities & and Pates alton
-	9	Office	Office of Markets, Tariffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tardfs, and Rates
	A	Name	Murrell, William E.	Murell, William E.	Munell, William E.
-	J	<b></b>	1195	1196	201
-					The state of the s

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

7	V. Was any action IV. Date taken by the rappose Comm. In response to the meeting	This was one of a series of outreach meetings held with various industry stakeholders with interests in FERC energy regulation. Kay Morice, or Sleve Rogers may have more complete information about attendees.		Annual training conference i attended as an invited speaker. Primarily social interaction with conference attendees.	Listed in appointment calendar, but I have no once or handouts I've found related to the meeting.
7	W. Give IV. Date taken by the the date range Comm. in response to the meeting	, ON	2	2	Not sure
-	IV. Date range			05/17/00-	
H	IV. Give I	2/10/2000	4/18/2000		5/31/2000
9	III. What was the subject matter(s) of the meeting	OMTR Outreach with INGAA	Erron on line presentation in Commission meeting room	INGAA annual regulatory affairs conference offsite	Don't remember
LL.	<ol> <li>List all that were present at the meeting</li> </ol>	Don't remember, but attendees would have included senior staff members from OMTR. OCC, and perhaps other offices, officers of INGAA, and regulatory affairs representatives of a number of natural gas pipeline companies.	Various Commission staff attending training session on Enron-on-line	Large number of FERC staff, INGAA officers and natural gas pipeline officers and staff.	Don't remember
Э	Office Division (a) Inititated the meeting	OMTR	Don't Know	INGAA	Enron
	(9)				
၁	Division	Office of Policy Markets, Innovation Tarriffs, & & & & & & & & & & & & & & & & & & &	Office of Policy Markets, Innovation Tarriffs, & & and Communic Rates ation	Office of Policy Markets, Innovation Tarriffs, & & and Communic Rates ation	Office of Policy Markets, Innovation Tarriffs, & and Communic Rates ation
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
∢	Nаme	Murreif, William E.	Murrell, William E.	Murrell, William E.	Murrell, William E.
Ш	-	1198	1199	1200	1201
_					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		The state of the s	
X	V. Was any action IV. Date laken by the range Comm. In response VI. Other Comments (if any) to the meeting	Part of a series of informal Outreach meetings with many different industry groups	
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	é	
-	IV. Date range		
H	IV. Give the date	7/5/2000	
9	III. What was the subject matter(s) of the meeting	FPSA's main message is that RTOS studied lend to a demention and not alternate to not demented and of alternate to non-generation markets. RTOS ruummy amarkets. RTOS ruummy amarkets. RTOS ruummy amarkets. RTOS ruummy by RTOS is defected for market and prophers. The statement of	
L.	II. List all that were present at the meeting	EPSA: Lany Esanstal, Dickenstein, Shapiro, berin & Cehhrsty, Julie Shapiro, berin & Cehhrsty, Julie Enroy, Harrian ESSA, Brantan Goden Enroy, Mann Thareal Globe. Dive Groupsy Mann Thareal Globe. Corporation, Judy Meason, Williams, Brant & Centro, Mark Berind, Brass, Error, O'Gor, Mark Berind, Brass, Error, Markey, Mark Berind, Mark Berind, Shapiron, Berinder, Brass, Perinder, Brass, Perinder, Brass, Perinder, Brass, Perinder, Brass, Perinder, Shapiro, Mark Berind, Sander, Mark Berinder, Mark Berinder, Sander, Mark Berinder, Mark Berinder, Sander, Mark Berinder, Mark Redid.	
Э	I. Who Office Division (a) inititated the	OMIR	
	(a)		٦
၁	Division	Office of Policy Markets, Innovation Tariffs, Cam & Barrier Rafes Rates alton	
8	Office	Office of Mandels, 1 Tariffs, Rates	
Ą	Name	Murrel. vvillam E. (Line 1 of 4)	
П	-		1202
Ц		The state of the s	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V	a	L	6		Ц	C	•			
		,	,	•	•	_	9	Ξ	-		×
	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date latken by the range Comm. In response IV. Other Comments (if any) to the meeting
1203	Morrell, William E. (Line 2 of 4)	Office of Markets, Tarriffs, and Rates					Conrid What is the role of FTCs in market mortlening cellucation that leaves enforcement to ETCs to select information. Lot leaves enforcement to ETCs to COL, Will RTOs select makes before the select makes of the select makes of the select method to det makes of the select method to det method to det method to det method to det method to det method to det method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method method				
56	Murrell, William E. (Line 3 of 4)	Office of Markets, Tartifs, and Rates					(Conrd) Most of the dedicates for the decrease are to exceed in competitive markets, and in mycase the impact on competitive markets, and in mycase the impact on consolriety has agrees as the mycase the object that agrees the mycase of the m				

Response to Question No. 3 in Trie February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Give IV. Date laken by the the date range Comm. In response to the meeting		
	ſ	V. Was any action taken by the Comm. In response to the meeting		
	-	IV. Date range		
•	Ξ	IV. Give the date		
	9	III. What was the subject matter(s) of the meeting	(Contd) First, they create discincture for load serving strategies. Second, they circulate to develop bredging strategies. Second, they diminish entry, in particular, investions to particular, insestion in parkets worry thrown and in tercore their capital costs. As widence, ETSA suggests that construction of merchant construction of merchant construction of merchant and southers more than any other region, two places where there are no follows where there are no follows the more than any other region, two places where there are no follows the more than any other region, two places where there are no follows to protect any other region and the second of complex contrast. ETSA believes that the need for major contrast. ETSA believes that the need for major contrast. ETSA believes that the need for major meannission investment is entainway modest accept for a limited number of bottlenecised forwagates.	
1	4	II. List all that were present at the meeting		_
	u	Office Division (a) Inititated the		
-	۵	(a)		
Į,	ပ	Division	`	
	ю	Office	Office of Markets, Tarriff's, and Rates	
	V	Name	Munelt, Wrifam E. (Line 4 of 4)	
		-		1205

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Ш	¥	8	O		Н			Sd III	5	ſ	エ
	Name	Office	Division	(a)	Office Division (a) Infittated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
1206	Mureit, William E.	Office of Markets, Tarriffs, and Rates	Office of Policy Markets, innovalion Tarriffs, a strong Communic Communic Rates		OMTR	INGAA. John Somerhalder, President, Ele Pase Pipieller Group, Stath Horror, Chalman & CEC. Error Case Pipieller Group, Stath Horror, Chalman & CEC. CLot Berchandle Horror, Companies, Cach Wardingon, Pipeline President & CEC. Williams Gas Prepleider Rott Cott. Wardingon, Pipeline Rengy, Cad Lovander, Vol. Companies, Stath Wardingon, Duke Energy, Cad Lovander, Vol. Perior Cott. Wardingon, State Manager, Michael Canton, Robert Ober, Pipeline, Pi	Pipeline perceptions on concern halling lass markets. concerns with decontracting and unracks of firm capacity (less of markets and market shaek), concerns with preceived incuracing market first, and opportunity to serve new electric generators	71772000		. е	
1207	Murell, William E.	Office of Markets, Tarriffs, and Rates	Policy Innovation & Communic ation		Yes Staff Conference		Staff conference on affiliate issues	3/15/2001		9/27/2001 NOPR in Docket No. RM01-10-000	92772001 NCPR in Docket No. RMO1-10-000 See report or Demetra Anas
1208	Murrell, William E.	Office of Markets, Tamiffs, and Rates	Office of Policy Markets, Innovation Tarriffs, and and Communic Rates ation	Yes	NGAA Regulatory Affairs Group	William E. Murreil and Marsha Gransee. Presume that respresentatives of Enron-related affiliates were present, either in person or by telephone.	Briefing on Order No. 637		Either February 10th or 11th 2000		Order No. 637 was voled on February 9, 2000.
1209	Naca, Dianne	Office of General Counsel	Solicitor	ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

~ 238

1					1	-
2	IV. Give IV. Date taken by the the date range Comm. in response to the meeting					None
-	V. Was any action taken by the Comm. in response to the meeting					Q.
-	IV. Date		-			07/01-
	IV. Give the date	·				
	III. What was the subject matter(s) of the meeting					Email providing conclusived and and of a Americandium of Agreement among the EFEC the Oregion SHPO, and the Advisory Council on Historia Preservation on Managing Historia Properties that May be Affected by an Ore sessing to PCE to the commissioning of the Bull And the Affected of the Bull And the Affected of the Bull Run Hydroelectric Project, FEEC No. 477.
4	II. List all that were present at the meeting					Debora Schallert, PGE .tot.ee Gardner, Kleinschmidt Assoc.
L	Office Division (a) inititated the meeting					Division of Hydopowee Steve Nauge, Steve Nauge, Administrate Yes FERC on and Complianc
6	(a)	ž	2	2	Yes	Yes
C	Division	Office of Office of Administrative ve Law Judges	Office of Administration and a second and a second and a second a	MAPS	Division of Hydropowe Administrati Yes on and Complianc e	Division of Hydropowe Administrati on and Complianc
В	Office	Office of Administ rative Law Judges	Office of Administ rative Law Judges	Office of the Executiv e Director	Office of Energy / Projects	Office of Energy Projects
V	Name	Nacy, Joseph R.	Nacy, Joseph R.	Nash, Jennifer A.	Naugle, Steven G.	Naugle, Sleven G.
	-	1210	1211	1212	1213	1214

etter.	
y 15, 2002 Lett	
15,	
February	į
n the l	
Ξ.	
ຕ.	•
ş	-
Question No.	
9	-
Response to (	Ĺ

From Senators Lieberman and Thompson

	В	O	٥	ш	9		3	:		7	_
	Office	Office Division	(a)	the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action V. Date taken by the range Comm. In response to the meeting	
	Office of Freety / Projects	Division of PERC, OEF Administrating Yes Division of Administrating Yes Division of Compliant	, ke	nd Com	Oregon SHPO Confederated Tribes of Min Varia Spirits PHPO Admitted Minimum Chairman of the Botard of Tristees, Confederated rifuses of the Umartial Indian Reservation The Advisory Council on Historic Preservation PGE Officials	To inform that PCE Indianal activities to surrend its literate activities to surrend its literate for the gull Run Mydroelectric Project. FEEC has 477; no mily (1) the REC has 477; no mily (1) the REC has 470; no mily (1) the New York of the Mydroelectric Projecties (2) the New York of the Mydroelectric Projecties (2) the Poper of activity that has he parend activities of the Mydroelectric Projecties (2) the Poper of activity that has he parend activities of the Mydroelectric Projecties (2) the Poper of activity who has declarated in the Projecties and documentation required to comply with Section 166 of the Prefer Chindred to some you've the Section 166 of the Prefer Chindre of the Prefer of			. ov	None	
6	Office of Office of Nawrocki, Anabelle B Energy I Projects	DZSI/SFRO	ĝ								
Nelson, Margaret K.	Office of the Chairma n	Office of the Chairman	£								
Newman, James, C.	Office of the Executiv e Director	Financial Policy	8								
	Office of Markets, Tarriffs, I and Rates	Office of Markets, Market Tarriffs, Developme And Antes	2								

Response to Question No. 3 in The February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	IV. Date laken by the range Comm. in response (U. Other Comments (If any) to the meeting	There is no non-public communication during my review of Calypso's application, Docket No. CP01-409-000, et.al., filed July 20, 2001.					There may have been a second courtesy visit in 2000 but i'm not sure.
	f	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	-			On 4/30/01, the Commission issued an order rejecting the propodsal.	01/23/01 - The Commission has not 01/24/01 acted on the filing.	approximal ely summer of ftot to my knowledge 2000
	-	IV. Date range					01/23/01 -	approximat ely summer of 2000
	I	IV. Give the date				1/9/2001		
	9	III. What was the subject matter(s) of the meeting				Transwestern's proposal in Docket No. RP01-56-000 to sell capacity options.	Northern Natural's Order No. 637 compllance filing in Decket No. RP00-104-000.	Courtesy visit.
	4	II. List all that were present at the meeting				all interested parties were invited.	All interested parties were invited.	Chairman James Hoecker, Nils Nichols, Stant Horton, Shelley Corman, and several diber individuals that I cannot recall.
	ш	I. Who Office Division (a) inititated the				publicly noticed Yes technical conference.	publicly noticed Yes technical conference.	Yes Unknown
-	٥	(a)	No No	SN S	S.	Yes	Yes	Yes
ľ	Ç	Division	Pipeline Certificates No - Branch 1	Litigation	Office of IT IT Informati re and on Operations	Office of Division of Markets, Tariffs and Tariffs, Rates Arates	Division of Tariffs abd Rates - West	Natural Gas
	ñ	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Chairma n James Hoecker
	A	Name	Nguyen, Buu T,	Nguyen. Kim A	Ni, Alice, S.	Ni, Cheum	W, Cheum	Nichols, Nils N.
		-	1220	1221	1222	1223	1224	200
•				<del></del>				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

No. Staff data request Commission puls organ never seeking additional info or implemented because selected companies filling in Docket No. Rippe, protested the Commission's data fining 100-cket No. Rippe, protested the Commission of Commissio V. Was any action

IV. Give IV. Date laken by the
the date range (Comm. in response to the meeting) late fall or early winter 2000 ate fall or early winter 2000 Phone conversation to discuss purpose of upcoming conference call on energy supply issues. Transwestern's filing in Docket No. RPBG-552-000 to participate in Commission's pilot program temporarily eliminating price cap for capacity release transactions and picelines' interruptible services III. What was the subject matter(s) of the meeting Conference call to discuss energy supply issues. Chairman Hoecker and various industry representatives whose names I do not recall. II. List all that were present at the meeting Nils Nichols and Enron employee whose name I do not recal!. Maria K. Pavlou I. Who
Division (a) Inititated the meeting Kenneth P. Niehaus Yes Unknown Office of Markets, Division of Tarriffs, Tariffs and No and Rates-West Yes ş £ Yes Office of The Chief Infrastructu Informati re & on Operations Pipeline Certificates Natural Gas Natural Gas Dockets Office of Chalima n James Hoecker Office of the Secretar Office of Energy Projects Office liehaus, Kenneth, P Vicholson, Phillip R iehaus, Carol L. Name Nichols, Nils N. Vichols, Nils N. Vicks, Causha 1226 1228 1229 1231 1227 1230

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson
ī,	ğ
>	ě
ī	Ē
둞	₽
Ŧ.	an
ø	an
E	Ε
~	oe G
7	e
ž	-5
5	ö
ž	Jat
Ë	ě
Ø	Ë
\$	ē
Se	11.
e 5	
ŝ	
å	

						rioni senators Lieberman and Inompson	Definali and 11	Squips	II.		
	A	æ	O	٥	Ш	ц	9	I	-	ſ	×
	Name	Office	Division	(a)	I. Who Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date laken by the range Comm. In response VI. Other Comments (if any) to the meeting
1232	Office of Office of Niehaus, Kanneth, P. Energy Projects	Office of Energy Projects	Pipeline Certificates		Kenneth P. Niehaus	Data Request for Data Request for Regulatory Arralyst Tenswestern Disposed Regulatory Arralyst CP01.115	Data Request for Transwestern proposed expansion in Docket No. CPO1-115			No. Response provided find for staff to base its recommendations in Docket No. CPO1-115. Eventually in proposed Commission approved Transwestern's proposed construction	Outine das request from staff to applicant seeking additional info.
	Nieman, Kathleen E.		Office of Antifes of Antifes. Tariff and Antifes. Rates-East Rates	Υes	Yes Commission	l do not know	The Commission directed staff to common a settlement conference to discuss all issues deby interverus in a Transweitern take-orpay proceeding.	4/14/1984		The Commission approved a settlement by letter order dated Cotober 18, 1994.	Im reporting this out of an abundance of caution. While I do not recall this caution is settlement conference appears to be akin to spatrowed a settlement by analyst attending the conference appears to be akin to approve a settlement by analyst attending the conference general electroder dated October growthe far menon and confer for the conferences he participated in Interventent obtain discussions with Transversem beautiful and conferences he participated in Interventent because the Commission granted.  Transversem clear the conference is not a set of the caution of the caution of the caution of the caution of the seport.
1234	Office of Markets, Mary Agnes Ar Tarriffs, and Rates Art	Office of Markets, In Tarriffs, and Rates	East - Branch 2	2	-						
1235	Nocero, Cathle L.	Office of the Executiv e Director	Human Resources Manageme nt Division	Š							
1236	Office of the Nolasco, Sabrina Alfa Executiv Director	Office of the a Executiv e Director	Division of Financial Services	νo							The state of the s

	(if any)	_					
¥	er Comments						
	on nse VI. Oth			cat rig	cal ng naliy naliy n	raalisiy u u u u u u u u u u u u u u u u u u u	far
	V. Give IV. Date laken by the the date range Comm. In response VI. Other Comments (if any) to the meeting			Subsequent to technical conference Contrainsion issued an order on filing			
	IV. Date range				Spring 1993		
The Party of the P	IV. Give the date			3/11/1999	3/11/1999	3/11/1968	3/11/1999
,	III. What was the subject matter(s) of the meeting			Florda Gas' filing submitted in 3/11/1989	Florida Gas' filing submitted in RP98-188-000 Northern Natural's Order No. (NS)	Florida Gas' filing submitted in RP98-186-000 Northern Natural's Order No. (508 restructuring proceeding (RS) Prefiling call to discuss Florida Gas' proposed Plases Vi expansion.	Florida Gas' filing submitted in RP98-188-000 Northern Mahual's Order No. 638 restructuring proceeding (RS) Florida Gas' proposed Phase VI expansion.
	<ol> <li>List all that were present at the meeting</li> </ol>			Various Florida Gas Transmission customers, Staff Bob McLean OGC; Mike Strzelecki OMTR	Various Florida Cas Transmission Customens. Staft Bob McLean OGC; Mike Strashook! OMTR Gas Company, subtomers, marketers, Gas Company, subtomers, marketers, etc. Commission Staff, Leffery Gallomp, Jackson Fry, Randy Adams	Verious Florida Gas Transmission Oustonness Staff Bob McLean OGSC, Milke Strzabis-di DAITR Representatives of Northern Natural Gas Company, Jouthoness, marketes, etc. Commission Staff, Jafefy Soliums, Jadekser Plorida Gas personnel. Conference call on 11/09/2001. Various Florida Gas personnel. Commission Staff, Reb Coping, Bob Commission Staff, Reb Coping, Bob Commission Staff Reb Coping, Bob Commission Staff Reb Coping, Bob Christin, Jeff Walffulls, Repring, Bob Contralistor, act Walffulls, Republication Staff Programmission Staff Republication Staff Programmission Sta	
	Office Division (a) Inititated the meeting			Commission Yes technical conference	on ce of	ce of on Staff	Commission (Commission Staff Commission
_	(g)	Š	Yes			S. S.	
	Divisia	Litigation	Office of Markets, Tariffs and Farters; Rates: Rates Rates	Tariffs and Retes: East	Office of Markets, Tariffs and Tariffs, Rates: Bast Rates	Office of Market Market Tarriffs, Developme. No nt Rates	Office of Market Tarriffs, Developme No and nt
	Offlice	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and
	Name	Office of Council Counsel	Noone, Kerry J.	Noone, Kerry J.	Масте, Кету Ј.	Norman, Jeffrey B.	Norrean, Jettrey, B.
1	-	1237	1238	1239	1240 N	N N N N N N N N N N N N N N N N N N N	

Letter	
15, 2002 Le	:
15	
n trie February	Canada Canada II I ale anno an al The anno an a
in trie	
No. 3 i	1
Juestion	
5	
Response to	L

From Senators Lieberman and Thompson

_	,			,				
¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting							
٦	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Letter to PGE dated June 29,2000, finding no violation of license occurred.	No action was required by the Commission as this was a demonstration for informational purposes.			No.	O _N	NO.
-	IV. Date range	May 3- June 15,2000	Sometime during 2000.					
·	IV. Give the date					7/14/1999	9/16/1999	5/4/2000
9	Iff. What was the subject matter(s) of the meeting	Fish kill at the Willamette Falls Project(P-2233)	Three Enron employees demonstrated Enron Online to interested Commission employees.			Courtesy meeting to advise staff of PGE plans to transfer fleensed Bull Run Hydroelectric Project No. 477 to a municipality, rather than seek a new license.	Courtesy meeting to advise staff of revised proposal for disposition of Bull Run Project No. 477.	Courtesy visit to advise staff of newly arisen problems concerning PGE's proposed disposition of its ficensed Bull Run Hydroelectric Project No. 477.
F	II. List all that were present at the meeting	Robert Steale(PGE)	A large number of people; this was demonstrated Erron Online field in the Commission meeting room, interested Commission employees.			Thomas E. Mark, solo practitioner, attorney for Portland General ELectric Co. (PGS), Julie A. Kell. Director of Hydro Licensish and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC.	Thomas E. Mark, solo practitioner, attorney for PGE; Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC.	Thomas E. Mark, solo practitioner, of newly arten problems of Hydro Lobersman and Warker (Eghts, enveranting PGEs proposal OFMort Circumstanting Anguard, Associals (disposition of nits between Coursel for Energy Projects, FERC. 477.
Е	Office Division (a) Inititated the meeting	Yes John K. Novak	Yes Likely OMTR			Yes Thomas E. Mark	Yes Thomas E. Mark	Yes Thomas E. Mark
	(a)	Yes	Yes	S _O	S S	Yes	Yes	Yes
၁	Division	Hydropowe r Administrati on and Complianc e	Strategy and Planning Staff	Director of Info and Systems Assurance	Office of IT the Chief Infrastructure & & on Cherations	Energy Projects	Energy Projects	Energy Projects
8	Office	Office of Energy Projects	Office of the Executiv e Director	Office of the Chief Informati on Officer	Office of the Chief Informati on Officer	Office of General Counsel	Office of General Counset	Office of General Counsel
٨	Name	Novak, John K.	Novak, Martin W.	Novak, Steven M	Nutsch, Gasey	Nygaard, K. Kristina	Nygaard, K. Kristina	Nygaard, K. Kristina
	-	1243	1244	1245	1246	1247	1248	1240
_					-			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Г	Ι	T	T			1
マ	V. Was any action latken by the Comments (if any) Comm. In response VI. Other Comments (if any) to the meeting					·
	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No.	No.	No.	Mō.	NO.
_	IV. Date range					
I	IV. Give the date	3/22/2001	4/15/2001	12/7/1998	9/16/1999	9/18/2000
g	III. What was the subject matter(s) of the meeting	Courteey visit to advise staff of yet further complications regarding PGE's proposal to flushoose of its licensed Bult flushydroelectric Project No. 477.	Courtesy visit to advise staff of new PGE plan with respect to the disposition of its licensed Bull Run Hydroelectric Project No. 477.	Courteey visit to advise FERC staff of a fling PGE and Titbe propose to file with respect to reficence apfile with respect to reficence apfile with respect to licensed Pelton-Round Butte Hydroelectric No. 2030.	Courtesy visit to advise staff about proposed settlement on annual charges for the use of a tribal researchion by the licensed Petten-Round Butte Hydroelectric Project No. 2030.	Courtesy wist to advise staff about Prices plans for its from the Hydroelectric Project No. 2030.
ь	II. List all that were present at the meeting	Thomas E. Mark, solo practitioner, and processing and Water Rights, of Hydro Libersing and Water Rights, PGE, K. Kristine Nygard, Associate Counsel for Energy Projects, FERC.	Thomas E. Mark, solo practitioner.  Courteay wisit to advise staff altored for PGE plan with respect of Hydro Legestation and Water offsits, to the deposition of its PGE. K. (ustime hygaest, Associate Received Bull Run.  Counsel for Energy Projects, FERC.  Hydroelectric Project No. 7	Thomas E. Mark, solo practitioner, attorney for Portland General Electric Co. (PGE)	Thomas E. Mark, solo practitioner, attorney for Portland General Electric Cyc. E.S., Julia A. Ketti. Director of Hydro Licensing and Water Rights. PGE: K. Kristina Nygaard, Associate Coursel for Energy Projects, F.ERC.	Thomas E. Mark, solo practitioner, allowney by Portland General Electric Ord (CES), K. Kitchran Mypardt, Associate Commest be Energy Mayorast, FERTS, International Commest Central Mayorast, Central
3	I. Who Inititated the meeting	Yes Thomas E. Mark	Yes Thomas E. Mark	Yes Thomas E. Mark	Yes Thomas E. Mark	Yes Thomas E. Mark
۵	(a)	Yes	Yes	Yes	Yes	Yes
၁	Office Division (a)	Energy Projects	Energy Projects	Energy Projects	Energy Projects	Energy Projects
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsef	Office of General Counsel
Υ	Name	Nygaard, K. Kristina	Nygaard, K. Kristina	Office of Nygaard, K. Kristina General Counset	Nygaard, K. Kitsilna	Nygaerd, K. Kristine
	-	1250	1251	1252	1253	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	any)								
	V. Was any action IV. Date taken by the range Comments (if any) to the meeting				·				
$\vdash$	nse XI.								
-	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	- No.							
	IV. Date range								
- -	IV. Give the date	3/22/2001							
ဗ	III. What was the subject matter(s) of the meeting	Courtesy visit to advise staff about progress of relicense application for PGE's licensed Pelton-Round Butte hydroelectric Project No. 2030.							
Ш	II. List all that were present at the meeting	Thomas E. Mark, solo practitioner, attorney for Podland General Electric Co. (PGE), Julie A. Keil, Disactor of Hydro Licensing and Water Rights, PGE, K. finstlina Nygard, Associate Counsel for Energy Projects, FERC.							
ш	Office Division (a) inititated the	Yes Thomas E. Mark							
	(a)	Yes	£	2	Š		ž	£	2
ပ	Division	Energy Projects	Energy Projects	Markets, Tariffs, and No Rates	DEER, West Branch 2	DEER, West Branch 2	Office of Markets, Tariffs and Tarriffs, Rates - and West Rates	DEER	Office of Division of Markets, Market Tarriffs, Developme and nt
8	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and
A	Name	Office of Nygaard, K. Kristina General Counsel	Jakley, Janet L.	Oberoi, Geeta	OBrien, Susan Beth	OBrien, Susan Beth	O'Connor, Jerie, P.	Office o O'Donnell, Lauren H. Energy Projects	Ogur, Jonathan
	+-	1255	1256	1257	1258	1259	1260	1261	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name			<del>,</del>			7	<del>,</del>	
Mame Office Division (a) Inititated the at the meeting at the meeting the meeting at the meeting at the meeting of the date of Division of the Meeting section of the FERC audit said.  Office of Division of Divi	¥	VI. Other Comments (if any)						
Mame Office Division (a) Inititated the at the meeting at the meeting the meeting at the meeting at the meeting of the date of Division of the Meeting section of the FERC audit said.  Office of Division of Divi	ſ	V. Was any action taken by the Comm. In response to the meeting		RP99-322 resulted in rate case settlement.				OU
Mame Office Division (a) Inititated the needing at the meeting the meeting at the meeting at the meeting of the meeting the meeting at the meeting the meeting the meeting of the meeting at the meeting the meeting the meeting the meeting the meeting and meeting the meeti	-	IV. Date range	Various dates between April 1993 and May	November 1999 - December 2000	7/18- 19/01; 8/28/01			July 26 2001
Mame Office Division (a) Inititated the at the meeting at the meeting of the meeting at the meeting of the meet	Ξ.	IV. Give the date						
Mame Office Division (a) Inititated the at the meeting at the meeting of the meeting at the meeting of the meet	9	III. What was the subject matter(s) of the meeting	Various accounting related subjects related to Commissions's francial commissions's francial Border Pipeline Co.	Gathering information related to rate filing RP99-322	Capacity allocation on El Paso, Docket No. RP00-336- 002, et al.			gas and electric
Mame Office Division (a) Inititated the meeting of	ш	were present	Varobus Northern Border Pipeline Co. accounting debt, personnel and members of the FERC audit staff.		Over 100 people. From Staff: Ellen Mankla, Andy Lyon, Ingird Otson, Robert Petrocell. Eleabeth. Zerby. Perror. Sironn. Pauls Crankllon, Ray James, and Cankllon, Ray James, From Ernon. Jane Phol. Rebeces. Cantrell. Nancy Bagol. esile Lawner.			
Name Name Okrak, John M. Okrak, John M. Okrak, Joseph B. Omkar, Songittara	ш	I. Who Inititated the meeting	Commission audit	Litigation Team RP 99-322	Commission public notice			
Name Name Okrak, John M. Okrak, John M. Okrak, Joseph B. Omkar, Songittara		(a)		Yes	Yes	Yes	ž	Yes
Name Name Okrak, John M. Okrak, John M. Okrak, Joseph B. Omkar, Songittara	ပ	Division	Division of Regulatory Audits	Division of Regulatory Audits			Market Developme nt	CEA
Name Name Okrak, John M. Okrak, John M. Okrak, Joseph B. Omkar, Songittara	В	Office	Office of the Executiv e Director	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
1263 1265 1266 1266 1266 1266 1266 1267	A	Name	Okrak, John M.	Oliva, Michael A.	Olson, Ingrid M	O'Malley, Joseph B.	Omkar, Songthara	O'Neill, Richard
		-	1263	1264	1265	1266	1267	1268

ebruary 15, 2002 Letter	n and Thompson
Response to Question No. 3 in the Fel	From Senators Lieberman an

	Г	I	Т	. 70	
	¥	V. Was any action  IV. Give IV. Date laken by the the date range Comm. in response to the meeting		I have had many meeting over this period. but have not yat located specific time and topics	
	J	V. Was any action taken by the Comm. in response to the meeting	- No	110	Q.
;	-	IV. Date range	Between April 25- 1 28, 2000	1992 - 2001	
242	н	IV. Give the date			1002/08/5
alla li	O	III. What was the subject matter(s) of the meeting	Visit to the trading floor	gas and electric	&1002; Comparability of transmission service &1002; Efficient RTO (manion and operation (seams issues)
	Ŀ	II. List all that were present at the meeting	Richard O'Neill, Virginia Strassor, Marsha Gransee, Bruce Sham, Sleve Kean and other Enron employees	Jeff Warkiss, Dick Tabors, Steve Broan, Shelily Comran, Donna Fulton, Broze Stram, Robecca Mark, Terry Thorne, Mary Kay, Cally Albout, Stan Helron, Leslie Lawner, Cymfula Sandherr and Kathheen Magnuder	Hess), Loran Beh Tighe (Amerada Hess), Loranie Cosas (Mirani), Mary Glockstein Stape), John Meyer (Florkstein Stape), John Meyer (Polskstein Stape), Jush Meyer (PesA, Judy Neason (Williams), James Sakes (Erron), Mark Bennett (EPSA, Judy Neason (Williams), James Sakes (Erron), Mark Bennett (EPSA, Hary Singh Perko Mirani Carpor, Courte, Contract Courte, Contract Courte, Contract Courte, Condinate Judy Neason, Perron Meyers, Marchilla Velexon, Pere Nagler, Shelim Camon, Oradinate Judy Codinate, John Meyers, Perron Carron, Contract Courte, Contract Brown, Pete Nagler, Shelim Camon, Codinate, Donk Mead, Brob Parnders, Contract Control (Codinate, Donk Mead, Brob Parnders, Carbon, Ress Glasgow, Melissas Lord, Campon, Resoulted (Donk Mead), Miles Fernanders, COCC, Cymby Miles Fernanders, COCC, Cymby Glaest, Karen Robinson, Cherles
	ш	I. Who (a) Inititated the meeting	Yes I did		Yos OMIR
		(a)	Yes	Yes	
	O	Office Division	CEA	CEA	Office of Policy Policy Parties, Innovation & & & & & & & & & & & & & & & & & & &
	m	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, CEA and Rates	Office of Markete, Tarrifis, and Rates
	A	Name	O'Neill, Richard	O'Neill, Richard	O'Neill, Richard
	1	~	1269	1270	
_					

· · ·	¥	VI. Other Comm						
Letter	ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting		Settlement of rate proceeding filed on April 16, 1999, supported by all partles.	Settlement of rate proceeding filed on April 16, 1999, supported by all partles.	No	2	ON.
2002   on	_	IV. Date range						
iry 15, nomps	- -	IV. Give the date			5/5/1998	6/11/2001	7/24/2001	10/11/2001
3 in the Februa berman and Th	9	III. What was the subject matter(s) of the meeting		Settlement conferences to resolve cost of service and rate design issues.	Docket No. RP98-203-000 Settlement conferences to resolve cost of service and rate design Issues.	court ordered mediation in Enron v. FERC, D.C. Cir. No. 00-1421	court ordered mediation in Enron v. FERC, D.C. Cir. 00- 1421	court ordered mediation in Enron v. FERC, D.C. Cir. 00- 1421
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	Ł	II. List all that were present at the meeting	:	All parties to the proceeding including Northern Natural and FERC Staff.	All parties to the proceeding including Northern Natural and FERC Staff.	from Dockan for TVA; Dan Warkies for Enror and Virgin's Electric Power, Nell court ordered mediation in Leay for Trensals Tower Services; Enror v. FERC, D.C. Cir. N Mel Notion for Entropy, Handd Himmelinan the mediator	Tom Docian for TVA; Dan Watkiss and Jackle Java for Enron and Virginia Electific Power, Neil Levy for Tenaska Prower Services, Mac Norton for Energy, Harold Himmelman the mediator	Tom Dcolen for TVA; Dan Warkiss and Jackie Java for Enron and Virginia Electitic Power; Nell Levy for Tenaska Power Services: Mac Northon and Greg Enror Gamef for Enlegy, Hacold Himmelman 1421
Respon	Ш	Office Division (a) Inititated the		Public Postice, FERC Staff, Northern Nat.	Public Yes Notice,FERC Staff,Northern Nat.	D.C. Circuit Yes Mediator Harold Hirrmelman	D.C. Circuit Yes mediator Harold Himmelman	D.C. Circuit Yes mediator Harold Himmelman
		(a)	§ .			Yes	χes	Yes
	٥	Division	Office of Markets. Tarriffs, Rates East No and Rates	Chief Economic Advisor	Chief Economic Advisor	Solicitor	Solicitor	Solicitor
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of General Counsel
	A	Name	(I, Deborah	wens, Yvonne	wens, Yvonne	acella, Beth G.	acella, Beth G.	acella, Beth G.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

			<del>,</del>		·	1		
¥	V. Was any action  IV. Give IV. Datelaken by the the date range Comm. In response to the meeting							
ſ	V. Was any action taken by the Comm. In response to the meeting	-		See response of Frank Sparber	See response of Frank Sparber		Between I late Contested settlement Various dates 1997 and pending. Erron March (coffested the settlement 1999)	Between late December June 1, 1998 letter order 1997 and approxing the settlement June 1, 1998
1	IV. Date range						Between late December 1997 and March 1999	Between late December 1997 and June 1,
Ξ	IV. Give the date			10/2/2000	1/11/2001		Various dates	/arious dates
6	III. What was the subject matter(s) of the meeting			See response of Frank Sparber	See response of Frank Sparber		-	California ISO Grid Management Charge - Docket No. ER89-211-000, Hearing Settlement Conferences
4	II. List all that were present at the meeting			Ferr: CIG tech Yes conference RP00. See response of Frank Sparber 323	See response of Frank Sparber		ERC Trial Staff and Parties, Including inton Power Markeling, Inc.	Calfornia ISO Grid Cantomia ISO Grid Enror Fower Marketing, Inc. No. ER88-211-000, Hearing Settlement Conferences
Е	I. Who (a) Inititated the meeting			Ferc, CIG tech conference RP00- 325	FERC: Questar Yes RP00-397 tech conference	-	Commission Order, Various Parties to Yes the proceeding andfor FERC Trial Staff	Commission Order. Various Parties to Yes the proceeding and/or FERC Trial
		£	2	Yes	Yes	₽.	Yes	Yes
o	Office Division	DHAC	Assistant Director's Office	IRRM	IRRM	Division of Pipeline Certificates	Litigation	Litigation
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Мате	Office of Papsidero, Thomas F Energy Projects	Paquin, John R.	Parsons, Julle J.	Parsons, Julie J.	Patchan, Michele L.	Patterson, Linda M.	Patterson, Linda M.
П		1278	1279	1280	1281	1282	1283	
	1							

	Letter	
	15, 2002 L	
	5,	
	February	į
د. سعد	in me	
	۳.	•
	ŝ	•
	estion	•
	ᡒ	(
	0	
	4	ı
	Response to Question No. 3 in	•

From Senators Lieberman and Thompson

	¥	V. Was any action taken by the Comments (if any) to the meeting						
	7	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Between late Decembe Hearing: Commission 1997 and Opinion pending. October 1999	Betweeen Hearing, Committeson Various dates late June Hearing, Committeson 1599 and Opinion pending.	Settlement	On-going	Between md. December Proceeding terminated 2000 and with no resolution mid. with no resolution 2000	On-going with Phase I of the hearing to commence March 11, 2002.
	-	IV. Date range	Between late December 1997 and October 1999	Betweeen late June 1999 and May 2000	Between Late October 1999 and September 2000	Between January 2000 through present	Between mid- December 2000 and mid- January 2000	Between mid- August 2000 through present
2	Ξ	IV. Give the date	/arious dates	/arious dates	Between Lands Agricus dates 1999 and September 2000	/arious dates	Between mid- mid- December Various dates 2000 and mid- January 2000	/arious dates
	9	III. What was the subject matter(s) of the meeting	Non-rate terms and conditions of Participating Transmission Owner Tariff and treatment of distributed generation - Docket No. ER97, 2356-002, et al., Hearing Settlement Conferences	Pacific Gas and Electric Company's proposed transmission rates - Docket No. ER99-2326-0000, Hearing Settlement Conferences		California ISO Transmission Access Charge - Docket No. ER00-2019-000, Settlement Conference		California 'Refunds'' proceeding Docket No. EL00- 95-045, Pre-Hearing Conferences
	_	II. List all that were present at the meeting	FERC Trial Staff and Partes, including Emon Power Markeling, Inc.	FERO Trial Staff and Parties, including Enron Power Marketing, Inc.	FERC Trial Staff and Parties, including Enron Power Marketing, Inc.	Commission Order FERC Trial Staff and Parties, including Access Charge - Doctest No. Obled Judge - Enron Energy Services, Inc. Contenence Contenence	Commission Order, PERC Trial Staff and Parities, including Forward Contracts - Docket Chief Judge Erron Power Markeling, Inc. Conference	Commission Order FERC Trial Staff and Parties, including California Trefunds* Yes Administrative Law Emon Power Markeling and Emon Sprozeeding Docket No. ELOb. Judge Birchman Emergy Services, Inc. Conferences
L	נו	i. Who Office Division (a) inititated the	Commission Order, Various Parties to Yes the proceeding and/or FERC Trial Staff	Commission Order; Various Partles to Yes the proceeding andror FERC Trial Staff	Commission Order, Various Parties to Yes the proceeding and/or FERC Trial Staff	Cormission Order, Yes Chief Judge Wagner	Commission Order; Yes Chief Judge Wagner	Commission Order; Administrative Law Judge Birchman
٥	7	(a)				Yes	Yes	Yes
c	اد	Division	Litigation	Litigation	Litigation	Litigation	Litigation	Litigation
٥	۰	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
<	(	Name	Patterson, Linda M.	Patterson, Linda M.	Patterson, Linda M.	Patterson, Linda M.	Patterson, Linda M.	Patterson, Linda M.
		-	1285	1286	1287	1288	1289	1200

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

F							ı	
	×	IV. Give IV. Date taken by the the date range Comm. In response to the meeting						
	J	V. Was any action taken by the Comm. in response to the meeting	-			-		
	-	IV. Date range						
1	Η	IV. Give the date					,	
	9	III. What was the subject matter(s) of the meeting						
	4	II. List all that were present at the meeting						
	ш	Office Division (a) Initiated the meeting						
	۵	(a)	2	문	Š	ટ્ટ	Yes	۶
	ပ	Division	D2SI -PRO No	Office of Division of Dam Safety N Energy and Projects Inspections	Division of Dam Safety and Inspections (D2St)	DEER	bzsı	DZSI
	8	Office	Office of Energy Projects	Office of Energy Projects	Office of J Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy
	A	Name	Paulsen, Connie D.	Pawelek, Adam S.	Division of Orlice of Dam Safety Orlice of Dam Safety and Projects and Projects (ID2SI)	Pawtowski, Mark, A Energy Projects	Pazmino, John E	Office of Peacock, Robert T. Energy
		-	1291	1292	1293	1294	1295	
	_	J		, ,	· ·			

_	
Lette	
, 2002 L	1
5,	5
February	Erom Conston Lisharman and Thomason
in the	
No. 3	1 inh
Question !	Contactor
Response to Question No. 3 in the F	200
h-h-c	

4	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	No. Non-bhaing agreements in principle 2 days were gublished in ortholished CASISO and FERC webselses.
C D E F F G H	Give IV. Date taken by the date range Comm. In response to the meeting	
C D E F G	Give IV. Date date range	2 days
C D E F G	Give	
C D E	. å	
0	III. What was the subject matter(s) of the meeting	Issues affecting the system refeability of the ISO quid, as well as the procedures the ISO uses for scheduling, dispatchings nd billing.
0	II. List all that were present at the meeting	From Enror. Dave Perino, G. Alem Commes and Ray Aberez. in addition, representables from site in addition, representables from site in addition, agencies and from all of the major and mittor generalizes were present at the two day meeting. The following from the property addition and of the major and hondusia very energies Raident Thomas, Railant, Tan Strast, Stoke Hurman, Marart, Lan Strast, Stoke Hurman, Marart, Lan Strast, Stoke Hurman, Marart, Lan Strast, Stoke Stoke Chapalin Electricity Coveraght Bourt, Roggie Howard, Railant, Zad Abayano, CASOS Elam Thurston PGAE. Roy Kuga PGAE, Claudia PGAE, Roy Kuga PGAE, Claudia Rose Chapalin effect for Coveraght Bean CRES, Londia Perin CRES, Tim Walker, Williams, Joel Nowton, Drongy Doug Parker, SCE, Broint Dayan, COWN; Bull Gen, CRES, Londia Perin CRES, Tim Mallarns, Vice Remaria CARSO, Claudi Porter, Stoke Granding, Cound Porter, Stoke Granding, Cound Porter, Stoke Granding, Cound CARSO, Tobrit Jaimer, CARSO, Cond CARSO, Tobrit Jaimer, CARSO, Cond CARSO, Cande CARSO, Cande CARSO, Cande Indexion, CARSO, Cond Indexion, CARSO, Cond In cell bellow)
0	Office Division (a) Intitated the meeting	FFPC staff and the California (SO staff
$\vdash$	(a)	Yes
	vision	Market Oversignt and Enforceme III
	ā	Office of General Counsel
A	Office Di	2) Dente
	Name Office Di	Pease, Robert E. (Line 1 of 2)

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action IV. Date taken by the range Comm. in response to the meeting			Settlement supported by all parties
ſ	W. Was any action W. Give IV. Date taken by the the date range Comm. in response to the meeting		None	When a settlement was reached and filed, the Commission approved the settlement by order issued April 16, 1999
-	IV. Date range			Various dates between May 1998 and April
I	IV. Give the date			
9	III. What was the subject matter(s) of the meeting		Company Fleld Audit at Northern's offices in Omaha, Nebraska in Docket No. RP98 203	Settlement Negotlations
ц	II. List all that were present at the meeting	Contril) Dannis Patens, CAISO, Jinn McChair, CAISO, Brenn DeVilolies, McChair CAISO, Brenn DeVilolies, Sibiey, CAISO, Tohn Gooding, CAISO, Tohn Gooding, CAISO, Tohn Gooding, CAISO, Tohn McChair CAISO, The Wildman, CAISO, Beth McChairlen, CAISO, Tetr. Vindens, Wagung Level, CAISO, Tetr. Vindens, CAISO, CAISO, Tetr. Vindens, CAISO, Tetrans,	FERC Litigation Staff and Northern Natural Personnel	All parties in Docket No. RP-203 including FERC Staff and Northem Natural
ш	Office Division (a) inititated the meeting		Ye FERC Staff	Publicly Noticed Y Meetings, FERC Staff
0	(e)		Ye	>
O	Division		Division of Litigation	Division of Litigation
В	Office	Office of General Counsel	Office of Pipeline Regulati on	Office of Pipeline Regutati on
A	Name	Pease, Robert E. (Line 2 of 2)	Pederson, James A	Pederson, James A
		1298	1299	
$\vdash$				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V. Was any action IV. Date (laken by the range Comm. In response to the meeting		Settlement supported by all parties		Settlement supported by all parties		Sattlement supported by all parties	
	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	None	When a settlement was reached and filed, the Commission approved the settlement by order issued September 24, 1997	None	When a settlement was reached and filed, the Commission approved the settlement by order issued April 16, 1999	Nane	When a settlement was reached and filed, the Commission approved the settlement by order issued September 24, 1997	
-	IV. Date		Various dates between October 1996 and August 1997		Various dates between May 1998 and April 1999	malimanapapapapa	Various dates between October 1996 and August 1997	
١,	IV. Give the date							
	III. What was the subject matter(s) of the meeting	Company Fleid Audit at Florida's offices in Docket Nos. RP96-366-000 and FA94-15-002	Settlement Negotiations	Company Field Audit at Northern's offices in Omaha, Nebraska in Docket No. RP98 203	Settlement Negotiations	Company Field Audit at Florida's offices in Docket Nos. RP96-386-000 and FA94-15-002	Settlement Negotiations	
3	II. List all that were present at the meeting	FERC Litigation Staff and Florida Gas Florida's offices in Docket Personnel Nos. RP969-369-000 and FA04-15-002	A) zarties in Docket Wee, RP98-366 and FA94-15 including FERC Staff and Settlement Negotlations Florida Gae	FERC Litigation Staff and Northern Natural Personnel	All parties in Docket No. RP-203 Including FERC Staff and Northern Natural	FERC Litigation Staff and Florida Gas Fordis offices in Docket Personnel Fersonnel FA94-15-002	M parties in Docket Nos. RP96.366 and 7494 fs incuding FERO Staff and Settlement Negotiations Florida Gas	
3	the	Y FERC Staff	Publiciy Noficed Meetings, FERC Staff	FERC Staff	Publicly Noticed Y Meetings, FERC Staff	Y FERC Staff	Publicly Noticed Y Meetings, FERC Staff	
6	(a)	>	>	۶	>	,	· · ·	8
	Division	Division of Liftgation	Division of Liftgation	Division of Litigation	Division of Litigation	Division of Liftigation	Division of Liftgation	Brm .
a	Office	Office of Pipeline Regutati on	Office of Pipeline Regulati on	Office of Pipeline Regulati on	Office of Pipeline Regulati on	Office of Pipeline Regulati on	Office of Pipeline Regulati on	Office of Markets, Tamiffs, and Rates
Φ	Name	Pederson, James A	Pederson, James A	Pederson, James A	Pederson, James A	Pederson, James A	Pederson, James A	Pederson, Jerome
	1	1301	1302	1303	1304	1305	1306	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

I don't have specific dates of settlement conflorences, who was present at any particular conference, who are the topic of discussion was at any particular conference, or the ductone. Erron did not seatle with AEP and participated in cross-seatle which AEP and participated in cross-Enron Marketing was an actiive party in this case V. Was any action

IV. Give IV. Date laken by the
the date range Comm. in response
to the meeting to the meeting Some items discussed resulted in follow-up actions or actions or receipt of submitted items. Commission Approved the merger various meetings 1 Annually 1 1997 through 2001 Gernal discussions regarding FERc policy and requirements, and annual submittals. Ancillary Services Settlement offer III. What was the subject matter(s) of the meeting II. List all that were present at the meeting Various representatives of PGE's Hydro power group arties to Docket No. EC98-40 dost active parties in the case I. Who Inititated the meeting Parties in the AEP/CSW men proceeding Yes Steve Angle Yes FERC Yes Division (a) ž 8 S General and Administrati ve Law WEST GROUP 3 Administrati ve Litigation Litigation Office of Dam Safety Energy and Projects Inspections Solicitor tim. Office of General Counsel Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates derson, Jerome nkrot, David R. pper, James A epper, James A erez, Edward J. Name erry, Rense Perry, Lona 1313 1308 1309 1311

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A B C	-	O	1	٥	В	ш	5		F		3
Name Office Division (a) Intitated the it the meeting at the meeting					N. Listall at the me	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	VI. Other Comments (if any)
Office of Markets. Persaud, Phylecia E. Tarriffs, DACT No. Rates Rates	Office of Markets. Tarriffs, DACT and Rates	DACT	S.								
Office of Orimis Commission   Donna Fulton Robert Fulton   Donna Fulton Robert Fulton   Paters, and Lastle Peters   Massey   Mass					Donna Fulton, R Peters, and Lesl	Donna Fulton, Robert Fulton, Philip D. Peters, and Leslie Peters	social engagement			No.	Social engagement at the home of Donna Fulton consisting of Informal evening meal.
Office of Commissio Yes INGAA or FEBA Nancy Bagot, D Peters, Philip D. Signore ner Massey And Commission (Nassey Massey Massey (National Nassey)					Nancy Bagot, D	onna Fulton, Philip D.	Nancy Bagot, Donna Fulten, Philip D. Informal social discussion Peters		May 30, 1993- February 27, 2002	S.	Contact consisting of informal social discussions, was made with these two modifications in the context of widely attended meetings such as INGAA or FEBA or after Commission meetings.
Office of Markes. Petrocelli, Robert J. Tariffe, Rates West Yes INGA Widely attended and Rates	Rates West Yes INGA	Rates West Yes INGA			Widely attended	Widely attended public conference	industry issues	12/5/1998		none	I had no direct contact with any Enron staff at the conference. However, I may have met or have been introduced to someone I do not remember
Petrocelli, Robert J Taniffe, Rales West and Contrision Ratual Cas Co, with st and Cas	Commission Commission established conference	Commission Commission established conference	Commission established conference		Mary K Miller V Natural Cas Co Commission st attended public	Mary K Miller VP Rates Northern Naturel Case Co., with staff and Commission staff as well as widely attended public parties	Northern 's Limited Firm Service proposal	7/20/2000		approved proposal subject to conditions	
Office of Markets, Petrocell, Robert J Tariffs, Rates West conference staff and technical sign and electrical sign and electrical sign and sign and electrical sign and sign and electrical sign and electrica	Commission Rates West established Conference	Commission Rates West established Conference	Commission established conference		Mary K Miller VP Pipeline Co. Fer staff and technic	rates Transwestern c Staff including OGC al staff	Mary K Miller VP rates Transweatern Transweatern's proposal to Ppeline Co. Ferc Staff including OGC buy upstream capacity on staff and technical staff	6/20/2000		Commission issued an order approving the proposal to add capacity to the interstate grid.	all parties attended and there was no off record contacts

5, 2002 Letter	5
ιζ	2
February 15,	and Thompson
in the	harman
ö	٥.
Response to Question No. 3	From Senators Lieberman and I

m Senators Lieberman and Thompsor

_				<del></del>	
7	VI. Other Comments (if any)	as group manager i receive routine calls from vacuous companies from time to time lettler asking procedural questions or checking on the status of their fillings. I have not laiked to any Error official on any error official on any error official on support of their fillings. I have not laiked to any Error official on support of their fillings. I have not talked to any error officials on support and their fillings of commission actions. In fact a clausity do not enemerhor any discussion with Error officials on any asse other than with Many K Millier on Northern Natural's Carlton. Residuation case, and that was procedural question about directive dates for small automatic and support and automatical carlton.	There was also a public lectrical conference established in this proceeding that was widely attended by all bartles and Commission staff. I do not think i was present but may have been.	The Northern Natural Carton Resolution proceeding was a long and cooked proceeding that involved many public technical conferences, and pre-filing meetings over several years. The last conference was June 25 2001, and the Commission's final order was dated November 2001.	
-	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	none	fall of 2000 Commission rejected proposal	Commission approved the set settlement tut modified it. Commission approved modified it. Commission proposed modification, it was an unoposed modification, it was an encoposed modification in the encoposed modi	Company withdrew filing
-	IV. Date range	fall of 2000 through current	fall of 200C	1995-2000	10/01/00 and 10/12/00
-   -	IV. Give the date			5/25/1995	10/1/2000
0	III. What was the subject matter(s) of the meeting	various incedental phone calls to set up meelings or check on status of compliance fillings	pre-filing meeting to explain the Transwestern proposed option service	Several prefiling meatings on Northern hatural's proposed Settlement in Its Canton Min- resolution of Its Canton Min- rated several limits and each lime stame into explain the films are also several commission orders there ware public setablished each interior stabilished each interior	Portland General's Balanceing charge proposal set for technical conference by the Commission
ш	II. List all that were present at the meeting	various Enron staff (Mary K Miller or her staff)	Office of Mary K Miller, VP Rates Transvestern Casa and the shall. My superior Michael Transfes Vest Yes Pipeline Commission technical staff Commission technical staff	Mary K. Miller, VP. rates Northern Metural Gas Co. and her staff as well as even formmission staff including my boss. Public conference were widery attended.	Matt Estes, AW Turner Mick Farrell Joe Taylor, and Commission staff, including OGC attorney and technical staff
Е	Office Division (a) Inititated the meeting	Northern Natural Gas Co.	Transwestern Gas Pipeline	Сотрапу	Matt Estes, Atty. for Portland General
	(a)		Yes	Yes	t Yes
O	Division	Office of Markets Tamife, Rates West and Rates	Rates Wes	Office of Markets. Tariffs, Rates West Yes Company Rates	Office of Mark Estes, A Mark Estes, A Markets. Rarfifs, Rates West Yos for Portland and General Rates
В	Office	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Petrocelli, Robert J	Petrocelli. Robert J	Petrocelli, Robert J	Petrocelli, Robert J
Γ	-	1321	1322	1323	1324
_	·				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

У1	V. Was any action V. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting	W. Estes wanted to know how to file added information (officially and with a courtes), copy to whom that has been requesible by part if a deficiently later dated in December 2013 and by wanted to know if the sclinn date was revised as a result of the filing of added data. It was.	I believe there was also a pre-filing meeting. While 1 cot ort cera's a fact or specifics is is. Whorthen Natural's practice to come for a pre-filing meeting on rever that schedule filings to archain the service proposed. That meeting would have been attended by me and my superior Michael Coleman, Director. Rease West. I did not attend any pre-filing meetings ever with out my superiors present.		I had no direct centact with any Erron staff other hand no maybe laten at various public conferences to their steed positions. All perfets file subsequent public comments on the record in all of these proceedings.	
	IV. Was any action IV. Give IV. Dato taken by the the date range Comm. In response to the meeting	Order draffed proposing to reject filing is scheduled for the next agenda	Commission issued an order conditionally accepting subject to modifications	Commission approved eventual settlement by the parties	various	
-	IV. Date			1992	1992-	
ŀ	IV. Give	2/12/2001	7/20/2000			
	III. What was the subject matter(s) of the meeting	procedures on new filing of balancing charge	Northem proposed a new Volumerific rate schedule	Northern Natural Gas Company Rate Increase fifing and its compliance filing with Order No. 636	I have allended many Commission established public technical conferences in various proceeding in various proceeding flowshing other pipeline companies such as El Pago Natural Gas Co where Euron intervened and attended.	
	II. List all that were present at the meeting		widy attended qualic conference, including largy K Willer VF acts for Yorkhein Natura and her saft. Also Commission saff from OGC and OMTR	Northen Natural Gas Northen Natural Gas Northen Natural Gas Regulatory affairs; and their staffs And 18 compleance liting with Order Na. 536	Representitives for Intervening parties in various non-Erron dockets.	
11	Office Division (a) inititated the meeting	Pfiles of Alles West Yes Company Attorney Matt Estes, attorney and and Rates	Commission	Staff audit	Commission established conference	·
2	(a)	Yes		Yes	Yes	Š
	Division	Rates West	Office of Markets, Tarrife, Rates West and Rates	Office of Markets, Tarriffs, Rates West Yes Steff audit and Rates	Millies of Commission darkets. Tariffs, Rates West Yes estubished and and Faces	Pipeline Rates - East
a	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
٧	Name	Petrocelli, Robert J	Petrocelli, Robert J	Petrocelli, Robert J.	Petracelli, Robert J.	Peuser, John C.
	-	325	326	327	1328	000

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		ħ.	# >	8	I	je	· · · · ·
Υ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Neetings would include settlement meetings. If Thin mot windig, 1 also book is 1995-1996 agreement was approved field with 0 the Commission and average CD-miles.	meetings would include settlement in meetings would include settlement to make to a solie visit or audit to the Company's office's (7/28-3/199). My responsibility in this case was gas supply	meetings would include settlement stipulation and agreement meetings. I also went on a site visit or aust, to the Compass offices (I don't know the stipulation to the compassion in this proceeding was depreciation.		Refer to DZSI-PRO August 13, 1983 Special Operation Report.	Enron affiliates likely a party to the proceeding
ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	A stipulation and agreement was approved by the Commission	stipulation and agreement 1998-1999 was approved by the Commission	slipulation and agreemen slipulation and agreemen Commission		Sile inpsection was conducted on 7-31-1996.	71 FERC 61253
-	V. Date range	1995-1996	1998-1999	1999-2000			10/30/92 through 12/30/93
-	IV. Give the date						
9	III. What was the subject matter(s) of the meeting	Rate case for Northern Natural Gas Company, RP95- 185, my responsibility was depreciation and avg. CD- miles	rate case for Northern Natural Gas Company, RP98-203	rate case for Northern Border Pipeline Company, RP99-322		t called on the telephone to schedule a 7.31.1988 site inspection of a leaky penstock at the Bull Run Project, FERC No. 477.	Settlement conferences in Northwest Pipeline Corp's Docket No. R/P93-5
ш	II. List all that were present at the meeting	FERC Staff, Northern Natural (wrt RP95-185), intervenors	Staff, Northern Natural (wrt RP98-203), rate case for Northern Natural intervenors	Staff, Northern Border (wrt RP99-322), Tate case for Northern Border intervenors Pipeline Company, RP99-3-32		Earl Curtis or Don Miller of Portland General Electric	Unknown
ш	Office Division (a) inititated the meeting	Litigation Yes FERC Staff or Northern Natural	Litigation Yes Staff or Northern Natural	Staff or Northern Border representatives		не	Опкложи
	(a)	Yes	Yes	Yes	No	Yes me	
0	Division			Staff or Litigation Yes Border represes	Office of Software Informati Engineerin No on Officer	Division of Dam Safety and Inspections - Portland R.O.	Office of Warkets, Tarriffs, Rates; East and Rates
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer	Office of Energy Projects	Office of Markets, Tarriffs, and Rates
A	Name	Pewlerbaugh, Kevin,	Pewterbaugh, Kevin,	Office of Markets Markets Markets Pewterbaugh, Kevin, Tarriffs, and Rates	Pierce, Bolton S	Pierce, Gerald A.	Plerce, H. Keith
Γ	-	1330	1331	1332	1333	1334	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	х	VI. Other Comments (if any)	Trunkline RSB2-25 lechnical conference. Enron affiliates Rikely were parties to the proceeding	Enron affliates thely parties to the proceeding	Erron afflatos likely parties to the proceeding	Erron affiliates likely parties to the proceeding	Erron affiliates likely partles to the proceeding	Erron affiliates likely parties to the proceeding
		V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	, 84 FERC 61141	64 FERC 61141	61 FERC 61190	66 FERC 61309	97 FERC 61316	98 FERC 61060
u.	-	IV. Date range	·		1/1/92-	1/1/92 - 7/1/93		
эдшо	I	IV. Give the date	1/221/93	10/8/1992			12/9/2000	12/12/2000
perman and In	9	III. What was the subject matter(s) of the meeting	Order No. 636 restructuring	Trunkline RS92-25 Order No. 636 restructuring proceeding	Questar RP91-140 settlement conferences	Northwest Rp91-166 settlement conferences	Dominion Inter, Transmission RP00-344	East Tennessee RP00-469 Order No. 637 proceeding
From Senators Lieberman and I nompson	L.	if. List all that were present at the meeting	инкломп	ивктомп	илктомп	ижпомп	илкломп	игкламп
	w	i. Who Office Division (a) inititated the	Commissión directed	Commission Directed	unknown	unknoพก	Commission directed	Connission Directed
	_	(a)						
	O	Division	Office of Markels. Tarriffs, Rates:East and Rates	Office of Markets, Tariffs, Rates;East and Rates	Office of Markets. Tarriffs, Rates:East and Rates	Office of Markets, Tarriffs, Rates:Esst and Rates	Office of Markets, Tarriffs, Rates:East and Rates	Office of Markets, Tantiffs, Rates:East and Rates
	В	Office	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffa, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Nаme	Plerce, H. Keith	Plerce, H. Kelth	Pierce, H. Keiffn	Pierce, H. Kelith	Pierce, H. Keith	Pierce, H. Keilth
		-	1336	1337	1338	1339	1340	1341
- 1								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	any)	the	the	the	. the	the	
¥	V. Was any action     V. Date taken by the range Comm. in response to the meeting	Enron affliates (kely parties to the proceeding	Erron affiliates likely parties to the proceedings	Erron affiliates likely parties to the proceedings	Enron affiliates likely parties to the proceedings	Enron affiliates fikely parties to the proceeding	
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting		numerous orders	numerous orders	numerous orders	unknown	
F	IV. Date range		3/6/85 (hrough 3/10/95				
I	IV. Give the date	12/7/2000		4/6/1995	6/1/1995	2/22/1996	
9	III. What was the subject matter(s) of the meeting	Transcontinental Pipe Line RP00-481 Order No. 637 Proceeding	Tennessee's RP95-112, et al	Tennessee RP95-112, et al	Tennessee RP95-112, et al	East Tennessee CP95-116 system expansion in context of Order No. 636 compliance	
4	II. List all that were present at the meeting	илкаомп	นทิสเดษเก	ипкломп	иткпомп	чектомп	
ш	the	Commission Direceted	Commission Directed	Granmissian directed	Cammission Directed	иякаомп	
6	(a)						S.
0	Division	Office of Markets, Tarriffs, Rates:East and Rates	Office of Markets, Tarrilfs, Rates:East and Rates	Office of Markets, Tarriffs, Rates:East and Rates	Office of Markets, Tarriffs, Rates,East and Rates	Office of Markets, Tarriffs, Rates:East Rates	Office of the Secretary
8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Secretar
¥	Name	Plerce, H. Keith	Pierce, H. Keith	Pierce, H. Keith	Pierce, H. Kelth	Pierce, H. Keith	Plata, Frank J.
	-	1342	1343	1344	1345	1346	

Response to Question No. 3 เค้าใก้e February 15, 2002 Letter From Senators Lieberman and Thompson

Name Office Division (a) Initiated the at the meding the date range the meding the median of Vicente to the date range the date range the meding the median of Vicente to the date range the range the date range the date range the date range the range t				ysłs, me to			
Mame Office Division (a) Initiated the at the meeting the meeting the meeting at the meeting at the meeting to fine of the meeting to the meeting t	\ \ \	I. Other Comments (if any		onna Martens, Sr. Rogulatory Ana 102) 398-7138 with Northern called onfirm that I had received the applemental information.			
Mame Office Division (a) Initiated the at the meeting the meeting the meeting at the meeting the meeti	ſ	action sponse	After 30 days the Petition was considered to be withdrawn.			Additional information from the company would result in a Commission Order, either granting or denying the request.	No. EOTT withdraw its
Mame Office Division (a) Initiated the at the meeting the meeting the meeting at the meeting the meeti	-	IV. Date range	1/2/2001-		4/26/01-	No specific record.	
Name Office Division (a) It Who It List all that were present at the meeting at the meeting at the meeting at the meeting meeting at the meeting at the meeting at the meeting at the meeting meeting humb. Daniel W. Fingleds Projects Projects Plumb. Daniel W. Daniel W. Plumb Perior Company or myself.  Plumb. Daniel W. Emergy Office of Projects DPC Yes Daniel W. Plumb Cent & Reporting, Northern Projects DPC Yes Company or Myself.  Polyects Daniel W. Emergy DPC Yes Company or Myself.  Polyects Daniel W. Emergy Confect of Yes Company or myself.  Polyects Daniel W. Emergy PPC Yes Company or Myself.  Polyects December Service Company or myself.  Polyects Daniel W. Emergy Washers Service Company or myself.  Polyects Daniel W. Emergy Washers Service Service Properties. Polyected Service S	ľ	IV. Give the date					12/14/2000
Name Office Division (a) I. Who Plumb, Daniel W. Energy Plumb, Daniel W. Energy Plumb, Daniel W. Energy Plumb, Daniel W. Energy Projects Plumb, Daniel W. Energy Projects Projects Projects Projects Projects DPC Yes Daniel W. Plumb	9	II. What was the subject matter(s) of the meeting	Docket No. CP01-89-000 & P01-100-000. An e-mail equesting the status of a equesting the status of a withdrawal of Western Gas Resources-Texas, Inc.'s settlion for Declaratory Order on Docket No. CP01-100-000.	vorthern was asked to xaplain in greater detail the econfiguration of facilities to e abandoned (The Mitchell o-Gomez Lateral), (The Somez Compressor Station Init No. 5) and (The Reed State Lateral).	A request for additional information in Docket No. 3P01-89-000.	Decasional Communication with no specific record on CP Docker related matters, ie: ase status and data equests.	settlement of EOTT's
Name Office Division  Plumb, Daniel W. Energy Projects Plumb, Daniel W. Energy Projects Projects Plumb, Daniel W. Energy Projects Plumb, Daniel W. Energy Projects Pr	ı	were present			Keith L. Petersen, (402) 398-7421, Dir. A Cert. & Reporting, Northern		Jerry E. Rothrock - Concco Pipe Line, Inc. Charles Caldwelf (by phone) - EOTT Energy Operating, LP Marc. Senkingen - Staff Trial Attorney Partick.
Name Office Division  Name Office Division  Projects Plumb, Daniel W. Energy Projects Plumb, Daniel W. Energy Projects Plumb, Daniel W. Energy Projects Proj	ш	. Who inititated the meeting		Daniel W. Plumb		An Enron Sompany or nyself.	Conoco Pipe Line,
Name Office Division  Plumb, Daniel W. Energy DPR Projects Plumb, Daniel W. Greecy Plumb, Daniel W. Greecy Plumb, Daniel W. Greecy Plumb, Daniel W. Greecy Projects Polmb, Daniel W. Greecy Projects Polmes of Projects Pro	Ω	(e)	Yes	Yes	Yes	Yes	Yes
Name Name Plumb, Daniel W. Flumb, Daniel	O	Division			DPC	DPC	Littgation
Name  Name  Plumb, Daniel W.	В	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs,
1350 1348	A	Name	Plumb, Daniel W.	Plumb, Daniel W.	Plumb, Daniel W.	Plumb, Daniel W.	offenberger, Daniel,
		-	1348	1349	1350	1351	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	W. Give IV. Date taken by the the date range (comm. in response to the meeting						
	W. Was any action W. Give IV. Date taken by the the date range Comm. in response	QV.	No.	No. The case settled.	No. The RMR rates were settled.	The Commission set the matter for hearing.	No.
[	IV. Date	August 1, 2001 - September 6, 2001			June 29, 1998-July 1, 1998	December 2000- January 2001	
	IV. Give		11/19/2001	11/9/1999			
	G. III. What was the subject matter(s) of the meeting	The development of a factual record or whether there may have been unjusts and unreasonable changes for spot market better also the reading volumes as also in the Pacific Northwest for the poetiod beginning Desember 25, 2000 through June 20, 2001.	To discuss a procedural schedule for Docket No. ELOO 95-045 and whether the parties can reach agreement on a Sippulation of Issues for the hearing.	To defermine procedural dates for testimony and hearing.	To present Trial Staff's initial settlement position on FAR-rates and discuss other solutions to settling the RMR proceeding.	The possibility of utilities in addronts entering into forward contracts as a fledge against spot market prices.	Request for copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife
	II. List all that were present at the meeting	Approximately 65 parties were represented at the meetings.	About 24 partles, including Erron.	All intervenors (about 12) in Pacific Gas & Electric Company, Docket No. ER89-4323-000.	Approximately 20 parties, including Enron, in California Reliability Must Run in Docket Nos. ER98-441-000, et al.	Approximately 75 parties were present during the settlement discussions in Docket No. PL01-2.	Kelth L. Peterson or his secretary
1	Office Division (a) Initiated the meeting	The Commission's Yes July 25, 2001 Order	Litigation Yes Birchman	Litigation Yes NLJ Joseph R. Nacy	Yes FERC Trial Staff	Litgation   Yes The Commission	Yes Juan I. Polit
-	(a)		Yes	Yes	Yes	Yes	Yes
,	Division	Litigation			Litigation		Environme ntal and Engineerin g Revlew
c	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tamiffs, and Rates	Office of Energy Projects
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Мате	Poffenberger, Daniel,	Poffenberger, Danlel,	Poffenberger, Daniel,	Poffenberger, Daniel,	Poffenberger, Daniel.	Polit, Juan I
L	-	1353	1354	1355	1356	1357	1358

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	~	V. Was any action IV. Date taken by the raponse Order. In response to the meeting						No comfact with Erron .
-	ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	- Q	None	Settlement filed on April 16, 1999 supported by all parties, with a FERC order issued on June 18,	DV.		
-	-	V. Date range		May 17-19 None 2000	Between May 1998 and April 1999	July 27-31, No		
-	F	IV. Give the date						
	·9	III. What was the subject matter(s) of the meeting	Can't find requested copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service.	Annual Workshop	Settlement conferences to resolve cost of service and rate design issues	Company field audit in conjunction with rate case fifing in Docket No. RP98-203- 000		
1		II. List all that were present at the meeting	Juan I. Polit	Various INGAA member-company representatives and FERC Staff	FERC Staff in All parties to the proceeding, including Settlement conferences to Dochet No. RP98. Northern Natural and FERC Staff rate design issues	FERC Staff and Northern Natural personnel		
-	١	1. Who Office Division (a) Inititated the	Yes Keith L. Peterson or his secretary	interstate Natural Yes Gas Assoc. (INGAA)	FERC Staff in Docket No. RP98- 203-000	FERC Staff in Yes Docket No. RP98- 203-000		
	5	(a)	Yes	Yes	Yes	Yes	Š	Š
(	د	Division	Environme ntal and Englneerin g Review	Rates - West	Rates - West	Rates - West	Office of Dam Safety Energy and Projects Inspections	DPIC
	۵	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates
V	A	Мате	Polit, Juan I.	Poltonals, Susan G.	Pollonais, Susan G.	Pollonais, Susan G.	Pool, Randal G.	Poole, Allan Bruce
			1359	1360	1361	1362	1363	
_	_							

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	O	٥	Ш	H 9	9	- =		7	¥	
-	Name	Office	Division	(a)	I. Who Office Division (a) intittated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action tracken by the range Comm. In response to the meeting	
	Poole, Marc. F. (Line 1 of 2)	Office of Markets, Tariffs, Ta	Office of Division of Markets, Tariffs and Tariffs, Rates - and Central Rates	, ves	conversation.	The conversation was with a gas accounting analyse of Nuthian Mikutal Gas company (Northern Mikutal Gas company) (Northern Mikutal) with the sine of the Error organiser is not at emember the pissons fame and 1 did not make a log of what was discussed. I do, nowestation, conversation.	was seeking palinication of a secondarion of a secondarion data and where the again accounting the again and a secondarion as and of its accounting breakdown and exchanged to the Kanasa as discionaria to end to the administration of the Kanasa as discionaria to enhance and and a secondarion of the Kanasa as discionaria to enhance and a secondarion of the Kanasa and action to the Commissions 9/10/97 and a secondaria to the commissions 9/10/97 and a secondaria to the commissions of the confidence of the produced in Docked No. Commission issued in the confidence of a second decident of the secondaria of the applicable maximum is with a membraneous secondaria of the applicable maximum is with a secondaria of the secondaria of 1976 (MSPA). (Confid in cell below)		1998 or 1998 or	directly as a result of my dispose as the second of the se	As I remember it, my phone conversation was short and Northm Natura's accounting state and the properties of the Control of the properties	· · · · · · · · · · · · · · · · · · ·

- 297

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

IV. Give IV. Date taken by the the date range Comm. in response to the meeting (Conttl) Since I am the only one eff at the only one eff at the Commission has known from the necessary Now runt he necessary or motherores. I was a completions, in case the settlement efforts after the settlement efforts and the Commission had four in one to dollain a cloud one of the content on the to dollain a cloud one of the content ment of the content ment of the content amount owed by one or amount owed by one or (Contd) The Commission's survey returned order detected the affected producers to make those enturies for the period from Coches (1892). The pipelines the first sept of the commission of the period from Coches (1892) and the first sept of the pipelines was that they had to submit their Kansas and the first sept outsomes. But, the first sept outsomes and the commission as accounting the commission as accounting the commission as a sometimes needed to be durined as to bust what a given line entry was for, the more my phone call for Northern Maturn's gas concenting a coche of the commission of a condinide as to bust what a given line entry was for. Northern Maturn's gas concenting and commission of the commi III. What was the subject matter(s) of the meeting II. List all that were present at the meeting I. Who Inftitated the meeting Š ž ŝ Division DLM D2SI the Executiv Office of Markets, Tarriffs, and Rates Office Secretar Poole, Marc, F. (Line 1 of 2) ıglese, Peter J. wers, Annette Name sey, Philis J. 1369 1366 1368 1367

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		-		I							
	¥	a	5	2	ш	ь	9	Ŧ	-	7	Х
	Name	Office	Division	(g)	1. Who Office Division (a) inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
1370	Purdy, Jerrilynne	Office of the Executiv e Director	Strategiy and Performanc e Staff	Yes					10/11/199 6 to 09/24/199		was a technical advisor to Jim Hoecker thoring the firme. Part of imy job was attending courtees y visits from various admits. It is possible that 1 attended a courteey visit with Erron employees. However, I do not recall any specific meetings or conversations nor do I have mole firm that time.
1371	Purdy, Jerrilynne	Office of the Executiv e Director	Strategy and Performanc e Staff	Yes		Many Commission employees	Enron presented information about its online trading activities		01/01/200 0 to 12/31/200 0		Some time during 2000, I attended a brofiling by Enron about its on line trading activites. The meeting was widely attended by Commission employees.
1372	Purdy, Jerrilynne	Office of the Executiv e Director	Strategy and Performanc e staff						12/30/199 4 to 10/10/199 6		worked in the Office of Electric Rass (OEPR) during the above time, processing the control of the control of the control of the required that it speak, dentally by priors, to recombine to clarify the control in their fillings. It is possible that it spoke with an fillings it is possible that it spoke with an of the control of the control of the control of the do not need in specific conversations for but have notes from that time.
1373	Pursiey, Thomas A.	Office of General Counsel	0 11		Yes I do not know	l do not recall who was there or how many persons attended.	Enron made presentation discussing its plans to form a transco.	4/10/1999		None was necessary - I do not know if there was any action taken in response to this discussion.	None
1374	Pursley, Thomas A.	Office of General Counsel	Market Office of Oversight General and Counsel Enforceme	Yes	Yes I do not know.	I do not recall who was there or how many persons attended.	Enron and Southern Company gave a demonstration of how e- tagging worked.	2/25/2000		None was necessary or taken to my knowledge.	None

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting	·				
	ľ	N. Give IV. Date taken by the the date range Comm. in response to the meeting	Follow up to administrative issues administrative issues trained in a public forum: Topics included Public Reference Room prifier down-timer, CIPS 30 professional professiona				
	-	IV. Date range					
	Τ	IV. Give the date	4/2/1996				
manian and	9	III. What was the subject matter(s) of the meeting	Public Reference Room User	,			
	F	II. List all that were present at the meeting	William G. McDermott, Kathy Quijade, Aree Miller, Wartin, Cymribal Keinst, Jim Octanot, Ann Martin, Bill Wysten, Cutter Chappel, Berl ir Tydor, Wilsten Cosgarve, Jeffrey Lowine, Walter Dandras, Pel Refor, Kathy Margedon, Kitsten Sweaney, Stecy Vargecko				·
	E	Office Division (a) Inititated the	William G. McDermott				
	۵	(a)	se),	2	Š	2	2
	C	Division	Office of Knowledge the Chief and information on Manageme Officer nt Group	Office of Manageme in the control of the control of Payroll Onector Support	Office of Markets, Division of Tarriffs, Tariffs and No and Rates-West	Regulatory Audits	OCIO
-	В	Offlice	Office of the Chief Informati on Officer	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates	Office of the 1 Executiv e Director	Office of the Chief Informati on Officer
	Α	Name	Obijada-Cusack, Kat	Quinn, Joeller G.	Radway, Peter	Office of the Raimonde, Heather, Il Executiv e Director	Ramos, Erica D
		-	0 0	1376	1377	1378	
1	_						-4.5

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

27.1

·	nts (if any)		erns with the onitoring and s. As a result, aff with an oper monitoring on J		And the second s			
7	VI. Other Commer		Blefing raised my concerns with the adequacy of EERC's monthoring and adequacy of EERC's monthoring and special lines. As a nesult, I spanning the EERC Staff with an open monor critical of EERC monthoring on June 2, 2000.	- Control of the Cont				
	IV. Give IV. Date taken by the the date range Comm. in response to the meeting		I believe there was some followup by OGC. Enforcement staff though I am unaware of any specific products.			· · ·		October Phone calls were 2000 to documented in incident 2001
	IV. Date range	-						October 2000 to December 2001
-	IV. Give the date		5/17/2000					
	III. What was the subject matter(s) of the meeting		Presentation of study results Transmission Accoss Problems and Polential Solutions, "7 sildes on evidence of transmission system abuses that power marketers and generators were concerned about.	description of the control of the co	·			incidents related to public safety or environmental compliance at PGE hydro projects
4	II. List all that were present at the meeting		For Americane for Affordable Energy Richard Tabors of Hericad (T) Discoss Dates represented Affordable For Space (Tabors, Crantamin AAE (Tabors, Crantamin (T) From ERC, Staff (Disc, O'Neel Bill Roder Mark Eller Schall (T) Plus E-10 Roder Mark Eller Schall (T) Plus E-10 other FERC, Staff					Pat Regan (FERC)
Ш	Office Division (a) Intiffated the meeting		Richard Tabors of Tabors, Caramanis					Yes Bob Steele (PGE) Pat Regan (FERC)
9	(3)	§ .	Yes	Š	ž	Ş	S.	
0	Division	Office of Policy Markets, Innovation and Communic Rates ation	Energy Markets	Registry	Division of Tariffs and Rates - West	DIVISION OF FINANCIAL SERVICES	OCA Division of Regulatory No Accounting Policy	Office of Dam Safety Energy and Projects Inspections
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Secretar y	Office of Markets, Terriffs, and Rates	Office of the Executiv e Director	Office of the Executiv e Director	Office of Energy Projects
A	Name	Randall, Kirk F	Rattey, Ronald L.	Raynor, Brenda	Reeder, Charles H.	Reeves, Charlene V	Regal, Ray G.	Regan, Patrick J.
Γ	-	1380	1381	1382	1383	1384	1385	1386

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

7	VI. Other Comments (if any)	Phone calls were documented on response the Commission's dam safety program sheets.			поле	поле	proceeding is before the Commission on exceptions (br. opp. ex filed 10/21/99)	none	none	on exceptions before the Commission (br. opp. ex. filed 10/21/99)
	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	Phone calls were documented on response sheets	Mr. Gin was directed to contact OED/CA management directly.	Fariff rejected	no	10	ου	Commission may have approved a partial settlement	none, judge said he would not issue a written order summarizing his rulings	00
-	IV. Date range	October 2000 to December 2001								
	IV. Give the date				1/28/1998	7/13/1998	7/23/1998	6/23/1998	12/23/1998	1/15/1999
ď	III. What was the subject matter(s) of the meeting	Annual test of project Emergency Action Plans (EAPs)	Recording unreafized gains and losses on derivative instrements not meeting GAAP hedging oriterias	Tariff provisions to provide for Transwestern to purchase and sell options for firm transporation capacity on Transwestern's system	how the iso operates	arguments on motions to strike testimony, amend procedural schedule, etc.	settlement of unresolved Issues	settlement, privileged see rule 602(e)	which unresolved issues the judge would allow to go to hearing	attempt to settle unresolved issues, finalize issues list for hearing, agree on order of intervenor witnesses, etc.
1	II. List all that were present at the meeting	Pat Regan (FERC)	Recording unrealized gal Mr. Anthony Gin, PGE, contacted Mr. and losses on dedinetalvo Raymond Reld, FERC/OED/CA/DRAP Institements nor meeting CAAP hedging criterias	List of Altendess provided by OMTR Tariffs and Rates Central (M. Coleman)	36 registered	lawyers representing Staff, so cal lawyers representing Staff, so cal staff so cal elson, vernon, san diego g&e. stephen grossman southern cities, smud,dwr, enron, ladwp	telephone conference) at a minimum Staff, southern cities, vernon, dwr, enron, cal puc staff	active parties, Staff 21 persons representing most active probably lead parties	likely most active parties	likely counsel for all active parties (conference/tele-conference)
ш	the	Yes Bob Steele (PGE) Pat Regan (FERC)	Yes Electric	Chief Accountant Division of Yes Pipeline Company Regulatory	california Yes independent system operator	presiding judge stephen grossman	parties in er97- 2358-002, et al.	active parties, Staff probably lead	judge stephen Yes grossman, er97- 2358-002	counsel for so cal Yes edison, er97-2358- 002
	(a)			Yes	Yes	Yes	Yes	Yes	Yes	Yes
c	Division	Dam Safety and inspection	Chief Diffice of Accountant he s, Division executiv of Negulatory Director Accounting Policy		litigation	litigation	litigation	litigation	litigation	Higation
8	Office	Office of Energy Projects	Office of the Executiv e Director	Office of the Executiv e Director	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Regan, Patrick J.	Reid, Raymond A.	Reid, Raymond A.	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F
Γ	-	1387	1388	1389	1390	1391	1392	1393	1394	7 05
٤	1		•		-			-		

onse to Question No. 3 ir the February 15, 2002 Letter	From Senators Lieberman and Thompson
Response to C	正

×	IV. Give IV. Date taken by the the date range Comm. in response to the meeting		privileged settlement document, see rule 602(e)	pending before the commission on exceptions (briefs opp. ex filed 10/21/99	none, I don't know the status of the proceeding				
_	V. Was any action taken by the Comm. In response to the meeting		Q.	по	OL.				
-	IV. Date range	01/08/199 8 thru 01/09/98		02/17/199 8 thru 01/28/199					
	IV. Give the date		1/28/1999		1/8/1998				
9	III. What was the subject matter(s) of the meeting	counsel arguing over witness appearance order at hearing	recap of issue 10	hearing and oral argument: 1 vol. 68pp.; 3 vols. 216pp.; 4 vols. 473 pp.	pre-hearing conference, california must-run cases				
4	II. List all that were present at the meeting	coursel, active Tee parties, er87-2336, likely coursel for all active parties (3 e- coursel arguing over vilhess active parties, er87-2336, linals)	counsel, active Yes partles, er97-2358- counsel for all active partles 002	counsel for all active parties, including hearing and oral argument: enton, erg7-2358-002, et al. vols. 473 pp.	Yes chief judge wagner for enron, ec96-19-007				
Ш	Office Division (a) inititated the meeting	counsel, active parties, er97-2358- 002	counsef, active partles, er97-2358- 002	Yes Judge stephen grossman	chief judge wagner				
-	(g)	Yes	Yes	Yes	Yes	ž	Š	Š	§
0	Division	litigation	Iltigation	litigation	litigation	D2SI-CRO No	Software Engineerin g	DLM	Office of the Chief systems informali engineering
8	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of the Chief Informati on Officer	Office of the Executiv e Director	Office of the Chief informati on
A	Name	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F	Reusch, Charles F	Richards, Kevin S.	Office of the Software Richardson, Larry, W Informatt Engineerin No Officer	Richardson, Byron	Rieley, Thomas, O.
Г	-	1396	1397	1398	1399	1400	1401	1402	
_		4-							

15, 2002 Letter	
2	
5	
February 1	The same
in the	
က	4
ġ	-
Question	
2	
	ů

From Senators Lieberman and Thompson

						יוסווו סכוווייסוס בוכסכוויומוו מוומ וווסוווססכוו			Ę		
	A	В	၁	О	Ė	Ľ.	9	Ξ	-	ſ	X
+	Name	Office	Division	(a)	1. Who Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action W. Give IV. Date taken by the trange Comm. In response to the meeting to the meeting
1404	Ristway, Edward A.	Office of Markets, Tarriffs, and Rates	West	9 <u>V</u>							
1405	Robb, Paul W 5	Office of Markets, Tarriffs, and Rates	Energy Markets	o Z							
1406	Robert J. Lynch	Office of the Executiv e Director	Division of Regulatory Accounting Poficy	No	-						
1407	Robinson, J. Mark	Office of Energy Projects	Front Office	Yes	Front Office   Yes Calypso Employee J. Mark Robinson	J. Mark Robinson	let me know that they were sending a letter telling us that they would be asking us to defer environmental work on the Calypso Pipeline			٤	
8041	Robinson, John M.	Office of General Counsel	Market Office of Oversight General and and Counsel Enforceme		Yes Counsel's Office	Unknow - four to five technical conferences in last 1922 and easily to mid 1930 or II Prace Cas Proplents or Cas Branchoring. The meeting lists are no longer with FERC. Its are no longer with FERC and and a session in the last are dependently of record and lassume that it meeting, I was the lead attorney for this proceeding to meeting. I was the lead attorney for this proceeding in the last are the last in the last are the last in the last and a representative present at most this proceeding.	The restructuring of El Paso Gas Ploeline Company Unusuant to the policides and standards comfained in Order No. 636.		November 1992 to May 1993		Several procedural orders ( have searched toth my personal files and wave issued itself bear of any of proceeding and four the records relating to the EI Peac Order withstantine orders ( No.28 restructuring proceeding are still relating to EI Peacs Order within the building. All appart to have been SSE restructuring.

Letter	
y 15, 2002 L	
5	
February	
in the	
က	
Š.	
Question	
2	
Response 1	

From Senators Lieberman and Thompson

¥	V. Was any action  IV. Give IV. Date taken by the the date range Comm. In response to the meeting	I have searched my personal files and the control of the control o			
r	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Orders were issued January completing 1993 to Thanwasterin's May 1993 restructuming under Order	Not directly - eventually Order No. 636 filings were accepted	ON	NO
-	IV. Date range	January 1993 to May 1993	between Jan and Dec of 1992	sometime In 1992 or No 1993	sometime in 2000 or No 2001
Ξ	IV. Give the date				
9	III. What was the subject matter(s) of the meeting	The restructuring of Transversion Transversi	Order No. 636 conferences for Columbia and CNG	Operation of the capacity release market	OMTR Outreach meetings
ш	II. List all that were present at the meeting	Unkown - The aubject was two Transwesten Pleiler's Chote 636 Transwesten Pleiler's Chote 636 Transwesten Pleiler's Chote 636 and May 1893. I was among staff and May 1893. I was among staff and May 1893. I was among staff and family. The appendent in the OCE of the Chote I was revoked in the processeding may have such records. The agency decisions list the parties but do not staff who represented. Transwestern.	Unknown (large group from industry and staff)	Unknown (Series of meetings with groups of industry and staff)	Unknown (Series of meetings with groups of industry and staff)
П	Office Division (a) Inititated the	Yes Coursel's Office	Strategy and ves FERC Staff e Staff	Yes FERC Staff	FERC Staff
Ω	(a)	Yes	Yes		Yes
ပ	Division	Market Oversight and Enforceme nt	Strategy and Performanc e Staff	Strategy and Performanc e Staff	Office of Strategy the and Executive Performance    Strategy    Strategy    FERC Staff    Sta
В	Office	Office of General Counsel	Office of the Executiv e Director	Office of the Executiv e Director	Office of the Executiv e Director
A	Name	Robinson, John M.	Robinson, Karen R.	Robinson, Karen R.	Robinson, Karen R.
	-	1409	1410	1411	1412

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	VI. Other Comments (if any)	The Enron trade floor visit was followed by lunch. The Fist of parfords includes all Erron employees that can be specifically identified but is probably not a complete list.		
ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	Ç <del>y</del>		
-	V. Date range			
Ξ	IV. Give I			
 9	III. What was the subject matter(s) of the meeting	During December 6 - 8, 2000. FEX.CR staff participated in a vietic staff participated in a vietic staff participated in a vietic participated in a vietic participated in the vietic participated in the vietic participated in vieti		
 F	II. List all that were present at the meeting	Dan Larcamp (Director of Marides, Tareffix, and Tareffix, and Tareffix, and Tareffix, Ton-Hell Filmund (Executed Director, & Chief Filmund (Chreat, Permandar Young (Chreat) (Chreat), Ton-Hell Filmund (Chreat), Charled Whilmone (Director, Chreat & Charled Whilmone (Director, Chreat & Charled Whilmone) (Chreat), Charled Whilmone (Director, Director), Charled (FartCo and Fordicipated In a Charled Series of Chreat) in Household (Short), Kerna Fordicipated In a Charled (Chreat) (C		
E	I. Who Inititated the meeting	Yes FERC Staff		
			82	2
ပ	Office Division (a)	Strategy and Performanc e Staff	Tariffs & Rates, East	Rates East
В	Office	Office of the Executive Director	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
Ą	Nаme	Robinson, Karen R.	Rodgers, Steve P.	Office of Markets, Rogers, John Michael Tarriffs, Rates East No Rates
	-	1413	1414	
1 .				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	-					ייייי כניייי בוכיייי בוכייייי בוכייייייייייייייי		5				
	٧	В	O		3	2.	ტ	I		J	Υ	_
-	Name	Offlice	Divísion	(a)	I. Who Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action taken by the Comm. in response to the meeting	V. Give IV. Datelaken by the the date range Comm. in response to the meeting	
1416	Roldakis, Peter J. 6	Office of General Counsel	OGC-MTR	Yes	95 FERC Para. OGC-MTR Yes 61,238 tech conf	Mary Kay Miller, Doug Canter, Robert Petrocelli, Peter Roldakis, others	Transwestern proposal to modify its "Carlton Resolution" settlement	6/25/2001		Ultimately a new settlement of Cartton Resolution revision and System Levelized Account issues rsolved the matter.		
1417	Roidakis, Poter J.	Office of General Counsel	OGC-MTR	Yes	Office of General OGC-MTR Yes 61,315 tech conf. Coursel	Sleven Kalkh, Katherine Edwards, Peler Roldakis, others	Northern Natural's fuel reimbursement proposal in Docket No. RPD1-395.	6/26/2001		The parties and Northern reached agreement and a rewised tariff filing was approved on July 31, 2001.		
1418	Roidakis, Peter J.	Office of General Coumsel	OGC-MTR	Yes	93 FERC Para OGC-MTR Yes 61,202 tech conf order	M.K. Miller for Transwestern, K. Edwards for Indicated Shippens, a Calpine representative, a Dynegy representative, Jeff Hallogin of Amoco, P. Roldskis, John Carlson, others	Transwestern's 10/17/00 proposal to implement "Transportation Option" program, pursuant to an exemption under the CFTC Act.	1/9/2001		The proposal was ultimately rejected at 95 FERC Para, 61,165.		
1419	Rooths, Larry D.	Office of Markets, Tarriffs, and Rates	Office of Aarkets, Tariffs, Rates-West No and Rates	ž								
1420	Rosell, Richard A.	Office of the Executiv e Director	DRA	Yes	Yes DOA Audit	Myself and ENRON accounting personnel	Pension costs and altocation	_	Early to mid-1990's	Early to mid-1990's Not to my knowledge		т
1421	Rosell, Richard A.	Office of the Executiv e Director	DRA	Yes	Yes 007 and RP99-010 Unknown	<b>И</b> пкло <b>ил</b>	Financial audit and rate case					1
1422	Rosell, Richard A.	Office of the Executiv e Director	DRA	Yes	Yes 010-000							г

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

278

This was a casual breakfast before meeting of an electric industry standards development group called the Electronic Scheduling Collaborative Dinner was during a meeting of an electric industry standards development organization calted the Electronic Scheduling Collaborative attended a meeting with Enron personnel lescribed by Bob Flanders Other Comments (if any) V. Was any action

IV. Date taken by the range Comm. In response to the meeting IV. Give 3/14/2001 11/1/2001 5/1/2000 5/23/2001 Status of the Electronic Scheduling Collaborative's efforts to develop standards for electronic scheduling Telephone conversation to inform Enron of the Commission's inquiry into EnronOnLine III. What was the subject matter(s) of the meeting Casual dinner conversation Fall of Enron Enron:Charles Yeung Andy Rodriguez FERC: Marvin Rosenberg Representing the Electronic Scheduling Calaborative Michael (Schroding Calaborative Michael of Charles Yeung-Ernon Michael McElhany, 2 Others? FERC: Mary Rosenberg Kevin Keily Allson Silverstein Others? Exelon: Patt Terris Enron: Andy Rodriguez FERC: Marvin Rosenberg II. List all that were present at the meeting Enron: Joe Hartsoe FERC: Marvin Rosenberg Charles Yeung of Enron Docket No. FA94-I. Who Inititated the meeting Michael Kormos of P.JM Yes Robert Flanders Yes Exelon Yes Yes (a) Yes Yes Office of Markets. Markets Tarriffs, Developme Yand nt Market Developme nt Market Developme nt Division Market Developme nt Market levelopme nt DRA Office of the Executiv Office of Markets. Tarriffs, if and Rates Office of Markets, Tarriffs, and Rates Office of Markets. Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office tosenberg, Marvin senberg, Marvin senberg, Marvin enberg, Marvin nberg, Marvin osell, Richard A. Name 1425 1427 1428 1423 1424 1426

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	V. Was any action taken by the form. In response VI. Other Comments (if any) to the meeting	Perry Brown submitted a response to abou this meeting		We met in my office	The meeting took place in my office.	
	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	And the state of t	011	UQ	No	In addition to the the two impellings in my office that office tha
	IV. Date range		Winter			06/12/200 1- 08/10/200
Ī	IV. Give the date	6/11/2001		7/11/2001	7/17/2001	
9	III. What was the subject matter(s) of the meeting		Demonstration of EnronGriLina	Rovosal FERC; Mary student. To fearn where Perry Brown Others? EnronChule fil the Enrorts organizational structure.	Enron's December 2000 Power Aktreller Report to FERC and other matters retaining to the Commission's inquiry into EnronOnLine	Inquiry into EntonOoLine
H 0	il. List all that were present at the meeting		Many FERC staff and Enron Employees	Enon: Sarah Novosel FERC: Marv Rosenberg Perry Brown Others?	Office of Market Market Surah Novosel or Ernon: Sarah Novosel Christi Nicolay Terrific, Developmer Yos Christi Nicolay FERC: Mary Rosenberg Kim Bruno and Rases	Enron: Christi Nicolay and/or Sarah Norosa FERC: Marv Rosenberg Pleny Brown F. Kim Bruno? Mary Lavermann?
E	Office Division (a) inititated the meeting	Diffice of Markets, Market Yes Marvin Rosenberg and Trefiles. Developme Yes Marvin Rosenberg and Petes	Unknown	Diffice of Markel Sarah Novosel, Tariffis, Developme Yes Enron Are Fares Rates	Sarah Novosel or Christi Nicolay	Markets. Markets Pres Erron or Marv Tarrifs, Developme Yes Rosemberg Rates
۵	n (a)	e Yes	e Yes	e Yes	e Yes	e Yes
0	Divisio	Market Developmi nt	Office of Market Market. Tarriffs, Developme Yes Unknown and nt Reles	Markel Developm nt	Market Developine nt	Market Developm nf
8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Terriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Rosenberg, Marvin	Rosenberg, Marvin	Rosenberg, Marvin	Rosenberg, Marvin	Rosenberg, Marvin
	-	1429	1430	1431	1432	1433

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action     V. Date taken by the range Comm. in response to the meeting	There may have been several telepone convesations during this period concerning the Commission's inquiry into EnronChilline	Commissions yellow the state and the Commissions yellow the control to make a state of the commission of the commission of the communication protection. In principated in manufaction protection to communication protection. The commission of the commission of the commission of the commission	The Commission's Orders Note, 888,889, and a labelegue, a labelegue, and a labelegue, a lab	I attended several meetings of the NERC Market Interface Committee (and of the predecessor organization). Ernon employees were present at most of the meetings.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	ON.			
-	IV. Date range	06/12/200 06/10/200 08/10/200	1993-1996	1995. Present	1995- Present
Ŧ	IV. Give the date				
9	Ifl. What was the subject matter(s) of the meeting	Inquiry Into EnronOnLine	·		
L	II. List all that were present at the meeting	Enron: Christl Nicolay and/or Sarah Novosa FEKC: Marv Rosenborg Perry Brown? Kim Bruno? Mary Lauermann?			
E	Office Division (a) Inititated the meeting	Enron or Marv Rosenberg		Office of Market Markets, Market Tauffs, Dovelopme Yes Marv Rpsemberg and n Rates	Office of Market Andrest Tariffs, Developme Yes Mary Rosenberg and Rates
	(a)	Yes	Yes	Yes	Yes
0	Division	Office of Varket Variets. Developme Yes and nt	Office of Market Market Tarriffs, Developme Yes and Rates	Market Developme nt	Market Developme nt
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
¥	Name	Rosenberg, Marvin	Rosenberg, Marvin	Rosenberg, Marvin	Rosenberg, Marvin
	-	1434	1435	1436	2071

VI. Other Comments (if any) 281 V. Was any action
IV. Give IV. Date taken by the
the date range Comm. In response Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject mafter(s) of the meeting II. List all that were present at the meeting 1. Who Inititated the meeting Generat Law/Enforo No ement Division of Dam Safety No Inspections <u>a</u> ş ŝ ş 욷 ŝ £ Office of Human the Executiv Manageme e Infector Office of Markets, Division of Tarriffs, Market and Information Rates Market Oversight and Enforceme nt Office Division Office of the Executiv MAPS Public Affairs East Office of Russo, Thomas Nichd External Affairs Office of Markets, Tarriffs, and Rates Office of Energy Projects othenbarg, Steven lowell, Margaret C. Rossmiller, Rachel ussell, Sandra J. koss, William R. Name Rudd, Sarah Z. 1445 1444 1439 1440 1442 1443 1441

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	К	VI. Other Comments (if any)			There were two meetings about the same subject material: Lawrence Greenfleid was present for the first but not the second.
	J	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	ę.	2	ON.
	-	IV. Date range			Late September /early October, 2001
-[	Ξ	IV. Give the date	6/7/2001	6/27/2001	-
	9	III. What was the subject matter(s) of the meeting	FERC staff social for purpose of wishing Carlos Montaya well on his departure from FERC.	Entertainment – a performance of Michael Fisitey's 'Feet of Flames'	Pre-fling meeting re: Proposed compliance fling in response to Commission order in Docket No. ER01• 2359
	ıı	II. List all that were present at the meeting	To the best of my recollection. Eugene Grace, Sandy Chen (Gaeco, Carlos Mondys, Euroben Myladed, "Junasa Mandys, Euroben Myladed, "Junasa Oddani, Ogla Modouskina, Lodie White, Lorentard Tao, Clean Debrois Mylade, Euroben Wilde, Lorentard Tao, Clean Debrois Mylade, Perez sanfi gode of body Sandy Morton, Maria Youras, Joyce Mylade on the Good Myladed of Sanfingera de Debrois Myladed of Sanfingera de Debrois Myladed of Sanfingera de Castal, Geate Ca	To the best of my recollection: Jignasa Gasdan, Olda Kolouskhorn, Elizabeh Maydands, Ceael Oberol, Lodie Write Free Castif), possibly Mary Morton and Merk Youns (FERC), satif, Geals perior and Merk Youns (FERC), satif, Geals perior and Merk Youns (FERC), with ferbal blank McCodd, lighans Galdanis rocommale Mergan, a female friend of Lodie White	Christopher Thomas, Robert Penrocell, Elizaboth Rylander, and possibly Lawrence Greenfield (FERC staff), Mart Esse (Fuldrand General Electric counses), AW Ferrell, Joe, Micholle (Portland General Electric counses).
	ш	i. Who Office Division (a) intittated the	Markets, Eugene Graco, Tariffs and Yee Sandy Chen (Grace)	Markets, Jagnasa Gadani, Tairlis and Yes Orga Kolotushkina Rates	Markets, Tariffs and Yes knowledge Rates
	۵	(a)	Yes	Yes	Yes
	ပ	Division		Office of Markets, General Tariffs and Counsel Rates	Markets, Tariffs and Rates
	В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel
	¥	Name	Office of Rylander, Elizabell E General Coursel	Office of Ryander, Effzabeth E Genreral Counsei	Office of Rylander, Elizabeth E. General Counsel
		-	1446	1447	977
	_				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		ne and lines, lines, stes for otes and and					
¥	V. Was any action IV. Date taken by the range Comm. in response to the meeting	There were two meatings on this topic: Lawronco Greenfled was present for the first but not the second. When I say that am urstance of two inflated this meding, I mean that 1 do not have freshand knowledges of not do not receal; who asked for the meeting, I believe that A.W. Turner, Michalle Formal, Loe Taylor and Malt Easts are the same people who were present for the other meeting, I believe that A.W. protes, for the other meeting on this subject. My protes for the other meeting on this subject. My protes Portland General Electric staff and courses Portland General Electric staff and courses Portland General Electric staff and courses Proteind General Electric staff and courses)					these were ordinary meetings that all partles to case attend.
ſ	V. Was any action IV. Bate taken by the the date range Comm. In response to the meeting	Q.	N O.	Meeting was scheduled for October 28, 1999.			many normal hearing hearings proceedures
-	IV. Date range						many hearings
Ξ	IV. Give the date	10/1/2001					
9	III. What was the subject matter(s) of the meeting	Pre-filing meeting re: proposed compliance filing in response to Commission conder in Docket No. ETG1- 2359-000.	Two projects (Mussers Dam, Project No. 2306 and tdols, Project No. 2585) involving surrender applications.	Ms. Kell requested that I schedule a meeting to discuss the Bull Run Project No. 477 removal plan.			issues set for hearing
£	II. List all that were present at the meeting	Orisioper Thoras, Robert Periceall, Ellische Rysander, cossibly Pre-filling meeting re: Periceall, Ellische Rysander, (FERC staff); progosed compliance A.W. Forest Motorie Frentil, Joe Toylor (Provind General Electric staff) noder in Doode No. Land batt Exes (Perisand General Ellische Staff) noder in Doode No. Ellischic coursel).	Juie Keil, PG&E	Yes Julie Kall, PG&E Rogina Salzan, FERC			parties to case set for hearing.
ш	Office Division (a) inititated the	Unsure	Regina Salzan, FERC	Julie Kell, PG&E	-		ALJ, staff, or Yes (illigation parties.
۵	(a)	Yes	Yes	Yes	8	οN	Yes
ပ	Division	Office of Markets. Counsel Terlife and Yes Unsure Counsel Rates	Office of Administratii yes F Projects Compilanc	Hydropowe r Administrati on and Complianc e	Procureme nt	N/A	
В	Office	Office of General Counset	Office of Energy Projects	Office of Energy Projects	Office of the Executiv e Director	Office of the Secretar y	Office of Markets, Tarriffs, and Rates
Ą	Name	Office of Ryander, Elizabeth E General Counsel	Saizan, Regina M.	Saizan, Regina M.	Sakallaris Andrew M	Salas, Magalle R.	Sammon, John K
	1	1449	1450	1451	1452	1453	1454

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Γ	¥	8	o	6	ш	4	9	r	-		¥
-	Name	Office	Divísion	(9)	the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
1455	Sampson, Sonya D.	Office of Markets, Tarriffs, and Rates	West	S Z					•		
1456	Samuel, Donna	Office of Energy Projects	D2SI	Š.							
1457	Samuel Juwan	Office of the Executiv e Director	Division of Organizatio n Manageme nt	S 2							
1458	Sanford, Helde	Office of External Affairs	congressio nal, public and intergovern mental affalrs	N N		-					
1459	Sarma, Vedula, Y.	Office of Energy / Projects	Division of Hydropowe Administrati On and Compilanc e	o _N							
1460	Office of Sauter Jr., Laurence , Energy Projects	Office of Energy Projects	DEER	Yes	Yes L.J. Sauter, Jr.	Rockford Meyer and/or Lee Hubber	Issues related to staff's environmental review of Transwestem's filing in Docket No. CP94-751-000 through -005.		02/05/96	I assume the Commission ultimately approved 02205/96 - Transwestern's 7(b) filing 02/28/96 for abandorment of numerous leadities in OK, NM, and TX.	assume the Commission I was asked to stop-in and assist another infinitely approach set aftersor with this case. My involvement insrevestering 7(1) filling was externely infinite, it consisted of several prione calls between myself and unernous facilities in CM, one or both of the recipients identified MM, and TX.
1461	Scaggs, Charles E.	Office of the Executiv e Director	OCIO	8							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Α	В	U		ш	Ŀ	9	Ξ	-	7	Υ.
-	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I the date	V. Date	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	VI. Other Comments (if any)
1462	Schaeffer, Karen L.	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs and Tariffs, Rates and Central Rates	ž							
1463	Schall, Ellen K.	Office of General Counsel	Markets, Tariffs and Rates	Yes	Yes The Commission	Widely attended technical conference, RM02-1, Standardization of Electric Generator Interconnection Agreements.	Industry input to standardize interconnection agreements.			Not yet; staff is drafting NOPR.	
1464	Schall, Ellen K.	Office of General Counsel	Markets, Tariffs and Rates	Yes	Yes the Commission	Widely attended technical conferences.	El Paso's Order No. 637 proceeding.		July and August 2001	Not yet. Altocation of capacity on El Paso is still being analyzed.	Not yet. Alocation of An Enron representative attended the capacity on El Paso is still meeting. being analyzed.
1465	Schaff, Ellen K.	Office of General Counsel	Markets, Tariffs and Rates	Yes	Markets. Tariffs and 'Yes Joseph Hartsoe Rates	Ellen Schall and Joseph Harlsoe	Erron's interest in one tariff to govern both wholesale and retail electric service.		probably 1999	No.	I can't recall the date of the meeting. I also met with Mr. Harbos or their fimes, but I can't regal the subject or dates of the meetings. Mr. Harbose and I worked together at FERO before he went to Emon and almost all of our discussions were saddle.
1466	Office of Schratwieser, Diane EGeneral Counsel	Office of BGeneral Counsel	Litigation	Yes	Yes to the case	Parties to Docket No. TX96-4	Intervention		1996-97		Enron was an intervenor
1467	Office of Schratwieser, Diane, (General Counsel	Office of General Counsel	Litigation	N _N							:
1468	Scott, Jo Ann	Office of General Counsel	Office of Administrati General ve Counsel Litigation	Yes	Commission order in EL00-95	Ves in ELOy-95 Enron and Portland General.	Ongoing trial procedures.		08/01/01 - p	No	
2.50	Scott, Jo Ann	Office of A General Counsel	Administrat ve Litigation	Yes	Commission/Chief Yes Judge in ER00- 0=2019	Settlement discussion Among the proceeding including rates for access to transmission facilities for the proceeding including rates for access to Enron  The process of the proceeding including the control of the Cont	Settlement discussions on the rates for access to transmission facilities under the control of the Cal ISO		June 2000 continuing	June 2000 continuing None pending	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

The Portland General witnesses were in the hearing room for part or all of the hearing. Their counsel, Ms. Saunders was probably there for the entire hearing. VI. Other Comments (if any) ortland GE filled testimony EPMI was an intervenor PMI was an intervenor PMI was an intervenor nron is an intervenor IV. Give IV. Date taken by the the date range Comm. in response to the meeting April 25, No. The initial decision is 2000 - May Conranission on 17, 2000, exceptions. Pending before the Commission 1999-2000 1999-2000 2000-6/22/1905 6/21/1905 Hearings in Sterra Pacific Power Company, Docket No. ER99-28-003. III. What was the subject matter(s) of the meeting ransmission Rate Cases Transmission Rate Cases Access change casse nterconnection Case Martiene Huntsinger, Portfand General
Electric General Manager of Power
Operations, and James D. Electr
Operations and Relability, were
Power
Operations and Relability, were
Operations and Relability, were
Operations and Relability, were
Operations and Relability, were
Operations and Properations and Properations
Operations II. List all that were present at the meeting Parties to Docket Nos. ER97-2358, ER98-2351 and ER98-2087 Parties to Docket No. EL00-95-045 Parties to Docket No. ER00-2019 Parties to Docket No. ER99-2326 Parties to Docket No. ER99-28 Trial Staff and parties to the case Trial Staff and parties to the case Trial Staff and parties to the case I. Who Inititated the meeting Judge Edward M. Silverstein Yes parties to the case Yes parties to the case Yes Yes (a) Yes Yes ŝ ŝ Division of Dam Safety and Inspections Division Litigation Litigation Littgation Litigation Litigation Litigation DLM Office of the Executiv Office of General Counsel Office of General Counsel Office of Energy Projects Office Searcy, Robert L. Name Scott, Jo Ann scott, Jo Ann scott, Jo Ann Scott, Jo Ann Scott, John H. Scott, Jo Ann scott, Jo Ann 1470 1475 1476 1473 1471

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Γ	_	-	c	2	ш	ш	9	I	-	_	×	
-	Name	Office	Division	<u> </u>	1 the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. in response to the meeting	
1478	Seeley, Pamela, S	Office of Markets, Tarriffs, and Rates	Tariff & Rates Central	S Z						-		
1479	Seigef, Jessica Allegra	Office of General Counsel	Energy Projects	ž								
1480	Sellers-Leath, Janine Susan	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION		FERC STAFF/TEXAS GAS TRANSMISSION	FERC STAFF/TEXAS GAS TRANSMISSION/OTHER PARTIES AND PARTICIPANTS	TECHNICAL/SETTLEMENT CONFERNCE/TELEPHONE CALLS/MEETINGS				DOCKET NO. RP00:260-008, ET. AL. ENRON CORPORATION WAS A PARTY IN THIS CASE	
1481	Sellers-Leath, Janine Susan	Office of General Counset	ADMINIST RATIVE LITIGATIO N DIVISION		NORTHERN BORDER/FERC STAFF	NORTHER BORDER PIPELINE COMPANYIERC STAFF/OTHER PARTIES OR PARTICIPANTS	SETTLEMENT CONFERENCE/TECHNICAL MEETINGS				RP99-322 NORTHERN BORDER PIPELINE NORTHERN BORDER PIPELINE COMPANY WAS A ENRON COMPANY	
1482	Sellers-Leath, Janine Office of Susan Counsel	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION		FERCIAPPLICANT IMEETINGS	MEETINGS					DOCKET NO, RP01-433-000/SUMMIT PWR NW LLC vs. PORTLAND GENERAL ELEC, CO, PORTLAND GENERAL ELECTRIC AS AN ENRON COMPANY.	
1483	Selfers-Leath, Janine General Susan	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION		_	-					DOCKET NO. ER00-298 ET. AL./P.JM INTERCONNECTION ENRON POWER MARKTETING WAS A PARTY IN THIS CASE	
1484	Sellers-Leath, Janine Office of Susan Counsel	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION								DOCKET NO. EL00-95-045/SAN DIEGO GAS AND ELEC vs. SELLERS ENERGY ET. AL. ENRON WAS A PARTY TO THIS CASE	
1485	Sellers-Leath, Janine Office of Susan Counsel	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION				-				RP99-518-019 ET. AL./PG&E GAS TRANSMISSION NW CORPORATION ENRON WASS A PARTY IN THIS CASE	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	8	0		Ш	ш	9	H	-	7	Υ
-	Name	Office	Division	(a)	I. Who Office Division (a) Inititated the meeting	il. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give 1 the date	IV. Date range	V. Was any action IV. Bive IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
1486	Sellers-Leath, Janine Office of RATIVE Susan. Counsel N OUISION 16	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION								RP00-241-000/PUC OF CALIFORNIA vs. EL PASO NATURAL GAS ENRON WAS AN INTERVENOR IN THIS CASE
1487	ADMINIST Sellers-Leath, Janine General LITIGATIO Susan Counsel N Ocursel N N TI	Office of General Counsel	ADMINIST RATIVE LITIGATIO N DIVISION				-				RPO1-196/VENICE GATHERING SYSTEM LLC ENRON NORTH AMERICAN WAS AN INTEVENOR ON THIS CASE
1488	Semega, Tamrah A.	Office of the Executiv e Director	of Logistics Manageme nt	S Z							
1489	Office of Markets. Senf-Mittione, Kathry Tariffs, and And Rates	Office of Markets, Tarriffs, and Rates	DACT	o N		·					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		
Х	VI. Other Comments (if any)	
ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Š
-	IV. Date range	
Ξ	IV. Give the date	5/30/2001
9	III. What was the subject matter(s) of the meeting	Comparability of transmission service, Efficient RTO formation and operation (seams issues)
L	II. List all that were present at the meeting	FBSA, Mary Beth Tighte (Amerada Hess), Lornine Cross (Mirant), Mary Doble (Dynes), Larry Elecentat 100-leo (Dynes), Larry Elecentat 100-leo (Dynes), Larry Elecentat 100-leo (Dynes), Juley Menal (FESA), Juley (Meliant Energy), Jules (Simor (EFSA), Juley Messaro (Williams), James Staffier (Elemo), Juley Messaro (Williams), James Staffier (Elemo), Juley Messaro (Williams), Larres (Elemo), Leo Barnett (Dube Energy Morth America Barnett (Dube Energy Nebrate Shellon Cannon, Dott Cellinas, Davi Larramp, Rob Collinas, Davi Larramp, Rob Collinas, Davi Larramp, Rob Collinas, Davi Larramp, Rob Collinas, Davi Rossopa, Malasia Loval (Sagaro, Malasia Loval), Sagaro, Harbar Markhy (Malasia Collina), Collinas, Co
ш	Office Division (a) Inititated the meeting	Yes OMTR
	(a)	
O	Division	Tifice of Policy address. Invavation and and and and and and and and alters altion
В	Office	Office of Markets. Fariffs, Faries
Α	Name	Sheelan, Saida A.
		1490

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	1		_
х	VI. Other Comments (if any)	,	
'n	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	SA SA	
-	IV. Date range		
Ξ	IV. Give the date	7/5/2000	
9	III. What was the subject matter(s) of the meeting	RTO functions; Generation investment incentives	
т	II. List all that were present at the meeting	Organization: Larry Elevantal, Dickonstein, Shapiro, Monin & Ospanization: Larry Elevantal, Shapiro, Johnin & Ospanization, Elevantal Sellinon, Eleva, Michael Gildea, Dube Emergy Horn Tommals T-R&E Composition, Judy Neason, Williams, Carle Reach, Boston Pedie, John Harson, Error Coro, Mark Benent, Eleva, E-REC, CMRT, Dan Larcano, Kovin Maddor, Miller Colchemu, Mars Staffer, Ele Murrell, Shade Shapiro, Horn Roberto, Elov Protecte, Elov Wisson, Lin Appasson, Don Lakeng, Wisson, Larra Hyes, Morbial Odd. Wisson, Larra Lin Appasson, Don Lakeng, Wisson, Larra Lin Appasson, Don Lakeng, Morra & Gorzales, Dick Office and Charles, Dick Office and Charles, Dick Office and Charles, Dick Office and Charles and Charles and Charles and Larrisinger, Odd. Ellin Tendinger, Odd.	
ш	1. Who Office Division (a) Intitated the	res OMTR	
Ω	(a)		
ပ	Division	Office of Policy Markets, innovation Tariffs, & and Communic Rates ation	
В	Office	Office of Markets, Tarriffs, and Rates	
A	Name	Shaalan, Salda A.	
	-		1491

Response to Question No. 3 ir the February 15, 2002 Letter From Senators Lieberman and Thompson

	T						
Я	V. Was any action IV. Date taken by the range Comm. In response IV. Other Comments (if any) to the meeting						
	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	ğ					
	IV. Date						
	IV. Give the date	1002/0015					
Н 9	III. What was the subject matter(s) of the meeting	Transportation policies should not mask market price signaff. Effect of high natural gas prices on poleme throughput, TERS; policies and pipeline reliability.					
u	II. List all that were present at the meeting	WickAr, Joan Draskin, Penelope Ludvid, Stack Prinz, Cali Gouth Penelope Higher Michael California (1946). See John Penelope Higher Michael College Michael Conference, CMS Energy, Edit Hartoo, Enron Transcriberia Perseviers, Cinhy Albert CMS Energy, Edit Hartoo, Enron College Penelope High College Company, Edit Hartoo, Enrol Michael Penelope High Company, Lindon David, John Coppens, Dobb Energy Edit Hartoo, Energy Edit Hartoo, Energy Edit Hartoo, Energy Edit Hartoo, Energy Edit Company, John Coppens, Dobb Energy Physics of Company, Size Bill Chystoly Physics of Company, Size Bill Chystoly Energy Physics of Company, Size Bill Chystoly Chystoly Energy Physics (2017). Size Long Opposite Annual Research Stack (2017). Size Delice State (2017). Size Research Michael State (2017). Size M					
В	Office Division (a) Inititated the meeting	OMTR					
6	<u>8</u>	<u> </u>					
0	Division	Office of Policy Markets, Innovation Toruffs, and Communic Rates ation					
В	Office	Office of Markels, Tanffis, Rates					
A	Name	Shealan Saido A.					
	-		1492				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

							2			
┪	<u>-</u>	ပ	_	ш	ш	g	Ξ	-	7	$\star$
	Office	Division	(a)	i. Who Office Division (a) Inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the rate in response VI. Other Comments (if any) to the meeting
	Office of Markets, and Rates	Office of Policy Communications of Policy and Policy an		ves OMTR	Oganization: John Somerholder, El FERC neaets (most) to fine Paso Prevention County. The American Care Strategies of the County Christopher County. Christopher Care Strategies of the County Care Strategies of the Cou	FERC needs (most) to finish reservoir of electric lousary.  Feet observe transmission-  room of stand above sized above sized above  profit transcos) - establish  samines electric grid (more  profit transcos) - establish  samines electric grid account  possiness sianizatios eliminate  use of profit causo as crutich  to possiness sianizatios eliminate  use of profit causo as exultin  produzipatio causo as exultin  produzipatio causo establish  produzipation definishes  produzipation in mer papilal over  munes. ) Improve RCE for  propieriesy to attract meeded  stop belience of affiliate  producipation of producing  suggest indiviewing pass model  and 10 years. Achieve  convergence of affiliate  producipation of gas industries  suggest indiviewing as model  rather than electric).	71772000		ý	
	Office of General Counsel	Office of General front office Yes Enron Counsel	Yes	Enron	зее солтпвлі section	see comment section	<u> </u>	1992-1993 no	IIO	For a few years in the early 1900s. Enron assertion broken properties. The princip the above time firmer, I recall attenting one or the only farmer and the properties of specifically recall seeing at these was Ms. Gay Euker, win I believe was the Gay Euker, win I believe was the Farman specific conversations. I recall no Firm specific conversations.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_			
Х	VI. Other Comments (if any)	This is one of several meetings with Encon- related commany-emptyoes that I can recall chings the network time special uses an most of the cereant bender I was an ex- sistent to Cream Service Device of Enco- sastent to Cream Service of Encountry of Enco- sastent to Encountry Service of Encountry Service as a service of Encountry Service of Encountry Service of Encountry for service of Encountry Service of	
ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	ę	ou.
	IV. Date range	circa 1992, perhaps no earlier	circa 1992, possibly no
н	IV. Give the date		
9	III. What was the subject matter(s) of the meeting	f recall a meeting to introduce Ms. McDonald as a sentor Transvestern	I recall it as a courtesy visit but cannot recall any particular subjects that were
F	il. List all that were present at the meeting	Commissioner Branko Terzic, Mark Shaffer, Deboeih McDonald (sp. ?), prossibly others that I cannot recall	Terzic, Mark Shaffer, cannot recall if
ш	Office Division (a) intitated the meeting	front office : Yes Transwestein (?)	Office of General front office Yes Florida Gas(?)
۵	îi)	Yes	Yes
ပ	Division	front office	front office
8	Office	Office of General Counsel	Office of General
A	Name	Shaffer, Mark S.	Shaffer, Mark S.
	-	1495	
_			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥		vion VI. Other Comments (if any)	As noted earlier during most of the time policid covered by question #2, i was an advisor on mutaring as issues to the commissioners Branko include the land advisor on mutaring as issues to the Commissioners Branko include and likely Dobb Roding das and from visited with both Commissioners. Learnot recall the both Commissioners. Learnot recall the both Commissioners. Learnot recall the wilds in where the state of the visits Asbo. Learnot recall the specific content of those wilsts. It has visits in the precise that the visits in present in the office, in all itself hood I would have capticipated in the meetings. My general recollection is that If Mr. Horton came representing Fordic assistential importance in flings or on issues of general importance in flings or on issues of general importance in flings or on issues of general importance in flings for on issues of general importance in flings from it is possible into ne of Ernoris Washington representative such as Mrs. Dome Putton. Mrs. Sheley Corman, or Mr. Joe Hartson also would have attended
	V. Date taken by the range Comments (if any)  You comment to the meeting to the meeting		
IV. Give IV. Date taken by the the date range Comm. In response to the meeting		see comment section	
Give IV. Date range see comment section			see comment see comment section section
III. What was the	subject matter(s) of the the meeting	seo comment section	see comment section
	II. List all that were present at the meeting	front office. Yes (Gas/Emon/NGAA) see comment section	see comment section
	I. Who Office Division (a) inititated the meeting	Gas/Enoral/ICAA.	
1	(a)	Yes	×es
,	Division		front office   Yes Enron
a	Office	Office of Ganeral Counsel	Office of General Counsel
٧	Name	Shaffer, Merk S.	Shaffer Mark S.
1	-	7641	1498
٠,			

Response to Question No. 3 in the February 15, 2002 Letter

Because of the growing importance of risk helpfight exhaults; and my general ignorance in that area, I wanted to better understand how the definition of the commissioner on risk related the action to the commissioner on risk related the actions to the commissioner on risk related the sections to the commissioner on risk related the actions to the commissioner on risk related to where I can be proble to meet what it two Erron problets to meet with a few Erron problets of the cutting odds. She set up a meeting involving the above includuals. I orcall that the meeting lasted several hours (perhaps 2). During this time frame I alterubod several amount wherefully of MSAN's regulation of affairs committee and participated as a panellet in Treas meetings were alterubed by genetiment treas meetings were alterubed by government representatives of various institution and properlies compromess, common papelines companies, sometiment and meritary lawyee and first employees. White I camont lecall exact delices, they were usually fair in spring a letter of the participation V. Give IV. Date taken by the
 the date range Comm. In response IV. Other Comments (if any)
 to the meeting probably 1995 or 1996 1992-2000 From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting see comment section Risk Hedging II. List all that were present at the meeting Mark Shaffer, Ms. Donna Fulton, Mr. Steve Kean, Ms. Julie Gomez see comment section I. Who rittitated the meeting i requested meeting Yes INGAA Yes Division front office front office Office of General Counset Office Office of General Counsel Shaffer, Mark S. Shaffer, Mark S. Name

1499

Letter	
, 2002	: -
lary 15	Title of the
Febru	
The	
No. 3	401
Response to Question No. 3 in the February 15, 2002 Letter	Commenter of the comment of the comment
onse to (	1
Resp	

rom Senators Lieberman and Thompson

L	Δ	-	ļ	0	1		\ \				
		-		2	l. Who		III. What was the	E .		V. Was any action	K K K K K K K K K K K K K K K K K K K
-	Name	Office	Division	(a)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	subject matter(s) of the meeting	IV. Give	range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Date taken by the range Comments (if any) range Comm. in response to the meeting
1501	Shaffer, Mark S.	Office of General Counsel	front office Yes INGAA	Yes	Add Access	see comment section	600 comment section		late 1990s to 2000	Ou	During this time frame I recall attending several INGAA sponsored holiday receptions and summer open house in 1990. It is possible that frinon employees attended these events but I do not specifically recall any conversations with Ermon employees.
1502	Shaffer, Mark S.	Office of General Counsel	Office of Ganeral front office Yes FERC	Yes		see conment section	see comment section		1998 - 1999	see comment section	Outing this year, the FERC initiated outreach meetings to explore where the institutal gas including that headed in the next 1 to 10 year as qualitative to 10 year as qualitative to 10 year as some that the next 1 to 10 year as some that the next 1 to 10 year as some that the next 1 to 10 year as the next 1 to 10 year as the next 1 to 10 year to 10 year 1 to 10 year to 10 year 10 year 10 year 10 year 10 year 10 year 10 year 10
1503	Shaffer, Mark S.	Office of General Counsel		×es	Ms. Donna Fulton, Enron	Ms. Futton, Ms. Nancy Ms. Dorna Fulton, Baggetfranch, Ms. And Bath Itonit office Yes Erron Shaffer, Ms. Julie Simon (EPSA)	Football Game (Paid full market price for tickets)		fall 2000	QL QL	The state of the s
1504	Shaffer, Mark S.	Office of General Counsel	frant office	Yes	front office Yes FERC/OMTR	range of marketers and pipeline representatives	outreach re industry segment concerns		2000 and 2001	2000 and staff processed 2001 information received	The state of the s
1505	Shaffer, Mark S.	Office of General Counsel		Yes	front office Yes Calypso Pipeline	Several executives in charge of improved professive whose name i cannot execut, several from the improved professive which including me and I believe Miritandy Rich, allamey for the project.	profiling mealing on proposed project to bring LNG from Bahamas to Florida		l believe March 2001	Qu	To the best of my recollection, I attended who designed to allow the agency to that the best of my concern it before the agency to that the concern of waters intending one movinity agencies. I concern attending one movining matchets a myse of best of the concern attending one movining the pipelines when the latended and one involving the pipelines and when believe Ms. Labelly Comman afferded. I do not need which segment meeting was in 2000 and which was in
1506	Shaffer, Mark S.	Office of General Counsel	front office	Yes	front office Yes Simpson, Reliant	various natural gas company representatives including Ms. Donna Fulton of Enron	I was asked to give a funceon talk to INGAA's regulatory affairs committee on the pending transition at the FERC			Ōu	While I recall Ms. Fulton attended this (uncheon, I do not recall any Enron specific conversation taking place.

Response to Question No. 3 iff the February 15, 2002 Letter From Senators Lieberman and Thompson

_		Name and Address of the Address of t				
X	V. Was any action Y. Date taken by the range Comm. In response to the meeting	I may have been assigned Enron related work when worked in the pipeline certificates branch and the environmental compliance branch but have no flies or recall any meetings. I am presently with the Division of Hydopower Admin & Compliance and to the best of rry Compliance and to the best of rry workedge i have not had any Enron related work or meetings.				
7	IV. Was any action IV. Date taken by the the date range Comm. In response to the meeting			Commission orders issued 3/30/01 and 9/27/01 in Docket Nos. RP01-236, et al.	Restructuring orders issued to Transco in Docket Nos. RS92-86- 000, et al. See orders issued 7/16/93, 9/15/93; 3/17/94	Comission orders Issued in Docket No. RP00-24- 000 on 4/3/00 and 6/15/00
-	IV. Date					
·F	IV. Give the date			12772000	10/1/1992	1/19/2000
9	III. What was the subject matter(s) of the meeting			Discuss various issues raised in Transco's Order No. 637 proceeding.	Transcontinental Gas Pipe Valve Order No. 637 Estructuring case in Docket Nos. RS92-86-000, et al.	Technical conference to discuss conference to discuss concerns of the protesters and possible settlement in Transconfinental (538 Pige Line cash out proceeding)
11.	II. List all that were present at the meeting			Numerous parties - technical conference on Transcontinental Gas Pipe Line's Order No. 637 proceeding in Docket No. RPD0-481-000, et al.	Numerous parties - restructuring proceeding.	Numerous parties - technical conference - in Docket No. RP00-24-000
Ë	1. Who Office Division (a) Inititated the			Commission - Yes, public notice - 11/16/00	Office of Markets, Pupeline Commission and, Rales East Ves Rolice Rates	Commission - Yes public notice issued 12/99
	(a)		£	Yes	Yes	Xes.
ပ	Division	Office of Hydropowe Energy r Admin & Projects Complianc	Office of Darn Salety Energy and Projects Inspections	Pipeline Rates East	Pipeline Rates East.	Pipeline Rates East
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Name	Office of Shaffer, Robert Willia Energy Projects	Shannon, Paul J.	Sheldon, Robert R.	Sheldon, Robert R.	Sheldon, Robert R.
Ц		1507	1508	1509	1510	<u>7</u>

	¥	V. Was any action IV. Date taken by the range Comm. In response Iv. Other Comments (if any) to the meeting				I did not meet any Enron employees at this meeting. I do not recall if any of the speakers were from Enron. I report this meeting because of the likelihood of Enron employee participation.	I do not recall whether I met or had any conversations with Enron employees at this conference.		and the state of t
	ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting			none	none	попе		
[	-	IV. Date range			probably btwn 2/2001 & 8/2001		2/25/1999 none		
	T	IV. Give				10/14/1988			
	9	III. What was the subject matter(s) of the meeting			Ms. Fullon and Mr. Alvarez were leaving another meeting and stopped to introduce themselves.	An introduction to gas regulation for FERC electric analysts	Grid Regionalization (RTO Structures, Regionalization and Reliability, Transmission Priting, etc.)		
		II. List all that were present at the meeting			Self. Ray Awarez (Enron), another FERC employee (possibly from OGC or OMTR)	INGAA sponsored conterence. No Yes Invitation by INGAA adalable list. Commission and published to the content of pipeline employees attended possibly including Ernon employees.	Widely attended by indusiry. Some FERC employees attended and Commissioners Massey and Hebert spoke. Steven Kean (Enron) spoke in a session illied "Has Functional Unbundling Failed".		
4	<u> </u>	I. Who Office Division (a) inititated the			Donna Fulton (Enron)	Invitation by INGAA			
4	1	(S	ž	ž	∠es	Yes	Yes	Ŷ	2
c	,	Division	East Branch	Issue fdentificatio n and Resolution Manageme nt	Division of Rates West	Division of Rates West	Division of Corporate Application s	Communic ations Manager, report directly to CIO	Office of the Executiv Front Office No e Director
α	3	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tariffs & Rates	Office of Markets. Tariffs & Rates	Office of Electric Power Regulati	Office of the Chief Informati on Officer	Office of the Executiv e
A		Name	Shelton, Keith R.	Office of Markets, Shepherd, Cordella M Tarriffs, and Rates	Office of Shepherd, Jennifer Raffeds, Division of Yes (Enron) Raffes West (Enron)	Office of Amarkets. Division of Amarkets. Division of Amarkets. Rates West Rates	Office o Electric Shepherd, Jennifer Rd Power Regulati	Office of the Chief Sherman, Kathleen L, Informati on Officer	Sherwood, Mary R.
L			1512	1513	1514	1515	1516	1517	15,12
_									

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	ts (if any)						
	VI. Other Commen		None	None	Nane		
	IV. Give IV. Dateltaken by the the date range Comments (if any)		Letter Order Issued 100/193. 65 FERC par 61,011	Letter Order issued 6/18/39, 87 FERC par 61,321	3/09/95- Order on Rehearing, 74 2.21.96 FERC par 61,190		No
	IV. Date	•	10/02/81- 5/31/93	5/06/98-	3/09/95-		April 1998 - September 2000
	IV. Give						
	III. What was the subject matter(s) of the meeting		Settlement discussions in Northern Natural Gas rate case, Docket No. RP92-1- 000.	Settlement discussions in Northern Natural rate case, Docket No. RP98-203-000	Settlement discussion in Northern Natural rate case, Docket No. RP95-185-000		Gathering information relating to the Audit of Cost of Removal/Abandonment of Plant
	II. List all that were present at the meeting		Don't Know	Don't Know	Don't Know		Division of Docket if Fage Oper Lu and accept Redundane) but States of Regulatory (vs. Docket if Fage 0.03 with various employees (Do not ODRA).  (DRA)
u	the		Office of Markets. Tantiffs, Litigation Yes Don't Know and Rates	Office of Markets. Tarrifes, Litigation Yes Don't Know and Rates	Don't Know		Audit Team for Docket # FA98-003
c	<u>a</u>	ž	Yes	Yes		Š	Yes
(	Division	Rates - West	Litigation	Littgation	Litigation	DLM	Division of Regulatory Audits (ORA)
٥	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of the Executiv e Director
4	Name	Shipley, Jennifer	Shriver, George M.	Shriver, George M.	Shriver, George M.	Shumpert, Irean	Siddell, Dwight B.
	'	1519	1520	1521	1522	1523	

Response to Question No. 3 if the February 15, 2002 Letter From Senators Lieberman and Thompson

Х	VI. Other Comments (if any)					
	ction ponse VI. Other C	uo pe	_	with	with dit RP99-	with displayed RP99-
~	IV. Give IV. Date taken by tho the date range Comm. in response to the meeting	July 2000— December December 7, 2001		RP99-322 ended with Settlement		HP99-322 ended Sattement No. Audit Was Audit Was Cerminase and Mon Locket # 1927.
	IV. Da	July 2000. December 2001			July 1999 October 1999	July 199 Octobe 1999
I	IV. Giv the date					
9	III. What was the subject matter(s) of the meeting	Gathering information retailing the Audil of Northern Natural's Construction Activities		Gathering Information relating to rate case filing (Docket # RP99-322)		
	II. List all that were present at the meeting	Audit Team for Chiesen Research Provings (Stokel, Sussan Tolkent Cootel Montow and Chiesen Resealth held phores to Docket # FAUO 28: convensations and meetings with various employees of horhwent Natural Back Chinganthy & Plant Accounting Department		Litigation Team meeting (Site Visits) with Northern Border Pipeline Company staff collecting information relating Docket # RP99-322.	Utigation Team Whit Nothern Grove Pipuline Obocket # FIP99.  222 Company staff collecting information relating Docket # FIP99.  222 Company staff collecting information relating Docket # FIP99.  222 Company staff collecting information relating Docket # FIP99.  222 Company staff collecting information relating Docket # FIP99.  223 Company School Collecting information relating and George File Collectif # FIP99.  224 Collectif # FIP99.  225 Collecting information relating and Collecting information relating and Collecting information relating and Collecting information relating and Collecting information relating to Collecting Information relating Information relating to Collecting Information relating to Co	Litigation Team meeting (Site Visits) with Northern Octobre Popular with Northern Octobre Popular Octobre Popular Octobre Popular Octobre Popular Octobre Visits of Northern Bottom Company's Accordantly and Engineering Departments.
m	i. Who Office Division (a) intitated the	Audit Team for Docket # FA00-38- 000		Division of Litigation Team Regulatory Yes Docket # RP99. Audits 322		
۵	(3)			Yes	Yes Yes	Yes Yes
O	Division	Division of Regulatory Audits (DRA)		Division of Regulatory Audits (ORA)	Division of Regulatory Audits (DRA) Division of Regulatory Audits (DRA)	Division of Regulatory Audits (DRA) Division of Regulatory Audits (DRA) D2SI
В	Office	Office of the Executiv e Uirector		Office of the Executiv e Ulrector	Office of the Executive Executive Office of the Executive Executiv	Office of the Charles of Office of Office of the Charles of the Charles of the Charles of Charles of Energy Projects
¥	Name	Siddell, Dwight B.		Skidell, Dwight B.		
П	-	1525		1526		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	A	8	O	6	3	4	9	1	F		7	
	Name	Office	Division	(3)	1. Who Initiated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)	
	Sierre, Jonathan L.	Office of Markets. Tarriffs, and Rates	Div of Litigation		Yes FERG	A. Siems, A.J. Bobble McCartney Michator), Laum K. Shepperal (10-CL), Herbert Tate (United, ad hoc. Control of assistant phe Medianor). Enron nepresentatives, many dozens the form ranker participants androi those potentially afficient by the statistic and restrictions and restrictions and restrictions and tivestic owned utilities, proved tivestic owned utilities, proved timplers and restantission owners, uppliers and restantission owners, transees or Main, with and Southoustern Power Admin, industrial Couthoustern Power Admin, industrial constroners, several Sital regulatory commission representatives, etc.	Docket No. RTO1-100 Mediation attempting no read- rocessus a among multiple parties not per principles for the establishment of a single Regional Transmission and roganization in the Southeast region of the U.S.		July - September 2001	None specifically in response to the mediators ultimate egon!  but further inquiry such as technical conferences and conferences and conferences and conferences and conferences and the form of State sensued given the diffuse succovered as a result of the FRPC's abuy decision to decision to decision to decision to decision to decision to the sistens expensed in this and other mediations.	Horne speedically in Emon either individually or with other semantic prepared in similarly solution entities prepared in mediant's ulmate experience by the semantic prepared in the semantic consideration participates and Southernsteam (Participates and Southernsteam). The hardcomplete is departed in the rediation, and such offers that consideration participates in the mediation. All such offers that consideration participates and Southersteam were submitted to the mediation, where the semantic plann and other predictions on a strictly as a result of the FERO's flower confiderated base to make the semantic plann and other predictions to the Stems serveral the form of RTO's in its.  The semantic planning and when they were directly detected the semantic planning and when they were offerely detected the semantic planning and when they were offerely detected the semantic planning and have a semantic planning and the se	
	Silverstein, Alison	Office of the Chairma n	Office of the Chairman	Yes	Yes Dan't Know	FERC OMIT outreach  THERC Staffers, representatives of meeting to hear wind in whid industry (AWEA, Altentic concerns about whotes trenewables, other) and Erron Trading market operations and renewables.	FERC CMTR outreach meeting to hear wind industry concerns about wholesale market operations and renewables			No		
	Office Admin Silverstein, Edward Marthe Law Law	Office of Administ rative Law Judges	None	2							Your questionatie does not exclude hearings, prehearing conferences, etc., and it should have no recollection of ever implayee or impressed with an Euron employee or representative. Manopour, iwould not have met with any Erron amployee or expressible except at publicy noticed hearings, prehearing conferences, etc., for which transcripts were made or at estill ment conferences which were addressed by all parties in a case pursuant to	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Г	T	1		1	1	1 6-	$\overline{}$
7	VI. Other Comm		In the time available for response, I have been unable to locate any notes from the RTO West meetings.	I sat at dinner with Nancy Baggot and several other people from FERC and industry. Conversations were of general nature.		These were visits with long-time (15 years) friends made at a time prior to employment with Enron.	
	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	While I do not have a superfice necord as to any given pre-filling meeting, the nature of such meetings is that an application is typically filed and the Commission lakes action on the application.	The Commission issued September an order on RTO October applications. I was not 2000 involved as a decisional party on these orders.	May 16-18 Not to my knowledge.	Not to my knowledge	No,	
-	V. Date	November 1997 - August 2001	eptember October 2000	tay 16-18. 2001	Spring 2000	December 1997- October 2000	
	IV. Give I		55	2			
9	III. What was the subject matter(s) of the meeting	altended a wide variety of meetings including pre-filing meetings of which an Erron fallitate may have been present I do not have specific records or recall specific cases.	RTO formation in the west	The conference was Annual Regulatory Affairs.	Various energylaw related issues	Gathering of friends which included Lisa Yoho, an employee of Enron	
ď	II. List all that were present at the meeting	To the best of my recollection. I have no record of those present at any given meeting.	From the Cornnission: myself and Mike Coleman. I recall representatives from Puget Sound being present in addition to many other market participants in the West.	Multiple industry representatives. Nancy Bagot and Joe Hartsoe on Enron (and/or affillates) were present	industry representatives, including representatives from Enron	Gathering of friends whi Lisa Yohn, and other personal friends. Included Lisa Yoho, an employee of Enron	
В	Office Division (a) Inititated the	Pariles other than	RTO West market participants	Yes INGAA	Yes Energy Bar	Third parties, Yes myself, or Enron rep	
0	(a)	Yes	Yes				Š.
ပ	Division	Electric Power Regulation	Issue Identificatio n & Resolution Manageme nt	Division of Corporate Application s	Office of Identification and Identification and Manageme Rates nt	Office of IRAWCorpo Markets, rate rate and Sand Sales	D2SI, San Francisco Regional
В	Offlice	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy
A	Name	Simler, Jamie, L.	Simler, Jamie, L.	Simler, Jamie, L.	Simler, Jamie, L.	Simler, Jamie, L.	Simmons, Ira B.
		1533	1534	1535	1536	1537	

IV. Give IV. Date laten by the the date range Comm. in response (If any) to the meeting 303 Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting II. List all that were present at the meeting Office Division (a) Inititated the meeting Office of Division of the Executiv Regulatory No Audits Division of Dam Safety No and Inspections Š £ ž 운 Š Tariffs and Rates -EAST Rates -West - 3 MAPS DLM D2SI Office of Energy Projects Office of Markets, Tarriffs, and Rates the Executiv The Office of Energy Projects Office of Markets. Tarriffs, and Rates nms, Clarence E. mith, Christopher Smith, Daniel, P. Name Simon, David L. Singh, Gajinder Skalka, Susan, I Smith, Gail M. 1542 1539 1540 1541 1543

Response to Question No. 3 irrthe February 15, 2002 Letter From Senators Lieberman and Thompson

Name	_	γ				·	,		
Name   Office   Division   (a)   Inititated the meeting   II. List all that were present   Subject matterfs) of the date   III. Mho   III. Mhat was the meeting   III. What was the meeting   III. W	Ā	VI. Other Comments (if any)						The state of the s	
Name   Office   Division   (a)   Inititated the meeting   II. List all that were present   Subject matterfs) of the date   III. Mho   III. Mhat was the meeting   III. What was the meeting   III. W		V. Was any action taken by the Comm. in response to the meeting						Settle of rate proceeding filed on April 16, 1999, supported by all parties.	, cN
A meeting         I. Who         II. List all that were present at the meeting         III. What was the subject materis) of the meeting           Smith, John, B. Fercell         Office of Smith, John, B. Fercell         Disclored of Smith, John, B. Fercell         III. What was the subject materis) of the meeting           Smith, John, B. Fercell         Office of Smith, John, B. Fercell         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Office of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management           Smith, John, B. Fercell         Disclored of Management         Disclored of Management           Marketis, Eugene R.		IV. Date range						From May 1998 to April 1999	07/26/199 8- 07/31/199
A B C D E  I. Who  Office of Administrated the Administration of Energy Englewein Cliffice of Physical Cliffice of Shyden Cliffice of Shyden Englene R Taniffs, Rales Weet Yes FERC Staff Administration of Market Shyden Englene R Taniffs, Rales Weet Yes FERC Staff Administration of Market Shyden Englene R Taniffs, Rales Weet Yes FERC Staff Administration and Administration of Market Shyden Englene R Taniffs, Rales Weet Yes FERC Staff and Personnel.	·	IV. Give the date							
A B C D E  I. Who  Office of Administrated the Administrated the Administration of Intitiated the Intitiation of Intitiated the Administration of Intitiated the Intitiation of Intitiated the Administration of Intitiated the Intitiation of I	9	III. What was the subject matter(s) of the meeting			- Committee of the Comm			Settlement coonferences to resolve cost of service and rate design issues.	Company field audit, Omaha, Mebraska, in conjunction with rate case filing in Docket No. RP98-203-000.
Mame Office Division (a) Initiated the meeting Office of Administ Luz No	11	were present						All parties to the proceeding, including Northern Natural and FERC Staff.	
Name Name Smith, Gleria T. Smith, James L. Smoyer, Andrew, T. Smoyer, Andrew, T. Smoyer, Eugene R. Snyder, Eugene R.	3	I. Who Inititated the meeting						Publically noticed by FERC Staff	FERC Staff
Name Name Smith, Gleria T. Smoth, Janee L. Smoter, Andrew, T Smoyer, Andrew, T Smoyer, Eugene R. Snyder, Eugene R.		(a)	82	S S	8	S _O	No	Yes	Yes
Name Name Smith, Gleria T. Smith, James L. Smoyer, Andrew, T. Smoyer, Andrew, T. Smoyer, Eugene R. Snyder, Eugene R.	O	Division			Division of Environme ntal and Engineerin g Review	Manageme nt, Administrati ve and Payroll System		Rates West	Rates West
Name Name Smith, Gleria T. Smith, James L. Smoyer, Andrew, T. Smoyer, Andrew, T. Smoyer, Eugene R. Snyder, Eugene R.	В	Office	Office of Administ rative Law Judges	Office of Energy Projects	Office of Energy Projects	Office of the Executiv e Oirector	Office of the Secretar y	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
551 546	A	Name	Smith, Gloria T.	Smith, Janee L.	Smith, John, B.	Smoyer, Andrew,	Snesrud, Patricia	Snyder, Eugene R.	Snyder, Eugene R.
	Ц		1546	1547	1548	1549	1550	1551	1552

Response to Question No. 3 lir the February 15, 2002 Letter From Senators Lieberman and Thompson

VI. Other Comments (if any) V. Was any action
IV. Give IV. Date taken by the
the date range Comm. in response
to the meeting Settlement of rate proceeding filed on August 1, 1997, supported by all parties. March 1996 to July 1997 03/26/199 6-03/29/199 6 10/9/2001 1/8/2001 Settlement conference to resolve cost of service and rate design issues in Docket No. RP96-45-000. Company field audit, Omaha, Nebraska, in conjuction with rate case filing in Docket No. RP96-45-000. Format of oral argument in an appeal of FERC orders. III. What was the subject matter(s) of the meeting Format of appellate briefs Frank X. Kelly, representing Erron Pipelines Numerous other counset in the case II. List all that were present at the meeting Frank X, Kelly, representing Enron Pipelines Numerous other counsel in the case All parties to the proceeding, including Northern Border and FERC Staff. FERC Staff and Northern Border Personnel. I. Who
(a) Inititated the meeting Rates West Yes by FERC Staff Andrew K. Soto Yes Andrew Soto FERC Staff 6 Yes Yes S ŝ Š Division Human Resources Human Resources Solicitor Solicitor Budget Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, Pand Office Executiv Executiv Office of General Counset xecutiv Snyder, Eugene R. Snyder, Eugene R. Sozzi, Eugenla E. Name Soto, Andrew K. Soto, Andrew K. stelo, John L. Sosa, Terri L. 1558 1559 1553 1554 1556 1557 1555

			The state of the s	
306	×	V. Was any action range Comm. In response to the meeting		·
Letter	7	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	No action. An order Issued in this docket on May 31, 2001.	No action was taken in response to the meeting response to the meeting vote of the fact of
2002 Sn	-	IV. Date range		
ary 15, ; hompse	ī	IV. Give the date	000292000	1/11/2001
3 in the Februa	9	III. What was the subject matter(s) of the meeting	CICS Filing to Compty with Order No. 637	Questar Pipeline Companys Filing to Comply with Order No. 637
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	4	<ol> <li>List all that were present at the meeting</li> </ol>	Kim Cuny for Enron North America Marche Sago, Erron Frank Soshole FERC Sautha Eller FTEC Parkles Eller FEEC Sautha Eller FTEC Parkles Eller FEEC Sautha Eller FTEC David State Carther Cart FEEC David State Carther Eller David State Command Sago Teams State Command Sago Teams State Command Sago Teams State Command State Clark Nobell Calleghe Ulbrown Cide State Colling State Command Coll State Colling State Colling State Colling State Colling State Coll State Moball Tim Even Nobell State Coll State Moball Tim Even State State Colling State State Colling State Colling State State State State State State State State State State State State State State State State State State State State State State State State State State State State State State State Anderson EOG	Mancy Bagot - Ernon Frank Spather - FERC Sulting - FERC Julie - Julie - Julie - FERC Julie - FERC - FERC Julie - FERC Julie - FERC - FERC Julie - FERC - FE
Respon	Е	I. Who (a) inititated the meeting	FERC	FERC
			, Yes	Yes
	O	Office Division	Diffice of Jacob Mest Yes FERC and Sales Rates West Yes FERC And Sales	Office of Markets, Tarriffs, Rates West Yes FERC and Rates
	В	Office	Office of Markets, Tarriffs, Tarriffs, Rates	Office of Markets, Tarriffs, and Rates
	٨	Name	Sparber, Frank A.	Sparber, Frank A.
		-	1560	1561

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	VI. Other Comments (if any)		The state of the s
ſ	W. Give IV. Date taken by the the date range Comm. in response to the meeting	Mo action. On May 31, 2001 the Commission lessand an order in this dooked.	
-	IV. Date range		
Ī	IV. Give the date	10/3/2000	
9	III. What was the subject matter(s) of the meeting	CiGs filing to comply with Order No. 637	
4	il. List all that were present at the meeting	Randal Reh. Bracewell & Pattesson for Erron No. America Fraik Spather FERC Sanda Ellout. FERC living Nords Raymond James - FERC brinds Reby. FERC Julia Partana Burdan Bulled FERC Standa  Bulled FERC Standar Matalhon-Chevron Kowin Rowenbra. Matalhon-Chevron Kowin Rowenbra. Matalhon-Chevron Kowin Kowenbra. Gold Robert William Schmidt United Wayme Gillespile - Utilicap United Wayme Gillespile - Utilicap United Wayme Gillespile - Utilicap United Standard Coard Resources Costal Coard Resources Costal Coard Robert Coard Coard Standard Coard Resources Foundard Standard Standard Standard Coard Standard Standard Collin Standard Coard Coard Power Standard Coard Coard Pendina Gold Ferce Resources Coard Coard Standard Electron Coard Robert FERC Robert FERC Gordon Donald Zelezger Bill Krichert - Willison Denning Gold Ferce Reddakis - FERC Robert Roddakis - FERC Robert Roddakis - FERC Robert Roddakis - FERC Robert FERC Bond Collon Shepter - CIC Lon Shepter - FERC Robert - Willison Collon	
Е	Coffice Division (a) inititated the meeting	FERC	
0	(e)	Yes	ž
0	Division	Office of Markette, Tariffs, Rates West Yes FERC Aales	Division of Financial Policy
В	Office	Office of Markets, Tarriffs, and Rates	Office of the Executive e
A	Name	Spatter, Frank A.	Speight, Helen S.
	-	1562	
_		La constantina de la	L

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_						
	X	V. Was any action IV. Date taken by the range Comm. in response to the meeting	l arr not a deolsomal employee and the only contact with Enton has been referral of teleptrour calls or receiving clash fillings in remain work product situations over the years.	The state of the s			- Additional of the state of th
	ſ	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	g.				and the state of t
5		IV. Date range	July or August of No 2001				
2	I	IV. Give the date					
מבוווימוו מווח וו	9	III. What was the subject matter(s) of the meeting	Hartoes and Novosel wanted floword if Yorke way allowers, who might be inherested in working for Error in the Washington Cherror in the Washington Washingt				
I TOTAL SERIATORS ELECTERINALI ARIU I HOTILIPSON	_	II. List all that were present at the meeting	vas Error (Washington Myself, Joseph Hartoo, and Serah Novosel				
	Ε	(f. Who Office Division (a) inlittated the meeting	Enron (Washington Office)				
	۵	(a)	Yos	ON	ž	No	No
	ပ	Divísion	Office of the Secretary	Office of Dam Safety Energy and Projects inspections	Office of Markets. Division of Markets. Division of Tarriffs, Rates and No and Tariffs-East Rates	Office of Organizatio the nat Executiv Manageme e nt	Office of Markets, General Tariffs and No Counsel Rates
	В	Office	Office of the Secretar y	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Executiv e Director	Office of General Counsel
	A	Name	Office of Office of Spercer, Charles Brassecretar Secretary	Spicer, Stephen A.	Springer, Miche	Squirewell, Bessine E	Stanek, Jason M
		-	1564	1565	1566	1567	1562
•							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	8	C		4	From Senators Lieberman and Thompson	berman and Th	Sdmor	ر ا		
	Name	Office	Division	(a)	the	ii. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give	IV. Date range	V. Was any action taken by the Comm. in response to the meeting	IV. Give IV. Date taken by the date range Comments (if any) to the meeting
1569	Office of IT the Chell Infrastructul Stankus, Alexander J Informati re and on Officer Operations	Office of the Chief J Informati on Officer	IT Infrastructu re and Operations	N _O							The control of the co
1570	Starkey, Jeannette	Office of the Executiv e Director	Office of Administrati the Administrati Executiv ve Support No e Staff Director	S.							THE CASE OF THE PARTY. AND ADDRESS OF THE PARTY OF THE PA
1571	Steen, Cartton	Office of Markets, Tarriffs, and Rates	Liftgation	Yes	Litigation Yes Northern Natural FERC Staff and its Customers Personnel	f and Morthern Natural	Cost of service and rate design issues	5/1/1998	4/30/1989	Settement of rate proceeding fleed April 6, 1996 4/30/1996 1999, supported by all parties.	
1572	Steen, Cartton	Office of Markets, Tartiffs, and Rates	Littgation	Yes	Litigation Yes Black Marlin and its customers	FERC Staff and Black Mariin personnel	Cost of service and rate design issues	5/1/1993	May 1993 - August 1093	May 1993 Settlement of rate Adupus proceeding approved July 1993 1994 and supported by all parties.	
1573	Steln, Martene L.	Office of Markels, Tarriffs, and Rates	Office of the Associate Director	8					-		
757	Stein, Michael H.	Office of the Executiv e	ром	£							Additional to the control of the con

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	У	V. Was any action W. Dateltaken by the range Comments (if any) to the meeting			Ihave only a recollection of such a meeting, thor occords. Therefore, I don't enrember who alterided or precisely when the meeting was. On lanuary 11, 2001 EOIT withdrew the complaint.			During the mis 90's I attended a few Northern Varuarial technical conferences. I was only an observer. No further information available.
	ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting			No.	Š.	No.	
5	-	IV. Date range			11/09/00 and 1/11/01			Mid 1990
2	Τ	IV. Give the date				5/11/1999	2/28/2000	
	ර	III. What was the subject matter(s) of the meeting			To gather information from parties and to discuss potential settlement terms.	To present what the new office was about.	Discussion of our tour of the trading floors and social smalls!k.	Technical Conferences
Total College Broad Illen and Thompson	¥	H. List all that were present at the meeting			Representatives of EOTT Energy Operating Limited Parinership (Enron Subsidiary), Concro Pipeline and Commission Trial Staff	Employees and members of INGAA (the Interstate Natural Gas Association of Armerican, members of the Office of Administrative Litigation; netdering Bill Froehlich, Deputy Director, and Ken Ende, Special Assistant.	Dick O'Neil, Chief Economist, FERC; Marsha Gransse, Depuly General Counsel, FERC; Virginia Stresser, then Director, Office of Administrative Litigation, FERC; Dennis Stram, Erron	Various Parties
	Е	1. Who Office Division (a) inititated the meeting			Division of Policy, Initiated under Innovation Yes Docket No. OR00-and 17 17 17 17 17 17 17 17 17 17 17 17 17	Yes not sure	Yes Dick O'Neill	Yes FERCStaff
Ī	۵	(a)	N _O	S Ž	Yes			Yes
	ပ	Division	Rates - East	Central	Office of Policy. Markets, Innovation and and Communic Rates atton	Market Office of Oversight General and Counsel Enforceme	Market Office of Oversight General and Counsel Enforceme	Rates - West
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of Markets, Tarriffs, and Rates
	A	Name	Steinberg, Robert	Stephenson, Brian	Stewart, Hugh	Strasser, Virginia	Strasser, Virginia	Stratton, Donna M.
		-	1575	1576	1577	1578	1579	089

Response to Question No. 3 in the February 15, 2002 Letter	From Sanafore Lieharman and Thompson
Ξ	Ē
چ	3
ž	۲
ğ	2
ŭ	G
he	G
<u>=</u>	Ę
=	ğ
٠,	9
9	-
_	Ľ
₫	\$
St	6
e n	ā
ā	-
2	5
Ō	ü
ns	
õ	
ŝ	
8	

Staff conducted a field audit at Northern Natural in Omaha, Nebrask on July 26-31, 1998. VI. Other Comments (if any) V. Was any action
V. Was any action
IV. Date taken by the range Comm. in response to the meeting Settlement of rate case or proceeding was filed on St. April 16, 1999 and supported by all parties to 19 the proceeding. September 24, 1997 Commission Order rr approving Settlement filed on August 5, 1997. Various dates between November 1996 and July 1997 July 26-31, 1998 July 2001 through October 2001 Give . ₹ Megoliated rates charged by Transverserant in consolidated rate proceeding in RF97-288. On the RF97-284 Commission Cortex fathe Stool of Proceeding in RF97-284 Commission Cortex and the Proceeding in RF97-284 Control of Proceeding in American Conducted in Front of Auj Leisenghal in August 2001. All Juliand Decision Issued Cachologo 2, 2001. Settlement conterences to resolve Northern Natural cost of service and rate design Issues in RP98-203-000. Procedural and substantive issues in consolidated rate proceeding in Docket Nos. RP96-366-000 and FA94-15-002 III. What was the subject matter(s) of the meeting FERC Staff, Northern Natural personnel, and all parties and intervenors in the pipeline rate case in RP98-203-000. II. List all that were present at the meeting FERC Staff/Florida FERC Staff, Florida Gas Personnel, Gas FERC Staff/Transwestern Pipeline personnel, California Public Utility Commission staff, and Intervenors. I. Who Inititated the meeting Publically noticed meeting by FERC Staff 8 Yes Yes Yes (e) Division Tariffs and Rates, Central Division of Litigation Division of Litigation Divsion of Litigation Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Markels, Tarriffs, and Rates Office Sullivan, Barry E. Sullivan, Barry E. Sullivan, Barry E. Name 1582 1583 1584 1581

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						rioni dellatora Ereberritari anu indiripadi	Deligation III	Schio	=		
Ш	A	В	U	Δ	ш		9	I	-	7	Υ
· · · · · · · · · · · · · · · · · · ·	Name	Office	Division	(a)	Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action V. Date taken by the range Comm. in response to the meeting
1585	Sulivan, Barry E. 5	Office of Markets, Tarriffs, and Rates		Yes	FERC StaffFlorida if Gas	Liligation   Yes   Gas   Personnel   Perso	Audit of Florida Gas cost of service and cost allocation and rate design in RF96-366		Various dates between November 1996 and July 1997	Various September 24, 1997 between Commission Order Overwher approving settlement filed 1998 and on August 5, 1997.	Various dates september 24, 1997 between Corrmission Order Staff conducted a field audit at Forlda Gas November approving settlement filed in Houston, TX in early 1997. July 1997
1586	Sultan, Shaheda 16	Office of General Counsel	mıtr	Yes							I do not now know or remember whether I had any communications with Encor personnel, but am not willing to caterogically state that I did not.
1587	Sum, Pong T.	Office of Energy Projects	DAM SAFETY AND INSPECTI ONS	Š							
1588	Sumpter, Kevin F.	Office of Markets, Tarriffs, and Rates	Office of Markels, East Group N and 2 Rates	No							
1589	Swanson, Karl F.	Office of Energy Projects	02SI-PRO	ON							
1590	Swearingen, David C. Energy Projects	Office of Energy Projects	Gas Branch 1	å							
1591	Szopo, Irene E.	Office of General Counsel	Litigation	ž							
1502	Tabbs, Denise H	Office of the Chief Informati on Officer	Office of IT Strategic informati Planning on and Policy	S.							

, 2002 Letter	;
55	1
February '	The state of the state of
⊒e ⊒e	1
. <u>=</u>	1
<u>س</u>	3
우	-
Question I	Constant Constant   International Theorem
Response to	ü

5,

Senators Lieberman and Thompson

	¥	V. Was any action IV. Date taken by the range Comm. In response to the meeting			A transcript of this conference was made	THE REAL PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF T
	ſ	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	- o	ÖŽ	The Commission issued an order on December 19,200. The dockes Anumber of this proceeding is EL 01-68-000.	
	-	IV. Date range				
2	Ŧ	IV. Give the date	6772001	9/8/2001	10/29/2001	
BIID IIII		III. What was the subject matter(s) of the meeting	Social Occasion	Social Occasion	We discussed West-wide price mitigation for the winter season. The Erron and rectand of representables were on the second panel of Schale." A season season included Schale. Tallman, and Theaker.	
	<u>.</u>	II. List all that were present at the meeting	Scott (last name unknown - former Emron employee achte three he was fellower Genes achte firme he was fellower Genes achter). Genes achter follower Genes achter (last one follower genes achter fell stell men worden. Il under fells annew unknown, illusias death and her formet Megan (last name workovn). Lide dohmen, May Morton, Jason and Etabeth Rylander. Marie Vorters, Carlot (last name Marie Vorters	Scott (last name unknown - former the maps of the films the was taling Geas Oberol). Geas of the films the was taling Geas Oberol). Geas of Scotlost-Kinkin and her husband vol (last name unknown). The husband vol (last name unknown). Warsa Scadani, Mary Monton, Maria Vours, Lodie While, David Faerberg, Bob McLean	Michael Bardee, Leonard Tao, Horozable Arma G. Estoo, Bill Julian, Lyun Leidheko, Cady Stem, Cyan Stem, Cyan Stem, Cyan Stem, Charles, G. Salo Commess (Errorn Power Marketing), Mike Nelwey (Perchand G. Bylan) Sobajie, "Naf. Fallman, Blein Theaker, Forng Wan, Fornbard C. Bylan, Sobajie, "Naf. Fallman, Blein Theaker, Forng Wan, Fornbard L. Bordeel, Jamel Beach, Richard L. Mowood catendad commissioner for the meeting, but did not actively participates, Scott Maller, There were other many propole wing make other many propole wing make other many propole wing material participates. There were other many propole wing material participates.	
	ш	Office Division (a) Inititated the meeting	Yes of Eugene Grace	Yes A party at Lodie White's Home	Office of Markets, Coursel Taiffs, and Yes The Commission	
4		(a)	Yes	Yes	Yes	oN N
	د	Division	MIR	MTR	Markets. Teriffs, and Rates	DZSI, PRO No
	8	Office	Office of General Counsel	Office of General Counsel	Office of General Coursel	Office of Energy Projects
	ď	Name	Tao, Leonard M.	Tao, Leonard M.	Tso. Leonard M.	Taug, Richard B.
ſ	T	-	1593	1594	1595	Č

Response to Question No. 3 in tne February 15, 2002 Letter From Senators Lieberman and Thompson

_	_					
7	۷	V. Date laten by the state of t				
-	7	V. Was any action V. Give IV. Date taken by the the date range Comm. In response to the meeting		After a series of meetings the Commission issued the Biling instructions for electronic filing of Forms 2. 2-A, and 11; the Index of customers; discount rate report, and major rate case filings.	after a series of working group meetings, its meetings, its processor filing instructions for submitting the Form 2. 2A. 11; index of customers, discount rate report and major rate fillings in electronic formal fillings	after a series of working group meetings. In a Commission issued filing October 9- instructions for submitting 10, 1996 (the Form 2. 2.4.11; index 10 customers, discount rate report and major rate fillings in electronic format
	-	IV. Date range			March 20 - 21, 1996	October 9-
		IV. Give the date		12/12/1995		
	9	III. What was the subject matter(s) of the meeting		informal working group meetings were hald to more promate for electronic 12/12/1995 filing requirements specified in Order Nos. 581 and 592.	meetings to develop formats for electronic filling group formats for electronic filling and for electronic filling was fast and 562 for various forms and filling types.	after a series of working group meetings, the formasson issued filing instituctions for submitting the Form 2.24, 11; index of customers, discount rate report and major rate filings in electronic format.
	_	II. List all that were present at the meeting		I don't have the sign in streets anymore but Erron was represented but Bard on Northern Natural Gas Company and Florida Gas Transmission	I no longer have the sign in sheets but meelings to develop formats Error was represented by staff from I for electronic filling Northern Natural Case and Florida Cas. Troquiements specified in Trensmission  Various forms and filling types	after a series of working group meetings, to a series of working available but Errow was represented instructions for submitting by saff from Northern Natural Gas and Form 2. 2A. 11. index of Florida Gas Transmission report and major rate filtre port and major rate filtre.
	ш	Office Division (a) inititated the meeting		Office of Knowledge Commission informal information Yes directed self to officer it Group for the Coupe of the Coupe	Office of knowledge Commission Information Yes directed steff to on Manageme hold migs	Commission Yes directed staff to hold migs
6	1	(a)	cN _O	Yes	Yes	Yes
	١	Division	network operations	Office of Knowledge he Chief and Information on Managene Officer nt Group	Office of Knowledge he Chief and hormatly hormatly and Manageme Officer nt Group	Knowledge and Information Manageme nt Group
	۵	Office	Office of the Chief Informati on Officer	Office of the Chief informati on Officer	Office of the Chief Informati on Officer	Office of the Chief Informati on Officer
•	₹	Name	Taylor, Diane L.	Taylor, Elizabeth A.	Taylor, Elizabeth A.	Taylor, Elizabeth A.
		-	265		1599	1600

Response to Question No. 3 in tne February 15, 2002 Letter From Senators Lieberman and Thompson

	A	8	0	6	3	1	9	·	F		¥
-	Nате	Office	Division	(a)	Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
1601	faylor, Elizabeth A.	Office of the Chief Informati on Officer	Office of Knowledge and nformati Information Managene on Officer in Group	Yes	Office of Knowledge and Chief and Information Yes OED & CFO information Yes OED & CFO on Manageme of Chicer int Group	BIII McDemort, OED8.GFO Kathy OED8.GFO Area Milmer, OED8.GFO Judy Martin, GED8.GFO OED8.GFO Judy Martin, GED8.GFO Orlando, CDSI Ann Martin, GED8.GFO Orlando, CDSI Ann Martin, GED8.GFO OESE Centra Chappell, OSEC Beht Taylor, OPR Michael Coegarve, TERS Judirey Lewine, Gerray Dea Corr, Judireb Bandrea, GKRS Pat Baton, Kooh Kathy Mongaan, Enron Corp, Kitsten Sweaney, Sonat Stacy Vargecko, Akin Gunp	At the Public Reterence Room Users' Forturn staff and clients of the Public Reterence Room (PRRR) Recossed ways it which to improve the service of the PRR.	4/2/1946		No action was required of me.	
1602	faylor, Elizabeth A.	Office of the Chief Informati on Officer	Mice of Knowledge and Information on Managemen Officer at Group	×9×	Office of Knowledge Div. of Policy, Informatil Information Yes Planning, and on Manageme Oversight	I have no notes on who attended from During the month of October staff from the outside: Kardy Rich - 1994, Staff conducted 19 for the first of 2020 26.5 byt a Laus Minner - outsides meetings with Tennico Energy Resources Corp. Root reprosentatives of all Tendine - Tennico Energy Resources Corp. Releases Cartieli - ENRON strengths and weaknesses of Corp. Releases Cartieli - ENRON strengths and weaknesses to Corp. Covino - KCS Energy Markelin Lisa the capacity releases program Yobbo - independent Oil & Gas Association of PA (IOGA)	During the month of October 1994, Staff conducted 19 outreach in medings with representatives of all segments of the natural of the natural of the natural segments and veaknesses of the capacity release program. One meeting was with a group that inc.	11///1994		The Commission issued a rulemaking in Docket No. RM69-5-000.	
1603	Taylor, Elizabetn A.	Office of the Chief Informati on Officer	Office of Knowledge the Chief and Information on Manageme Officer at Group	Yes	Office of Knowledge Commission the Chef and Commission information Yes directed staff to on Maragene hold migs Officer int Group	Informal working groups developed formats for developed formats for developed formats for developed fines requirements specified in Order Nos 581 And 582 for the Form 2, 2-A, and 11, index of customers, decount rate eports and major rate case fillings.	Informal working groups developed formats for abectroot filing requirements specified in Order Nos 581 and 592 for the Form 2, 2-A, and 11; Index of discount rate reports and major rate case filings.		February 7 - 8, 1996	After several meetings were hald the February 7 Commission Issued the - 8, 1999 flooring storkling fatholing for filing in electronic format.	
1604	Taylor, Tiquana M.	Office of the Secretar y	Registry and Service	S S							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_			·				
	Υ	V. Was any action taken by the Comments (if any) to the meeting			included in submission by Saida Shaalan			
	ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	Commission Staff used information obtained during the development of its Prepared Direct Testimony	Settlement of rate proceeding filed on April 16, 1999 supported by all parties.			Ŷ.	Q
uo	_	IV. Date range	7/26/98 -	5/98 - 4/99				5/97 thru 6/97
sdwo	Н	IV. Give the date			Summer 2000 and 2001		11/18/1993	
perman and Ir	9	III. What was the subject matter(s) of the meeting	Field Audit by Commission Staff at Company Offices	Technical/Settlement Conferences	OMTR Outreach		Settlement of Black Marlin rate case in docket No. RP93. 11/18/1993 70-000.	Rechnical conference and sestifement negotlations on rafe case issues set for nearing in docket No. RP96-386-000 et al.
rrom senators Lieberman and Thompson	Ŀ	II. List all that were present at the meeting	Lifeaton Yes Commission Staff Personnel Personnel	Ali parties to the proceeding including Technical/Settlement Northern natural and FERC Staff Conferences			FERC STaff and Black Marlin representatives.	User of Technical conference and Technical Con
	Е	I. Who Office Division (a) intitated the	Commission Staff	Northern Matural Yes gas Company			Robert Kilmer of Yes Black Marlin Pipeline	FERC Staff/Florida Gas reps.
Ŀ		(a)	Yes	Yes	Yes	No	Yes	Yes
	ပ	Division	Litigation		DIAG	Hydro East Group 2	Litigation	Litigation
	В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, a Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Name	Taylor, James S.	Taylor, James S.	Office of Markets, Tekumalla, Visweswal Tarriffs, and Rates Rates	TerHaar, Monte J.	Terry, Carol A.	Terry, Carol A.
	T	-	909	1606	1607	1608	1609	CE

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

and the same of th							inodinioni sine mentro estr	1			
-	٧	В	S	△	ш	Ŀ	9	Ξ	-	ſ	х
for	Мате	Office	Division	(e)	I. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
1611	Terry, Carol A.	Office of Markets, Tarriffs, and Rates	Lifgation	Yes	Yes Florida Gas Representatives	FERC staff Florida Gas Transmission Co. representatives, possibly other parties to Docket no. RP91-187-000.	Transition costs.	3/12/1992		No.	
1612	Terry, Carol A.	Office of Markets, Tarriffs, and Rates	Liligation	Yes	FERC Yes Staff/Northern Border reps.	FERC STaff Northern Border Pipeline Settlement of rate case Co representatives, other parties to issues set for houng in Docket no RP96-322-000 et al.  Docket no RP96-322-000 et al.	Settlement of rate case Issues set for hoaring in Docket no. RP99-322-000.		12/99 thru No 6/00	92	
1613	Office of Markels, Thomas, Christopher, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	West	Yes	Matt Estes; Yes Counsel for Portland General	Via Telephone Conflorence:  Telephone Conflorence: Rydander, and Robert Petrocelli for Commission Staff Water Eless A. Commission rotel rouns Michel Farier and Commission rotel rouns. Michel Farier and Los Taylor.	Telephone conferences to discuss Portland General's proposed response to a Commission order requiring modifications to energy imbatance pricing.	and 10/1/2001 10/12/200 No.	and 10/12/200	Νο	
1614	Thomas, Hugh V.	Office of Energy Projects	Division of Environme ntal and Engineerin g Review		Yes (FERC)	Teb Lokey (Northern Natural)	Informal environmental data request regarding permits for disposal of PCB contaminated facilities.			No action taken.	
1615	Thomas, Hugh V.	Office of Energy Projects	Division of Environme ntal and Engineerin g Review	Yes	Yes (FERC)	Northern Natural representative.	Informal environmental data request via telephone with questions regarding abandanement of facilities			No action taken.	
1616	Thomas, Hugh V.	Office of Energy Projects	Division of Environme ntal and Engineerin o Review	Yes	Yes (FERC)	Donna Martens (Northern Natural)	Faxed Northern Natural the environmental assessment prepared for Docket No. CP95-312-000.			No action taken.	This fax was a result of a telephone request for the environmental assessment from Donna Martens of Northern Natural.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		· · · · · · · · · · · · · · · · · · ·				T	1
K	N. Give IV. Date taken by the the date range Comm. In response to the meeting.						
ſ	V. Was any action taken by the Comm. in response to the meeting	No action taken.	No action taken.	No action taken.			
-	V. Date range						
H	IV. Give I						
9	III. What was the subject matter(s) of the meeting	informat environmental data tergations of information regarding information needed for preparation of an environmental assessment. Ourselons dealt with alt and noise quality information for proposed compressor unit modification at Northern Natural	Informal environmental data questas tua leaphone with questions regarding abandonment of Cree flowers Calaheling System and Compressor Unit 890 in Roberts County, Texas.	Telephone conversation with Transwestern to clear up confusion about an environmental data reqest question.			
F	II. List all that were present at the meeting	Michelle Windkowski (Northern Natural)	Dorothy L. McCoppin (Transwestern)	Hugh Thomas (FERC)			
ш	1. Who Office Division (a) Inititated the	Yes Hugh Thomas	Yes (FERC)	Yes (Transwestern)			
D	(e)	Yes	Yes	Yes	Š	£	£
O	Division	Division of Environme mal and Engineerin g Review	Division of Environme ntal and Engineerin g Review	Division of Environme ntal and Engineerin g review	Cl-1	Markets. Tariffs and Rates	Solicitor
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of the Chief informati on Officer	Office of General Counset	Office of General Counsel
A	Name	Thomas, Hugh V.	Thomas, Hugh V.	fhomes, Hugh V.	Thomas, Kenneth J.	Thompson, Glida R.	Tillery, Betty
	-	1617	1618	1619	1620	1621	1622

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	8	0	٥	ш	T T	9	T		U Was see V	$\boldsymbol{\mathcal{X}}$
. +-	Name	Office	Division	(e)	Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give Ithe date	V. Date range		VI. Other Comments (if any)
1623	Office of Markets, Tingle-Stewart, Natali Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Administrative Law C	Petheaning, Healing and Administrative Law (Commission Staff, El Paso, Numerous seallament labs in El Paso, Aumerous seallament labs in El Paso, Aumerous open access transmission case.	Prehearing, Hearing and sedilement talks in El Paso open access transmission case.		October 15, 1996 - January 1998	ALJ Issued Initial Decision	
1624	Office of Markels, Tingle-Stewart, Natali Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Liligation	Yes	Administrative Law	Administrative Law Puget Sound Portland General. Yes Judge intervenors	EF97-4468-000, et al EF97-4468-000, et al Effendaring, settlement talks and headring in Puget Sound transmission case		July 1997 - May 1998	July 1997 Partal settlement May 1998 approved, Intital Decison May 1998 on hearing issues	
1625	Office of Markets, Tingle-Stewart, Natali Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Administrative Law Judge	Administrative Law Montara Power, Enron Power Judge various Indiversion Staff and various Indiversors	EF98-2382-000, et al Prehearing, and Settlement talks Montana's Wholesale and REC transmission rate case.		October 1998 - March 1999	Approval of settlement offer.	
1626	Office of Markets. Tingle-Stewart, Natali Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Littgation	Yes	Administrative Law	Administrative Law Prodic Gas & Electric (PG&E), Enror Judge Judge and Various intervenus Suff	ER01-66-000. Prehearing and Settlement talks in PG&E's Transmission rate case		December 2000 - June 2001	unsure.	
1627	Office of Markeis, Tingle-Slewart, Natali Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Yes Chief Judge	SDC&E, PC&E. Southern California, Erron (intervenor), Commission Staff and other intervenors	Prehearing, Settlement talks, Perbrical conferences and tearing in Docket ER96-496- 000, et al.		November 1997 - April 2000	awaiting final decision	
1638	Office of Markets, Markets, Ingle-Stewart, Natali Tarrifs, and Rates	Office of Markels, Tarriffs, and Rates	Litigation	×es	Litigation Yes Admistrative Law	SDG&E, PG&E, Southern California, Commission Staff Enron, Portland General, and other Intervenors	Prehearing, Settlement talks, and Technical conferences in Docket No. ER87-2358-000, et al.		January 1996 - September 1998	January approved partial 1996 - settlement, non-rate September terms & conditions are 1999 pending (severed case)	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

,20

	エ	VI. Other Comments (if any)							
	ſ	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	awaiting decision	None	An audit report was issued.				
	-	IV. Date range	August 2001 - September 2001	During 2001	Not sure, will have to request An audit audit school s from storage.				·
2	Ŧ	IV. Give the date							
n muna	9	III. What was the subject matter(s) of the meeting	Prehearing, Settlement tafks and Hearing in Docket No. ELO1-10-000 - Pacific Northwest Refund case	Personal Conversation and greetings	Northern Natural's compliance with 18 CFR Section 2.55, 18 CFR Part 201 and 18 CFR Part 284.				
Toll Collated Figure	II.	II. List all that were present at the meeting	Administrative Law Projet Sound, Erron, Portland Geneal, Commission Staff and about 70 other intervenors	Ray Alverez Enron Employee, Robert Mclean, David Faerberg	Various, however, my Supervisor The Commissions (Dwyth Stidder) dud me the audit of Audit Team Northern Natural did not count for completion of this form.				
	E	I. Who Office Division (a) Inititated the meeting	Administrative Law Judge Citron	Yes Happenstance	The Commission's Audit Team				
	q	(S)	Yes	Yes	Yes	o _Z	Š	£	2
	ပ	Division	Litigation	MTR	Division of Regulatory Yes Audit Team Audits	Tariffs and Rates - West	Gas/Hydro Branch	Central	OALJ
	8	Offlice	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of the Executiv e Director	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Administ rative Law Judges
	¥	Name	Office of Markets. Tingle-Stewart, Natali, Tarriffs, and Rates	Tishman David G.	Tolbert, Susan M.	Tolson-Neal, Terri	Tomasi, Eric J.	Tompkins, Martha R.	Torres, Livia
		-	1629	1630	1631	1632	1633	1634	1635

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_							
	×	V. Was any action IV. Date taken by the range Comm. In response to the meeting	The state of the s			The state of the s		The state of the s
	ſ	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting						
=	-	IV. Date range						
	Ξ	IV. Give the date						
	9	III. What was the subject matter(s) of the meeting		The development of the second				The state of the s
ייכייי בפוומנים שוכייבו וומוו מנות ווומוולים	_	II. List all that were prosent at the meeting						
	ш	1. Who Office Division (a) inititated the						
		(a)	S ₂	Ñ	No	No	Š.	S
	ပ	Division	Dackets	Financiaf Policy	Office of Pipeline General Certificates	Office of Environme Energy ntal and Projects Engineerin g Review	Division of Hydropowe F Administrati on and Complianc	Office of Environme Energy ntal and Projects Engineerin
	В	Office	Office of the Secretar y	Office of the tExecutiv e Oirector	Office of General Counsel	Office of Energy Projects	Office of Energy / Projects	Office of Energy Projects
	A	Name	Trice, Anthony	Office of Triplett, Sarah Vernet Executiv	Tsang, Lori	Tseng, Susan	Tung, Hong S.	Turner, David A.
	1	-	1636	1637	1638	1639	1640	104

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	×	uments (if any)								
		Vi. Other Con								
	f	V. Give IV. Date laken by the the date range Commin in response VI. Other Comments (if any) to the meeting		No.						
uo		IV. Date range								
Squio	Ŧ	IV. Give the date		3/30/2000						
Derman and In	හ	III. What was the subject matter(s) of the meeting	Wignator claule ne regardite whetherms compliance with the 60 day robes survey condition apacillate in the Order instance for Docke No. Course standing the Course standing of the br>the standing of the the standing of the the standing of the the the the the the the the	To reach agreement on the seams issues, set priorities for dealing with them and to define the stops the ISOs' Business Practices Working Groups should take to resolve						
rioni senators Lieberman and Inompson	ů.	II. List all that were present at the meeting	Teny Zupin	100+ stakeholders, including marketers, transmission owners, municipals, and state government representatives, Alan Haynes and I represented FERC. Enror had						
	ы	l. Who Inititated the meeting	Don Vignarol. Northern Naural Gas Comp	ISO New England, Yes New York ISO & PJM						
	۵	<u>(a</u>								
	٥	Office Division	G G as .	Market Developme nl						
	m	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates						
	A	Name	Tupin, Terry L	Tuzun, Julia A.						
	T	-								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

A B	8		0	6	E	4	B	F	-		X
I. Who office Division (a) inititat meetin	Office Division (a) Inititated ti	I. Who Division (a) inititated ti	l. Who inititated ti	l. Who inititated ti	eg.	il. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response to the meeting
Office of Markets, Market Namels, Markets, Marke	Office of Market Market Tariffs, Developme Yes New England IS and nt Tariffs.	Market Developme Yes New England IS	Yes New England IS	New England iS	Ç	Dan Allegretti of Ernon, the CEOs and and are five New York, New England and Pal Mil SOs, and stakeholder and stakeholder and stakeholder and stakeholder and stakehors, manketers, municipalis, end state governments. Pat Advander and I were the EFRIC representatives.	To present to the stakeholders and discuss the proposals for a New England RTO that had been received.	6/1/2000		. eV	
Office of Market Market Tozun, Julia A Tanifus, Developme Yes ISO New England Rates Rates	Office of Market Market Tarriffs, Developme Yes ISO New Englan and nt Rates	Market Developme Yes ISO New Englan nt	Yes ISO New Englan	SO New Englan	D C	ISO New England staff and stakeholder representatives including generators, marketes, transmission coveres, mincholas, end euers and public power. Enron was probably there.	To present and discuss the stakeholders' positions on various RTO proposels that had been submitted for New England	7/11/2000		No.	
Office of Manket New England, N	Market Developme Yes nt	Market Developme Yes nt	New England, Ner Yes York and PJM ISOs	New England, Ner York and PJM ISOs	*	Staff of the three ISOs plus the Canadian Mov. various stakeholder vork and PJM representatives, including Public Power and Varianission owners	To discuss the progress that the been made by the Pranning Workling Group re:	6/21/2000		No.	
Office of Market Nachels, Market Nachels, Debuc Tuzun, Julia A. Tariffs, Developme Yes NECPUC Râtes	Office of Market Narket Terriffs, Dovelopme Yes NECPUC	Market Developme Yes NECPUC nt	Yes NECPUC	NECPUC		New England State Commissioners and their staffs, and staff and impresentatives of fundisty. Danlet Allegorit and Scott Botton, were speakers. Alan Haymes and I were the FERC representatives.	Agenda topics included vipply security and reliability in the electric industry; demand response, and the future of NEPOOL.	6/25/2000	6/25/2000 6/28/2000 No	ę.	
Office of Markets, Developme Yee & PAIM SO + and Rates	Market Developme Yes nt	Market Developme Yes nt	NY, New England Yes & PJM ISO + Canadian IMO	NY, New England & PJM ISO + Canadian IMO		ISO and IMO staff plus industry and stite commission representatives. I think Enron staff also attended.	To bring stakeholders up to date on the progress of the Business Practices Working Group in addressing the seams issues.	3/16/2001		ĝ	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	V. Was any action range comm. In response VI. Other Comments (if any) to the meeting						
7	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	2					
-	IV, Date range						
Ŧ	IV. Give the date	4/11/2000					
6	III. What was the subject matter(s) of the meeting	To talk about Order 2000 and where the ISOs felt they stood in terms of the RTO formation.					
	II. List all that were present at the meeting	Congressman Joe Barton, CEOs and terpresontalines of New York, New England and PJM ISOs, and other stakeholders, such as marketers (if this Erron staff weet there), transmission rowners, generators, and government expressinglives.					
Ε	Office Division (a) inititated the meeting	Office of Market NY New England Markets, Market NY New England Tariffs, Developme Yes & P.JM ISO * and nt Canadian IMO Rates					
	(a)	Yes	SN NO	8		S _C	S _S
0	Division	Market Developme, rt	Division of Tariffs and Rates- Central	Office of Dam Safety Energy and Projects Inspections	Dam S	Office of Dam Safety Energy and Projects Inspections (D2SI)	Solicitor's Office
8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of C Energy Projects 1	Office of Energy Projects	Office of It Energy Projects	Office of General Counsel
A	Name	Tuzun, Julia A.	Ulevich, David H.	Ung, John S.	Ung, John S.	Valeri, Peter R.	Vallance, Laura J.
	-	1649	1650	1651	1652	1653	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.72

						noculation Eleganism and House		Salino			
	Ą	8	٥		ш	IL.	9	Ξ	-	ſ	К
-	Name	Office	Division	(a)	i. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give I	V. Date range	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Date taken by the response Commun. In response to the meeting
1655	Office of Van Der Jagi, Carolyr General Counsel	Office of Goursel	Energy Projects	χ. Υ							ve attended a few (probably less than 10) ppe-fling conferences and cell stated volving tend of any of those conferences or who record of any of those conferences or who record of any of those conferences or who record of any of those conferences. If there were people at these conferences. If there were people at these conferences from Erron or pred affiliate I would not know. However, any subsequent Committed sin years of the record. Also, the past supplication process on the record. Also, the past supplication process on the record. Also, the past supplication process on the record. I don't know II spoke to mymore at those conferences that was from an Erron affiliate.
1656	Vansco, Veronica V	Office of Markets, Tarriffs, and Rates	Office of Markets, Division of Tarriffs, Litigation and Rates	Š.							
1657	Office of Vaughns, Vera EleaneGeneral Counsel	Office of General Counsel	General and Administrati ve Law	ž						The state of the s	The state of the s
1658	Velez, Liza M.	Office of Energy Projects	DZSI	ĝ							
1650	Veloso, Michelle E.	Office of Markets, Taniffs, and Rates	Office of Policy Markets, Innovation Tarriffs, and and Communic Rates ation		Yes FERC	Several meetings were hee Several meetings were the With maket participants in Fower Investigation Power Investigation	Several meetings were field with market participants in regard to the Western Bulk Power Investigation		Aug-00	The Commission used input from meetings to prepare Steff Report on Western Bulk Power Investigation	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

. .26

	V	-		2					ŀ		7
-	Name	Office	- E		the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	V. Date range	IV. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action N. Date taken by the range Comm. In response to the meeting
1660	Veloso, Michelle E.	Office of Markets, Tarriffs, and Rates	Office of Policy Markets, Innovation and communic Rates ation	Yes	Yes FERC	OMTR staff-see submission by Saida Shaalan	OMTR Outreach meetings. Meetings were triended to allow industry participants to bring concerns to the Commission.		Summer 2001	No. Meelings were general in nature and involved broad issues.	
1661	Office of Markets. Vendryes, Kimberty, S. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Rates and Tariffs- Central Division	ş							
1662	Venit, Karen A.	Office of the Executiv e Director	Financial Policy Division	Νo							
1663	Veres, Philip	Office of Energy Projects	Pipeline Certificates								I have no records and no recollection of any such communications.
1664	Vines, Elizabeth R.	Office of the Secretar y	Registry & Service	Š.							The state of the s
1665	Vouras, Maria F.	Office of General Counsel	MTR	Yes	Host of Informal party	Party included: Lodle White (host), other members of OGC-MTR	Brief conversation at party with former Enron employee about his experiences living overseas.	9/8/2001		No.	
1666	Vouras, Maria F.	Office of General Counsel	MTR	, es	Group outing to Cafe Japone	Other OGC-MTR employees	Group met affar work to socialize. Did not converse with former Enron employee.	8/1/2001		No.	
1667	Vouras, Maria F.	Office of General Counsel	MTR	Yes	Group outing to Yes see Dance Performance	Other OGC-MTR employees who attended the dance performance	Watching a dance performance by Michael Flattey	6/27/2001		No.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

$\Box$	٧	8	ပ	٥	ш	14.		1	-		۶
	Name	Office	Division	(a)	1. Who Office Division (a) infittated the meeting	II. List all that were present at the meeting	the er(s) of	IV. Give I	IV. Date range	V. Was any action taken by the Comm. in response to the meeting	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting
y 8991	Vouras, Maria F.	Office of General Counsel	MTR	Yes	Yes Host of Party	Other OGC-MTR employees were in altendance at party hosted by Eugene Party after work Grace, OGC-MTR	Party after work		Fall 2001 No.	No.	
	Office o Wachholder, Joanne Energy Projects	Office of Energy Projects	DEER	ž							
v 1670	Office of Wagner, Charles Dav Energy Projects		DAM SAFETY & INSPECTI ONS	ટ્ટ							
v 1671	Office- Admini Wagner, Curtis L. Jr. rathve Law Judges	Office of Administ rative Law Judges	Ą.	Yes	Some by me, some by Enron	Entron counsel and technical people.  Chear when all markelers were present contracting freshir class with generate Contracting present class with generate and all proceeding, ILOT-2.000.  Other portes, in addition I stemded altering to get froward the William and Confracts for California at the direction of the Thirth Charman of the Gallomia at the Cammission which was alterided b.	Forward Contracting proceeding, PL01-2-000. An alternal to get forward contracts for California at reasonable rates.		12/18/00 to 1/20/01	g	Since these were at confidential settlement regolations, the stroyed all notes and documents once the proceeding ended.
w 1672	Office Admin Wagner, Curlls L. Jr. rative Law Judgee	Office of Administ rative Law Judges	N/A	Yes	Yes Succeed Team	Myself and six or seven other senior employees of FERC and Enron officials (my memory is the head of their H.R. department and their counsel) by speaker phone.	Leadership principles.			None	None
v 1673	Office Admin Wagner, Curlis L. Jr. Iralive Law Judger	Office of Administ rative Law Judges	ξ/Z	Yes	Some by me, some by Enron	Ass. meetings where Emon and all Kes meetings where Emon and all Kes meetings where Emon and all Kes meetings where Emon and all Che California energy marketers by Enon to the Case where present. Some meetings in my office and some in San Francisco.	Californa ISO RMR Cases, ER98-44-1-000 et al.settlement negotlations.		1998/1999	l certified several settlements which were sproved by the Commission.	Since these were all confidential settlement insignations, i destroyed all notes and documents once the Commiston approved. I do not remember names of any Enron people present.
M 1674	Office Admin Wagner, Curlis L., Jr. Tative Law Judgei	Office of Administ rative Law Judges	N/A	Yes	Some by me; some by ENRON	ENRON counsel and technical people.  Some by me, some Also, meetings where ENRON and all California Refund Cases, other California Energy Marketers ELOO-95-031, et al., were present, and others where all settlement nagoli	California Refund Cases, EL00-95-031, et al., settlement negotiations		6/19/02 to 7/12/02	I made a recommended 6/19/02 to retund methodology 7/12/02 which the Commission adopted.	Since those were all confidential settlement negotiations, I destroyed all notes and occuments once the Commission approved. I do not remember names of any ENRON people.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	S	stillement and approved names	s. I have ling nces and nces and ne these anies or or its thereof. Triefresh meetings	I
	V. Was any action IV. Date taken by the range Comm. In response to the meeting	certified a settlement   Since these were all confidential settlement   1/2401 to which the Commission   negotiations, I destroyed all notes and 4/601 to approved. I was critical of charments once the Commission approved the Enron people.   destroyed all notes and 4/601 the Enron people.   destroyed all notes and 4/601 the Enron people.   destroyed all notes and approved the Enron people.   destroyed all notes and approved the people of any Enron people.	Over the course of the last len years. I have attended cumerous meetings at the mornisations have adversers, included the mornisations have been selected such control confidences and meetings cannot have noveled companies or meetings may have noveled companies or meetings in have no ecollection thereo. I have no ecollection thereo. I have not explication thereo. I have a fall allest, but I have no ecollection thereo. I have a fall and in the control of the any record in have any expension of the selection thereo. I have a fall and not form of the have any record in the might refresh with prione or ideoconterencing.	
×	оштеп	destroyed bestroyed be the Cor I do not r eople.	Over the course of the last len yy attended numerous meetings at attended numerous meetings at a constitution of the course of the last len you don't describe the control to profit of the course of	
	Other C	Since these were all negotiations, I destructed documents once the the settlement. I do of any Enron people.	the cours ded nume imission's ically notic public prel trings may iduals ass ates, but I do I have e nemory I	
<u> </u>	s es	Since nego I of docu iy the s	Over atter Com public non- non- non- non- non- via p	
L	V. Was any action taken by the Comm. In response to the meeting	certified a settlement which the Commission pproved. I was critica he Enron position in m ertification.	str.	
	V. Was any act taken by the Comm. In respo to the meeting	certified a swhich the Co approved. In the Enron po	See Comments	
-	ge Co	1 to white 1 to app or the cert	S	
_	IV. D	1/24/01 t		
Ι	V. Was any IV. Give IV. Date taken by the the date range Comm. In rest to the meeting.			
	) of	ns in RTO, my, et		
ဖ	was the latter(s	regottatio //Alliance er Compa 3-000).	<b>श</b> ः	
	III. What was the subject matter(s) of the meeting	Settlement negotiations in Midwest ISO/Alliance RTO, (Illinois Power Company, et al., ER01-173-000).	See Comments	
$\vdash$			Ď	
	II. List all that were present at the meeting	Some by me, some Erron counsel and technical people.  Also, meetings with all parties present.		
ட	hat wer ing	and techr with all p		
	II. List all that at the meeting	counsel	See Comments	
Ц	= # T #	Also,	See	
ш	ed the	/ me; son	nments	
	I. Who Inititated meeting	Some by r by Enron	Yes See Comments	
0	(a)	Yes		
ပ	1. Who Office Division (a) Inititated the	NA NA	Energy Projects	
В	Office	Office of Administ rative Law Judges	Office of General Counsel	
		Office Admin Wagner, Curlis L., Jr. Trative Law Judges	den S.	
٧	Мате	ner, Curt	Wagner, Gordon S.	
Н	-	Wag	wag	
		9	1	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.73

								2			
Ì	A	В	O	0	Е	Ł	9	I	-	٦	¥
+	Name	Office	Division	(g)	1. Who Office Division (a) inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Was any action IV. Date taken by the range Comm. In response VI. Other Comments (if any) to the meeting
1678	Office of Marbauer, Katherine General Courses	Office of General Counsel	MIR								I may have attended meetings with Enron movement driven from the pened but not not meeting and pened but not meeting of 2000, I and pened but not beginning in the mining (RPO-0.332-0.00) at which some of \$35 Tilling (RPO-0.332-0.00) at which some of mortal pened and have pened and the attended several large technical accordance as white years bean in the many takes bean in the more pened pened and the point whether this happened or no.
1679	Walker, Christine V.	Office of Markets, Tarriffs, and Rates	Division of Tariffs and Rates - East		Yes INGAA	FFRC amployees and representatives from the various companies, including Gas lesues Emon	Gas Issues	May-01		поле	
1680	Wallace, Olivia J.	Office of the Executiv e Director	Office of the Executiv Front Office No e Director	Ş							To the best of my memory and knowledge.
1681	Wallace, Vemita C.	Office of the Secretar y	Agenda and Minutes	٤							
1682	Wattetr, Suste J.	Office of Administ rative Law Judges	15/9	ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

There had been no protest to the case, but we belatedly decided to ask for more information, because we were not satisfied of the fairness of the proposed rate. V. Was any action
IV. Give IV. Date laken by the
the date range Comm. In response IV. Other Comments (if any)
to the meeting none that i'm aware of. ģ 2/23/2001 l alerted Ms. Miller that we were faxing a copy of a very quick response data request, in and I asked for her fax number. R: user's forum for the commission public reference room III. What was the subject matter(s) of the meeting FERC OED BIII MeDemont Keiny
Oujolada, Area Miller Ludy Martin.
Cymina Year Miller Ludy Martin.
Cymina Year Area Miller Color Biller
Weston, Curit Chappel, Effect/OPPt: use
Neston, Curit Chappel, Effect/OPPt: use
Consigner, TRES, Judine Junie.
Consigner, TRES, Judine Junie.
Consigner, TRES, Judine Junie.
Consigner, TRES, Judine State
Service Service Service Service
Service
Service Service Service Service Service
Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Service Servic II. List all that were present at the meeting Phone conversation between myself and Mary Kay Miller of Transwestern Pipeline Company Office Division (a) inititated the meeting Yes Bill Mcdermott Yes I did. ş N £ 8 ž Congressio
Office of nal, public
and
NExternal intergovern
Affairs mental Office of the General Counset General and Administrative Law D2Si, Chicago Regional Office Tariffs and Rates -West Deputy Deputy Secretary Office of the Secretar Office of the Secretar Office of General Office of Energy Projects Office of Markets, T Tarriffs, and Rates Office of General t Counsel Washington, Angela Washington, Anita Vatson, Michael C. Vard, Charlton C Welch, Laura G. Name Veeks, Olaf 1683 1685 1686 1687 1684

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

... <del>د</del>ر

X	V. Give IV. Date latken by the the date range Comm. in response to the meeting								
J	V. Was any action taken by the Comm. In response to the meeting		No	No	NO NO	No	No	No	Manage and the second s
-	V. Date range								
Ŧ	IV. Give the date								
9	III. What was the subject matter(s) of the meeting		Possible fime extension	EAP test notification	Part 12 plan and schedule was overdue.	Plan and schedule for PT-12 revlew, Section G-G	Question on annual agency meeting summary	Accident report	
F	II. List all that were prosent at the meeting		Yes Boo Steele & Kevini Norman J. Weselch	Harry Hall				Norman Weseloh	
臣	I. Who Office Division (a) Inititated the meeting		Bob Steele & Kevin Marshall	Yes Gary Hackett	Yes Norman Weseloh Bob Steele	Yes Norman Weseloh Earl Curtis	Yes Norman Weseloh Bob Steele	Yes Bob Steele	
Ω	(a)	Š	, kes	Š.	, Kes		, Yes		Ĺ,
С	Division	Chief Economic No Advisor	Office of Dam Safety Energy and Projects inspections	Dam Safet) and inspections	Dam Safety and Inspections	Office of Dam Safety Energy and Projects Inspections	Dam Safety and Inspections	Office of Dam Safety Energy and Projects Inspections	Office of Dam Safety
8	Office	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of
A	Name	Wentworth, Roland W	Office of Dam Safety Weselch, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseton, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Office of Weseloh, Norman J. Energy Projects	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Office of Weselch, Norman J. Energy Projects	
	-	1690	1691	1692	1693	1694	1695	1696	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.. 27

	×	V. Was any action IV. Date laken by the range Comments (If any) to the meeting								
	r	V. Was any action IV. Give IV. Date taken by the the date range Comm. in response to the meeting	, No	No	The fish kill was Investigaled.	No		C N	N _O	An investigation of the fish kill was conducted.
=	-	IV. Date range								
2	Ŧ	IV. Give the date								
Delinali alla 11	9	III. What was the subject matter(s) of the meeting	Accident repart	EAP test notification	Report of a fish kill.	EAP test notification	Report on PGE dams following Stattle, WA earthquake	Dewatering of Willamette Falls Unit No. 9	Completed dewatering and maintenance of Unit No. 9 at Willamette Falls	Report of a fish kill at the Willamette Falts Project.
i om cenarole Erebellian and included	Ľ.	II. List all that were present at the meeting	Norman Weselch	Mark Jones	Norman Weseloh	Harry Hall	Norman Weseloh	Norman Weseloh	Norman Weseloh	Norman Weseloh
	ш	Office Division (a) inititated the meeting	Yes Bob Steele	Yes Jim Wyatt	Yes Bob Steele	Yes Roy Totten	Yes bab Steele	Yes Bob Steele	Yes Bob Steele	Yes Bob Steele
	۵	<u>(E</u>	Yes	Увя	Yes	Yes	Yes	Yes	Yes	Yes
	ပ	Division	Oam Safety and Inspections	Office of Dam Safety Energy and Projects inspections	Dam Safety and Inspections	Dam Safety and Inspections	Dam Safety and Inspections	Office of Dam Safety I. Energy and Projects Inspections	Dam Safety and Inspections	Dam Safety and Inspections
	æ	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	ď	Namo	Office of (Dam Safery) Weseloh, Norman J. Energy and Projects Inspections	Office of Dam Safety Wesetoh, Norman J. Energy and Projects inspections	Office of Weseloh, Norman J. Energy Projects	Office of Coam Safety Wesetch, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Weseloh, Norman	Office of Dam Safety Weselch, Mcritian J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections
			1698	1699	1700	1701	1702	1703	1704	1205

Letter	
2002	uo
y 15,	and Thompsor
February	d The
e Fet	nan
믿	erma
<del>ر</del> ة. 3	s Liebermar
tion	Senators L
Ques	
Ş	rom
Response to Question No. 3 in the February 15, 2002 Letter	ш.
ď	

	_		·	-						
	¥	V. Was any action tracken by the range Comm. In response VI. Other Comments (if any) to the meeting								
	Ĵ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	The cause of the fish kill was investigation.	No	An investigation was conducted.	Yes	Ö	ON.	No	No
Ĕ	-	V. Date range								
ompsc	Ξ	IV. Give I								
perman and Ir	G	III. What was the subject matter(s) of the meeting	Report of a fish kill at Willamette Falfs	EAP annual test at Bull Run Project.	Report of penstock leakage and implementation of the EAP at the Bull Run project.	Penstock leakage repairs	Notification of annual EAP test at Bull Run Project.	penstock Inspections	penstock vacuum valves	Discussed penstock strain gage measurements at the Bull Run project during the Seather WA searthouske
From Senators Lieberman and I nompson	L	II. List all that were present at the meeting	Patrick Regan	Mark Jones	Norman Weselch	Edward Perez	Thomas Bobal	Edward Perez	Norman Weselch	Norman Weselch
	ш	1. Who Office Division (a) Inititated the meeting	Yes Bob Steele	Yes Gary Hackett	Yes Earl Curtis	Yes Brth Steele	Yes Jack Selbel	Yes Bob Stecle	Yes Bob Steele	Yes Bob Steele
		(a)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	O	Division	Office of Dam Safety Energy and Projects Inspections	Dam Safety and Inspections	Dam Safety and Inspections	Dam Safety and Inspections	Dam Safety and Inspections			Dam Safety and Inspections
	8	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Enorgy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	∢.	Name	Meseloh, Norman J	Office of (Dam Safety Weselon, Norman J. Energy and Projects Inspections	Office of Dam Safety Wesetoh, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Office of Dam Safety Weeeloh, Norman J. Enorgy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Office of Dam Safety Weselch, Norman J. Energy and Projects Inspections
	П		1706	1707	1708	1709	1710	1711	1712	
		i i				I				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_	1	T		· · · · · · · · · · · · · · · · · · ·			1	<del></del>
×	V. Give IV. Date taken by the the date range Comm. in response VI. Other Comments (if any) to the date range (comm. in response to the meeting							
7	V. Was any action taken by the Comm. in response to the meeting	No	Ġ					
-	IV. Date range							
	IV. Give the date							
. н	III. What was the subject matter(s) of the meeting	Discussed maintenance outage at the Bull Run Project.	Removal of obsolete surge lanks at the Buff Run Project,					
i.	II. List all that were present at the meeting	Norman Weseldh	Edward Perez					
Ε	1. Who Office Division (a) Inititated the	Yes Bob Steele	Yes Kevin Marshall					
٥	(a)	Yes		2	Ν̈́	S.	۶	2
ပ	Division	Dam Safety and Inspections	Dam Safety and Inspections	ням	network operations	DLM	Assistant Director's Office	DLM
8	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer	Office of the Executiv e Director	Office of Energy Projects	Office of the Executiv e
٧	Name	Office of Dam Safety Ameseloh, Norman J. Energy and Projects Inspections	Office of Dam Safety Weseloh, Norman J. Energy and Projects Inspections	Wessler, Elidt J.	West, Michael G.	Weston, Cecil B.	Wheeler, Haward	Wheeler, Tine
[]	-	1714	1715	1716	1717	1718	1719	0621

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name Office Division (a) Initiated the artifle and the meeting the meeting the meeting and particular subject matter(s) of the date range Comm. In response the meeting and meeting the me	_					,		,	
Name Office Division (a) Inititated the at the meeting the date meeting the meeting the meeting the date meeting the date meeting the meeting the meeting the meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the date	*	VI. Other Comments (if any)				Social event	Social event	Social event	During the ten-year period from January 1. 1992 to December 2, 2001, I participated in numerous bublish undicad meetings, such as fechnical coulded meetings, such as fechnical coulderences and generic policy conferences with employees of Ermon or its subsidiates. I have no records or clear recollections of these meetings.
Name Office Division (a) Inititated the at the meeting the date meeting the date meeting the date meeting the date meeting the date of meeting the meeting the meeting the dat		V. Was any action taken by the Comm. In response to the meeting	-			ON	ON	ON	SEE COMMENTS
Name Office Division (a) Inititated the at the meeting the date meeting the meeting the meeting the date meeting the date meeting the meeting the meeting the meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the meeting the date meeting the meeting the date	.  -	IV. Date							SEE COMMEN TS
Market. Marion B. Tariffs Branch Markets. Marion D. Office Division (a) inititated the interest and provide of the Chell Software in the Softwar	1	IV. Give the date				12/8/2001	8/1/2001	6/7/2001	
Market. Marion B. Tariffs Branch Markets. Marion D. Office Division (a) inititated the interest and provide of the Chell Software in the Softwar	c	III. What was the subject matter(s) of the meeting				Invited friends to a summer party at my home.	Нарру Ноиг	Party	SEE COMMENTS
Marker, Marion B. Tariffs, and Division (a) inititated the meeting of the Correct of Markers, Tariffs and Press No Office of Markers, Marion D. Office of Markers, Markers, Markers, Markers, Coursel Coursel Rates Arising Services No Office of Markers, Markers, Coursel Rates Arising Services No Office of Markers, Markers, Coursel Rates Arising Services Markers, Coursel Rates Arising Services Markers, Coursel Rates Arising Services Arising General Tariffs & Yes Gents Oberoot General Rates Arising Services & General PROJECT Yes SEE COMMENTS	4	were present				Scott Talon, Geeta Oberol, Maria Vouras, Joyce Kim, Michael Henry, Jignass Gadani, Gene Grace, Rhodell Fields, Robert McLen, David Fareberg, Olga Krötutshkina, Jason Stanek, Mary Morton, Len Tao	Scott Talon, Geeta Oberoi, Maria Vouras, Joyce Kim, Jignasa Gadani, Gene Grace, Sandy Grace, Olga Kolotushkina, Susan McBride, Barry Midway, Mary Morton, Len Tao	F. 8	
Martie Defice of White Lodie D. General White Richard A. General Coursel		I. Who Inititated the meeting				Lodie White	Geeta Oberoi	Gene Grace & Sandy Chen	SEE COMMENTS
Marine Office of White Lodie D. Office of White Richard A. Office of White Richard A. Office of		(a)	ž	ž	2	Yes	Yes	Yes	Yes
White, Lodie D.	S	Division	Tariffs and Rates-West	Software Engineerin g Team	!				ENERGY PROJECT S
Name White, John D. White, Lodie D. White, Lodie D. White, Icode D.	80	Office	Office of Markets, Tarriffs, and Rates	Office of the Chief Informati on Officer	Office of External Affairs	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
1721 1722 1724 1725 1726 1726	A	Name	Whitaker, Marion B.	White, John D.	White, Ken	While, Lodie D.	White, Lodie D.	White, Lodie D.	While, Richard A.
1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -		-	1721	1722	1723	1724	1725	1726	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	¥	8	U	Ω	ш	u	9	Ξ	-	٦	Х
_	Name	Office	Division	(a)	Office Division (a) Inititated the	III. What was the subject matter(s) at the meeting the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the rate and the comments (if any) In exponse to the meeting
Vhitfie	Whitfield, James Jr.	Office of General Counsel	Energy Projects	S ₂						-	
Vhitfle	Office of Markets. Whitfield, Wendelin W Tarriffs, Litigation and Rates	Office of Markets. Tarriffs, and Rates	Litigation	ON.							
Whitm	Office of Strategy the and	Office of the Executive Executive Director	Strategy and Performanc 6 Staff	Yes	Yes FERC staff	Scott Miller (Director, Energy Markets Division, OMTR, FERCI; Charles Whitlinoon (Director, Strategy and Performance Start (CED, FERCI, Jude Clarification (Policy) FERCI, Jude Clarification (Policy) FERCI, Jude Consemination and Regulatory Affairs, To urge as Consemination and Regulatory Affairs, To urge as Consemination and Start (Policy) Fercial Consemination (Policy)	To urge a settlement of California market Issues with the State.			ğ	Pitch I collected the two business cards mentioned at that meeting, but ann not completely sure. It might have been at OMTR outreach meetings.
Wiege	Wiegel, John M.	Office of Energy Projects	D2SI	Š							
Wiggi	Office of the Wiggins ,Josephine Executive and Chicaratan Chicarat	Office of the Executiv e	FAO	ž	I						

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

,37

									:		
1	∢	В	ပ	۵	Ш	4	9	Ξ	_	l l	х
-	Name	Offlice	Division	(a)	1. Who Office Division (a) Inititated the meeting	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the Comments (if any) range Comm. In response to the meeting
1733	Wight, Dean C.	Office of Markets. Tarriffs, and Rates	Office of Market. Markets, Market Farriffs, Developme and nt Rates		Commission	large number of FERC staff and electric market participants	Problems with the NYISO wholesale electric markets	1/22/2001	1/23/2001 unknown		NYISO Technical Conference
1734	Wight, Dean C.	Office of Markets, Tarriffs, and Rates	Office of Market Market Tarriffs, Developme and nt Rates		Scott Miller	members of the Electric Power Supply Problems with the Northeast Association (I don't have Individual WomEPSA point of New names)	Problems with the Northeast wholesale electric markels from EPSA point of view	9/25/2000		Issued Bulk Power Report for Northeastern U.S.	This was one of several groups that met issued Bulk Power Report with FERC staft while it was compling the for Northeastern U.S.  Bulk Power reports. Trancl certain that an Ernon representative was present.
1735	Wight, Dean C.	Office of Markets. Tarriffs, and Rates	Office of Market. Market arriffs. Devetopme and nt Rates		unknown	Many FERC staff	Enron gave a demonstration of the EnronOnline trading system.	4/18/2000		unknown	This was more of a presentation than a meeting.
1736	Office of Wilderman, Lawrence Energy Projects	Office of Energy Projects	Pipeline Certificates	No							
1737	Williams, Jerry L.	Office of Markets, Tarriffs, and Rates	Rates- Central	§.							
1738	Williams, Patricia A.	Office of the Executiv e Oirector	PROCURE MENT DIVISION	No.							
130	Wilson, Gloria Jean	Office of the Executiv e	Office of Administration Executive ve Support No Staff	2							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

The state of the s	Υ.	V. Was any action V. Date taken by the range Comments (if any) range Comm. in response VI. Other Comments (if any) to the meeting								
	ſ	V. Was any action V. Give IV. Date taken by the the date range Comm. in response to the meeting	No.	No.			No	A NOPR and Final Rule were issued.	No	A subsequent order was issued in this case.
		IV. Date range					Sometime in 1996	1994~1995	1995 - 2001	
	=	IV. Give the date								5/25/1995
	9	III. What was the subject matter(s) of the meeting	Standard letter on Authorizing Initiating Section 106 Consultation	Examples of applicant letter requesting FERC to give authorization for Section 168 counties and our response letter granting authorization.			Family picnic - discussed kids, sports, and other evenyday thkings	Order No. 888 technical issues discussed at public technical conferences	Family matters, kids, sports, general life matters	A volume constraint problem on Northem's system called the "Cartion Resolution."
	ш	II. List all that were present at the meeting	Deb Schallert, Portland General Electric	Deb Schallert, Portland General Electric			Several families, including Ralph Lesite	I don't recall, but my best guess would Order No. 888 technical be that Enron employees were in Issues discussed at publishendance.	David Withriell and Donna Fulton - lunch - my best guess is that we met for tunch 3 times from 1995 through 2001	Office of Markets, Tates-West Yes Commission Staff (ken Withe, FERC Staff, and and and Hates)
	ш	I. Who Office Division (a) intitated the	Yes Frank Winchell	Yes Frank Wincheli			Yes Donna Fulton	Yes FERC	Yes David Withnell or Donna Fulton	Commission Staff
Ī	Ω	(a)	Yes	Yes	£	£	Yes	Yes	Yes	Yes
	ပ	Division	Division of Environme ntal and Engineerin g Review	Office of Environme Energy rital and Projects Engineerin g Review	Division of Dam Safety & & Inspections NYRO	DEER	MTR	MTR	MTR	Rates-Wes
	8	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of General Counsel	Office of General Counsel	Office of General Counsel	
	4	Name	Winchell, Frank R.	Winchell, Frank R.	Wing, James	Wisniewski, John J.	Wittmett, David D.	Withnell, David D.	Withnell, David D.	Witte, Kenneih John
		-	1740	1741	1742	1743	1744	1745	1746	

Response to Question No. 3 in The February 15, 2002 Letter From Senators Lieberman and Thompson

....

K	V. Was any action  V. Was any action  V. Was any action  V. Other Comments (if any)  to the meeting					
ſ	V. Was any action taken by the Comm. in response to the meeting	Early Part A subsequent order was of the Year issued in this case. 2001	A subsequent order was Issued in this case.	A subsequent order was issued in this case.	A subsequent order was issued in this case.	yes orderIssued 372901 94 FERC para 61,371
-	IV. Date range	Early Part of the Year 2001				through 01/23/01
Ξ	IV. Give the date		6/25/2001	6/27/2000	6/26/2001	1/22/2001
9	III. What was the subject matter(s) of the meeting	attended a pre-fitting conference pertaining to a volume constraint problem on Northern's system called the "Cartion Resolution."	attended a technical conference perfaining to a volume constraint problem on Northern's system called the "Cartion Resolution."	i attended a technical conference pertaining to conference pertaining to drawestern's acquisition of upstream pipeline capacity on Public Service Company of New Mexico.	Fluet I oss Factors	what measures needed to be taken to improve NYISO's markets, when should these measures be implemented?
٠	II. List all that were present at the meeting	Ken Witte, FERC Staff, and Intervenor's	Ken Wille, FERC Staff, and Intervenors	Ken Witte, FERC Staff, Erron Staff (Transwestern Pipeline Company) and Intervenors	Ken Wite, FERC Staff, Enron Staff (Northern Natural Gas Czenpanny), and Fizel I oss Faciors Infervencia	virtually at major market participants on the New York furbelments by System what measures neorded to be Operator's system - probably over 100 laben to improve NYSO'S proper proper system - probably over 100 laben to improve NYSO'S proper probably over 100 laben to improve NYSO'S proper properties when should these government groups, consumer groups, imeasures be implemented generators, end-users
ш	I. Who Office Division (a) intitlated the	Office of Markets, Tariffs, Rates-West Yes Commission Staff and Rates	Office of Markets, Tanffis, Rates-West Yes Corunission Staff and Rates	Office of Markets. Tanfits, Rates-West Yes Commission Staff and Rates	Office of Markets. Taniffs. Rates-West Yes Commission Staff and Rates	the Commission
0	(a)	Yes	Yes	Yes	Yes	
ပ	Division	Rates-West	Rates-Wes	Rates-Wes	Rates-Wes	MTR
8	Office					Office of General Counsel
Ą	Name	Witte, Kenneth John	Witte, Kenneth John	Witte, Kenneth John	Witte, Kenneth John	Wolf, Stanley P
	<del>-</del>	1748	1749	1750	1751	1752

Response to Question No. 3 in the February 15, 2002 Letter		
Response to Question No. 3 in tire February 15, 2002	Letter	
Response to Question No. 3 in tire February 15,	2002	
Response to Question No. 3 in the February	15,	
Response to Question No. 3 in tire F	-ebruary	F
Response to Question No. 3	in tile F	
Response to Question No.	3	1
Response to Question	ŝ	-
Response to	Question	, T
Response	2	
	Response (	Ľ

From Senators Lieberman and Thompson

	nnts (if any)		No. of the last of			
	VI. Other Comments (if any)					
7	V. Was any action IV. Date taken by the the date range Comm. In response to the meeting	the various industry sector meetings assisted staff in perparing the hovember 1, 2000 bulk power report	00	ultimately the Commission issued a NOPR but not directly in response to this meeting	none	The Commission issued an NOPR, that led to Order No. 637, but not specifically in response to this meeting
F	IV. Date range					
I	IV. Give the date			12/2/1998	2/12/1999	3/8/1999
9	III. What was the subject matter(s) of the meeting	meetings with various entergy sectors. It is a settles of meetings with various entergy generators, public power, etc. to provide a frount for the pergental aim of concerns with, and augustistics for the mandrist but electric power markets to assist staff in proparing the November 1, 2000 bulk power report	What from the Commission's 436-636 experience is applicable to attempts to foster similar gas and electric restructuring in Europe	to discuss changes in the gas industry and potential changes in Commission policy	briefing on industry statistics	This was a pre-Order No. 637 outreach meeting. The meeting was for discuss the future direction of the gas industry and the potential industry and the potential feeding of Commission feedinglines snerifically how requisitions.
Н 9	II. List all that were present at the meeting	who's who of generators Enror, it Dyney, Wallams. specifically I commissor's but howes study staff. Commissor's but howes study staff. I think probably most of the Southeast with study have been there too I don't remain her specific individuals it	from Enror. Tom Briggs and two people who worked in the German 436-636 experience is reingy marked phistory of Enror applicable to alternost to Berne Mosely of EEK may have been/dister similar gas and electric there a few minutes.	io discuss changes in ges marketer representatives including industry and potential renor Capital & Trading changes in Commission representatives	pipeline representatives, including Enron pipelines, FERC staff, Congressional staff	representatives of interstate gas ploetines. Including Entro-cowned ploetines. FERC staff and Commissioners' assistants
ш	Coffice Division (a) Inititated the meeting	bulk power staff	Enron	Yes FERC staff	Yes INGAA	Yes FERC staff
٥	(a)				Yes	
O	Division	MTR	MTR	Pipeline Rates	Pipetine Rates	Pipeline Rates
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
¥	Мате	Wolf. Stanley P	Wolf, Stanley P.	Wolfman, Andrea	Wolfman, Andrea	Wolfman, Andrea
	-	1753	1754	1755	1756	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-2

	X	V. Was any action range comments (if any)  1. Date taken by the range Comm. In response of the meeting		And the second s	The state of the s	The state of the s		
	f	IV. Give IV. Date taken by the the date range Comm. in response to the meeting	поле	нопе	none	none	staff prepared a Buik Soon Power Marker Report that was publically released	The Commission issued a MOPR, that led to Order 537, but not specifically in response to this meeting.
_	-	IV. Date range		4/28/1999 to 4/30/1999			Fall 2000	
Science	I	IV. Give the date	4/6/1999		12/10/1999	5/31/2000		3/16/1990
perman and in	9	III. What was the subject matter(s) of the meeting	to hear Enron's perspective on issues, but I can't remember what issues, these might have been pre-837 views or comems about the impact of regulatory policies on Enron's retail marketing	INGAA conference on regulatory Issues	NGAA reception	demonstration of Earon Online	As part of the Bulk Power Market Investigation we met with various segments of the electric industry to hear their electric industry to hear their yower markets were foundlound; Ennor representatives attended and presented their views.	This was a pre-Order No. 637 outreach meeting with representatives of his marketle segment of the gas industry. The topics discussed were the future direction of the industry and fine changes in the segment in the changes in the segment of meeting the changes in the segment of the meeting of the changes in the segment of the meeting of the changes in the segment of the changes which is the changes that the changes of the segment of the s
FLORE SERIORS ELEBERRINAR ARIO L'ROMIDSON	u.	II. List all that were present at the meeting	Euron representativos, me, Alice Fernandez and there may have been in other staff members	INGAA member pipeline representatives including Enron lipotetine employees, AGA representative. FERC staff	INGAA member pipeline employees,	Enron Online employees and other demon Enron representatives and FERC staff Online	Staff, EPSA staff	Representatives of pas markelers, including Erron Capital & Trading. FERC start, and Commissioners' assistants.
	ш	1. Who Office Division (a) inititated the	Yes Erron representative	Yes INGAA	Yes INGAA	Yes FERC staff	Yes FERC staff	Yes FERC staff
	۵	(a)	Yes	Yes	Yes	Yes	Yes	Yes
	O	Division	Pipeline Rates	Pipeline Rates	Pipeline Rates	Pipeline Rates	MOE	Pipeline Rates
	В	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
	A	Name	Wolfman, Andrea	Wolfman, Andrea	Wolfman, Andrea	Wolfman, Andrea	Wolfman, Andrea	Wolfman, Andrea
		-	1758	1759	1760	1761	1762	1763

Response to Question No. 3 in tife February 15, 2002 Letter From Senators Lieberman and Thompson

					Total Senators Ereberman and Inclinison			ξ		
A	В	O	۵	Е	4	9	I	_	ſ	×
Nаme	Office	Division	<u>(a)</u>	Office Division (a) Inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	IV. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting	VI. Other Comments (if any)
Wolfman, Andrea	Office of General Counsel	Pipeline Rates	Yes	Yes FERC staff	interstate pipeline representatives riculding Enror-pipeline representatives, FERC staff, Commissioners' assistants	pre-Order No. 637 outreach to discuss changes in the industry and changes that might be needed in Commission policy	11/16/1998		ultimately the Commission issued a NOPR but not directly in response to this meeting	
Wolford, Mary	Office of the Secretar y	Dockets	ž							
Wolnerman, Herbert Energy Projects	Office of Energy Projects	DZSI	ž							
Womack Jean Y	Office of Commis stoner Breathitt	N/A	Yes							ischedulod meetings and speeches for Commissioner Breathit during the date range of Petupus Y 1989 through December 2001. To the bost of my enchedun, I spoke with Joe Hartsoe, Dorns Fullon, Sarah Novosel and Wendy Jones during this firme.
Womack, Daniel Jr	Office of the Executiv e Director	DLM	ž							
Wong, Audrey C.	Office of the Executiv e Director	Divsion of Regulatory Accounting Policy	N _O							
Woo, Henry	Office of the Chief Informati on	Office of IT astructure Chief Infrastructure & Chief Infrastructure & Chiefice Chief	No							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_		
¥	VI. Other Comments (if any)	I do not have a record of the titles of the Transwestern personnel in aftendance.
ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	Yes. Order issued 09/15/2000 62 FERC P 61,215
-	IV. Date range	
Ξ	IV. Give the date	6/27/2000
9	III. What was the subject matter(s) of the meeting	Technical conference filing by Transverstern Pipeline Co. to acquire off-system capacity
Ł	Office Division (a) Initiated the at the meeting the meeting the meeting	May Yap Miller Transwestern Steve Stoff Transwestern Steve Stoff Transwestern (Steve Transwestern Clerk Has Transwestern Cornine Lurthberg Transwestern Lordmet Lurthberg Transwestern Lordmet May Good Bake Retts Technical conference re filling Services Sam Transmy May Good Bake Retts Technical conference re filling Transwestern Transmy District Transmy Lills Arcco Peter D. Cennin Burnapy IIB Arcco Peter D. Cennin
E	I. Who Inititated the meeting	FERC ORDER
D	(a)	Yes
О	Division	Office of MARKETS, Ceneral AND Coursel RATES
8	Office	Office of General Counsel
Ą	Мате	Wood, Hamis S.
	-	7.6.2

Response to Question No. 3 in tire February 15, 2002 Letter From Senators Lieberman and Thompson

	Х	Vi. Offier Comments (if any)		
	ſ	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	None	
=	-	IV. Date range		
	Ξ	IV. Give the date		
	5	III. What was the subject matter(s) of the meeting	Technical conference on Order no. 657 Squees Docket No. RPIO-404-000, et al.	
Total Cellacol S Eleberinian and Infollipson	£	II. List all that were present at the meeting	Mebit Action of Method Smith, Exon Mobil, Kalby Edwards, Indicated Smithers Stands, Indicated Smithers Stands, Indicated Smanner Mayard, Salherens Steak, Carles & Best, David Bloom and Kalby Hopkin, Moor Das, Conceyl Thermoen and Dave Netter, Cardy Porter, Micharders, Tront Coasol, Dave Parinds, and Parinds, and Parinds, and Parinds, and Parinds, and Parinds, and Parinds, Doney Kithelm Mawn, Olfe CX, Worthern Natural Gas Parildeparies, Siew Kith, May Davawara, Dani Doney, Micharder Mawn, Olfe CX, Worthern Natural Gas Parildeparies, Siewe Kith, May Davawara, Dani Gorson, Hanger Christis Reacher, Doney Kithelm Mawn, Olfe CX, Worthern Natural Gas Parildeparies, Siewe Kith, May Parwayara, Dani Gorson, Manchia Padago, Sob Willie, "Immediated Energy, Staphen Gaston-Inguistic, David Mebright NGS Cob Writtle," Will Commis, Limited Schooling, Worth, MOD Combis, Limit Reach, Los Janciputaries, Industry Matheriotic, Loud Bardioparts, Industry, Mancheller, Los Janciputaries, Indus, Xeller Donaha, Stein Kalley, Manteniotic, Loud Libo, Gay Wahneriotic, Loud Fred Mi, Laus Weich and Hemis Reachers.  Field Mi, Laus Weich and Hemis	Topic and the second se
	ш	Office Division (a) inititated the meeting	CONFERENC CONFERENC	
		(a)	Š	2
	٥	Division	MARKETS. TARIFES AND RATES	pipeline certificates
	m	Offlice	Office of Coursel	Office of Energy Projects
	٧	Мате	Wood, Harris S.	Wood, John, M.
		-	2771	1773

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

.42

ĺ			-	[			A CONTRACTOR OF THE PARTY OF TH				The second new years of the se
	X		,	5	ц		9	Ŧ	-	6	×
-	Name	Office	Division	<u>(e)</u>	Office Division (a) intitlated the meeting	il. List all that wero prosent at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	V. Date range	IV. Give IV. Date taken by the the date range Comm. In response to the meeting	V. Mas any action V. Date laken by the range (Comm. In response (VI. Other Comments (If any)) to the meeting
1774	Wood, Pat III	Office of the Chairma n	Office of the Chafrman	×e>	ves Ms. Ellen Gay Baker	Pasiby another Enron Employee	Courtesy Visit or pre-fiting Meeting			Norte	From 11/82 to 2/5/83, employed at FERC as Legal Advisor to Commissioner. Jerry Langton I don't maintain 1992 records but it's possible that I met with Ms. Baker or Another Emme employee in 1992 but no specific recollection.
1775	Wood, Pat iii	Office of the Chairma n	Office of the Chairman	Yes	Yes Ellen Gay Baker	Mone	My departure from FERC.	1/28/1993		No ·	From 1/1/92 to 2/5/93, employed at the FERC as Legal Advisor to Commissioner Jany Langdon
1776	Wood, Pat III	Office of the Chalrma n	Office of the Chairman	× ss	Yes Jerry Halverson	Jerry Halverson, Stan Horton, Mark Frevert and Scan Kean	Pipeline Security	9/20/2001		No	Thank you note received fromFrevert on 9/26/01,
1777	Wood, Pat III	Office of the Chairma n	Office of the Chaliman	Yes	Eugene Lockhart, Yes Pres & CEO New Power Co	Cynthia Sandherr and Kathleen Magruder	issues facing retail energy service provides and ERCOT	9/24/2001		No	THE STATE OF THE S
17.78	Wood, Pat III	Office of the Chairma n	Office of the Chairman	× 9×	Yes Kon Lay	Enron Policy Conference, A broad spectrum of people atlended the conterence.	US Energy Policy at the Crossroads		October 3	No	Participated at a speakers dinner on 19/3 and made a presentation at the conference on 19/2/2001
1779	Wood, Warren	Office of General Counsel		Yes	Litigation Yes Trial Staff, Parties to the case	Parties to Docket No. ER01-123-000 et al	Proposed Integration of MISO and Altiance RTO				Settlement filed with Commission on March 20, 2001. Erron Power Marketing opposed the settlement in comments filed March 30, 2001
1780	Wood, Warren C.	Office of General Counsel	Administrati ve Litigation	Yes	Chief Judge Yes Wagner, after FERC order	Approximately 100 attentitys (opresenting various intervenars in these proceedings	integration of the Atlance RTO and Midwest Independent Systems Operator, Inc.		February 1, 2001 • March 20, 2001	The Commission issued an order approving a settlement involving the integration of the Alliance RTO and the Midwest independent System Operator, Inc. in Docket No. ER01-123-000, et al.	Error Power Marketing, Inc. (EPMI), an post-febrate inceptual professional post-febrate inceptual post-febrate in
	Office of Wright, Jame Ronald Energy Projects		Division of Darn Safety and Inspections	ž							

IV. Give IV. Date laken by the the date range (Comm in response to the meeting) 346 Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson III. What was the subject matter(s) of the meeting List all that were present at the meeting I. Who
Division (a) Inititated the å ŝ ٩ Š ş ŝ Div.
Hydropowe
r
Administrati
on and
Complianc
e the Executiv Procureme e Tariffs and Rates -West Energy Projects Energy Projects Office of Markets, Tarriffs, and Rates Office of General Counsel Office of Energy Projects Vuehrmann, David B Wyche, Grover L. Jr. ramington, Byron P. Wyrick, Deborah L. Vright, Mary Anne Nаme ranoff, Erica J Vright, Jeff C. 1783 1784

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	•					יייי ככויייים ביכבים ווייייי מווא יוויסוווף פרווי		- Columbia	5		
	A	22	ပ	_	В	F	9	r	-	ſ	¥
-	Name	Office	Division	(g)	I. Who Office Division (a) inititated the	II. List all that were present at the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action IV. Date taken by the the date range Comm. in response to the meeting	V. Was any action IV. Date taken by the range Comm. In response (If any) to the meeting
1789	Office of Markets, Yatsko, Maryclaire P. Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Central, Group 3	Š							
1790	Yearlck, Vincent E.	Office of Energy Projects	DEER - Hydro	Š							
1791	Younan, William E.	Office of Energy Projects	Division of Dam Safety and Inspection	Š.							
1792	Young, Debra D.	Office of the Executiv e Director	Human	§							
1793	Young, Fernanda F.	Office of the Chief Informati on Officer	CIO	Yes	Yes FERC Staff	7 FERC Staff Dan Larcamo, Tom Heafiny, Chantes Withinson, Enmanda Young, George Ododing, Tom Brownlife, Katen Robinson From Brigon, Lei Harten, Shely Larkon, Shely Mary Hahr, Jeff Skilling, and Trom business cards collected (Kevin Presto, John Lavanalo, Loyd Wiff)	During December 6-8, 2000 FFERC staff participated in a visit to ENRON, DYMERGY, and EL PASO in Houston to Italia with information it cethnology staff and traders to ascertain what type of data sources and hardware set-up	12/7/2000		2	The ENRON meeting included lunch. The The Company of Enrol of Enron participant's includes the ones that can be specifically identified but is probably not a complete list.
1794	Young, Frances D	Office of the Executiv e Director	Office of the Division of Executiv Regulatory No e Audits	S S							
1795	Young, H. Peter	Office of Administ rative Law Judges	N/A	2							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

FERC staff identified that original proposed height consistent with other facility and does not interfere with equipment operation. V. Was any action
IV. Dato laken by the range (Comm. in response to the meeting) Commission issued an order authorizing the rate, with modifications. IV. Give I 7/20/2000 Height of impoundment wall at the EocElectrica. NG pier head (under construction). EcoElectrica considering lowering wall height from original proposal. III. What was the subject matter(s) of the meeting Enron officials (Northern Natural staff), Two technical conferences Commission staff, and other parties to regarding Northern's the proceeding. Conference call with Chris Diez, Karl
Breckon, Mark Madabey, Leo Ikiling, 19
Rick Sierus, Jim LeBlanc, Joe Perro, E
Durcan Rochardson, Bill Osborne,
Dan McCulah, and Chris Zerby, II. List all that were present at the meeting 1. Who (a) Inititated the meeting Larry Snyder (ENRON) Yes Commission Yes ž ŝ S ŝ £ Office of Markets, Tariffs & Tariffs, Rates, East Pates Division of Rates and Tariffs-West Press Services Office of Dam Safety Energy and Projects Inspections Case Tracking Division DEER oalj Office of External Affairs Office Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Zavesky, Richard, R Zerby, Elizabeth W. oung-Allen, Tama Zimmet, Raymond Zero, Donald J. Zerby, Chris M ero, Grace M. Name 1798 799 1801 1796 : 797

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	V. Was any action IV. Date laken by the range Comm. In response VI. Other Comments (if any) to the meeting	at which I was a fletured speaker. Several attended an INGAN international several attended an INGAN international several attendance. However, 1 do not recall attendance. However, 1 do not recall attendance. However, 1 do not not find mutual the conference. In addition, during the professor of the conference. In addition, during the conference. In addition, during the conference. In addition, during the conference. In addition, and a conference. In addition, and the company. One case, Docket No. CPBC-130-000, an exercision of Nahema Natural Gase Costs. Docket No. CPBC-130-000, an exercision of Nahema Natural Gase Costs of the CPBC-130-000, and several involved a data request and several involved and s	supervisor as to the native term a supervisor as to the native of the na	
5	V. Was any action IV. Give IV. Date taken by the the date range Comm. In response to the meeting			
-	IV. Date range			
·	IV. Give the date			
9	III. What was the subject matter(s) of the meeting			
la.	II. List all that were present at the meeting			
ш	I. Who Office Division (a) inititated the meeting			
0	(e)	\$9,		Š
0	Division	Office of Division of Emery Pipetion Projects Certificates		Policy
æ	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tamiffs, and Rates
¥	Name	Zoher, William L. (Line 1 of 2)	Zoller, William L. (Line 2 of 2)	Zugris, Donna
	-	1803	1804	1808

Response to Question No. 3 in tife February 15, 2002 Letter

	¥	VI. Other Comments (if any)	
	>	W. Give IV. Date taken by the the date range Comm. in response to the meeting	
uo	_	IV. Date range	
sdwor	Ξ	IV. Give the date	
berman and Th	O	III. What was the subject matter(s) of the meeting	
From Senators Lieberman and Thompson	L	Office Division (a) initiated the at the meeting (the meeting)	
	w	I. Who Inititated the meeting	
	٥	(a)	Š
	O	Division	Office of Energy D2SLARO No Projects
	m	Office	Office of Energy Projects
	¥	Name	Zygaj, John A
		-	900

#### ATTACHMENT 1

Lyle Hanagami Information for Line 646, Column G

Topics discussed at Gas Industry Liaison Accounting Group; Fall meeting with FERC; ANA Hotel - Washington, DC; November 6, 1996

Topics Discussed:

FERC, Federal Energy Regulatory Commission OCA, Office of the Chief Accountant DOA, Division of Audits DAS, Division of Accounting Systems Janice Garrison, FERC, OCA, DAS, Director

1. Please review the organizational structure of OCA, identify changes since last Liaison meeting.

Janice Garrison, FERC, OCA, DAS, Director

2. What can we, as industry representatives, do to assist the FERC Accounting Group in its reengineering efforts?

Ken Anderson, FERC, OCA, DOA, Branch Chief III

3. Would like to hear OCA's summary of their involvement and visions related to the GISB standards and development and reporting requirements.

Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant

4. What is or should be OCA's timing for providing responses to specific company letter requests?

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant 5. SFAS No. 123 - Accounting for Stock Based Compensation - The Statement establishes financial accounting and reporting standards for stock-based compensation plans such as stock purchase plans, stock options, restricted stock, and stock appreciation rights. The statement defines a fair value based method of accounting for an employee stock option or similar equity instrument and encourages entities to adopt that method of accounting for all of their employee stock compensation plans. However, it also allows an entity to continue to measure compensation cost for those plans using the intrinsic value based method of accounting prescribed by APB Opinion No. 25, Accounting for Stock Issued to Employees. The Staff would like to know how may companies have or are planning to use the fair value based method of accounting. Also, should the Commission consider modifying the Uniform System of Accounts to adopt a uniform method for stock compensation.

### FERC-Joe Frangipane, FERC, OCA, DOA, Director

6. Statement of Financial Accounting Standards (SFAS) No. 125 - SFAS 125 provides standards to aid in resolving accounting and financial reporting issues related to the transfer of financial and servicing of financial assets. Staff noted that a number of companies have entered into financial arrangements related to accounts receivable. Staff would like to have the group discuss the accounting implications of SFAS 125 as it affects the financial arrangements related to "sales" of accounts receivable, regulatory assets, or other assets. Staff would like to discuss the accounting effects of applying SFAS 125 for those companies that are "selling" accounts receivable or regulatory assets.

### FERC-Joe Frangipane, FERC, OCA, DOA, Director

7. Could the OCA describe how future audits of companies will be performed? Office vs. field?

## FERC-Joe Frangipane, FERC, OCA, DOA, Director

8. If there will not be future field audits, how will a period ever be closed?

# Tom McLauglin, FERC, OCA, DOA, Branch Chief, Special Projects

9. How will audit issues be identified in the future if field audits are eliminated?

## Chuck Kalaidis, FERC, OCA, DAS, Accountant

10. What is FERC's position on accounting for engine overhauls? Is this a capital or expense item? Are there any circumstances where the answer might be different for different companies?

## FERC-Joe Frangipane, FERC, OCA, DOA, Director

11. OCA recently allowed the capital treatment of reconditioning and hydrostatic testing whereby a retirement would occur for the old costs. Does OCA see any problem of capitalizing smart pig costs when the smart pigging give rise to reconditioning

#### FERC-Joe Frangipane, FERC, OCA, DOA, Director

12. Does the Northwest Pipeline ruling from FERC on hydrostatic testing apply to pigging operations?

## Tom McLauglin, FERC, OCA, DOA, Branch Chief, Special Projects

13. If a company is required to pass through pension costs on a cash basis in its rate process (by FERC Order as one example and without FERC Order as a second example), is the establishment of a regulatory asset assumed to be automatic or does specific language need to be included in rate case settlements?

Tom McLauglin, FERC, OCA, DOA, Branch Chief, Special Projects

14. Absent a specified tracker in a rate filing, should a regulatory asset or liability be established for the difference between pension cost included in Rate case COS and the actual pension cost incurred in later periods? What about FAS 109 costs?

#### FERC

- 15. Excess Deferred Income Taxes Staff would like to discuss the FE+G640RC's order on rehearing in Docket No. Al93-5-001, on the subject of excess deferred income taxes. During July 1996, the Commission granted rehearing of letter orders issued by the Chief Accountant to a number of gas pipeline companies that G642denied income statement recognition of excess deferred taxes associated with the adoption of SFAS No. 109 (A1gonquin Gas Transmission Company et al., AC 94-179-001 et al. (76 FERC 61,075)) Staff would like to summarize the Commission's position and answer any industry questions on the subject.
- 16. On July 19, 1996, the Commission issued an Order related to Issues associated with adoption of FAS 109. What is the status on letters to the OCA related to FAS 109 which have been on hold?
- 17. The Commission Order issued in July, 1996, relating to FAS 109 allowed/required each company to make their determination on appropriate rate and accounting treatment. What future event will signal a closure to these issues? Next Rate Case Proceeding? Next OCA audit?

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant
18. What is the current view of FERC audit staff on regulatory assets when: 1)
Asset is not addressed by global FERC pronouncements (i.e. FAS 106) and 2)
Asset has not yet been subject to rate case determination.

19. For most companies, the 3-year filing period for FAS 106 costs ended 12/31/95. If a company did not file for new rates within this time period, to what extent is the regulatory asset recorded (for difference in accounting methods) impaired.

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountan+G648t 20. What is OCA's position on appropriate accounting for Regulatory assets? When should regulatory asset recovery and expense be treated as a tracker?

Ken Anderson, FERC, OCA, DOA, Branch Chief III 21. Can FERC please update us on any implementation or audit issues they have addressed related to FERC Order 581.

Wayne McDanal, FERC, OCA, DAS, Deputy Director

22. Has the FERC considered using the Internet as a means of making documents easily available to the public as a substitute for the current CIPS bulletin board system?

Wayne McDanal, FERC, OCA, DAS, Deputy Director

23. Retirement Units / Units of Property - The list of Retirement Units was added to the Commission's regulations in 1961 and was last amended in 1982 by the addition of liquefied natural gas plant accounts to the List. Staff would appreciate the Groups' comments as to whet ha the List remains a viable means of determining when costs should be capitalized or charged to expense. If so, should the List be revised? Should it be expanded to provide more detail or should it be condensed to provide fewer specific items? If retained, should the List continue to allow expansion (as provided in instruction 4)?

Tom McLauglin, FERC,OCA,DOA, Branch Chief, Special Projects 24. Accounting for Cost of Removal - It appears that a number of pipelines are seeking approval for depreciation rate changes related to significant amounts of negative salvage for removal of onshore utility property. Staff would like to to know the typical reasons for the increases For example, Staff understands that there are special disposal requirements for pipe contaminated with PCBs. Is this factor adding significantly to the negative cost of removal?

## Chuck Kalaidis, FERC, OCA, DAS, Accountant

25. The following questions relate to the transfer of property previously used in gas service from account 101 Gas Plant in Service, to account 105, Gas Plant Held for Future Use pending its reuse in the future, under a definite plan, in gas service. A. Is there a minimum amount of time that property should be held in account 105? B. Under what circumstances would current accruals for depreciation be recorded on property held in account 105? C. If modifications, which are recorded to account 107, Construction Work In Progress, are made to property in order to prepare it for reuse in the future under a definite plan involving other new construction and the property to which the modifications are being made must be taken completely out of service for period of time while construction of the modifications is in progress, would it be appropriate in this situation to transfer the cost of the property to account 105 until construction of the modifications is completed? D. Assume the same facts as in C. above. except: I. For various reasons, two of which are to facilitate construction of the other new facilities and minimize costs, the modifications to the property are done in advance of the other new construction, and; II. After construction of the modifications has been completed and while awaiting construction of the other new facilities, the property to which the modifications have been made is placed back into "old" service, however, most of the modifications cannot be utilized in the old service, only the "new" service and thus cannot be placed in operation or ready for service until construction of the other new facilities and final testing of the new facilities and the modifications is completed. Would it be appropriate

under these circumstances to transfer the cost of those modifications which will not be utilized until the other facilities are constructed to account 105 until such time as the other facilities are completed, provided the time frame is reasonable?

Chuck Kalaidis, FERC, OCA, DAS, Accountant

26. Please clarify the definition of "ready for service" as it relates to Gas Plant Instruction 3 (17) (b) which states, "When only part of a plant or project is placed in operation or is completed and ready for service but the construction work as a whole is incomplete, that part of the cost of the property placed in operation or ready for service, shall be treated as 'Gas Utility Plant' and allowance for funds used during construction thereon as a charge to construction shall cease.

Ken Anderson, FERC, OCA, DOA, Branch Chief III 27. What can we, as industry representatives, do to help develop a better fixed asset model?

28. To what extent, if any, can companies combine various categories of general-plant and use an average life under this accounting methodology? James Caruso, FERC, OCA, DOA, Deputy Director 29. Year 2000 Costs - EITF Issue No. 96-14 addresses the Accounting for the Costs Associated with Modifying Computer Software for the Year 2000 requiring the costs to modify computer systems and programs for the year 2000 to be expensed as incurred. Staff has been informed that the costs involved in such efforts will likely be very substantial. Staff would appreciate industry's comments on the magnitude of the cost and the recommended accounting for such costs.

#### **ATTACHMENT 2**

#### William E. Murrell

Information for Line 1191, Column F (List of all that were present at the conference on RTO standardized market design):

See Notice for Conference in Docket No. RM01-12-000. Various senior FERC staff, Commissioners, and the following panelists (and the general public): The Hon. David A. Svanda, Commissioner, Michigan Public Service Comm.; Peter Cramton, Professor, University of Maryland;

The Hon. David F. Hadley, Commissioner, Indiana Utility Regulatory Comm.; Mark D. Kleinginna, Corporate Energy Director, Ormet Corporation; John Meyer Vice President of Asset Commercialization, Reliant Energy; John L. O'Neal, President, Mirant Mid-Atlantic;

Roy J. Shanker, Ph.D.;

The Hon. Glen N. Arthur, Vice Chair., Connecticut Dept of Public Utility Control; Edward G. Cazalet, Chairman, Automated Power Exchange;

Steven T. Naumann, VP, Transmission Services, Commonwealth Edison; Richard J. Pierce, Jr., Lyle T. Alverson Research Professor of Law, George Washington University;

Roy Thilly, President & CEO, Wisconsin Public Power, Inc.; Fiona Woolf, Head of the Electricity Group, CMS Cameron McKenna; The Hon. Nancy Brockway, Commissioner, New Hampshire Public Utilities Comm.:

Reem J. Fahey, Director of Market Policy, Edison Mission Energy; Carol Guthrie, Group Manager for Electric Supply, Chevron; Shmuel Oren, Professor of Industrial Engineering and Operations Research/Director of the Power System Engineering Research Center, University of California at Berkeley;

Andrew Ott, General Manager of Markets Coordination, PJM Interconnection, LLC:

Michael M. Schnitzer, Director, The NorthBridge Group;

Jose Delgado, President & CEO, American Transmission Company;

The Honorable Michael H. Dworkin, Chair, Vermont Public Service Board;

Mark W. Maher, Senior Vice President, Transmission Business Line, Bonneville Power Administration;

Laura Manz, Manager of Transmission Planning, PSE&G;

Masheed Rosenqvist, Director of Transmission Strategy, National Grid; Steve Walton, Senior Director of Government Affairs, Enron Corporation; Ricky Bittle, Vice President, Planning Rates and Dispatching, Arkansas Electric Cooperative Corporation;

Jim Caldwell, Policy Director, American Wind Energy Association; Peter Esposito, Senior Vice President & Regulatory Counsel, Dynegy; The Hon. Rory McMinn, Commissioner, New Mexico Public Regulation Comm.; Glenn B. Ross, Director of Transmission Policy, Dominion Resources; Audrey Zibelman, Vice President Transmission, XCEL Energy;

Craig Baker, Senior VP of Regulation and Public Policy, AEP Services Corp;

James M. Irvin, Commissioner, Arizona Corporation Commission;

Susan Kelly, Principal, Miller, Balis & O'Neil;

William K. Newman, Senior Vice President, Transmission Planning & Operations, The Southern Company;

Steve Ward, Public Advocate, Maine Consumer Counsel;

Matthew Wright, Senior Vice President, Pacificorp;

The Hon. Glenn N. Arthur, Vice Chairman, Connecticut Dept of Public Utility Control:

The Hon. James Blake Atkins, Commissioner, South Carolina Public Service Comm.;

The Hon. Angel M. Cartagena, Jr., Chairman, DC Public Service Commission;

The Hon. Joseph Curran III, Commissioner, Maryland Public Service Comm.;

The Hon. Michael Dworkin, Chairman, Vermont Public Service Board;

The Hon. Sam J. Ervin, IV, Commissioner, North Carolina Public Utilities Comm.;

The Hon. Gary Feland, Chair, Montana Public Service Commission:

The Hon. Edward A. Garvey, Commissioner, Minnesota Public Utilities Comm.;

The Hon. David F. Hadley, Commissioner, Indiana Utility Regulatory Comm.;

The Hon. Sandra L. Hochstetter, Chairman, Arkansas Public Service Comm.;

The Hon. Martin J. Huelsmann, Chairman, Kentucky Public Service Commission;

The Hon. James M. Irvin, Commissioner, Arizona Corporation Commission;

The Hon. E. Leon Jacobs, Jr., Chair, Florida Public Service Commission;

The Hon. Judy A. Jones, Commissioner, Ohio Public Utilities Commission;

The Hon. James J. Kerr, II, Commissioner, North Carolina Public Utilities Comm.; The Hon. LeRoy Koppendrayer, Commissioner, Minnesota Public Utilities Comm.:

The Hon. Loretta M. Lynch, President, California Public Utility Commission;

The Hon. Rory McMinn, Commissioner, New Mexico Public Regulation Comm.;

The Hon. Arnetta McRae, Commissioner, Delaware Public Service Commission;

The Hon. Edward M. Meyers, Commissioner, District of Columbia Public Service

The Hon. Robert B. Nelson, Commissioner, Michigan Public Service Comm.;

The Hon. William M. Nugent, Commissioner, Maine Public Utilities Commission;

The Hon. Catherine I. Riley, Chairman, Maryland Public Service Commission;

The Hon. Alan R. Schriber, Chairman, Ohio Public Utilities Commission;

The Hon. Marilyn Showalter, Chairwoman, Washington Utilities and Transportation Commission:

The Hon. Lavenski R. "Vence" Smith; Commissioner, Arkansas Public Service Comm.:

The Hon. Donald Soderberg, Chairman, Nevada Public Utilities Commission;

The Hon. Robert Spurlin, Commissioner, Kentucky Public Service Commission;

The Hon. Glen Thomas, Chairman, Pennsylvania Public Utility Commission;

The Hon. Carl W. Wood, Commissioner, California Public Utilities Commission;

Sarah Barpoulis, Senior Vice President, PG&E National Energy Group;

William P. Boswell, Chairman, Board of Directors, GISB:

William F. Burks, Associate General Manager, Electric Systems, City Utilities of Springfield, Missouri;

David N. Cook, General Counsel, NERC;

Michael Kormos, General Manager of System Operations, PJM Interconnection, LLC;

Marty Mennes, VP, Transmission, Operations and Planning, Florida Power & Light Company;

Charles J. Cicchetti, Miller Chair in Government, Business and the Economy, University of Southern California;

The Hon. Robert Nelson, Commissioner, Michigan Public Service Commission; Marji Philips, Exelon Power Team;

Sonny Popowsky, The Consumer Advocate, Pennsylvania Office of Consumer Advocate;

Craig R. Roach, Principal, Boston Pacific Company;

Anjali Sheffrin, Director, Market Analysis, California ISO;

Richard Cowart, Director, The Regulatory Assistance Project:

The Hon. Edward A. Garvey, Commissioner, Minnesota Public Utilities Comm.;

Bill Hall, Senior Vice President, Corporate Energy Policy, Duke Energy;

William W. Hogan, Professor of Public Policy and Administration, John F.

Kennedy School of Government, Harvard University;

Paul L. Joskow Professor of Economics & Director, MIT; Center for Energy and Environmental Policy Research;

Robert R. Nordhaus Member, Van Ness Feldman, P.C.;

David B. Patton, President, Performance Economics

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	on VI. Other Comments nse (if any)								
7	V. Was any action taken by the Comm. In response (if any) to communication:								
-	IV. Or date range								
Ŧ	IV. Give date the date range								
9	III. The subject matter of the communication								
Δ.	I. Sender(s) II. The recipient(s) of communicatio communication								
ш	I. Sender(s) of communicatio n								
	<u>ê</u>		No	ON No	ž	ž	Š	g	ક
O	Divisio (b) of n (cor	Environm ental and	D2St, Atlanta Regional Office	Division of Market Informatio	Division of Dam Safety and Inspection	Hydropow er Administr ation and Complian ce	Office of Tariffs Markets, and Rates No Tariffs, - West	Solicitor's Office	Office of Markets, General Tariffs,
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General
4	Name	Abrams, Edward, A.	Addison, Abbigale C.	Office of Division of Agarwal, Pradeep Kuma, Tamiffs, Informatio and Rales n	Airato, Scott A	Akbar, Mohammad	Akers, James L.	Aibert, Judith A.	Allen, Kathryn B.
	-	2	9	4	5	ဖ	7	8	

7	VI. Other Comments (if any)			
	VI. Othe			0.0
	V. Was any action taken by the Comm. In response (if any) to communication:	None.	Project site visit, two public scoping meetings, and technical workshop were scheduled for November 6, 7, 8, 2001.	POE filed an application to amend the current license on June 18, 2001; FERCE on William and environmental assessment and order approving amendment to license on July 25, 2001.
-	IV. Or date range	September 18 and 19, 2000	July 17, 2001 November 26, 2001	April 20, 2001 - July 5, 2001
	IV. Give the date			
	III. The subject matter of the communication	Exchange of e-mail confirming arrangements for a feleconfinence meeting to a heled on September 19, 2000, to discuss procedural questions about filing an amendment to the license application.	E-mail correspondence regarding procedural issues for amending Application for New Licensee and arrangements for scoping, site visit, and technical workshop	E-mail correspondance regarding PCEs proposed amendment of license to restal as small turbine in the west grout furnel at the Round Butte development.
L	I. Sender(s) Of the communication communication	Nan Allen, FERC staff, Julie Keil, PCEE, Tom Mark, LabCeuf, Lamb, Greene & MacRae, LLP representing PGE: Coceyin Sorners and Rod Bonacker, US, Forest Sornice, Janest Brown, Service, Janest Brown, Sornice, J	Nan Allen and Ann Miles FERC, Patricia Weslowski, FERC contract staff, PGE, Conflederated Tribes of the Warm Springs Reservation of Oregon.	Nan Allen and Ann Miles, Forest Service: Bureau of Indian Affairs, U.S. Fish and Affairs, U.S. Fish and Mallage Bureau of Land Management, National Amanie Fisheries Service: Warm Springs Power Enterprise, Confederated Tribes of the Warm Springs Power Cardon of Degard Department of the Interior Office of the Solicitor, Leffessor County Organ, Department of the Interior Office of the Solicitor, Leffessor County Organ, State of Oregon, Trout Unlimited; and other unrecalled participants
Ш	I. Sender(s) of communicatio n	Yes See recipients	Yes FERC staff; PGE	Nan Allen, FERC staff, PGE
	(p)	Yes		Yes
0	Divisio n	DEER	DEER	DEER
8	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
A	Name	Allen, Nan S.	Allen, Nan S.	Allen, Nan, S.
	-	10	7	12

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В		6	4	4	6	H	-		×
	Name	Office	á		I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	ect ion	ive	V. Or late	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
13	Allen, Stephanie C.	Office of General Counsel	Administr ation	§							
4	Alpert, Hollis J.	Office of Office	Office of Administr General ative Counsel Liftgation	Yes	Yes Staff	Momoranda and beliers in Memoranda and beliers in Service to Docket No. RP95 ET Bason Netural Casa 393-3001 and proceeding Company, Commission involving ET Bason Natural Staff and the Parties to Figse Company, Staff and tel. 1937 agapting proposed Pasco	Memoranda and letters from El Paso Natural Gas Company, Commission Tital Staff and the Parties to RP95 353 regarding proposed settlement positions in the proceeding		08/15/1995 to	Commission approved a 03/15/1995 to contrasten settlement in proceeding.	Enron was an intervenor in the case, but not very active and merely received copies of these communications.
15	Alpert, Hollis J.	Office of / General Counsel	Office of Administr General ative Counsel Liftgation	Yes	Trial Staff, Parties, and froquois	All Parties to the All Parties to the proceeding. Staff and the Iroquois Gas Pipeline Company	Discovery requests and responses and Settlement proposals regarding the froquois Gas Pipeline Company in Docket Nos. RP94-72-000 and FA92-59.		03/01/1994 to 04/01/1995	Commission approved a 030/01/1994 to partial settlement. 94/01/1995 Fremaining issues proceeded to hearing.	Enron intervened in the proceeding but was not active. They received copies of communications.
92	Aipert, Hollis J.	Office of General Counsel	Office of Administr General stive Counsel Liftgation	Yes	Trial Staff, Yes Southern Company, Parlies	Discovery requests at Total Staff. Scuttern Company Services, and proposed rates, terms parties to the Generator proceed rates, terms proceedings in Docket Nos. Company Sproposed rates, forms proceedings in Docket Nos. Company Sproposed 4384-4384-4384-4384-4384-4384-4384-4384	Discovery requests and responses and Settlement Company Services, and all proposals repaiding and parties to the Generator proposals repaiding Service confidence of Southern conditions Service confidence of Southern Conditions Service Continuary's proposal ER89-3651-000 and ER89-Generator Balanchia Service in Docket Nos. ER89-3531.		12/07/1999 to 06/09/2000	Cormission approved a 12/07/1999 to settlement resolving all issues in the proceedings.	Erron received copies of all of these or all of these communications, but was an insufive intervenor in the proceeding.
17	Amerkhail, Rahim, J	Office of Markets, Tarriffs, and Rates	Central	ĝ			-				
2	Office of Markels, Anderson, Lawrence Ric Tarriffs, and Rales	Office of Markets, Tarriffs, and Rales	Policy	Š							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

X	VI. Other Comments (if any)									and and design of the second o
ſ	V. Was any action taken by the Comm. In response (if any) to communication:		ANALYSIA ANANANANA ANALYSIA ANALYSIA ANALYSIA ANALYSIA ANALYSIA ANALYSIA AN							***************************************
-	IV. Or date range		Transaction of the state of the							
H	IV. Give date the date range									
9	III. The subject matter of the communication							The state of the s		West Control of the C
-	I. Sender(s) II. The recipient(s) of communicatio communication			And the second s						
ш	l. Sender(s) of communicatio n									
۵	<u>a</u>	N O	Š	ž	2	. oN	Š	g	8	운
ပ	Divisio (b) of n co	Knowledg Office of e and the Chief Informatio Informatio n officer Managem ent	Rates - West	Office of Pipeline Energy Certificate No Projects s	Division of Dam Safety & Inspection s - S NYRO	DEER	Tariffs and Rates - East	Energy Projects	Office of Tariffs Markets, and Rates No Tarriffs, Central	DEER
В	Office	Office of the Chief Informatio n Officer	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy   Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Energy
A	Name	Anderson, Norma	Andrew Farrell	Anklam, Elizabeth, G	Апуапии, Етепима	Armbruster, Ellen A	Office of Tariffs Amstrong, Cassandra G Markets, and Rates No and Rates - Last	Arneson, Joel K.	Arrington, Shirley M.	Arvediund, Robert
	-	19	20	21	22	23	24	25	26	į

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	T							
X	, j							
ŋ	V. Was any action taken by the Comm. In response (if any) to communication:							
=	V. Or date ange							
7 2 1	IV. Give the date							
E F G H G H H G H H G H H G H H G H H G H H G H H G H H G H H H G H H H G H H H H H H H H H H H H H H H H H H H H	III. The subject matter of the communication					·	:	
Jenators Lieu	I. Sender(s) II. The recipient(s) of communicatio communication			,				
	io (b) communicatio							
0	(a)	8	S.	Š	£	S S	8	Š
O	Divis	Office of Division of Markets, Turiffs & Tarriffs, Rates-	Division of Dam Safety and Imagection s	Market Informatio No	San Fracisco Regional Office	Office of Policy Markets, Innovation Tarriffs, & and Rates Communication	Policy, Innovation and Communi cation	CEA
æ	Office	Office of Division of Markets, Tarriffs & Tarriffs, Ratesand Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Policy Markets, Innovation Tariffs, & and Rates Communication	Office of Policy, Markets, and Tariffs, Communiand Rates cation	Office of Markets, Tarriffs,
A	Name	Athey, joseph C.	Atlas, William,R	Avent, Annette D.	Avila, Gilbert A.	Balley, Kristine T.	Ballard, James, S	Bandera, J Derek
	-	28	29	30	31	32	33	

V. Was any action taken by the Comments Comm. In response (if any) to communication: Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson IV. Give date the date range III. The subject matter of the communication L. Sender(s) II. The recipient(s) of or fithe communicatio communication Markets, Tariffs No and Rates Section Office of Division of the Logistics Executive Managem Director ont S ş S ş Š Ş Office of Office of Commissi Commissi oner oner Massey Massey Office of Markets, Litigation Tarriffs, and Rates U Divisio Energy Markets Central Litigation D2SI-SFRO Office of Markets. Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Energy Projects Office arlow, Randolph A. lanks, Kermit A, III ırdee, Michael, A. smes, Kenneth M irden, Justine L Barry H. Cornwall Sarfield Gloria J Bautista, Mac T Namo 88 36 37 38 33 5 4

			ž	385	onse to Q	uestion No. 3	Response to Question No. 3 in the February 15, 2002 Letter	ry 15, 2	:002 Le	tter	1
L		,		1		Senators Lieb	From Senators Lieberman and Thompson	ompsc	ב		
$\perp$	A	В	0		E	ш.	9	Ξ	_	ſ	×
	Name	Office	Divisio	<u> </u>	I. Sender(s) of communicatio	Sander(s) II. The recipient(s) III. The subject of the communication communication in the subject of the communication communication in the subject of		IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comme (if any)
43	Beck, Mark T	Office of the Executive Director	MAPS	N _O							The state of the s
44	Benge, Mary Elizabeth	Office of Commissi oner Breathitt	N/A	Yes	Yes Tom Briggs (Enron) self	self	Arrangements to meet socially			Ou	lelephone communicati
45	Benge, Mary Elizabeth	Office of Commissi oner Breathlit	N/A	Yes	Yes Donna Fulton	jes	Instructions for FercBeat panel discussion; followup to invitation		Spring 1998	na	
46	Bennott, Jr. William W.	Office of the Chief Informatio n Officer	Knowledg e Officer	2					A COLUMN TO THE		
47	Berlin, Hillary	Office of Energy Projects	DHAC	No No			The same of the sa				
48	Bernhardt, James L.	Office of Tariffs Markets, and Rates No Tariffs, and Rates	Tariffs and Rates East	% S						The state of the s	7
40	Bernier, Dlane E	Office of Administr Markets, ation & Tarriffs, Case and Rates Tracking	of Administr ation & Case Tracking	§.							
	Bernt, Julie M.	Office of Division of Markets, Tariffs Tarriffs, and Rates	Division of Tariffs	ž						The state of the s	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

					·			
~	VI. Other Comments (if any)				to the state of th	A CONTRACTOR OF THE CONTRACTOR		
5	V. Was any action taken by the Comm. In response (if any) to communication:				received by the first and the first production of the	TOTAL THE STATE OF		l believe the case was settled by the parties with no Commission action taking place.
-	l							1992-1993
I	IV. Give date the date range							
E F G H	III. The subject matter of the communication							Responses to staff data requests in Florida Gas Transmission Corp. coorceming depreciation and economic end-life issues in Section 4 Rate Case
ш	I. Sender(s) II. The recipient(s) of communicatio of the communication							Andrew M. Bieltz
Е	I. Sender(s) of communicatio n				-			Litigation   Yes Florida Gas staff
0	(b)	Š	운	2	ટ્ટ	S.	8 8	Yes
O	Divisio	Chief Accounta Office of n, the Division of Director  Peccutive Regulator Office of Peccutifity Peccutifity	Tariff & Rates East	Tariff & Rates East	Administr ation	D2SI- ARO	Office of Division of the Logistics Executive Managem Director ent	Litigation
В	Office	Office of the Executive Director	Office of Markets, Tarriffs, and Rates	Office of Markets, Tamiffs, and Rates	Office of General Counsel	Office of Energy Projects	Office of Division of the Logistics No Executive Managem Director ent	Office of Markets, Tarriffs, and Rates
A	Name	Berrios Jr., Samuel	Berson, Gregory, A	Berson, Gregory, A	Bess, Merle O	Bethke, Elizabeth J.	Beverly Mawery	Bieltz, Andrew M
	-	51	52	53	7	55	56	. Co

Kesponse t Fr	Kesponse t Fro	Kesponse t Fr	sponse t Fr	onse t Fro	3 E	uestion No. 3 Senators Lieb	Kesponse to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	y 15, 2 ompso	002 Le	tter	<b>o</b>	
	Ą	В	၁	0	Е	نث	9	I	-	ſ	×	
Name		Office	Divisio (b) of n cou	( <del>Q</del> )	Sender(s) mmunicatio	I. Sender(s) II. The recipient(s) III. The subject of communication communication communication in the sendence of the communication communication in the sendence of the send	_	IV. Give	V. Or date	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
Bilgihan, Esr <i>el</i>		Office of Markets, Tarriffs, and Rates	Central	Yes	Elizabeth Taylor of FERG	Central Yes FERC Company (NNGC) rate staff TA92-1-59-000	Field Roview of Docket No. TA92-1-58-000		2/24/92- 3/6/92	An order issued on 12/292 in Oockel No. 92- 2-69-0012 Needs each to correct the issues found to correct the issues found by the field review.	The issues uncovered were, exchange valuation, rarrying charge calculation, taif impuge, assessement (est calculations, current calculations, current factly strange calculations and the reconciliation between purchased volumes and sales volumes.	
Billings, Martha	artha	Office of Energy Projects	Division of Dam Safety and Inspection 8, PRO	ž								
Birch, We	Birch, Walter Matthew	Office of Policy, Markets, Innovation Tarriffs, & and Rates Communication	Division of Policy, Innovation & Communic cation	o _N								
Birchman	Birchman, Bruce Lee	Office of Administr ative Law	OALJ	ŝ					-			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name		*			1		Total Ocharors Erebellitän and Hompson	DIE HEILE	202	=		
Name         Office of Executive         (b) of Communication         II. The subject of the name of the name of the communication         III. The subject of the name of the communication         III. The subject of the name of the date and name of the communication.         V. Give date and communication.           Blair John J. Every Common.         Environment of the communication.         Environment of the communication.         Environment of the communication.         III. The subject of the communication.         IV. Give date and communication.           Blair John J. Every Common.         Environment of Common.         Environment of Common of Comm	ľ	٧	n	د	5	ונ	1	9	Ŧ	-	η.	×
Elekir, John S.   Ciffic of   Environment   Floration   Floratio	-	Name	Office	Divisio	(g)	I. Sender(s) of communicatio	II. The recipient(s) of the communication	_	IV. Give the date	IV, Or date range	action sportse	VI. Other Comments (if any)
State   Comment of the Comment of the Commission of proposal certified by Commission of proposal cer	. 62	Biair, John S.	Office of Energy Projects	Environm ent & Engineeri ng Review	Yes	ERM Corporation & lis Sub-Contractors	70	163 e-mails between the parkies montloned alove scheduling medings, selling algendas, confirming meeting arrangements		12/01/98 -	Aone	ERM Corporation is a Thirid Party Contractor repearing Vorband Canneal Electric's antiviormental Impact statement for its Bull Run, Sod Grow, North Fort, hydroelectric referense application. The 163 communications were among anties to corriem meeting details over the 3 year poriod,
Blancker, Rosa M.         Office of Director         Regulator Very Executive of Director         FERCA and Pontland FERCA and Pontland General General Ordinary Production         Callionia Generation Cost (through a Director)         June 2001 (thro	63	Віакемау, Victor Darrell	Office of General Counsel	Markot Oversight \$ Enforcem ent	Yes		Commission and parties to Docket No. ER01-123	Comments on settlement proposal certified to Commission by Judge Wagner. One comment to Wagner, another to Commission after certification.		03/01/2001 -	Enron's objections to settlement rejected by Judge Wagner and Commission	Warren Wood was staff lead coursel on this and also has submitted data on these filings. See 3(a).
Blaug, Elisabeth A General Projects Counsel Projects Office of Counsel	64	Blatiner John J.	Office of the Executive Director	Regulator y Audits	×es ×	FERC and Portland General	FERC and Portland General	, California Generation Cost Audit		June 2001 through August 2001		
Blocker, Carrie E. He Chief I he	65	Blaug, Elisabeth A	Office of General Counsel	i	운							
Office of Administrative alive alive alive alive alive alive Support Director Shaff Office of Energy General Projects	99	Blocker, Carrie E.	Office of the Chief Informatio n Officer	Office of the Chief Informatio n Officer								
Blorne, William O General Projects	67	Blocker, Rosa M.	Office of the Executive Director	Administr ative Support Staff								
	. 89	Blome, William O	Office of General Counsel					The state of the s				

Response to Question No. 3 in the February 15, 2002 Letter

£

	_		<del></del>				
	×	VI. Other Comments (If any)	The state of the s		The state of the s		OF THE PARTY AND
	ſ	V. Was any action taken by the Comm. In response (if any) to communication:				поле	none
Ĕ	_	IV. Or date range			Sep-00	Dec-00 none	Dec-00 none
ompsc	H	IV. Give date the date range					
From Senators Lieberman and Thompson	g	III. The subject matter of the communication			E-mail with passwards for accessing ENRONONLINE	Request for continued access to ENRONONLINE	E-mail providing passwords to access ENRONONLINE.
Senators Lieb	4	Office Divisio (b) of communicatio communication communica			Bill Booth	Donna Fulton, ENRON Regulatory Rep	William Booth
From	3	l. Sender(s) of communicatio n			Yes Technical Staff	Yes William Booth	Yes Technical Staff
		<u>a</u>	ટ્ટ	8	Yes		Yes
	၁	Divisio n	Division of Dam Safety and Inspection \$	Office of Administr Markets, ation and Tarriffs, Case and Retes Tracking	Market Analysis	Market Analysis	Market Analysis
	В	Office	Office of Energy Projects	Office of Administr Markets, ation and Tarriffs, Case and Rates Tracking	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Name	Bolton, Leona B.	Bondarenko, Maria	Booth, William C	Booth, William C	Booth, William C
		-	69	02	71	22	9
•			,				

2002 Letter
<u>5</u>
February '
the
Ξ
-=
No.
Question
2
nse

From Senators Lieberman and Thompson

	•	-		t							
	₹	מ	0		Ш	ш	9	Ξ	_	ſ	×
	Name 1	Office	Divisio n	(a)	I. Sender(s) II. The of communicatio	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
2	Booth, William C	Office of Markets. Tarriffs. and Rates	of Market St. Market Tr. Analysis		Michelle Farrell, David P. Boergers, Mgr. Mgr.	David P. Boergers, Secretary of FERC	Weeky filings by Portland General Electric Company motifying the Commission of their intent to justify sales for which the price exceeded a price was the ERC in a 12/15/200 order (33 FERC 61,294).		January to June 2001	The Commission ordered PGE and other selects to reduct the value of sales above the proxy market cleaning price on 39/2001 (94 FERC 61 24s) and subsequent letter orders signed by Daniel arcumpt. Director of the Office of Markets, Tariffs and Markets, Tariffs and Markets, Tariffs and sissues for the reduct sissues for the reduct sissues for hearing on 61/32001.	
7	Booth, William C	Office of Markets, Tarriffs, and Rates	of Market sts, Informatik fs, n	, Yes	Market John N. Estes III David P. Boergers, Council for ENRON Secretary of FERC	David P. Boergers, Secretary of FERC	Response by Portland General Electric Company to a data request for information on sales between 10/2/2000 and December 31, 2000.	3/30/2001		The Commission set the issue of refunds for the period 10/2/2000 and December 31, 2000 for hearing on 6/19/2001.	
	Booth, William C	Office of Markets. Tarriffs, and Rates	of Market tts, Informatic fs, n	o Yes	Market John N. Estes III, David P. Boergars, Informatio Yes Council for ENRON Secretary of FERC	David P. Boergers, Secretary of FERC	Confidential justifications of Portand General Electric for prices charged in excess of the FERC's proxy market clearing price (94 FERC 61,245).		3/23/2001 &	The Commission Utilimately set the refund issues for hearing on 6/19/2001.	
7	Booth, William C	Office of Markets, Tarriffs, and Rates	of Market ts, Informatic s, n	- Kes	Market Informatio, Yes William C. Booth n	Donna Fulton, ENRON Regulatory Rep	Request for passwords to access ENRONONLINE.		Sep-00 none	none	
- 2	Boros, Laurie	Office of Energy Projects	of 3y DEER tts		Alan Bowman, Yes Transwestern,	Laurie Boros	cultural resources survey information			Ou	

Response to Question No. 3 in the February 15, 2002 Letter

During 1993-1996 in my proposition as 2 Staff Assistant to Commissioner James as 2 Staff Assistant to Commissioner James and Commissioner Mecker and Commissioner Mecker and Commissioner Precision and Commissioner Precision and Commissioner Precision and Precision and Precision and President of PRON I cannot recall which ERNON executives when in alterdance and have no records of the meeting. I did not participate in the meeting. V. Was any action taken by the Comments Comm. In response (if any) to communication: Not certain 1993-1996 date range From Senators Lieberman and Thompson IV. Give transmitting signed signature page for memorandum of agreement provide technical guidance for cultural resources filing call to check cultural resources information for filing III. The subject matter of the communication Routine courtesy vists II. The recipient(s) of the communication Leo Nichols, Environmental Specialist, Nor Nat Commissioner Hoecker Laurie Boros Laurie Boros of communicatio o Don Vignaroll, Nor Nat, phone Don Vignaroli, Nor Nat, fax I. Sender(s) Laurie Boros, phone Yes ENRON <u>a</u> Yes Yes Yes ŝ £ ž Office of Market Market Tarriffs, Informatio hand Rates Divisio DEER DEER DEER Rates West Central DEER Office of Energy Projects Office of Energy Projects Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Energy Projects rque, Barbara D. Bowers, Linda M. Boyle, Michael J. ros, Laurie loros, Laurie oros, Laurie oyce, Marie Name 80 81 85 83 84

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_		<del></del>		· · · · · · · · · · · · · · · · · · ·
	~	ar C		Made a brief stop(15-20 min.) at Sunday brunch. Prior approvaf received by DAEO (designated agency ethics officer).	Dinner meeting with Rich Shapiro, Managing Director, Government Affairs - Erron (Houston) along with Charles Bone and Johnny Hayes both from Nashville. Paid for own meat.
		V. Was any action taken by the Comm. In response (if any) to communication:	Review and comment on technical submittals	DU DU	OU
=	-	IV. Or date range	9/11/98 to 4/2001		Jan. 2001
	I	IV. Give the date		1/21/2001	
Tom Conditions Elebenman and Information	9	III. The subject matter of the communication	I have had numerous interactions and interactions at meetings and interactions with into aleasen Portland General Electric PCB employees didning the time for the years a subsidiatory of ENROIN in regard to the seismic netroll of their Rivermill Dam, FERC #785-01-01, and alterningal seismightening at Northfork Dam, Ferce #785-01-01, and alterningal seismighteningal seismigh	Enron hosted Inaugural Brunch at Red Sage Restaurant.	Discussed RTO's and Iransmission access issues
School S LICE	L	I. Sender(s) II. The recipient(s) of communicatio communication	Bod Steele, Mike Gandert, Kevin Marshal	self	Jes Jes
	ш	l. Sender(s) of communicatio	Yes Bruce Brand	Yes Enron	Yes Charles Bone
		(g)			
	ပ	Divisio	, . 	N/A	NA
	<u>m</u>	Office	Office of Energy Projects	Office of Commissi oner Breathitt	Office of Commissi oner Breathitt
	A	Name	Brand, Bruce J.	Breathitt, Linda K.	Breathilt, Linda K.
		-	98	87	88

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						Senators Lieb	rrom senators Lieberman and Thompson	ompsc	Ξ		
	A	В	O		E	4	9	Ē	_		7
-	Name	Office	Divisio	(P)	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	V. Or date ange	V. Was any action taken by the Comm. In response (if any) to communication:	- b
88	Breathitt, Linda K.	Office of Commissi oner Breathitt	N/A	Yes	Yes Charles Bone	self	Discussed RTO's, FERC transmission jurisdiction, and layfil reform.		Mar, 2001	04	Dinner Meeting with Rick Shapiro, Maneging Director Government Affaire - Emon (Houston) along with Charles Borne and Johnny Hayes, both from Nashville. Paid for own meat.
8	Breathitt, Linda K.	Office of Commissi oner Breahitt	, VA	Yes self		call to Rick Shapiro and call to Stan Horton	2 phone calls to inquire about continued Enron operations		Nov or Dec 2001	Ou	telephone communication
91	Brenner, Lawrence	Office of Administr ative Law Judges	Office of Office of Administrative Law ative Law Judges	No							
92	Brent, Donna	Office of Markets, Tarriffs, and Rates	Central	o _N							
93	Brewer, Lisa, A	Office of Energy Projects	Certificate	ĝ							
94	Briscoe, Gerald M.	Office of Energy Projects	Pipeline Certificate s	Š							
95	Brooks, Bonnie J	Office of the Executive Director	Office of FINANCI the AL Executive SERVICE SIrector S	ĝ							
<u> </u>	Brooks, Chauna	Office of Markets, Tarriffs, and Rates	Office of Innovation Markets, and Tarriffs, Communi	ž		-					

L					rrom :	Senators Lieb	From Senators Lieberman and Thompson	osdwo	Ē		
	A	В	O	Δ	Е	ш	9	н	_	ſ	¥
	Name	Office	Divisio	(g)	I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of of the communicatio of the n	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	er C
97	Brooks, Clara R.	Office of Markets, Tarriffs, and Rates	Front	S S							
98	Brooks, Deborah D.	Office of Markets, Tarriffs, and Rates	Office of Administr Markets, ation and Tarriffs, Case and Rates Tracking	ટ્ટ							
66	Brooks, Keith M.	Office of General Counsel	Energy Projects	g			100				
100	Brown, Ellen	Office of the Chief Informatio n Officer	Office of e and the Chief Informatio Informatio n Officer Managem ent	운							
101	Brown, James	Office of the Chief Informatio n Officer	Knowledg Office of e and the Chief Informatio n Informatic n n Officer Managem ent	Ŷ.							
102	Brown, Perry L.	Office of Markets, Tarriffs, and Rates	Office of Division of Markets. Markets Tarriffs, Developm	Ŷ.							
103	Brown, William, D	Office of the Financial Net Executive Services Director	Financial	Š							
104	Brownell, Nora Mead	Office of Commissi oner Brownell	¥ Ž	Yes	Yes Lisa Yoho	Nora Mead Brownell	Called to set up meeting with Enron Washington Office		Summer 2001	None	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				,			
	VI. Other Comments (if any)						
	V. Was any action taken by the Comm. In response (if any) to communication:	None	Meeling date 9/19/01	Monitoing Unit opened dialogue with Erron onlino	None	None	
-	IV. Or date range						
1	ive	8/22/2001	9/5/2001	11/28/2001		12/5/2001	
	ect ion	Sent Congressional Testimony of Kathleen McGruder	Sent letter to set up meeting with Commissioner for Mark Frevert	When Fron online screen went blank, called to facilitate ormunoication between FERC Market Monitoring Unit (Scott Miller) and appropriate Erron contact with the goal of insularing non-interruption of customer service. Requested updates as events unfolded.	Phoned updates periodically to discuss events that might be perceived to impact customer. For example, assurance that pipelines were serving customers.	Letter thanking NMB for participating in October conference	
<u> </u>	I. The recipient(s) of the communication	Yes Cynthia Sandherr Nora Mead Brownell	Helen Fitzgerald	Rick Shapiro	Nora Mead Browneil	Nora Mead Brownell	
ш	Blvisio (b) communicatio	Cynthia Sandherr	Yes Linda Robertson	Yes Brownell	Yes Rick Shapiro	Yes Forum	
٥	(q)	Yes	Yes	Yes	Yes	Yes	Š.
O	Divísio	N/A	N/A		N/A	N/A	Market Informatio No n
В		Office of Commissi oner Brownell	Office of Commissi oner Brownell	Office of Commissi oner Brownell	Office of Commissi oner Brownell	Office of Commissi ' oner Brownell	Office of Markets, In Tarriffs, and Rates
A	Name	Brownell, Nora Mead	Brownell, Nora Mead	Brownell. Nota Mead	Brownell, Nora Mead	Brownell, Nora Mead	Brownfield Jr, Thomas
	-	105	106	107	108	109	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	n	C	6	ц	u		=	-		
		Į		i	1	_	5		-	6	×
-	Name	Office	Divisio	(a)	I. Sender(s) of communicatio	Divisio (b) of communicatio communication	III. The subject matter of the communication	IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
<del>-</del>	Bryan, Beverly E.	Office of the Executive Director	Office of Logistics the Managem Executive ent Director Division								
112	Bryant, Nancy	Office of the the Executive Director	Human Resource s Managem	^o Z							
113	Bublitz, Christopher	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	No							1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -
114	Burgess, Jonathan Lee	Office of Energy Projects	Division of Dam Safety Inspection No - Atlanta Regional Office	S.							
115	Burgess, Thomas, J.	Office of General Counsel	Office of Litigation	Yes	Ferc Trial Staff. Yes Transwestern's counsel	Trail Staff, Transwestern's counsel, intervenors' counsel.	Data requests in Transwestern Pipeline Company, Docket No. RP97- 288-009 through 016.		8/13/2001 through 8/22/2001	Not yet. The case went to hearing. Initial decision rendered October 24, 2001. Case now pending before the Commission.	
116	Burns, Theresa	Office of General Counsel	MOE	2							
117	Burrell, Hedley	Office of External Affairs	press services	ž					1		

V. Was any action taken by the Comments Comm. In response (if any) to communication: or 5 phone calls. 2 - 3 phone calls Commission letter requesting explanation of non-compliance Construction clearance letters issued for portions of the project. 04/11/1994 -10/21/1994 -10/24/1994 12/12/1994 -06/22/1994 -07/25/95 IV. Or date range IV. Give the date Discovery of supposed furman skelder material during archaeological investigations at prehistoric site 8/VL31.

EF Expansion Pipeline Project (CP94-224) preconstruction filing construction filing construction of EF Expansion Pipeline Profest (CP94-224) preconstruction of EF Expansion Pipeline Construction of EF Expansion pipeline approximately 24 phone calls coordinating archaeological excavations related to FGTIII pipeline construction reviewed information for implementation plan for FTIII construction (CPg2-182) requested environmental data for prior notice application CP94-277 archaeological clearance for FGTIII pipeline construction additional work spaces III. The subject matter of the communication II. The recipient(s) of the communication Button (FERC), C. Goodwin (FGT archaeological contractor) David Brown, Northern Natural Button (FERC) Button Button of communicatio c Steve Veatch, Bob Yes D. Brown, Northerr Sender(s) Bob Burnett, C. Goodwin David Brown, Northern Burnett, FGT Bob Burnett <u>@</u> Yes Yes Yes CD ž ĝ Yes Yes Yes Office of Tariffs
Markets, and Rates Pariffs, -Central Divisio DEER Litigation DEER DEER DEER DEER DEER DEER _ Office of Markets, Tarriffs, and Rates Office of Energy Projects Office Office of Energy Projects Offlice of Energy Projects Office of Energy Projects Office of Energy Projects ushey, William, P Burtt, Harriet J utton, Van T. Button, Van T. utton, Van T. utton, Van T. Button, Van T. utton, Van T. Itton, Van T. 118 120 121 126 122 123 124 125

Letter	
2002 L	
5,	
3 in the February 15, 2002 Lette	1
þe	
Ξ	
3	
ģ	,
to Question No.	
ð	1
2	
Response to	

From Senators Lieberman and Thompson

1 1	A	В	O		3	Ŀ	9	E		ſ	X
Name		Office	Divisio	<b>(P)</b>	I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (If any) to communication:	VI. Other Comments (if any)
Button, Van T.	an T.	Office of Energy Projects	DEER		Yes Donna Martens, Northern	Button (FERC)	Clarification of environmental and cultural resource information request for East Leg Expansion Pipeline Project		03/14/1995 - 04/26/1995		
Button, Van T.	Van T.	Office of Energy Projects	DEER		Yes Bob Burnett	Button (FERC)	Submittal of final archaeological reports for FGTIII pipeline project				
Button,	Button, Van T.	Office of Energy Projects	DEER		Yes Button (FERC)	Gten Hass (Northern)	informal data request. information about non- jurisdictional facilities CP96- 801				
Button	Bulton, Van T.	Office of Energy Projects	DEER	Yes	Yes Northern	orvironmontal inspections Button (FERC), Glen Hass, and compleme with John Steenberg (NRCN), during construction of CP 690 Mississippi River Coossing Pipeline	environmental inspections and compliance with environmental conditions during construction of CP96- 690 Mississippi River Crossing Pipeline		09/18/1997 -		10 - 12 phone calls
Button	Button, Van T.	Office of Energy Projects	DEER		Richard Babin Yes (FGT)	Button (FERC)	clarification of information on archaeological survey coverage to the submitted with FGTIV pipeline implementation plan			e e e e e e e e e e e e e e e e e e e	
Button	Button, Van T.	Office of Energy Projects	DEER	Yes	Yes (NRON)	Button (FERC)	preconstruction requirements met for compressor station CP00-04			popular view de la component	and the second s
Cadde 133	Cadden, Kevin	Office of External Affairs	Office of External Affairs	£						·	-
Cagno	Cagnon, Davíd W.	Office of the Chief Informatio n Officer	Office of Support the Chief and IT Informatio Deployme n Officer Services	£							-

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V		(			שניים בופט		2	-		
	Name	Office		a ê	1. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of the communicatio communication n	III. The subject matter of the communication	IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
135	Campbell, Heather	Office of Energy Projects	Hydropow er Administr stion and Complian ce	Yes	Yes Deb Shallert PGE	Heather Carrybbol, Steve Naugle, Jean Potvin Keith Brooks	Draft Merrorandum of Agreement (MOA) for surrendor of Bult Run Project, FERC No 477.		Avgust- September 1999	Cornission staff provided general continents on draft MOA	
136	Campbell, James G	Office of Markets, Tarriffs, and Rates	DPtĊ	Yes	Yes James campbell	Steve Walton, Senfor Director of Government Affairs	Verifying that he would be speaking on one of the panels during RTO Week (Cot. F-9, 2001) and verifying how to list him on the Agenda.		Sep-01 No.	No.	100000000000000000000000000000000000000
137	Campbell, Timothy P.	Office of the Chief Information n Officer	Office of the Chief Customer Informatio Support n Officer	윷	-			N/A			
138	Canada, Karen M.	Office of Markets, Tarriffs, and Rates	Central 2	Š							
139	Canter, Steven L	Office of the Executive Director	Office of Resource the S Executive Managem Director ent	N _O			·				
140	Capps, Edward C.	Office of Markets, Tarriffs, and Rates	Office of Administr Markets, ation and Tarriffs, Case and Rates Tracking								
141	Caramanica, Joseph W	Office of Energy Projects	Pipeline Certificate s	2							
142	Carey, Connie, B	Office of General Counsel	Office of Administr General ative Counsel Office	ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name							שושוחום דופה	rioin senators Eleberman and Thompson	School	=		
Name         Office of Lands         In The secule meter of the normal communication         In The secule meter of the normal communication         In The secule meter of the date range of the normal meter of the normal communication         In The subject of the date range of the dat		A		٥		ш	11-	ව	Ξ		٦	Ж
Carter, John T         Traffic         Portion of Lands         Traffic         Portion of Lands         Traffic         Portion of Lands         Portion of L	-	Name	Office	Divisio	( <u>a</u>	nder(s) municatio	(s)	_	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response to communication:	VI. Other Comments (If any)
Carter, Alton Brooks   India and Policy   Carter, Ference   Carter, Ference   Carter, Ference   Carter, Alton Brooks   Carter, Ference   Carter, Alton Brooks   Carter, Ference   Carter, Alton Brooks   Carter, Car	143		Office of Markets, Tarriffs, and Rates	Tariffs and Rates - West	2 Z				,			
Carter, Gwendolyn E General Energy Counsel, Projects Office of Markets Taniffs, Bovelopm and Rates Collin, Robert T Taniffs And Rates Contral Chamblee, Don A, External merental and Rates Chamblee, Don A, External merental and Plates Chamblee, Don A, External merental and Affairs Chapmen, Craig, O Executive affaire Administration of the Support Chapmen, Craig, O Executive affaire Administration of the Payroll Support Support	144		Office of the Chief Informatio n Officer	IT Strategic and Capital Planning and Policy		General	Brooks Carter, FERC/OCIO with input from Hector Perez and John Paquin	Procedures for requesting a waiver of Commission regulations in order to submit the Consultation Record for 2,000 (Round Butle & Pelton Hydro Project) on CD ROM in fieu of peper.		October, 1999	Portland General Electric lifed a walve request on 1122/1999; Office of Hydropover Licensing granted the walver via delegated order on 11/30/1999.	Discussions also involved procedures for scanning and griganizing documents oralling approximately 5,000 pages on CD ROM
Cautso, James B Markets, Development Brankets, Development Brankets, Parkets, Callin, Robert T Tarriffs, Cantral Arriffs, Conductorss)  Chamblee, Don A, External Arriens and Pates and Rates Characters and Rates and Rates and Rates and Pates Characters and Pates and Pates and Arriens and Arrien	145	Carter, Gwendolyn E	Office of General Counsel		1			TO THE PARTY OF TH				A CONTRACTOR OF THE CONTRACTOR
Calin, Robert T Markets, and Rates and Rates and Rates Central and Rates Congressi Chambiee, Don A. External Inventral and Affairs and Affairs and Affairs and Chapmen, Chapte Office of Administration of Executive affice & Administration of Payrol Director Payrol Support	146		Office of Markets, Tarriffs, and Rates	Market Developm ent								
Chamblee, Don A. External Interests and Affairs and Affairs and Affairs and Affairs and Affairs and Chapmen, Chapton (Chapmen, Chapton) (Chapmen, Chapton) (Chapmen, Chapmen,	147		Office of Markets, Tamiffs, and Rates	Tariffs and Rates Central								Total Control
Chapmen, Craig, O Executive after 8 Office of Executive after 8 Office of Executive after 8 Office of Support	148		Office of External Affairs				,					
	149	Chapman, Craig, O	Office of the Executive Director	Managerr ent, Administr ative & Payroll Support								

2002 Letter
'n
_
February
9
÷
<u>.</u> ⊑
က
ģ
Question
0
Response t

From Senators Lieberman and Thompson

		,				20:00		Schips	-		
	₹	۵	اد	3	п	Ŀ	9	I	-	7	~
-	Name	Office	Divisio	<b>(</b> P	ender(s) municatio	I. Sender(s) II. The reciplent(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
150	Chew, Tony F	Office of Markets, Tarriffs, and Rates	Central- Group 3	ž							
151	Cholkam Joseph P	Office of Markets, Tarriffs, and Rates	Energy Markets	Š							
152	Christin, Robert	Office of General Counsel	Energy Projects	Yes	Yes See below	Donna Fulton, Joe Hartsoe, Shelley Korman, and possibly others			1898 - 2001	юле	In three of the last four years I have been easted to sporak at the spring confleence as sponsored by the intensited Natural Gas Association of America. On those occasions I gave speedness on the Commission's certificates popility, the staff's report on pipeline construction it latest amendments to the latest amendments to the latest amendments to the confleence, but I don't confleence, but I don't remember any of the conversations.
153	Christin, Robert	Office of General Counsel	Energy Projects	Yes	Yes Robert Christin	Donna Fulton	sports		1999- 2001	No	Ms. Fulton's son is on a youth sports team that I coach. When I see her, we talk about her son and sports.
154	Chung, Charles	Office of the Chief Informatio n Officer	Office of Infrastruct the Chief Informatio Operation officer	2							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	C	6	ш	4	5	Ī	-		×
-	Name	Office	9 1	(9)	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of of the communication n	III. The subject matter of the communication	.a. ≥e.	IV. Or date range	V. Was any action taken by the Comm. In response (If any) to communication:	O La
155	Cintron, Carmen A.	Office of Administr ative Law Judges	ALJ	Yes	Erron Power Yes Mareling/Erron Energy Servi	ALJ who at closed of hearing sent to Secretary's office	Daja submissions concerning the volume of transactions, identification of net selection of and earlier and net buyans price, terms and net buyans price, terms and net buyans price, terms and conditions of the safets contracts for the period from 12/20/2000 through contracts.	8/16/2001	8/16-22/01.	made recommendations and proposed findings of fact on 9724/01 still prodring before the Commission.	Inded the data submissions were conflictential and did not provide them to the partiess to the proceeding. Staff is submitted to the proceeding. Staff is submitted to the Secretary's office number seal all the close of the hearing when the record of this proceeding was conflist to the Commission.
156	Cipkus, Horatio A	Office of Markets, Tarriffs, and Rates	Rates Central	S S							
157	Clarey, Patrick E	Office of Markets, Tarriffs, and Rates	Central	2		·					
158	Clark, Anja M.	Office of General Counsel		Yes	Litigation Yes Pipetine Company	Commission Staff Counsel and Intervenors	Discovery and settlement			Settlement accepted by the Commission	
159	Olark, Anja M.	Office of General Counsel	Litigation	Yes	Litigation Yes parties and Staff	EOTT Energy Operating LP, Concoo Pipe Line Co., Staff fram members, Intervenors.	settlement negatiations		11/2000 to		Again, I was oc-counsel. The communications were by e-mail or order if the settlement angoldsions. That we appositions, I have describe print-outs after the care was terminated, I do this with all my cases after the mination all my cases after the mination all my cases after the mination of the couns cases of lack of storage space.

6/98- 3/2000

Communications concerning California ISO v. FERC (D.C. Gir Nos. 78-1225 et al) (approximately 4)

David Coffman

Yes Ron Carroll

Solicitor

Office of General Counsel

Coffman, David

167

Office of General Litigation No Counsel

Cockrell, Joel M.

166 165

		_							
25		~	VI. Other Comments (if any)						1
ətter		ſ	V. Was any action taken by the Comm. In response (if any) to communication:						-
002 L	Ē	_	IV. Or date range						
y 15, 2	ompso	Ξ	IV. Give the date						
Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson	9	III. The subject matter of the communication						
uestion No. 3	Senators Lieb	ш	l. Sender(s) II. The recipient(s) of of the communication						
onse to Q	From	Ш	I. Sender(s) of communicatio n						
g			9	£	Š.	ž	8	2	8
Y Y		٥	Divisio	Law Clerk	Office of e and the Chief Informatio Informatio n n Officer Managem ent	Human Office of Resource the s Executive Managem Director ent Director Ent	West	Office of Office of External External Affairs Affairs	Office of Administr the ative Executive Support Director Staff
	,	m	Office	Office of Administr ative Law Judges	Office of the Chief Informatio n Officer	Office of the Executive Director	Office of Markets, Tarriffs, and Rates.	Office of External Affairs	Office of the Executive Director
	,	A	Name	Clark, Bryan L.	Clark, Jeffrey	Clark, Sheila Marie	Clay, Carlos D.	Clayton, Miriam B.	Cobb, Gwen
			-	160	161	162	163	164	165
	-								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	×	er O	Mr. Carroll advised that a party hostile to FERC had filled a reply brief improperty. FERC successfully moved to strike the brief						A settlement of the Northern Border rate proceeding was reached and filed on Sept. 26, 2009.
	l l	V. Was any action taken by the Comm. In response (if any) to communication:	Yes				no action was taken	no action taken	
Ĕ	_	IV. Or date range	12/00-2/01						Throughout 1999 and 2000
ompsc	Ξ	IV. Give the date					11/30/2001	8/8/2001	
rrom senators Lieberman and Thompson	9	III. The subject matter of the communication	Communications concerning Alliant Energy v. FERC (D.C. Cir Nos. 99-1448 (approximately 2)				e-mail inquiry regarding possible employment with the Commission	information regarding Transwestern Pipeline Company's proposed Sun Devil Expansion Project	Data Requests, Procedural and substantive issues in Northern Border's rate case
senators Lieb	L	I. Sender(s) of communicatio of the communication	David Coffman				Michael Coleman	Michael Coleman	FERC Trial Staff, and Northern Border representatives
rrom	Е	l. Sender(s) of communicatio	Solicitor Yes Ron Carroll				Tariffs and Rates Yes Government Affairs Michael Coleman - West	Office of Tariffs Nancy Bagot, Tariffs, and Rates Yes Enron Corp and Rates	FERC Trial Staff, and Northern Border representatives
		9	Yes	Š E D #	ž	ž	Yes	Yes	Yes
(	O	Divisio		Division of Environm ental and Engineeri ng Review	Budget	D2SI	Tariffs and Rates - West	Tariffs and Rate: - West	
	В	Office	Office of General Counsel	Office of Energy Projects	Office of the Executive Director	Offlice of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel
	A	Name	Coffman, David	Cofrancesco, Jon E.	Cole, Troy D	Colella, Pietro	Coleman, Michael A.	Coleman, Michael A.	Collins, William J.
		-	168	169	170	171	172	173	174
							*		

A settlement of the California PX proceeding was reached and filed on Sept. 26, 2000 V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: ŝ ટ ş ž Throughout 1998-2000 IV. Or date range From Senators Lieberman and Thompson IV. Give the date Fish Kill, Willamette Falls, p-2233, 4/11/01 FERC Trial Staff, and Data Requestings. Ronal Caroll of Bracewell Procedural and substantive and Patterson representating issues in the California PX Ernon Prower Marketing case Fish Kill at p-2233 Willamette Falls, on 4/11/01 p-2233 Willamette Falls fish kill 4/11/01 Fish kill, p-2233, willamette falls 4/11/01 fish kill, p-2233 willamette falls, 4/11/01 fish kill p-2233 willamette falls, 4/11/01 III. The subject matter of the communication Oregon Department of Fish and Wildlife, Stephanie Burchfield, Hydropower Coordinator II. The recipient(s) of the communication Oregon State Police-Trooper Jeff Butler Robert Steele, PGE NMFS- Michele Day Blake Condo Blake Condo of communicatio FERC Trial Staff, and Ronald Carroll F of Bracewell and R Patterson representing Enron E Power Marketing Sender(s) Robert Steele, licensee p-2233 Blake Condo Blake Condo myself <u>e</u> Yes ž ŝ Yes Yes Yes Yes Yes Yes Ŷ Office of Pipeline Projects s Divisio n press Division o Dam Safety Litiation DHAC DHAC DHAC Office of General Counsel Office of Energy Projects Office of External Affairs Office В Connors, Barbara A. Collins, William J. Condo, C. Błake Condo, C. Blake Collins, Steve A. Condo, C. Blake Condo, C. Blake Condo, C. Blake Condo, C. Blake Colter, Ron A Name 175 178 182 183 184 176 177 179 8 181

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson

Case pending (Initial Decision, and briefs on and opposing exceptions) before the Commission Unopposed Settlement filed 7/30/96 V. Was any action taken by the Comments Comm. In response (if any) to communication: settlement fited 12/10/97 ummer 2001 April - July 1996 IV. Give date the date range Fall 1997 discovery and settlement materials in Docket No. RP97-288-009 thru-016, in re Transwestenr's negolated transportation rates in the CA enorgy market. discovery, various pleadings, and settlement offers in re-rAd4-15-000, an investigation of FGT's accounting for AFUDC discovery and settlement of discovery and settlement all offers in Dockets RP97-275 and TM87-2-56-001, in re-No-Mat Ison technicion percentages III. The subject matter of the communication Northern Natural/FERC trial II. The recipient(s) of the communication Transwestern pipeline/ FERC trial staff FGT/ FERC trial staff FERC trial
Yes staff/Transwestern F communicatio FERC trial staff/ Northern Natural Sender(s) FERC trial staff/FGT ō Yes <u>a</u> Š 8 ž Yes Office of Software the Chief Engineeri Information ng Divisio Congressi oral, Intergover nmental and Public Affairs Division of Organizati onal Managem ent Utigation Litigation Litigation Office of External Affairs Office of the Executive Director Office of General Counsel Office of General Counsei Office of General Counsel Office Sook, Christopher B Coffeur, Michael D. Cotteur, Michael D. Cotleur, Michael D. Cook, Marika L. Connors, Carol Name 185 186 187 188 189

, 2002 Letter
5
n the February
.≒
က
Š
Question
0
Response t

	۷	В	0	а	Э	ш	9	I	-	7	¥
	Name	Office	Divisio	(q)	Sender(s)     of     communicatio     n	Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
191	Coulter, Roberta L.	Office of Energy Projects	Division of Environm ental and Engineeri ng Review	8			-				
192	Coulter, Victor I.	Office of Markets, Tarriffs, and Rates	Rates West	Yes	Yes Enron	Commission Staff	Holiday Party		Early 1990's None		Enron invited Commission Staff to a hoilday party which was widely attended by Commission Staff, Enron staff and others.
193	Court, Susan J.	Office of General Counsel	GAL	ž							
194	Court, Susan J.	Office of General Counsel	Solicitor	Yes	Yes Susan Court	Frank X. Kelly of Gallagher, Boland, Merburger & Brosnan.	Frank X. Kelly of Gallagher, Pending appeal in Northern Boland, Merburger & Natural v. FERC, D.C. Circuit Brosnan.			No.	
195	Court, Susan J.	Office of General Counsel	Solicitor	Yes	Yes Jeffrey D. (Dan) Watkiss	Susan Court	Opposition to petitions for certiorari in Supreme Court No. 00-568			Footnote 14 was added to Commission's brief opposing cert.	Footnote 14 was added to This action was taken after Commission's brief consulations with General Courset.
196	Crawford, Pamela S.	Office of the Secretary	Agenda and Minutes	S							
197	Greamer, Allan E.	Division of Environm Office of Environm ental and Projects ng Review Review	Division of Environm ental and Engineeri ng Review	ž							
198	Office of Crenshaw-Davis, Gladys General	Office of General	Energy Projects	ž							AND THE PROPERTY OF THE PROPER

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				-	5	Seliators Lieu	rioin senators Lieberman and Thompson	Schillo			
	A	80	O		Е	LL.	Ø	I		٦	¥
-	Name	Office	Divisio	<b>(</b> e)	Divisio (b) communicatio commun	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
199	Crocker, Lawrence D.	Office of the Chairman	N/A	۶							
200	Grow, Lon R	Office of Energy Projects		Yes	Envirorm ental and Ingineeri Yes Arnie Podgorsky Ingi- Review	Lon Črow, Dan Adamson	September 19 meeting with staff regarding the Lake Elsinare Purpled Storage Project. Memorandium provided background information regarding the proposed project as well as a meeting agenda.			meeting was hetd with project proponents on September 19, 2000.	
201	Grow, Lon R	Office of Energy Projects		Yes	Environm ental and Engineeri Yes Amold Podgorski ng Review	Lon Grow	Letter transmitted copy of draft preliminary environmental assessment for the proposed Lake Elsinore Pumped Storage Project			Staff responded by letter dated 09/28/2000	
202	Crow, Lon R	Office of Energy Projects		Yes	Environm ental and Engineeri Yes Arnie Podgorsky ng Review	Lon Grow	Jurisdiction of the proposed Lake Elsinore Pumped Storage Project			00	
203	Crow, Lon R	Office of Energy Projects	Environm ental and Engineeri Yes Lon Crow ng Review	Yes	Lon Crow	Amold Podgorski	Letter provided staff comments on the preliminary draft environmental assessment for the proposed Lake Elsinore Pumped Storage Project and additional information regarding the licensing process.			Staff attended a public meeting in the proposed project area in June 2001. (see submittel from James Fargo)	
204	Crunkilton, Paula S.	Office of Markets, Tarriffs, and Rates	West, Group 1	ž							I have not had any verbal or written correspondence with Enron Corporation nor its affiliates.

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	٧	а	C	c	1	I C	3	·II			×
-	Name	9.	.0	9	r(s) icatio	recipient(s) inication	ect Jon	ate ive	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	O se
211	Damiani, Stefanie A	Office of Energy Projects	DEER	Yes	Yes myself, and Midwestern Staff	Myself, and Midwestern Staff=David Marranville, or Mark Johnson, or Bill · Rayburn	Endangered Species Act- Indiana Bat, and forest fragmentation		Oct 1998 Dec 1998	contacted FWS a few firms regarding issue to coordinate ESA requirements	A few conversations dealing with preventing/ninimizing forest fragmentation and trying to preserve the Indiana bat.
212	Damiani, Stefanie A,	Office of Energy Projects	DEER	Yes	Michelie Yes Winckowski of Northern Natural	MysellStefanie	Left message-following up my earlier question regarding extra workspaces.			n/a	
213	Damiani, Stefanle A,	Office of Energy Projects	DEER	Yes	Yes Stefanle Damiani	Keith Peterson of Northern Natural, CP98-132-001	Telephone call to clarify about extra work spaces on the C-and D-Lines extention			n/a	Keith would relay message to appropriate staff member,
214	Damiani, Stefanie A,	Office of Energy Projects	DEER	Yes	Michelle Yes Winckowski of Northern Natural	Myself-stefanie	Regarding my reciept of filed directors letter. CP98-132-001	,		n/a	
215	Damiani, Stefanie A,	Office of Energy Projects	DEER	Yes	Michelle Yes Winckowski Northern Natural	myselfStefanie	CP98-132-001, extra workspaces			n/a	darified that the "D" line would cross over to the "A" line
216	Damlani, Stefanie A.	Office of Energy Projects	OEER	Yes	Myself, and Yes (Company staff (Midwestern)	Myself, and Company staff (Midwestern)=David Maranville, Mark Johnson, and/or Bill Rayburn	Regarding site visit details		Oct, 1998; Feb 1999; May 1999	avou	worked out schedules and coordinated kineraries for the state visits (see dates above) for pre-construction, construction, and post-construction inspections
217	Davis, Sharron T	Office of Markets, Tarriffs, and Rates	CASE TRACKIN G	8							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Г	I	to a 38			1	1	
	7	or C	I was requested to get involved with the dechnical issues in November 1990.  Video conference with hydeo conference and 1267.  Video the 11/17/1999 with 14/17/1999 with 14/17/1999 with 14/17/1999 with 14/17/1999 with 14/17/1999 with 14/17/1999 with 14/17/17/1999 with 14/17/17/17/17/17/17/17/17/17/17/17/17/17/					
	-	nse (if	I wa Inwa I inwa I inwa I wa I wa I wa I wa		+			
	٦	V. Was any action taken by the Comm. In response (if any) to communication:	Video conference with Video conference with Visit with Y65 con 1267-71 1999. 11/01/2000 letter accepting PGEs proposal with comments.			-		
Ĕ	_	IV. Or date range						
ompsc	Ξ	IV. Give date the date range						
rrom senators Lieberman and Thompson	9	III. The subject matter of the communication	POE's proposal for installing stream and rainfall lagues, upstream of the project to calcillate key porameters needed to determine the reboards Maximum Flood for the Roboard Buttle Project NO. 2030.					
Seriators Lieb	Ł	II. The recipient(s) of the communication	Hary Hal, FERC-OEP. D2SI-PRO (Portland Regional Office)					
IIOLL	Ш	nder(s) municatio	Division of Loren E.  Dam  Loren E.  Safoty & Yes Mayer, Portland  Inspection  General Flectric					
	Ω	Ð.	Yes	ž	2	- S	S S	Š.
	O	Divisio	Division of Dam Safety & Inspection s	Central Division Group 3	DEER	D2SI- NYRO	T&RE	Deputy Office of Executive the Director Executive and Chief Director Accounta
	В	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Executive Director
	×	Name	Davis, Michael S	Davis, Nathaniel J.	Dean, Thomas A.	Debes, Rebecca J.	Dees, Joseph	Delaware, John
		-	218	219	220	221	222	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

æ	0 0	Е	LL.	н 9	ı	-	٦	×
Office Divisio (b) of n	l. Sen of comm	. Sender(s) of communication	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
Office of Markets. CEA Yes Gas Gas and Rates.	FERC Str Gas	aff/ Florid	Yes Gas	data requestis/ responses, settlement offers in concludation with consolidated rate proceeding in Docket Nos RP96-366-000 and FA94-15-002		various dates between October 1996 and August 1997	various dates September 24 Commission between order approving October 1996 sentitement filed on August 1997	
Office of Markets, CEA Yes Northern Matural and Rates	FERC Sta Northern I	ff/ Vatural	FERC Staff Northern Natural	data requests/ data responses, settlement offers in conjunction with the proceeding in Dockel No. RP98-203		Various dates between May 1998 and April 1999	Venous dates June 18 Commision order between May approving settlement filed April 1999 on April 16, 1999	
Office of System the Chief Assuranc No hofficer of Officer of the Chief Assuranc No hofficer of the Chief of								
Office of Ligation Yes Mark Sundback. Counsel	S   Mark Sund	, yoeck	Marc G. Denkinger; William J. Collins	Matters involving the proceedings in Northern Border Priestine Co., Docket No. RP98-322.000 and RP98-45, such as the procedural schedule and discussions related to withdrawal of certain Morthern Border Pipeline Co. testimony.		Numerous relephone conversations settlement conferences and meeting hand relephone 6/30/99 and 10/22/2001	Settlement was reached which was approved by the Commission.	

Letter	
15, 2002 Le	
15	
February	
3 in the	
.⊑	
က	
Š.	
estion	
ğ	1
2	
Response to	
œ	

Name Office of Differs, Craig E. Troin Senators Ligation Yes Contract Corrections, Craig E. Troin Senators Correcting and Rates and Rate	г		T			T	T	I
Name Office of Divisio (b) of Communication (communication nation of the Communication nation of the Communication of the Communication (communication nation) (communication nation of the Communication nation of the Communication of the Communication of the Communication of the Communication (communication nations) (communication) (communication nations) (communication) (communic	3	VI. Other Comments (if any)		-				
A B C D E C M The recipient(s) III. The subject (b) of matter of the communication and nompso communication and the date of the date of communication and	-	V. Was any action taken by the Comm. In response to communication:	Parties reached a settlement agreement during discovery phase. The Complainant withdrew iterminating the proceedings.	- Annie de la companya de la company		Commission approved settlement.	Partial Settlement accepted by Commission. ALJ initial decision before Commission	
A         B         C         D         I. Sender(s)         II. The recipient(s)         III. The subject         IV. Give and the date           Name         Office of an and Rales         Office of an and Rales         II. Sender(s)         II. The recipient(s)         III. The subject         IV. Give and the date           Derkinger, Marc G. General Litigation         Counsel Litigation         Ves Charles F.         Charles F.         In The recipient(s) and the date communication         IV. Give and the date communication           Describinger, Marc G. General Litigation         Counsel Litigation         Ves Charles F.         In The recipient(s) procedure matter of the date control of the date of the control of the control of the date of the control of the contro		V. Or date ange	ral none exsations bhone rences ment rences erences erences erences erences					3/98 - 5/99
Name Office of Divisio (b) of on no	T T	IV. Give the date						
Name Office of Coursel Litigation Yes Cardwers, Craig E. Tarriffs, Litigation Yes Correct Courses and Rates and Rate	פוומון מוות	III. The subject matter of the communication	Mr. Carkwell is outside counsel for ECTT Operating Limited Partmership. Decoasions involved procedural relatives, such as procedural sichedule and decovery, and seletement negodiations on substantive inssues in case.			Settlement offers and data request/responses in Docket No. ER99-4323-000, involving PG&E's TO4 transmission rates	Series of settlement offers and data request/tresponses in Docket No. ER99-2326- 000, PG&E's TO3 transmission rate case.	Series of settlement offers and data responses/requests pertaining to rate issues in Docket Nos. ER97-2358-000 and ER98-2351-000, PG&E's Trafa reases
Name Office of Coursel Litigation Yes Cardwers, Craig E. Tarriffs, Litigation Yes Correct Courses and Rates and Rate	Deliator S Lieb	II. The recipient(s) of the communication	Marc G. Denkinger, Commission Staff Coursel in EOIT Energy Operating Limited Partnership v. Conoco Pipe Line Co., Docket No. ORGU-11-000.			Parties to the proceeding.	Parties to the proceeding.	Parties to the proceeding
Name Orffice of n Orffice of Denkinger, Marc G. Gounsel Counsel Counsel Counsel Counsel Counsel Counsel Counsel Projects No Orfice of General Projects No Orfice of Markets, Litigation Yes and Rates and Rate		l. Sender(s) of communicatio n	Charles F.			Various communications	Various parties to the proceeding	FERC Staff, Enron legal council
Name Office of Denkinger, Marc G. General Counsel  Office of General Counsel  Desmond, Cecilia M. Gentrea Counsel  Office of Office of Deters, Craig E. Markets, and Rates  Office of Markets, and Rates	٥	(p)	Yes	2	<u> </u>	Yes	Yes	Yes
Name Denkinger, Marc G. Der Herman Desmond, Cecilia M. Deters, Craig E. a Deters, Craig E. a	0	Divisio					Litigation	
	8	Office	Office of General Counsel	Office of Energy Projects	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
	A	Name	Denkinger, Marc G.	Der, Herman	Desmond, Cecilia M.	Deters, Craig E.	Deters, Craig E.	Deters, Cralg E.
		-			230			

_	
Lette	
, 2002	
15,	
uary	
Febr	
the	
3 in	
No. 6	
uestion	
ă	
ž	
Response	

	A	α	C		4	1		- -			/1	_
1	Мате	Office	Divisio	<u>e</u>	l. Sender(s) of communicatio	I. Sender(s) of of the communication communication	ect ion	# š.		V. Was any action taken by the Comm. In response (if any) to communication:	l io	
234	Deubert, Richard E.	Office of Energy Projects	Dam Office of Safety Energy and Projects inspection s	Š.			·					
235	DeWitt, Thomas	Office of Energy Projects	Division of Environm ental and Engineeri ng Review	8 Z					·			
236	Dhir, Tilak	Office of Energy Projects	D2SI, SFRO Office	Š								
237	Dickey, David R	Office of General Counsel	Generqt Admindt altve Law		Yes Enron-DC	Commissioner Breathitt	invitation to Inaugural Brunch at Red Sage Restaurant.			Drafted memorandum approving acceptance of invitation.	Approval was based on my connersation with Amy Ammerman of Enviro's DC office, who advised that the per capita cost of event was \$35.00; 300 or more persons to altend, representing wide variety of government and mivided as quests of Enron. Thus widely-altended gathering. On basis of above, we concluded that acceptance of the invitation was unfillely to raise opnorems that the Commissioner's altendance might improperly influence the performance of her official duties.	- 01

eľ	
ett	
2 L	
2002	
5,	
≥	
na	
Þ	
Fe	
he	
<b>∓</b>	
<u>=</u> .	
·:	
ž	
on	
sti	
ne	
Ø	
\$	
se	
suode	
sp	
å	

The amendment was filed on 8/1/2000 in CP00-40-001. V. Was any action taken by the Comments Comm. In response (if any) to communication: Clarification was provided. ş IV. Give date the date range From Senators Lieberman and Thompson Requesting clanification of a question asked in a Commission data request sent in relation to Docket No. CPO.4.0. (Clarifying information to be filled by Florida Gas filled by Florida Gas amendment. III. The subject matter of the communication I. Sender(s)
of
communicatio
communication Rob Kilmer with FGT Jack Donaho Steve Veatch of FGT I. Sender(s) Jack Donaho Office of Administr Administr Administr Administr Administr No ative Law Judges Judges Yes **Q** ž Ñ Yes ž ŝ ĝ Office of Infrastruct the Chief ure & Informatio Operation officer Tariffs and Rates - Central Office of Office of the Secretary Divisio Financial Policy DPC DPC DLM ပ Office Office of the Executive Director Office of Markets, Tarriffs, and Rates Office of the Executive Director Office of Energy Projects Office of Energy Projects Donnini, Michael A. Jonovan, Nora E. Dickinson, Gloria Jonaho, Jack E. Donaho, Jack E. Dodson, Bonnie Dowd, Judith A. Dorsey, Lisa E Name

238

-

239

240

241

242

243

244

2002 Letter	
5, 2002 Letter	
, 15,	
February	
. 3 in the	
3.	
Š.	
e to Question No.	
onse to	
Response	

					From	Senators Lieb	From Senators Lieberman and Thompson	ompsc	nc		
	<b>A</b>	8	ပ		Ш	£L,	9	Τ		ſ	¥
-	Мате	Office	Divisio	(g)	I. Sender(s) of communicatio	Office Divisio (b) of of the communication of the normal c	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
246	Dragg, Joe L.	Office of Tariffs Markets, and Rates No Tariffs, - West	Tariffs and Rates - West	2							
247	Dubbert, Janet M	Managem Office of ent, the Administr Executive, ative, and Director Payroll Support	Managem ent, Administr ative, and Payroll Support	ŝ							
248	Dunlap, David W.	Office of General Counsel	Energy Projects	S.							
249	Dunn, Brian J.	Office of D Markets, Tarriffs, a	Office of Division of Markets, Tariffs Tariffs, and Rates (West)	Š							
250	Dunn, Shannon K	Office of Energy Projects	DEER	S.							
251	Dupree, Susan	Coffice of e and the Chief Informatio nofficer Managem ent	Office of e and the Chief Informatio no Officer Managem ent	ĝ							
252	Earley, Wilbur C.	Office of Commissi oner Massey	ż. Ż	Š							
	Easton, Robert S.	Office of Energy Projects	Environm ental and Engineeri No ng	ž							

255 256 256 256 258	Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	A B C D E F G H I J K	II. The reciplent(s) III. The subject of the matter of the communication communication to communication:	Eckell, Mark B. The Managem No Executive Managem No Enforce ent Director	Ecton, Henry Energy Administr No Projects Complian Complian	Ectoror, Samuel E Energy Administr No Projects alton and Complian Complian	Eichbauer, Jill Finergy D2SI No Projects	Ekzarktov, Vladimir Amkrels, Litigation No and Rates and Rates	Office of Markets, Rates - No Tarriffs, West
		A	Name						Eley, Patricia P.
		В	Office	Office of the Executive Director	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs,
Name Eckell, Mark B. Ecton, Henry Ector, Samuel E Eichbauer, Jill A Eichbauer, Jill A	χ.	O	Divisio	Logistics Managem ent	Hydropow er Administr ation and Complian	Division of Hydropow er Administr ation and Complian		Litigation	
Name Office of Logic Eckell, Mark B. Executive Manage Director Director Director Director Composition of Mark B. Executive Mark B. Executive Manage Director Composition of Markes Composition of Markes Director Director Composition of Markes Director Director Director Composition of Markes Director Director Composition of Markes Director Direct	)ds	Ω	Ð	S ₂		Ŷ.	ĝ		ž
Name Office of Logic Eckell, Mark B. Executive Manage Director Director Director Director Composition of Mark B. Executive Mark B. Executive Manage Director Composition of Markes Composition of Markes Director Director Composition of Markes Director Director Director Composition of Markes Director Director Composition of Markes Director Direct	onse to Q From (	ш	l. Sender(s) of communicatio n						
Name Office I Logi The Mank B. Eckeli, Mark B. Executive Mank B. Executive Mank B. Executive Mank B. Eckeli, Mark B. Eckeli, Mark B. Eckeli, Mark B. Eckeli, Ligable Com Com Com Projects allow Markels. Ligable Com Markels. Ligable Com Markels. Ligable Com Markels. Ligable Com Com Markels. Ligable Com Markels. Ligable Com Markels. Ligable Com Markels. Ligable Com Com Markels. Ligable Com Markels. Rain Landle Com Markels. Ligable C	uestion No. 3 Senators Liet	Ь	II. The reciplent(s) of the communication						
Name Office I Logi The Mance Logi The Mance Mance Director The Projects of Mance of Eichbauer Jill A Energy DZ Ene	in the Februar berman and The	9	_						
Name Office of Logic Eckell, Mark B. Executive Manage Director Director Director Director Composition of Mark B. Executive Mark B. Executive Manage Director Composition of Markes Composition of Markes Director Director Composition of Markes Director Director Director Composition of Markes Director Director Composition of Markes Director Direct	y 15, 2 ompso	- =	IV. Give the date						
Name Office of Logic Eckell, Mark B. Executive Manage Director Director Director Director Composition of Mark B. Executive Mark B. Executive Manage Director Composition of Markes Composition of Markes Director Director Composition of Markes Director Director Director Composition of Markes Director Director Composition of Markes Director Direct	2002 Le	-	IV. Or date range						
Name Office of Logic Eckell, Mark B. Executive Manage Director Director Director Director Composition of Mark B. Executive Mark B. Executive Manage Director Composition of Markes Composition of Markes Director Director Composition of Markes Director Director Director Composition of Markes Director Director Composition of Markes Director Direct	etter	,	V. Was any action taken by the Comm. In response to communication:						
Response to Question No. 3 in the February 15, 2002 Legental Brown Senators Lieberman and Thompson  From Senators Lieberman and Thompson  I. Sender(s)  II. The recipient III. The subject  I. Sender(s)  I. Sender(s)  II. The recipient III. The date (arrige (arrige)  I. Sender(s)  I. Sender(s)  I. Sender(s)  I. Sender(s)  I. Sender(s)  II. The recipient III. The date (arrige)  I. Sender(s)  I. Sender(s)  II. The recipient III. The date (arrige)  III. The senject III. The date (arrige)  III. The date (arrige)  III. The date (arrige)  III. The date (arrige)  III. The senject III. The date (arrige)  III. The senject III. The date (arrige)  III. The recipient I	39	~	VI. Other Comments (if any)						

Letter	
5, 2002 L	
15,	
February	
the	
₽.	
S	
Š	
Question	
\$	
Response	

7	VI. Other Comments (if any)								
	VI. Other (if any)								
ſ	V. Was any action taken by the Comm. In response (if any) to communication:					The state of the s		No.	
_	IV. Or date range							summer 2001 No.	
H	IV. Give the date								
9	III. The subject matter of the communication							Small talk. Nothing work related.	
Ш	Divisio (b) of communicatio communication							Chance meeting in FERC cafeleria (Sunrise Cafe) with Ray Alvarez of Enron. Also present were David Faerberg and Bob McLean.	
ш	l. Sender(s) of communicatio n								
	(q)	o Z	Š	ş	ž	£	ક્ર	Yes	£
၁	Divisio n	Office of Support & Ithe Chief IT Informatio Deployme n Officer Services	Tariffs and Rates - East	DEER	DHAC	Office of ORGANIZ the ATIONAL Executive MANAGE Director MENT	DEER	Energy Projects	DPIC
В	Office	Office of Support & the Chief IT Informatio Deployme n Officer and Services	Office of Tariffs Markets, and Rates No Tarriffs, - East and Rates	Office of Energy Projects	Office of Energy Projects	Office of the Executive Director	Office of Energy Projects	Office of General Counsel	Office of Markets, Tarriffs, and Rates
¥	Name	Ellis, Doretha	Ernami, John	Emery, Lee	Enrico, J	Eskin, Sleven J	Fargo, James M.	Farran, Maria S.	Farrokhpay, Saeed
	-	260	261	262	263	264	265	266	787
									-

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	nments							
7	l e							
	V. Was any action taken by the Comm. In response (if any) to communication:							
-	IV. Or date range							
Į	IV. Give date the date range							
	III. The subject matter of the communication							
<u> </u>	. The recipient(s) f the ommunication							
ш	e Divisio (b) communicatio o							
	æ	ž	Ž.	ON P.C.	ž	ž	<u>8</u>	ž
0	Division	Market Informatic	Office of Resource the S Executive Managem Pirector ent.	Division of Hydropow er Administr ation and Complian	ΝΆ	External Affairs	Office of System the Chief Assuranc Information e	Custome
В		Office of Market Markets, Informatio No and Rates	Office of the Executive Director	Division of Hydropow Office of er Energy Administr I Projects alton and Compilan	Office of the Executive Director	Office of External Affairs	Office of System the Chief Assuranc Informatio	Office of the Chief Customer Informatio Support n Officer
A	Name	Faust, Charles J.	Fawcett, Rhoda L.	Fayyad, Mohamad	Feeney Jr. , James P.	Felt, Paula J.	Ferebee, Melissa G	Ferguson, Linda
	-	268	269	270	1.72	272	273	777

			S.	ds	onse to Q From	uestion No. 3 Senators Lieb	Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	y 15, 2 ompse	2002 Le	tter	
	Ą	В	O	۵	ш	ŧι	9	Ξ	-	ſ	¥
-	Name	Office	Divisio	<u> a</u>	I. Sender(s) II. The of communicatio communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	er O
26	Fernandez, Alice M	Office of Markets. Tarriffs, and Rates	Office of Division of Markets. Rates and Tarrifts. Toriffs- nd Rates. East		Yes Enron Employees	J95	Authough I have no clear memory, and have lound no additional according of meetings and additional encords, of meetings and additional encountries of these in the following categories: I) in the following categories: I) informal conversations, influent conversations, influent conversations, who have cately of Encor employees who have cately of Encor employees who have cately of asked, predictions about Commission Policy, reactions to proposals, and to set up preferents hostings and to set up preferents and by other informal contact, at social events hostings on new studies and organizations, e.g. holiday parties and recopiloris, and preferent new studies and regulation yaffairs conferences throughout this period hosted by NIGAA. AGA, NIGSA, and others.	January 1992-2001	٤		
212	Finger, Lorena D.	Office of Markets, Tarriffs,	Office of Administr Markets, ation and Tarriffs, Case	s Ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

×	VI. Other Comments (if any)	Enron Power Marketing requested confidentiality for this data pursuant to 18 C.F.R. 388.112.		Particular of the state of the			I was listed as the point of contact for Order No. 637,
ſ	V. Was any action taken by the Comm. In response (if any) to communication:	This information, as well as information submitted by other entities, assisted Staff in preparing its November 1, 2000 report on the Bulk Power Markets.	Staff sent a reply e-mail.	No.	No.		و
-	IV. Or date range						
	IV. Give the date	10/10/2000					2/14/2000
9	III. The subject matter of the communication	Erron Power Markeling responses to staff data requests concerning general investigation into Bulk Power Markets in the Midwest.	E-mail confirming telephone conversation earlier that day concerning the applicability of FERC's California generator outage reporting requirements to wind units.	E-mail regarding an Enron wind-powered generation unit in California.	E-mail responding to question concerning an Enron wind-powered generation unit in California.		Ouestion regarding filing requirements under Order No. 637 dealing with affiliations between releasing and replacement shippers.
4	recipient(s) Inication	Stuart Fischer, Andrea Wolfman, W. Scott Millerm Stanley Wolf	Suart Fischer, FERC; Alan Yes Ray Alvarez, Eiron Commes, Hap Boyd, Tom Blernal of Erron	Ray Alvarez, Vice President, Federal Regulatory Affairs, Enron	Stuart Fischer, Alan Commes, Hap Boyd, Tom Biernat from Enron.		Robert Flanders
Ш	Office Divisio (b) of communicatio comm	Joe Hartsoe and Yes Christi Nicolay, EPM	Ray Alvarez, Епгол	Yes Stuart Fischer	Ray Alvarez, VP Fed. Reg. Affairs,Enron		Yes Enron
0	(q)	Yes		1		Š	Yes
0	Divisio	Office of Oversignt Administr and affive Law Enforcem Judges ent	Office of Oversight General and Counsel Enforcem ent	Office of Oversight General and Counsel Enforcem	Market Oversight and Enforcem	N/A	Policy
В	Office	Office of Administr ative Law Judges	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Commissi oner Brownell	Office of Markets, Tarriffs, and Rates
A	Name	Pischer, Stuart	Fischer, Stuart	Fischer, Stuart	Fischer, Stuart	Fitzgerald, Helen T.	Flanders, Robert A.
	-	277	278	279	280	281	282

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						Collator S Elec	TOTAL CONTROL S ELECTION AND THOMPSON	200			
	A	ස	ပ	۵	ш	u.	ව	Ξ		ĵ	¥
-	Name	Office	Divisio	(a)	1. Sender(s) of communicatio n	Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
283	Flanders, Robert A.	Office of Markets, Tarriffs, and Rates	Policy	Yes	Yes Enron	Robert Flanders	phone messages exchanged Question regarding filing requirements under Chord No. 637 dealing with affiliations between releasing and replacement shippers.	4/1/2000	:	OU.	was listed as the point of contact for Order No. 637.
284	Fludd, Patricia Ingrid	Office of Markets, Tarriffs, and Rates	RAfe Filings: EAst	No.	·						
285	Foley, Richard W.	Office of Energy Projects		Yes	Pipeline Randall S. Rich - Certificate Yes Bracewell & Patterson	Richard W. Foley	Pre-filing legal research on the exclusive economic zone boundary between USA and Bahamas			Staff "required" Presidential Permit application to be filed as part of the Catypso Pipeline project.	Bracewell & Patterson represents Calypso
286	Ford, Peggy M.	Office of Administr Markets, atton and Tarriffs, Case and Rates Tracking	Office of Administr Markets, ation and Tariffs, Case and Rates Tracking	No.							
287	Foremen, Florisa G.	Office of the Secretary	Registry	o Z							
288	Forsberg, Rene' Linn	Office of Markets, Tarriffs, and Rates	Chief Economic Advisor	S S						-	
289	Office of the Foster Jr., William Dougl Executive Director	Office of the Executive Director	Office of Division of the Financial Policy Director	8							
900	Foster, Etta L.	Office of Energy	DHAC	S							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	C		1	ц		]	:			
-	Name	Office	Divisio	<b>£</b>	f. Sender(s) of communication	I. Sender(s) of of the communication communication	III. The subject matter of the communication	ive	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
291	Fowlkes Sr., Edward J.	Office of Energy Projects	ОРС	Yes	Yes Sr.	Stephen T. Veatch, Director Conflicates and Regulatory Provision of described Reporting Froria Gasa Information to assist in Smith Street, suite 3987 po Docket No. CP02.27-0 Box 1188 Houston, TX 77251-1188	Stephen T. Veatch Director Certificates and Regulatory Provision of described Reporting Florida Gas Transmission Co. 410 Box 1188 Houston, TX 77251-1188			See 02/27/02 Agenda Item C-5	Response datedreceived 01/28/02. RMS Submittal 20/02/13 04/06 responding to 10/11/02 RMS Issuance 20020114-0223.	
292	Frailey, David G.	Office of the Chief Informatio n Officer	Office of Network the Chief Operation notficer	8			·				-	
293	Freilin, Phillip A.		Human Resource s and Organizati onal Managem	Š	·						11747747	
294	Francese, Albert J.	Office of General Counsel	Energy Projects	£						-		
295	Fray, Jackson L.	Office of Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs Tarriffs, and Rates ind Rates East	o N								
296	Frazier, Deborah A.	Office of Markets, Tarriffs, and Rates	Central 1	2								
297	Freeman, Wanda B.	Office of the Chief the Chief the Chief Information officer n Officer	Office of Office of the Chief the Chief Informatio Information Officer no Officer	2								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Į					rrom;	Senators Lieb	From Senators Lieberman and Thompson	osdwo	Ē		
	٨	В	ပ		ш	1.2_	9	I	_	٦	×
-	Name	Office	Divisio	9	l. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
298	French, E. Louise	Office of Markets, Tarriffs, and Rates	DACT	ટ							
299	Friedman, Paul D.	Office of Energy Projects	DEER	ક							- The state of the
300	Froehlich, Jane E	Office of General Counset	Division of Administr ative Litigation	Yes	Division of Administr Yes Dan Witkiss ative Litigation	Jane Froehlich	Holiday Greelings		Winter 01-02 No	ON.	
301	Froehlich, William J.	Office of General Counsel	Office of Administr General ative Counsel Litigation		William Froehlich or FGT officers	William Froehlich and FGT officers	Ves William Froehlich of William Froehlich and FGT Telephtone regolations to resolve 3 or 4 docketed rate and accounting cases.		1995-1997	The case settled and was 1 do not remember the approved by the substance of the discus. Commission.	I do not remember the substance of the discussions or the exact dates.
302	Froehlich, William J.	Office of General Counsel	Office of Administr General ative Counsel Litigation		Yes Joe Hartsoe	William Froehlich	Holiday Greetings		1992-2001	N _O	
303	Fuller, Amanda C.	Office of the Executive Director	STARS	g							
304	Fulton, Robert W	Office of Markets, Tarriffs, and Rates	Rates - Central	ટ્ટ			-				
305	Gaedeke, Erich G.	Office of Energy Projects	DHAC	ક							
306	Gall, Eugene B.	Office of Safety Energy and Projects Inspection	Dam Safety and Inspection	£							
								1			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

			(	ī	From	Senators Liek	From Senators Lieberman and Thompson	osdwo	n		
	₹	a	ی	9	ш	ш	9	I	_	r	¥
-	Name	Office	Divisio	æ	l. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
307	Gallick, Edward C.	Office of Markets, Tarriffs, and Rates	Market Developm ent	£							
308	Gallo, David M.	Office of Energy Projects	DEER	Yes	Yes same as above	Transwestern, Florida Gas, Northern Border, Northern Natural	Transwestern, Florida Gas, Northern Border, Northern Natural	1994-2001			
309	Ganey, Gregg M	Office of Markets, Tarriffs, and Rates	CENTRA L. GROUP II	_S							
310	Gargon III, Emory E.	Office of the Chief Informatio n Officer	Office of the Chief Customer Informatio Support n Officer	Š							
311	Garner, Christina M.	Office of the Executive Director	Personnel /HRD	N _O							
312	Garrison, Janice K.	Office of Markets, Tarriffs, and Rates	Front Office	Yes	FERC Staff/Florida Gas Transmission	FERC Staff Founda FERC Staff Florida Gas Gas Transmission Transmission	Data requestiresponses, settlement offers in coopiucition with consolidated rate proceeding in Docket Nos. RP96-366-000 and FA84-15-002.		October 1996 August 1997	September 24, 1997 October 1996-Commission Order August 1997 approving Settlement filed on August 5, 1997.	
313	Gasser, Kristin 3	Office of Administr ative Law Judges		N _O							
314	Gasteiger, Larry D.	Office of General	Solicitor's Office	ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	ď	В	C				д — ш		-		И
-	Name	Office	á	(g)	Sender(s) nmunicatio	I. Sender(s) II. The recipient(s) III. The subject of the communication communication communication		ive ate		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
315	Gastilo, Jane Carmen	Office of Administr General alive Counsel Litigation	Administr ative Litigation	Yes	Yes Enron Power	Judge Cowan and the Staff	Judge Cowan invited the parties to submit position papers in advance of the December 20, 1899 settlement conference in EL99-93			No, this was a settlement This communication is judge proceeding.	This communication is privileged and confidential
316	Gavelek, Donald P	Office of Markets, Case Tariffs, Tracking and Rates	Case Tracking	S S							
317	Gekas, James C.	Office of Administrative Law Judges	OALJ	S.							
318	Gelinas, Donatd J.	Office of Markets, Associate Tarriffs, Director and Rates	Associate Director	No							
310	Giblin, Karen A	Office of Market Markets, Informatio No and Rates	Market Informatio n	2							

Letter	
, 2002 (	
15	
<b>February</b>	
n the	
.⊑	
က	
Š	
Question	
2	
Sesponse	
ĕ	

			(	-				2 2			
	¥	n	ر	2	ц		ى ا	Ξ	-	ſ	¥
	Name	Office	Divisio	(g)	I. Sender(s) of communicatio n	(b) communicatio of the noncommunication of the communication of the noncommunication of the noncommun	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
320	Gilbert, Linda S.	Office of General Counsel	Energy Projects		Ton Mark, Portand General Electric	Yes General Electric	Tom Mark telephoned me with some general proceedural questions about how the Commission handles Endangered Species Act issues and consultation in a post-licensing context. I referred thin to the inheragency Task Force Report on improving Coordination of ESA Section 7 Consultation with the FERC Licensing Process, issued on December 8, 2000.		Sometime during 2001.	No.	
321	Gilliar, Geoffrey G	Office of the Executive Director	MAPS	Š							
322	Gilmore, Edith A.	Office of General Counsel	Office of Administr General ative Counsel Litigation		FERC Trial Yes Staff/Northern Natural Gas Co	FERC Trial StaffNorthern Natural Gas Co.	data requests/responses, settlement offers im sonjunction with the rate proceeding in Docket No. RP98-203-000, Northern Natural Gas Co.		Various dates between May 1998 and April 1999	No.	
CCC	Gimore, Edith A.	Office of General Counsel	Office of Administr General alive Counsel Lifigation		Yes FERC Trial Staff	Counsel for Enron North America Corp.	Procedural questions regarding infining of review of discovery responses in Docket No. RP00.24 I-00. El Paso Natural Gas Co.		May-June 2001	Ņ.	Enron North America Corp, (Enron) intervened in Dowels No. PRYO. 24-400, E. Paso Natural Gas Co.; Coursel for Enron entered an appearance, but did not otherwise actively participate in the proceeding (e., did not in the proceeding (e., did not line estimony, contout cross- examination) at the treamp, or identified to the control of the control of the line thinking.

Response to Question No. 3 in the February 15, 2002 Letter

		Γ				т —	I
	×	VI. Other Comments (if any)				Meeting cancelled.	Meeling cancelled due to illness.
	ſ	V. Was any action taken by the Comm. In response (if any) to communication:	No.		en and a state of the state of	QQ QQ	ON.
Ē		IV. Or date range	Various dates between November 1999 and March 2000		And the second s		
ompsc	Н	IV. Give date the date range					
From Senators Lieberman and Thompson	9	III. The subject matter of the communication	Data requests/responses, settlement offers in conjunction with the rate proceeding in Docket No. RP00-2-000	-		Called to schedule a meeting for Stan Horton re: GISB.	Joe Hartsoe called on 1/5/98 to schedule a meeting for Jeff Skillings, Steve Kean, maybe Kevin Hannon and himself regarding the electric market industry.
Senators Lieb	ш	I. Sender(s) II. The recipient(s) III. The subject of communication communication communication	Overthrust Pipeline Company/FERC Trial Staff			Donna Glasgow	Donna Glasgow
From	3	sender(s) nmunicatio	FERC Trial Yes Staff/Overthrust Pipeline Co.			Yes Joe Hartsoe	Yes Joe Hartsoe
		<u>@</u>	Yes	ž	N ₀	Yes	Yes
	၁	Divisio (b) of n	Office of Administr General ative Counsel Litigation	Office of External Affairs	West Group 4		
	В	Office	Office of General Counsel	Office of Office of External No Affairs Affairs	Office of Markets, Tarriffs, and Rates	Office of Commissi oner Massey	Office of Commissi oner Massey
	A	Name	Gilmore, Edith A.	Gilmore, Relonna J	Gilpin, Timothy A.	Glasgow, Donna J.	Glasgow, Donna J.
			324	325	326	327	328

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		-					noodingin bilb libinging	204			
		a	ر	-	ט	ı	9	I	-	,	¥
-	Name	Office	Divisio	9	I. Sender(s) of communicatio	l. Sender(s) II. The recipient(s) of of the ormunicatio of the n	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:	VI. Other Comments (if any)
329	Glasgow, Donna J.	Office of Commissi oner	4.	Yes	Yes Donna Fulton	Donna Glasgow	Telephone call regarding the INGAA Regulatory Conference	5/11/2000		SQ.	Since working for Commissioner Massey from May 1993 to the present. I have spoken with a number of people that worked for Errorn Gue Hartson. Donna Fulkon, Vlarcy Bagot, Liss Yotho, and politics that I do not remember to exchedule appointments and lake phone messages for Commissioner Massey. Alexi, Inmy would exterdule appointments, whole for the Livas the primary schedule in I was the primary scheduler for Commissioner Massey.
330	Glasgow, Rossell	Office of Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates -East	Š							
331	Godding, George A.	Office of Markets, Tarriffs, and Rates	Market Informatio n	2							
332	Goggins, Charles P.	Office of Energy Projects	Dam Safety and Inspection s	ON.							
333	Goldenberg, Michael	Office of General Counsel	Office of Markets General Tariffs Counsel and Rates	Yes		Shelley Korman	Development of GISB standards		periodic over the years	NO.	

2002 Letter
ທົ
÷
February
the
Ξ
.⊑
က
Š
Question
2
Response

				1			ייכווו סטומנטוס בוכמכוווומיו מווע וווטווון	2	=		
	A	B	O		ш	ш	Ø	Ξ	_	ŋ	¥
	Мате	Office	Divisio	(a)	I. Sender(s) of communicatio n	I. Sender(s) II. The reciplent(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
334	Goldenberg, Michael	Office of General Counsel	Office of Markets, General Tariffs, Counsel and Rates	Yes	Yes Joe Hartsoe	Michael Goldenberg	Discussions of GISB issues		througout the period	No specific action	77
335	Goldup, Marianne D.	Office of Energy Projects	Certificate s I	S _O							
336	Goodman, Grace E.	Office of Markets, Tarriffs, and Rates	Rates - East		Enron Pipeline Subsidiary	self	Holiday greeting card		1992-1995	Ŝ	
337	Goodman, Grace E.	Office of Markets, Tarriffs, and Rates	Rates - East		Enron Pipeline Subsidiary	self	Invitation to receptions for FERC employees		1992-2001	Ŷ.	
338	Goodson, Andre, A.	Office of General Counsel	MTR	2							
339	Gorton, Ann E.	Office of General Counsel	Office of General & General & General Administr N	£				-			
340	Gotzmer, Jerrold W.	Office of Energy Projects	Division of Dam Safety and Inspection s (D2SI)	Yes	Gus Tjournas - Director, D2SI	Jerry Golzmer	Gus Tioumas periodically asked for my review and ocomment of raft letters addressing the technical issues regarding the Probable Maximum Flood study for the Round Bulte Project, FERC No. 2030. The final letter would eventually be sent from Harry Hail, Regoods Engineer, B2St-PRO to Portland General Engineer, B2St-PRO to Portland General Electric Company.		Year 2000	Eventual resolution of the technical issues regarding the Probable Maximum Flood.	

2002 Letter
5,
February .
the
Ξ.
က
Š
Question
\$
Response

7	l P			
	V. Was any action taken by the Comm. In response (if any) to communication:	Mid-February Meeting request declined.	Employed in FERC's 1997 or 1998 Office of Economic Policy at the time.	
-		Mid-February 2002	1997 or 1998	
ı	IV. Give date the date range			11/28/2001
T 5	ect ion	Request to meet the Chairman to discuss expedited treatment of the UBS application for a certificate to sell power under market based rales.	I asked for recommendations on energy risk management textbooks and he gave me some.	l passed on to Scott Miller a request from the Chairman to cast Erron to ask for updates on whether customers were oxpenienting interruption of physical services as a result of the Erron behaviory country and the phone and I walked into the order of the Erron behaviory country from the phone and I walked into the effect that we would fine regular reports. Scott continued to receive and toward reports on this phone and the regular reports. Scott continued to receive and some of the result of the result of the ensuling few days and asid each time that there had been no physical deliver interruptions. I thanked him and asked that he make sure Scott Miller received the information.
1	I. Sender(s) II. The reciplent(s) of communicatio communication	Robert Gramlich	Robert Gramlich	Enron
ш	ender(s) municatio	Sarah Novosel, Enron	Tim Beiden, Enron Robert Gramilich	Scott Willer, FERG Enron
٥	(a)			
0	Divisio	Advisor	Advisor	Advisor
В	Office	Office of the Chairman	Office of the Chairman	Office of the Chairman
A	Name	Gramlich, Robert E.	Gramich, Robert E.	Gramlich, Robert E.
	-	341	342	243
	•			*

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name											
Americal State         Office of Characters         F         G         F         F         J         Western by the annual and characteristics. To be date from the communication of the communication.         III. The subject from the communication of the communication of the communication.         III. The subject from the communication of the communication of the communication.         IV. Give IV.	×	VI. Other Comments (if any)	Email to former UC Berkeley classmate and Lawrence Berkeley National Lab Manager	E-mail	E-mail	E-mail	E-Mail	E-mail to former Manager at Lawrence Berkeley National Laboratory	E-Mail	E-Mail	E-Mail
Name Office of Divisio (b) of Communication Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich Gramich III. The subject of the Gramich III. The activities of the Gramich III. The activities	ſ	V. Was any action taken by the Comm. In response to communication:			No	NO.	ON	N _O	92	No	ON.
Name Office of Divisio (b) of Communication Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert Gramich, Robert E. Chairman Gramich, Robert Gramich Gramich III. The subject of the Gramich III. The activities of the Gramich III. The activities	-	IV. Or date range									-
Name Office of Advisor Gramlich, Robert E. Chairman		IV. Give the date	10/17/2001	10/17/2001		10/23/2001	10/23/2001	10/29/2001	10/29/2001	10/29/2001	10/29/2001
Name Office of Advisor Gramlich, Robert E. Chairman	9	III. The subject matter of the communication	Western grid characteristics, property rights transmission	Western grid characteristics, property rights transmission	Western grid characteristics, property rights transmission	RTO West Meeting	RTO West Meeting	Request to meet.	To Meet on 10/30	To Meet on 10/30	To Meet on 10/30
Name Office of Advisor Gramlich, Robert E. Chairman	ı		Alan Comnes and Tim Belden of Enron	Robert Gramlich	Alan Comnes	Robert Gramlich	Sarah Novosel	Alan Comnes	Robert Gramlich	Alan Comnes	Robert Gramlich
Name Office of Division  Gramich, Robert E. Cheirman	Ε	I. Sender(s) of communicatio		Alan Comnes		Sarah Novosei			Alan Comnes	Robert Gramlich	Alan Comnes
Name Office of Gramlich, Robert E. Cheirman Gramlich, Robert E. Cheirman Office of Gramlich Gramlich, Robert E. Cheirman Office of Gramlich Gramlich, Robert E. Cheirman		æ									
Name Gramich, Robert E.	C										
	В	Office	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman	Office of the Chairman
	A	Name	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.	Gramlich, Robert E.
		-									352

2 Letter
, 2002
y 15
Februar
in the F
· <u>=</u>
No.
Question
Response to

L	•			[							
	₹	n	رد	3	ш	1	9	Ŧ	-	-	¥
-	Name	Office	Divisio	(q)	I. Sender(s) of communicatio	Divisio (b) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
353	Gramlich, Robert E.	Office of the Chairman	Advisor		Sarah Novosel	Robert Gramlich	Saw in hall after a FERC meeting and I asked if she knew who organized the stakeholder meetings for RTO West.		Approx, 10/23/2001	No	
354	Gransee, Marsha	Office of General Counsel	Associate General Counsel, Gas & Oil	Yes	Yes Mary Hain	Marsha Gransee	two personal telephone conversations		1996-97 2000-present	°Z	Ms. Hain and I worked together when she was a FERC employee and we have stayed in touch. These conversations were, when Ferron acquired Portand General and when Ms. Hain left Porland General and when Ms. Hain left Porland General and Web Ms.
355	Gransee, Marsha	Office of General Counsel	Deputy General Counsel	Yes	Yes Donna Fulton	Marsha Gransee	Donna called to tell me that she accepted a new position with an electric utility in the NE.		1999-present No	No	This phone call was received at the end of last year or the beginning of this year (2002).
	Gransee, Marsha	Office of Chair Betsy Moler	personal		Joe Hartsoe, Donna Fulton	Marshal Gransee	Notice of filings made by Enron-affifiated pipeline companies		1993-1994	ON	was notified of filings made by Enron-affiliated pipeline companies either by the dropping off of a filing, or by telephone several times while was working on Chairman Was working on Chairman in the control staff
356											during this time period.
357	Gratchen, Mark E	Office of Markets, Tarriffs, and Rates	Office of Division of Market Market Tarriffs, Information of Rates	Š							
358	Graves, Rose Mary	Office of the Chief Informatio n Officer	Office of Systems Informatio e Office	S _N							

Page   Communication   Commu	J K  V. Was any action taken by the Comments Comm. In response (if any) to communication:  to communication:  No.
	f any)

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

¥	VI. Other Comments (if any)	While II is possible that since 1992 I have received one or more letelprine calls from from the foreive and where from the roose anywhere (Iron I to 4 calls at day from altorneys, etc. on the volumes of commission policies and practices)						
٦	V. Was any action taken by the Comm. In response (if any) to communication:							
-	IV. Or date range							
н.	IV. Give date the date range,							
9	III. The subject matter of the communication							
т.	I. Sender(s) II. The reciplent(s) of communicatio communication							
Е	l. Sender(s) of communicatio			·				
	£	ž	ž	ž	£	Š.	2	2
S	Divisio	Markets, Tariffs & Rates	General and Administr ative Law	Division of Dam Safety and Inspection s	D2SI- PRO	Tariffs and Rates • West	MAPS	Wast 1
В	Office	Office of General Counsel	Office of General Counsel	Office of Energy Projects	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Executive Director	Office of Markets, Tamiffs, and Rates
А	Name	Greenfield, Lawrence R.	Greer, Brenda L.	Griebenow, Kevin C	Griffith, Donald W.	Griffith, L. Ann	Gross, Anthony M	Gross, Edward, A
П	-	365	366	367	368	369	370	37.1

	_						,		
	¥	VI. Other Comments ((f any)	Counsel for EOTT submitting the Answer were Alber Tabor, Esq. and Charles F. Caldwell, Esq. of Vinson & Elkins.						
	ſ	V. Was any action taken by the Comm. In response (If any) to communication:	1 modified the procedural schedule by order, dated December 20, 2000.	After a telephone conference call with all the participants in the participants in the participants in the participants in the participant and receiving their brints on the matter, I sissed an order certifying the automatically terminated proceeding aboack to the FERC Commission, on January 30, 2001.		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		OU	OU
	_	IV. Or date range						Sometime in 2001 calender year	
2	I	IV. Give the date	12/14/2000	1/11/2001					
	IJ	III. The subject matter of the communication	An answer joining in Conoco Pipe Line's motion to modify the procedural schedule I had established in the proceeding.	Nolice of EOTT Energy Operating Limited Pamership of Withdrawal of Complaint				Accounting for derivatives when the transactions are undertaken as part of regulated utility operations.	Enron's financial difficulties and the effect on Enron's juridictional gas pipelines
	L	i. Sender(s) II. The recipient(s) of communicatio communication	I, the Presiding Judge, in An answer joining in Conor CDTT Energy Operating Pipe Line's motion to modify Partnership v. Concoo Pipe the procedural schedule I Line Company. Docket Iv. had satabilished in the OR06-11-000.	EOTT Energy Quage in EOTT Energy Quage in Limited Partnership v. Gonzoo Pipe Line Gornpany, Docket No. ORGO-11-000.				те	Jim Guest
1	F	i. Sender(s) of communication	Counsel for EOTT Yes Energy Operating P'ner	Counsel for EOTT Yes Erergy Operating Ltd.				Anthony Gin Yes (Portland General Elec)	Bob Chandler. Yes Controller Northern Jim Guest Nat.
4	_	<u> </u>	Yes	Yes		8	g Z	Yes	
(	ر	Divisio	ALJ	ALJ.		Central	Division of Tariffs & Rates East	Office of Regulator the y Executive Accountin Director g Policy	Office of Regulator the y Executive Accountin Director g Policy
	n	Office	Office of Administr ative Law Judges	Office of Administr ative Law Judges		Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of the Executive Director	Office of the Executive Director
	₹	Name	Grossman, Herbert	Grossman, Herbert		Gruenke, Diane M.	Gruenke, Steven J	Guest, James K	Guest, James K
		-	372		3/3	374	375	376	377
_					_				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

					rrom ;	senators Lieb	rrom senators Lieberman and Inompson	osdwc	_		
	A	В	O	۵	ш	i.	9	Ŧ		7	×
-	Name	Office	Divisio	(g)	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
378	Guest, Wayne R	Office of Markets. Tariffs, and Rales	Office of Division of Marcels, rainfis, and Relass and Rales - Central		Yes Doma Fulton	Wayne R. Guest	Communications were professional strong Donn has been a personal strong Donn has been a personal friend to about 20 years. I recall on perhaps 2 or 3 occassions that she advised ma, as a counters, that one of the Errorn affiliated natural gas popilicars had filed either gas popilicars or pleadings in proceedings before the Commission.		various over the 10-year span of the roquest.	No .	
379	Guest, Wayne, R	Office of Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs Tariffs, and Rales and Rates - Central		Yes Teb Lokey	Wayne R. Guest	In various teleptrone conversations M. Lokey, on behalf of Fordis day. Treannission, asked no a Treannission, asked no a trumen or question about land pagination packed as to the FT Touchas and the regulation order issued with respect to FT is delicated to the pagination order issued with respect to FT is electronic tariff.		1994 - 1996, perhaps	Not as a direct result of the communication. But imagine that at a time subsequest to the communication. For filed communication, For filed communication For filed compliance tariff theses; dispaying the pagination in add discussed with Mr. Lokey and that the Commission and that the Commission and that the Commission and that the subsequent files and that the commission and the subsequent those tariff sheets	These types of communications are not uncommunications are not uncommon and provide regulated companies with guidence regarding simple compliance matters.
380	Guey-Lee, William Y	Office of Energy Projects	DHAC	No							
381	Gunaratne, Athuta	Office of the Executive Director	Division of Regulator y Audits	£							-
382	Gwynn, Robert, B	Office of Energy Projects	DEER	Š							
383	Hall, Charles R	Office of Energy Projects	DEER	2						-	

09	¥	VI. Other Comments (if any)			·				
tter	ņ	V. Was any action taken by the Comm. In response (if any) to communication:	`						
.002 Le		IV. Or date range							
ry 15, 2 iompsc	Ι	IV. Give the date							
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication							
uestion No. 3 Senators Lieb	ш	I. Sender(s) II. The recipient(s) of communicatio communication	·						
onse to Qu From \$	ä	ender(s) nmunicatio							
g S	۵	(a)	N _O	N _O	S _O	No	S _O	No	S _O
Re	၁	Divisio (b) of con	OED - MAPS	Office of Chiel Economic Advisor	ocio	FINANCI AL SERVICE S	Litigation	Procurem ent	Central
	В	Office	Office of the Executive Director	Office of Office of Markets, Chiel Tarriffs, Economic and Rates Advisor	Office of the Chief Informatio n Officer	Office of the Executive Director	Office of Markets, Tarriffs, and Rates	Office of the Executive Director	Office of Markets, Tarriffs, and Rates
	A	Name	Hall, Jason C.I	Hall, Mario-Beth	Hamilton, Joseph	Office of FINANC! The AL Executive SERVICE Director S	Hammack, Robert E.	Handley, Charlotte A.	Hannan, Charles F
į		-	384	385	386	387	388	389	390

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	B C D E F G H I J K	1. Sender(s)   11. The recipient(s)   11. The recipient(s)   12. The recipient(s)   13. The recipient(s)   14. The subject   17. Give   14. Or cammunication   15. Give   15.	Office of Division of Administr Markets, allon & No Tarriffs, Caee and Rates Tracking	Office of Safety Lengy and No Projects inspection	Office of the C. Executive DLM No Director	Office of Administration and Adm	Office of Tariffs Markets, and Rates No Tariffs, Central
		Office	Office of Adn Markets, afti Tarriffs, Gand Rates Trae	D Office of Sa Energy a Projects Insp			Office of Te Markets, and Tarriffs, Ce and Rates
	Ą	Name . O	Or Hannan, Darta E. 77 ann	OI Harding, Peggy A, E	Harding, Richard C. Ex D	O Ad Harfeld, David I.	Harley, Dora C. T.
		Na 1	Har 391	Har 392	Har 393	Har 394	Har 395

Letter	
, 2002	
15	
3 in the February 15, 2002 Lette	
þe	
.= :=	
n No. (	
Question	
ğ	
e to	
Response	

From Senators Lieberman and Thompson

	A	8	ပ	9	ш	ш	9	I	_		×
-	Name	ø,	á	(a)	I. Sender(s) of communicatio n	I. Sender(s) of of the communicatio communication	ect ion	ive	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
3966	Harrington, Brian P	Office of Markets. Tarriffs, and Rates	Energy Markeis		Yes Brian Harrington	Mary Hain and Chris Stokley	Data Request for FERC Western Bulf Power Investigation 2000		102000 -	ę.	Performed analysis of the Beluk Fower what what she had beluk Fower analysis period was from Jurie 2000 intough November 2000 intough November 2000 intough November 2000 intough November analysis was to provide an assessment of the condition markets coupled with markets coupled with markets coupled with markets coupled with analysis. First, I was the point of contact for the data analysis. First, I was the point of contact for the data Companies under review. This included but well and the Contact of Contact of Contact of Constitution, INSG Energy, Thermo Ecolek, San Diego Gas and Electric. Southern Enore Constitution Editor. The contact people from Enron Componition included Many Hains and Chris Stokley. My letter and chris Stokley. My letter of irrowled analysis of the property from included Many Hains and Chris Stokley. My other role irrowled analysis of specific market conditions.
307	Harria, Sherelle	Office of the Executive Director	MAPS	ક્ર							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						Jenatora Eren	TOTAL SCRIPTIONS ELECTRONIANI AND THOMPSON	Sedimo	-		
	Α	മ	ပ		ш	Lì.,	O	I	-	٦	エ
-	Name	Office	Office Divisio	<u>@</u>	nder(s) nunicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	_	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
398	Hart-Harris, Yolanda Che	Office of Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs Tarriffs, and Rates ind Rates West	§.							
399	Hartmann, Shelley	Office of the Executive Director	MAPS	oN O							
400	Hastreiter, James, L.	Office of Energy Projects	Environm Office of ental and Energy Engineeri Projects ng	Yes	Environm ental and Logineed Yes Manager ng Review	Jim Hastreiler	Bull Run Project (p.4?7) decommissioning		12/02/2001	ON	These communications were monthly phone calls concerning status of the decommissioning process.
401	Hasty, Adrian Ronald	Office of Markets, Tarriffs, and Rates	Tariffs and Rates Central Branch	8				·			
Ş	Hathaway, Memil	Office of General Counsel	Energy Projects (GC-11)	Yes	Yes Amold Podgorsky, Merrill Hathaway	Morril Hathaway	The Commission's legal jurisdiction to leans the proposed Lake Eisinne proposed Lake Eisinne Project.		09/20/00 to	A meeting on 09/19/00 conversation about a preventing on observed this received this received this received this received this received the received the received the received the received to the received the received the received the received the received to observe the received the received the received the received to observe the received	A meeting on 02/19/00 conversation about a pre- preceded his information process conversation about a pre- idensity process conversation which was a potential plytropower  followed by a fax from the application to the  Commission of Commission, and relates to  the Commission of the meeting of Commission  the Commission of the meeting of Commission  that the Commission of Commission  that meeting of Commission  that meeting of Commission  and that the confine  and other interested entities  of Lon Grow.

V. Was any action taken by the VI. Other Comments Comm. in response (if any) to communication: Response to Question No. 3 in the February 15, 2002 Letter 05/19/1997-IV. Give date the date range From Senators Lieberman and Thompson 1/10/2000 Altomey for Enron contacted me because he thought I might have a case citation that he could use in EL98-12. 000. No substantive issues were discussed. One of numerous contacts by FERO Self to vachous industry representatives, including marketines, state commissions, integrated duffines, customer groups, etc. to discuss the implementation of Order No. 2000, especially the Coop, especially the End of a colaborative effort among FERO Self and potential RTO stakeholders. III. The subject matter of the communication I. Sender(s)
of
communicatio
communication Joseph Hartsoe, Enron Alan Haymes Sender(s) Office of Tariffs Jeffrey Watkiss, and Rates and Rates - Central and Rates Office of Tariffs Alan Haynes, and Rates Pes FERC and Rates - Central **(**2) ž Divisio Division of Dam Safety and Inspection s Office Office of Energy Projects Haymes, Alan D. Haymes, Alan D. Hawk, John K. Name

404

403

	<u>, , , , , , , , , , , , , , , , , , , </u>				
65	メ	VI. Other Comments (if any)			
tter	ſ	V. Was any action taken by the Comm. In response (if any) to communication:			
002 Le in	_	IV. Or date range			
y 15, 2 ompso	I	IV. Give date the date range	3/8/2000	6/13/2000	
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication	One of nurseous contacts by PERG Staff to various industry representatives, including marketers, state commissions, integrated fulfillies, customer groups, etc. to discuss the imprementation to Chor No. 2000, especially the formation of Regional Transmission Organizations and part of a collaborative effort among FERG Staff and probential RTC Staff and	One of numerous contacts by FERS Sraft to various industry representatives, industry representatives, modulous marketers state commissions, integrated futilities, customer groups, etc. to discuss the implementation of Dore No. 2000, especially the formation of Regional Transmission Organizations. Part of a collaborative etion, among FERS Staff and potential RTO stelebulders.	
uestion No. 3 Senators Lieb	F	I. Sender(s) II. The recipient(s) of communicatio communication	Joseph Harlsoe, Enron Washington	Joseph Harlsoe, Enron Washington	
onse to Q From \$	Ξ	Sender(s) mmunicatio	Taiffs Alan Haynes, and Rates Yos FERC	Alan Haymes,	
g	٥	(p)	Yos	Yes	ş
Re	ပ	Divisio (b) of n (col	Office of Tariffs Markets, and Rates Amills, and Rates and Rates	Office of Tarifis Markels, and Rates V and Rates Central	OGC-
	В	Office	Office of Markets, Tarriffs, and Rales	Office of Markets, Tarriffs, and Rates	Office of General
:	A	Name	Наутаs, Alan D.	Haynes, Alan D.	Hecht, Rachel R.
		-	406	407	

			2	Sp	onse to u	Lestion No. 3 Zenafore Liob	Response to Question No. 3 in the February 15, 2002 Letter From Sonatore I johorman and Thomason	ry 15, 2	002 Le 	itter	99
	*			-	5	Deliators Lieu	Elliali allu	Cilipso	=		
	A	В	٥		Э	ш	G	I	-	٦	¥
-	Name	Office	Divisio	(q)	nder(s) municatio	recipient(s) ınication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:	VI. Other Comments (if any)
	Hecberg, Daniel T. (Line 1 of 2)	Office of Markels, Tarrifis, and Rates	Rates West	Yes					July 1992 - December 2001		Ni various itimes, thating the period July 1992 incogning been one of several ERRC conducts for the electric utility with adulty to a Corporate Applications filed pursant to exterior 203 of the FPA. During the period of May 1997 is September 2001, served as a Group Marrager in the Division of Corporate in the section 203 of the FPA. During the period of May 1997 is September 2001, served as a Group Marrager in the Division of Corporate in these Properties of the Corporate in the Served Intelliging Served Intelliging Corporate and other parties in checking Error among others is also served as a participant in the Orien No. 388 rulements and after which it altered de several intellings and distriction in cell below) dozens of utilities and distriction in cell below) groups. (Contid in cell below)
_											

y 15, 2002 Letter	
5	
the February 1	į
.⊑	
ž	•
Response to Question No. 3 in the February 1	L
Re	

(Contd.) Preliting meetings were generally elated to proposed applications, what specificity was required to be included in the illings and whether such lifings were generally and properly the controlling meetings concerned the effect of the proposed transaction or competition. No action was altern by the Commission or competition. No action was altern by the Commission or competition and properly held to discuss specific issues arised by the Commission in an order, or general periodic products of these meeting varied depending on the undurstry in the rulemsking context. Commission action regarding these meeting varied depending on the undurstry in the rulemsking context. Commission action regarding these meeting varied depending on the undurstry in the rulemsking context. Commission action of these meeting actions of these meetings to any or these meetings to grow the service and the service specific or distance any more specific or distance are meetings to grow the service and the service specific or distance are meetings. V. Was any action taken by the Comments Comm. In response (if any) to communication: 67 IV. Give date the date range From Senators Lieberman and Thompson III. The subject matter of the communication Sender(s)
 II. The recipient(s)
 of the
 communication Sender(s) <u>a</u> Divisio n Office В Hedberg, Daniel T. (Line 2 of 2) Name

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L								2	=		
	<	n	اد	2		L.	9	I	_	-	¥
-	Name	Office	Divisio n	<b>@</b>	i. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (If any) to communication:	er C
	Hedberg, Daniel T. (Line 1 of 2)	Office of Markets. Tarriffs, and Rales	Rates West	Yes					July 1992 - December 2001		Al various times, during the period Livit yea? Inrough December 2, 2001, Itave Deerind Livit yea? Inrough Deerind Livit yea? Inrough Deerind Livit year. Order No. Bilb suffirmaties and (2) Corporate Applications filed pursuant to section 2021 of the FPA. I therefore have had many meetings with a multitude of parties including Erron namong others. With respect to parties including Erron namong others. With respect to the falf matters, I was siled as the technical contact in Order No. Bilb and order the deligible to the falf matters. I was siled as the technical contact in Order No. Bilb and order the deligible to the falf matters in the falf matters of questions over the leighborne from a variety of questions to year the leighborne regarding the status of pounding Corporate Applications. In was a Group Manager of the Division of Corporate Applications over the leighborne regarding the status of pounding Corporate Applications. In applications and applications and applications and paper and cells the fow)
411											-

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

					From	senators Lieb	rrom senators Lieberman and Thompson	ompsc	Ĕ		
	A	В	၁	q	Ш	F	0	I	_	ſ	~
, -	Name	Office	Divisio	(q)	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
4 2	Hecherg, Dariel T. (Line 2 of 2)	Office of Markets. Tarriffs. and Rates	4								Control, Any of the above mornumuications I have with Euron representatives were inflated by Circui. I was he question to protect extractions and an entire processor, unless the question proced requirements of all. I eliminate in the processor and a refun phone soul I eliminate in suppress of suggested they conclude someone delse within the agency #1 could not an expension of the processor of the questions of unself to specific Colder No. 888 questions or questions regarded to be concords as the processor of the telephone calls mentioned above were typically either related to specific Colder No. 888 questions or questions regarded to one concords with these meetings to provide any more specific or detailed responses.
413	Hedberg, Heidy L.	Office of the Executive Director	Office of Administrate the ative Support Director Staff	2							
414 414	Hegerle, Mark E.	Issue Office of Identification Markets, Resolutio Tarriffs, and Rates Managem ent	lssue dentification on and Markets, Resolution and Rates Managem ent	S ₂							

From Senators Lieberman and Thompson

П	A	В	O		E	ı			_	7	¥
	Name	Office	Divisio n	(p)	I. Sender(s) sio (b) communicatio	Sender(s) II. The recipient(s) of communicatio of the communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	l g
415	Helman, Udi	Office of Chief Markets, Economic Tarriffs, Advisor	Chief Economic Advisor	Š							
416	Henry, Cynthia M	Office of General Counsel	MTR	ž			:				
417	Herbert, W. Keith	Office of Markets, Tarriffs, and Rates	Rates - West	2							
418	Hernandez, Sheila D.	Office of Energy Projects	Division of Pipeline Certificate s	2							
419	Herling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural	type of facility being constructed under prior notice				
420	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural	enviropnmental compliance				-
421	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Transwestern CP-327	environmental compliance		5/95 - 10/96		
422	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural CP96-660 environmental compliance	environmental compliance				
423	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural CP97-598 environmental compliance	environmental compliance			THE PARTY AND RELEASE	
424	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural CP98-146 environmental compliance	environmental compliance				
425	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Northern Natural CP00-46 environmental compliance	environmental compliance				

į

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	4	п	C	4				-	-		
			Divisio	<del></del>	(ŝ)	II. The recipient(s)	ect	2	- \ <u>\</u>	V. Was any action	7 0 1 4 0 1 A
-	Name	Office		<u> </u>	communicatio	communicatio communication n	matter of the communication	the date range	date	sponse ation:	VI. Other Comments (if any)
426	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes seme as above	Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border	annual reports under 157.207 and 284.11 environmental compliance		1994-2001		
427	Hertling, Mary M.	Office of Energy Projects	DEER	Yes	Yes same as above	Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border	annual reports under 157.207 and 284.11 environmental compliance		1994-2001		
428	Heydt, Donald A.	Office of General Counsel	МОЕ	Yes	Presiding Judge, Trial Staff, Northern Natural	Presiding Judge, Northern Natural Gas Co., Yes Trial Staff, Northern Trial Staff, other parties, Natural	Northem Natural Gas Company rate case. Dockel No. RP95-185		1995-1996	Yes. The Commission approved a settlement offer, ending the case. 76 FERC para. 61,109	Communications included discovery, settlement docuernents, prefiled testimony and pleadings related to the rate case.
429	Heydt, Donald A.	Office of General Counsel	MOE	Yes	Presiding Judge, Trial Staff, Enron Power Marketing, Inc	Enron Power Marketing, Inc., Trial Staff, other parties, Presiding Judge	California Power Exchange Corporation rate case, Docket Nos. ER98-210 and ER98-1729		1997-1998	No. A contested offer of settlement is pending before the Commission	Communications included discovery, settlement documents, prefiled testimony and pleadings related to the rate case.
430	Heydt, Donald A.	Office of General Counsel	MOE	Yes	Presiding Judge, Trial Staff, Portland General Electric Co.	Presiding Judge. Protland Co., Trial Staff, other General Electric parties, Presiding Judge Co.	Sterra Pacific Power Co. transmission interconnection case, Docket No. ER99-28, et al		1989-2000	No. An initial decision by the Presiding Judge is pending before the Commission	Communications included discovery, settlement documents, prefilled testimony and pleadings related to the case.
431	Heydt, Donald A.	Office of General Counsel	MOE	Yes	Yes Trial Staff	Presiding Judge. Triał Staff PJM Interconnection, LLC parties 296- and EL00-41	PJM Interconnection, LLC rate case, Docket Nos. ER00-298- and EL00-41		1999-2000	Yes. The Commission approved a settlement offer, ending the case. 92 FERC para. 61,114	Communications included discovery, settlement documents, prefiled testimony and pleading related to the rate case.
432	Heydt, Donald A.	Office of General Counsel	MOE	Yes	Presiding Judge, Trial Staff	Presiding Judge, Trial Staff, parties	California Independent Presiding Judge, Trial Staff, System Operator Corporation rate case, Docket No. ER99- 211		1997-1998	Yes. The Commission approved a settlement offer, ending the case. 83 FERC para. 61,247	Communications included discovery, settlement documents, prefiled testimony and pleadings related to the rate case.
433	Higginbottom, Samuel L.		MTR	No							
434	High, Shana, C	Office of Energy Projects	DHAC	S _N							

i, 2002 Letter
15
February '
욛
<u>+</u>
.⊑
က
Š.
Question
0
ţ
Response

7.5

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 12/1/2000 No. 5/31/2000 No. IV. Give date the date range From Senators Lieberman and Thompson I do not recall, but given the time period, it could have involved the INGAA Regulatory Affairs Conference, which took place 5/17-19/2000. Christmas Card for 2000 III. The subject matter of the communication i. Sender(s) II. The recipient(s) of communicatio communication Andrea R. Hilliard Andrea Hilliard Sender(s) Yes Drew Fossum Yes Donna Fulton ž ŝ g g ۶ Office of Systems the Chief Engineeri Informatio Offlice of Market Markets, Informatio Paritifs, and Rates Office Divisio Division of Environm ental and Engineeri ng Review Division of Engineeri ng and Environm ental Review Energy Projects Legal Advisor Legal Advisor Office of Commissi oner Massey Office of Energy Projects Office of General Counsel Office of Energy Projects Office of Commissi oner Massey Hilliard, Andrea Riddle Hilliard, Andrea Riddle Hogan, Kenneth J. Holden, Edwin W. Hinz, Andrew D. Hill, Jennifer Hill, Craig A Name 441 439 440 435 436 437 438

Letter	
15, 2002 1	
y 15	
Februar	
the	
3 in t	
<u>.</u>	
Question N	
5	
Response	

From Senators Lieberman and Thompson

×	VI. Other Comments se (if any)								
	V. Was any action taken by the Comm. In response (if any) to communication:	No.							
_	IV. Or date range	fall 1999 until early 2000			1992 - Present				
	IV. Give date the date range								
- H - O	III. The subject matter of the communication	Settlement language regarding PG&E moving to a 12-CP (coincident peak) to develop retail transmission rates			Exchanged personal greetings (hello, how are you, etc.) in the hall with Donna Fulton, a former FERC employee who worked for Ernon.				
ш	Divisio (b) communicatio communication	Enron, Staff and the intervenors			Myself/Donna Fulton				
ш	l. Sender(s) of communicatio n	Division of Yes Staff, and the parties			Office of Market Markets, Developm Yes Fulton and Raics				
	(Q)	Yes	2	8	Yes	£	. S	8	
ပ	Divisio n	Division of Litigation	DHAC	Office of Market Markets, Informatio Tarriffs, Informatio	Market Developm ent	DZSI	D2SI	Office of Administr Administr ative Law ative Law Judges	DIVISION
В	Office	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Administr ative Law Judges	
A	Name	Hooks, Marcla C.	Hooper, Mark R.	Hough, James L.	Howard, William	Huang, James F	Huang, James F	Huber, Robert P.	
	-	442	443	444	445	446	447	448	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V	9	(						1		
-	Name	Office	É	. <u>.</u>	I. Sender(s) of communicatio	plent(s)	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
450	Hughes, Merita	Office of the Chief Informatio n Officer	Knowledg Office of e and the Chief Informatio nformatio n n Officer Managem ent	Ž							
451	Hunter, James O.	Office of Energy Projects	DHAC	Yes	Yes James Hunter	Robert Steele	Itelf a message abvising Mr. Steele that Portland General Electric (PGE) Company's acceptance of the Order Approving Partial Transfer of License for P-2233 would be due July 22, 2001.			Not applicable.	in response to my call, PQE filed on 7/2001 a request for more time to accept the transfer.
452	Hunter, James O.	Office of Energy Projects	DHAC		Yes James Hunter	Robert Steele	I left a message for Mr. Sleele, noting that a 7/20/01 filing by PGE included Inc. and asked if the company had changed to a corporation.			not applicable	Mr. Jim Wyatt returned my call and said to ignore the Inc.
453	Hunler, James O.	Office of Energy Projects	DHAC	Yes	Yes James Hunter	Robert Stealo andlor Ms. Julie Kell	I asked who would be the well-best lost duestions about day to day project operation.		09/06/01 to	not applicable	They said my question would be answered in their form reply to my letter (dated 10/03/01) acknowledging PGE's acceptance of the transfer.
454	Hutcheson, Christine G	Office of the Executive Director	Financial		FERC staff - Yes Christine Hutcheson	Northem Border Pipeline's Director of Accounting	Data requests pertaining to a new construction project		June 1998 . October 1999	when this had a audit when the shift shifts that I when the limits that I were any October 1999 have been rolled into a rabe proceeding in the fall of 1999.	There were various data requests and followup conversations throughout the audit period relating to accounting and procedural issues on the construction of the new pipeline.
455	Hutzel, Janet D	Office of Energy Projects	DEER - Hydro East Branch 2	No.						-	

15, 2002 Letter	
200	5
5,	Š
February 15	Sanatore Liebarman and Thompson
Febr	740
n No. 3 in the Fe	200
Ξ	ğ
	9
운	_
Response to Question	atore
nes	Š
Ō	C EC
\$	č
Se	ű
Ë	
ğ	
ě	
I	

75	×	VI. Other Comments (if any)			de entre de commencia des des del des de commente de commencia de commencia de commencia de commencia de comme				· · · · · · · · · · · · · · · · · · ·	Data Response filed April Application (Docket No. CF99- 25, 1999. Laborated July 8, 1999. Luly 8, 1999.
itter	C. C	V. Was any action taken by the Comm. in response (if any) to communication:								Data Response filed April 29, 1999.
.002 Le	-	IV. Or date range		m-poppeasassanta-habitaning garagean					Andrew programmy agents and the special specia	
ry 15, 2 ompse	I	IV. Give date the date range	week and finding the experimental property of the control of the c	The state of the s						4/14/1999
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication			and designation of the control of th		cherical fraints professore programme and consistent and consisten	and the state successional absorbable autonomistate as a second of the succession and the		Fax of a data request.
Lestion No. 3 Jenators Lieb	1	I. Sender(s) II. The recipient(s) of communicatio of the normalication of the normalication n					A see state of the second seco			Yes Raymond E. James Nathern Fax of a data request.
onse to Qu From S	В	nder(s) municatio								Raymond E. James
sb	۵	<u>a</u>	£	2	£	ž	£	£	Š	χes
Re	ြ	Divisio	East Group One	Office of Division of the Organizati the Organizati Casculive Managem Birector ent	DHAC	OMTR Tariffs and Rates Central	DZSI	Gas Branch 2	Division of Tariffs and Rates East	Office of Energy Markets
	8	Office	Office of Markets, Tarriffs, and Rates	Office of Organization of Organization Interpretation Managem Birector entire	Office of Energy Projects	Office of OMTR Markets, Tariffs Tarriffs, and Rate and Rates Central	Office of Energy Projects	Office of Energy Projects	Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates East	Office of Office of Markets, Energy and Rates
	A	Name	Huyler, Kevin H	facono, David J.	lidari, Hossein	Ingram, Tony V,	Iverson, Delores C.	Jacobs, Karen M.	Jagitani, Sanjeev G.	James, Raymond E.
	П	-	456	457	458	459	460	461	462	

Letter	
15, 2002 L	
5	
February	į
the	
_	
.3	٠
웃	
stion I	,
Que	(
2	
ponse	ŧ
Res	

9/

From Senators Lieberman and Thompson

	V			ľ	The contraction of the contracti	-			And a second sec	Annual construction of the second sec	
		0	ر	5	ш	+	9	Ŧ	-	r	¥
-	Мате	Office	Divisio	<u>e</u>	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
464	Javonillo, Antonio D.	Office of Markets, Tarriffs, and Rates	Office of Administr Markets, ation and Tarriffs, Case and Rates Tracking	ટ્ર				Principal de la constanta de l	· ·		
465	Jayjack, Necholas J	Office of Energy Projects	Erwironm ental and Engineeri ngineeri Review	Yes	Yes Noticles Jayjack	Dave Heintzman	Called Dave to discuss the shorten before the shorten before the shorten concern with how the Victims of the shorten before the shorten before the shorten between the shorten between the shorten between the shorten between between between between between between between between between the shorten between between the shorten			2	I'm not sure of the exact date. Useleve it was on or about June 6, 2000.
466	Jayjack, Micholas J	Office of Energy Projects	Environm ental and Engineeri ng Review	Yes	Environm ental and Engineeri Yes Electric - various ng Review	Nicholas Jayjack and numerous state and federal fish and wildle agencies. Non-governmental organizations, and others.	Numerous emails updating the relicensing processes for Willamette Falls (P-2233) Bull Run (P-477), Oak Grove (P-136), and North Fork (P- (2195) Hydroelectric Projects		03/99 through No 12/01	No .	No idea how many emails. As if a si feeali, most were meeting notices, meeting relists, the and the like, in almost all cases, I was one of many concurrent recipients of the emails.
·	Jayjack, Nicholas, J	Office of Energy Projects	Erwironm ental and Engineeri ng Review	Yes	Portland General Electric	Nicholas Jayjack and numerous local, state, and federal agencies, non- governmental organizations, and other entities.	Mailings regarding schedulings obcheduling of meetings. Treesing agendas, draft and final study reports, and similar within materials related to the reflocarding the Bull Run (P.4.7). Oak Grove (P.135), and North Porty-P.		12/01/1998 through 12/31/2001	Q.	I'm not sure how many mailings that I received possibly upwards of 50 to 75

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_	<del></del>	-,		·	-,	<del></del>	1		10-
V	VI. Other Comments (If any)		reader (the state of the state	This was a routine transmittal by fax of publicly accessible material that is commonly done as a mater of courtesy in FERC litigation	Routine inquiry about a logistical matter	Routine logistical matter, responded to similar type inquiries from most of the other parties in EL01-10-000			A routine discussion regarding a procedural matter; typical of many that I had with other parties in this complex
-	V. Was any action taken by the Comm. In response (if any) to communication:			92	No	No No	(10	No	No
	IV. Or date range					And the Control of th	-		
2 1	ive								
	III. The subject matter of the communication	Organistic for an entire form of an annual and an annual an annual and an annual a		laxing Staff's testimony in EL01-10-001	questions about a data template drawn up by Staff in Docket No. EL01-10-000	discussed Kelly's sending over disk containing Enror's confidential market data	more questions about data submission ordered by Presiding Judge in EL01-10- 000.	misplaced disk containing Enron's data submission (ordered by Presiding Judge in EL01-10-000)	format Watkiss was going to use in submitting Enron's proffer regarding ripple claims in Docket No. EL01-
4	I. Sender(s) II. The recipient(s) of communicatio communication			The following expresentatives of litigating parties in typed Sound (ELO1-10-001)Dan Watkless [ELO1-10-001] Bachman, Peter Burger & Philip Chabot.		me	rine	me	шe
E	l. Sender(s) of communicatio			myselí	Litigation Yes Shelby Kelly (Enron myself and Daniel attorney) Poffenberger	Litigation Yes representative)	Shelby Kelly (Enron Representalive)	Litigation Yes Shetby Kelly (Enron me attorney)	Litigation Yes (Enron attorney)
6	æ i	ક્ર	£	Yes	ξes	Yes	Yes	Yes	χes
0	Divisio	Tariff and Rates - Central	DHAC	Litigation Yes myself	Litigation	Litigation	Litigation Yes		Lifigation
8	Office	Office of Markels, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Jones, Carolyn G.	Jones, Elizabeth L	Jones, Janet Kay	Jones, Janet Kay	Jones, Janet Kay	Jones, Janet Kay	Jones, Janet Kay	Jones, Janet Kay
	-	477	478	479	480	481	482	483	ģ
-									

K VI. Other Comments (if any)	
V. Was any action ly taken by the Comm. In response (if any) to communication:	
on date date date Ang-Sept.	
Ompso	
Response to Question No. 3 in the February 15, 2002 Letter  From Senators Lieberman and Thompson  C E F G H H  I. Sender(s) II. The recipient(s) III. The subject nation of the communication of the communication of the date range of communication of the date communication of the date communication of the date range to contain No pecific No (New Yord)	
Prom Senators Lieberman and The Februar From Senators Lieberman and The From Senators of the Communication of the Communication Chery Foley (after Communication Chery Foley (after Communication of the Communication Chery Foley (after Communication of the Communication o	
Response to Q From S From S Divisio (b) of n n n n n n n n n n n n n n n n n n	
St DO 1. Sq. Chery Yes Gittor O 3. Sq. Chery	2 2 2
	DEER
Office of General Course of Markets, and Rates Office of Markets, Tarriffs, and Markets, Tarriffs, and Rates of General Course of General Course of General	Energy Projects Office of the Executive
Name Jones, Janet Kay Jones, Martha Vernell Joseph, John F Jodd, Anastesia Kane, Joe R. Kane, Laura B.	Kartalia, Stephen, D. Kee, Laik H
485 486 488 489 490	492

Response to Question No. 3 in the February 15, 2002 Letter	
2 L	
200	2
15,	n n
ary	Ļ
bru	1
Fe	From Senators Lieberman and Thomnson
the	Eu.
3 in	ber
Š.	Ë
5	tors
esti	ena
ð	Š
e to	٥
Suc	
ds	
ď	

Γ		1		T		T		T
3	J. i			United States of the Control of the				
	V. Was any action taken by the Comm. In response (if any) to communication:				Commission settlement orders	Various dates Correntssion settlement in 1999 and order	None to date	Various dates in 2001 and None to date 2002
- -	IV. Or date range				1997-2000	Various dates in 1999 and 2000	Various dates in 2000 and 2001	Various dates in 2001 and 2002
± ompsc	IV. Give					minimum markatan kananan kanan		
E F G H H G H H G H H G H H G H H H G H H H H H H H H H H H H H H H H H H H H	III. The subject matter of the communication				Data requests, data responses, and settlement offers in Traiblazer rate case (RP97-408)	Data requests, data responses, and settlement offers in Northern Border rate case (RP99-322)	Data requests, data responses, and settlement offers in Texas Gas rate case (RP00-260)	Data requests, data responses, and settlement offers in Transco rate case (RP01-245)
	I. Sender(s) II. The recipient(s) of communicatio communication			erieden jaria kantana	Staff/Trailblazer and all or most of the other parties	Staff/Northern Border and all or most of the other parties	Staff/Enron North America and all or most of the other parties	Staff Enron North America and all or most of the other parties
- E	l. Sender(s) of communication				Yes Staff/Trailblazer	Staff/Northern Border	Yes Staff/Enron North America	Staff/Enron North America
٥	(g)	ž	£	ž	Yes	Yes	Yes	Yes
ပ	Divisio	Division of Dam Safety and Inspection	Litigation	Policy Innovation and Corremuni cation	Administr ative Litigation	Office of Administr General ative Counsel Litigation	Administr ative Litigation	Office of Administr General alive Counsel Litigation
В	Office	Office of Energy Projects	Office of Markets, Tamiffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counsel
A	Name	Kelley, George A.	Kelly, Francine Julia	Kelly, Kevin A.	Kelly, Richard E,	Kelly, Richard E.	Kelly, Richard E.	Kelly, Richard E.
	-	494	495	496	497	498	499	200

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Coffice   Divisio   Communication   Communic		A	8			4	3						_
Kelly, Richard E. General Litigation Coursel Republication Vorth America Reposts, and settlement Republication Vorth America Republication Vorth Am	-	1 1	Office	Divisio	Ð	nder(s) municatio	recipient(s)		IV. Give		V. Was any action taken by the Comm. In response to communication:	i i	
Kelly, Richard E. General Lilgation Coursel Projects Coursel Projects Coursel Projects Coursel Lilgation Coursel Projects Coursel Lilgation Coursel Projects Coursel Lilgation Coursel Projects Coursel Lilgation Coursel Projects Coursel Projects Coursel Projects Coursel Lilgation Coursel Projects Coursel Projects Coursel Projects Coursel Projects Coursel Lilgation Coursel Projects Coursel Proj	501		Office of General Counsel		Yes	StaffÆnron North America				Various dates n-1999 and 2000	None to date		
Kelly, Richard E.  Office of Administr Response Litigation    Office of Administr Response Litigation    Office of Administr Response Litigation    Office of Obvision    Counsel Litigation    Office of Obvision    Office of Obvision    Counsel Litigation    Office of Obvision    Office	502		Office of General Counsel	Administr ative Litigation	Yes	Staff/Enron North America				Various dates n 1997-99	Commission settlement orders		
Kerly, Richard E. General arive Course Litigation Course Litigation Course Litigation Course Litigation Course Litigation Course Course Litigation Course Co	503		Office of General Counsel	Administr ative Litigation				Data requests, data responses, and settlement offers in Wyoming Interstate's rate case (RP94- 267)		Various dales in 1994	Commission settlement order		
Kendrali, Jack General Tarife No Microsoft Counsel Litigation Reducits, Deering Tarife No Microsoft Counsel Tarife No Microsoft Counsel Tarife No Microsoft Counsel Tarife No Microsoft Counsel Tarife No Microsoft Micr	504		Office of General Counsel					Data requests, data responses, and settlement offers in Wyoming Interstate's rate case (RP99- 381)		Various dates in 1999-2000	Commission settlement orders		
Kendali, Jack Office of Devigor Coursei Projects Office of Okkison of Markes. Tariffs, Tariffs, and Rales and Rales West Kentgan, Jennifer L. Energy DEER	505		Office of General Counsel	Administr ative Litigation	Yes	Staff/Enron North America		Data requests, data responses, and settlement offers in Williams rate case (RP95-136)		Various dates n 1995-96	Commission settlement order	ana odpovalne se nekolejne nekolejne se povezne výce svýce se povezne nekolejne se nekolejne se nekolejne se n	
Kendrick, Deering T. Markets, Tariffs and Rales and Rales West Office of Kerrigan, Jannifer L. Energy DEER Projects	506		Office of General Counsel	I	2							Annual Annua	
Nerrigan, Jennifer L. Energy DEER Projects	507		Office of Markets, Tarriffs, and Rates	Division of Tariffs and Rates West									
	208		Office of Energy Projects	l i	ટ								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Α	a	_	-	L			2			
				1	-	_	9	Ŧ	-	7	×
-	Name	Office	Divisio	ê	I. Sender(s) of communicatio	(b) communicatio communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the VI. Othe Comm. In response (If any) to communication:	VI. Other Comments (If any)
516	Kinsey, Tim S.	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Litigation Yes FERC technical staff	Jerry Peters BL Heckerman	Jerry Pelers BL Heckerman Popeline Case		August of 1999	NO	
517	Klenk, Cynthia J.	Office of the Chief Information	Office of e and he Chief informatio no Officer Managem ent	ટ્ટ							
518	Klinkenberg, Charles E	Office of Energy Projects	DHAC	£		ender sommen nicke projektering de		The second secon		The state of the s	emente de la companya de la company
519	Klose, Mark	Office of Beguation of the Executive April Director Broicy	Division of Regualtor y Accountin g Policy	Yes							During the period in question, I have had discussions on routine matters related to docketed workload of some of the affiliated pipelines.
520	Klynsma, Sara C.	Office of Markets, Associate Tarriffs, Director and Rates	Associate	£		The state of the s					
521	Knight, Bobby W.	Office of Division of the Logistics Executive Managem Director ent	Office of Division of the Logistics Executive Managem Director ent	2							
522	Knight, Franklin D.	Office of Markets, Tarriffs, and Rates	Litigation	2			And the second s				
523	Kochhar, Medha L	Office of Energy Projects	Branch gas 2	şê,	Yes Medha Kochhar	do not know	request for nonjurisdictional facilities			memo to OGC (Fredric Minnis), Notice of Protest for CP94-716-000.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	4	a		4				***************************************			
L			اد	2	U	4	9	Ŧ	-	7	¥
-	Name	Office	Divisio	Ð	(b) of communicatio of the	recipient(s)	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:	VI. Other Comments (if any)
524	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	£				The state of the s			CP1992-0469-000
525	Koothar, Medna L	Office of Energy Projects	Gas. Branch 2	Š							No communications for the following set of the communications for the gradual comments of the gradual communications of the gr
526	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	, es	Yes Steve Veatch	Medha Kochhar	DEIS comment meeting format.				I requested FGT to bring alignment sheets to discuss location of properties if landowner has questions.
527	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	, Xes	Gas Yes Steve Veatch N	Medha Kochhar	Route atternatives through Leota Miley's property and Central Maintenance Welding Inc.			FGT made a filing for the revised routes through these areas.	
528	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	, kes	Gas Branch 2 Yes Medha Kochhar s	Steve Veatch of FGT	Get Landowner information and issues raised by the landowners.		09/01/99 and 01/01/2000		General information exchange regarding the project and issues raised by landowners and commenters prior to FEIS.
529	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	Yes	Gas Steve Veatch and Branch 2 Rob Kilmer	Medha Kochhar	wanted to know if they can come for a technical meeting to discuss format of preconstruction filings			We had a technical meeting with FGT staff and FERC at FERC headquaters to discuss format of preconstruction filings.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-					5	Jenatora Eleb	TOTAL SELECTION ELECTRICAL AND TROUBSON		<u>=</u>		
	A	8	ပ	۵	ш	4	9	Ξ	_	J.	X
	Name	Office	Divisio	ê	nder(s) municatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
530	Kochtar, Medta L	Office of Energy Projects	Gas Branch 2	Yes	Gas Yes Steve Veatch of FGT	Medha Kochhar	Phonity of construction observaces. Steve gave the order of priority that construction clearance fling would be submitted. Storage yards, staging areas, Westage Cereiscin. Other fliens of alsoussion use hird party contrador, implementation plan and technical meeting at FERC.				Later there were several phrore several phrore several and SMOO to discuss construction filings specifically, the alignment sheets.
531	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	- ×es	Gas Branch 2 Yes Medha Kochhar	Steve Veatch	Condemnation of properties owned by Central maintenance, Conrad, and Varnum	The same of the sa	The state of the s		
532	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	Yes	Gas Yes Medha Kochhar	Steve veatch	Landowner (Mr. Paquin) concerns and what is done. Update on construction status and forthcorning filings for MS Loop.		6/13/00 -		
533	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	Yes	Gas Branch 2 Yes Medha Kochhar	Steve Veatch	Construction plans for the remaining of the facilities (Tempa South lateral Extension. Requests for inservice of WLE.	Molecules and a second and a se	8/11/00 -		and translational decommon community and the second control of the
534	Kochhar, Medha L	Office of Energy Projects		Υes	Gas Branch 2 Yes Medha Kochhar	Eric Barbee	Construction status along WLE, Wetaind restoration Plan				demonstrates and and a fine function of the contract of the co
535	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	×es	Gas Yes Sleve Veatch	Medha Kochhar	Petrash Property and welland restoration issue		9/11/06 - 9/19/00		During this time period I allked with Eric Barbee (environmental inspector to get updates on the welland restoration on Petrash property (what, when where,

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_								<del></del>	~
	X	5	Steve Veatch provided more information through Eric Bratwe (F1)	record (cr);	Later on 1/1/01 Steve Veatch and Rob Kilmer provided details of schedule construction. I informed him the items we needed to have.			I had follow-up phone conversations for this project with Steve Veatch, Ryan Childs, and Rob Kilmer who provided updates on this project issues.		
	C	V. Was any action taken by the Comm. In response (if any) to communication:								
Ξ	_	IV. Or date range	12/11/00 -			1/23/01 and 1/30/01	2/12/01 - 2/13/01	2/20/01 -	4/9/01 -	
ompsc	I	IV. Give date the date range								
rrom senators Lieberman and Inompson	9	III. The subject matter of the communication	Landowner concerns	Public scoping meeting for the Tempa South Lateral extension.	Construction schedule for MS Loop and other remaining facilities	Change in construction method from directional drill to open cut and our concerns.	Construction updates - MS- Loop, SSL, FL-Loop, and LWL	Tempa South Lateral Extension (CP99-94-003) DCA's letter and Golden aster issue and FWS comments.	Status of TSLE project implementation Plan filing. Steve informed me in his follow-up call that National Gypsum would gas from peoples and therefore, FGT would file and not accept this force.	Ft. Mead Pipeyard
senators Lieb	L.	I. Sender(s) II. The recipient(s) of communicatio communication n	Steve Veatch	Medha kochhar	Rob Kilmer (FGT)	Ryan Childs	Steve Veatch	Ryan chiids	Sieve Vealch	Steve Veatch
EOL	ш	I. Sender(s) of communicatio	Gas Branch 2 Yes Medha Kochhar	Yes Steve Veatch	Gas Yes Medha Kochhar Branch 2	Gas Branch 2 Yes Meedha Kochhar	Gas Yes Medha kochar	Gas Yes Medha Kochhar Branch 2	Gas Branch 2 Yes Medta Kochhar	Office of Gas Yes Medha Kochhar
	۵	æ l	≺es	se ≺	, se	Yes	, kes	Xes	Yes	Yes
	ပ	Divisio		Gas Branch 2	Gas Branch 2	Gas Branch 2		Gas Branch 2		Gas Branch 2
	В	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy
	А	Мате	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L	Kochhar, Medha L
-		-	536	537	538	539	540	541	542	543
-	_				<del></del>			<u></u>		

Letter	
2002	1
15,	Ì
ruary	From Sonatore Lioborman and Thomason
-eb	
the l	5
₽.	Š
3	f
ġ	_
stion I	orotor
nes	å
0	٤
e E	3
Response to Question No. 3 in the February 15, 2002 Letter	-
Res	

rom Senators Lieberman and Thompson

A	8	0		ш			2	-			
Office	9.	Divisio (b) of n	ê.	Sender(s) nmunicatio	I. Sender(s) II. The recipient(s) of communicatio communication	ect llon	ate at	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
Office of Energy Projects	gy cls	Gas Branch 2 Yes	, kes					4/6/2000 - 8/30/2001		FY, any communications conducted with Steeve Vealch or FG1 representative and Medha Kochhar, chung the above mendioned pendid were all related to FG1 Flass W Project, CO99 44-000 and CH994-000. This project was contracted to Natural Resources Group (RRC) for Matural Resources Group (RRC) for monitoring services.	
Offic Proj	Office of Energy Projects	Gas Branch 2	Yes	Gas Yes Mecha Kochhar Branch 2	Cheryl Sanders	Landowner address corrections, Clarifications on right-of-width, Plate River Estale, comments from SHPO, FERC Plan and Provedures.		3/230/1994 -		EF Expansion Project by Northern Natural Gas Comprny. Docket No. CP94- 224-000.	
Po E	Office of Energy Projects	Gas Branch 2	Yes	Yes Dave Brown	Medha Kochhar	Site visit and trip agenda.					
828	Office of Energy Projects	Gas Branch 2	Yes	Yes Dave Brown	Medha kochhar	Right-of-width issue in residential and forested area and small diameter pipe.				Docket Mo. CP94-224-000, EF Expansion Project.	
욡폗똣	Office of Energy Projects	Gas Branch 2	Yes	Gas Branch 2 Yee Mecha Kochhar	Cheryl Sanders and Dave	Project specific issues: D. Line and permit status		3/30/1994 to 8/8/3/1994		Several phone conversations where made during this period all phone calls were project related its assets may be a project related its assets may be a project related its assets may be a project where a project where a project with the analysis of the appropriate and a project with the appropriate and a project where in Natural Gas Compast, Docket NO, CF94-Z24-G00.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	a	ر	-	2			?		A consequence of the second se	
the later comments			,	)		_	פ	Ŧ	-	ŋ	¥
-	Name	Office	Divisio	æ	nder(s) municatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
549	Kochhar, Medha L	Office of Energy Projects	Gas Branch 2	Yes	Gas Yes Danry Russel	Medha Kochhar	Field trip for 10/24/94. Construction status. City of Coltage Grove issue, Blweekly reports, MNDNR, protected waters.			-	CP94-224-000. EF Expansion Project. We conducted compliance inspections during construction. Ebasco Environmental was also lasked to do inspections.
550	Koester, Thomas F. III	Office of Energy Projects	Office of Pupeline Finergy Certificate Yes Myself Projects	Yes		Keith Petersen, Director, Certificates & Reporting, Northern Natural Gas Company	Docket No. CP01-33-000, lelephone call to ascertain some factual information on abandouing a pipeline suspension bridge across the Platte River in Dodge and Saunders Courlies, Nebraska.		Late Nov Order 2000 to 2001 Feb/Mar 2001	Order issued April 11, 2001	Have no recollection of what the questions is were or if we latked more than a couple of times about the facts in the application. Nothing else was discussed.
551	Kohul, Kerneth J.	Office of Markels, Tarriffs, and Rates	Market Developm ent	Yes	Market Bevelopm Yes Robert Czarick ent	Christy Nickolay Enron Trading	We were beginning to monitor electricity and natural gas tradig at certain hubss on EnrorCollies. Because Enror handing at certain hubss on EnrorCollies. Because Enror handing manufaction and pass and electricity contracts and their normenclature was unfamiliar, we called Ms McColday to confirm that the handing points and patricular confirmed as we had chosen on EnrorColline corresponded to Hep product we were interested in traction. Ms McColday helped to proport the exact products we wanted to track.		Noverber		We did not record the exact date of the felathone conversability. But he communication consisted of a coll placed by us to Erron an a return call from Ms.
552	Kolak, James	Office of Energy Projects	DHAC	£		worksteinmann ein er ver ein eine eine eine eine eine eine ein					
		The state of the s	The section of the se	Designation of the last	STATES OF THE PROPERTY OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN	AND DESCRIPTION OF THE PROPERTY OF THE PERSON OF THE PERSO	The state of the s				•

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: Response to Question No. 3 in the February 15, 2002 Letter IV. Give date the date range From Senators Lieberman and Thompson Sender(s)
 II. The recipient(s)
 of
 communicatio of the communication
 communication Sender(s) ۵ £ ş 2 £ ટ્ટ Š 8 Ş Divisio Information
Office of Technolo
Markets, gy & Tarriffs, Organizati Tariffs and Rates West Central Rates -West MTR D2SI-PRO u/a Office of Administrative Law Judges Office of Markets, Tarriffs, and Rates Office of Markets. Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office Office of Energy Projects rauthamer, Michael I zfowski, Hadas Z. afferty, Ronald N. Lagnion, William N kng, James M. Lacy, Michael P. aFave, Mary C Kosa, Jarrad T. Name 554 557 553 555 556 558 559

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	a		0		1					
L	***************************************	1	,	٥		-	9	I	-	7	×
	Name	Offlice	Divisio	(P)	l. Sender(s) of communicatio n	I. Sender(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
561	Lake, Julia A.	Office of General Counsel	Markets, Tariffs and Rates	ž							
562	Lane, Dennis	Office of General Counsel	Solicitor's Office	Yes	Soliction's Yes Jeffrey D. Watkiss Dennis Lane	Dennis Lane	Request for FERC to file amicus brief or to intervene in California class action tawsuit.	The state of the s	August- September 2001	No	Communications consisted of papers flied in class action suit.
563	Larcamp, Daniel L.	Office of Markets, Tarriffs, and Rates	Front	Yes	Robert W. Thurber, Yes Northern Natural Gas Company	Various parties	Kansas ad volerm tax		October 2001- January 2002	October 2001. The Commission issued a January 2002 (cases.)	
264	Lawrence, Lynette T.	Office of General Counsel	General and Administr ative Law	운							
565	Lawrence, Philip R.	Office of the Chief Informatio n Officer	Office of Infrastruct the Chief ure & Informatio Operation n Officer s	ž		Principality in a diameter product constraints and published products and published product			And delegation of the language		
566	Leach, Kimberly A.	Office of External Affairs	OEA	ž							
567	Leahy, Deborah M.	Office of General Counsel	Markets. Tariffs & RAtes	2							
268	LeBlanc, Karen E.	Office of the Chief Informatio n Officer	Customer Support, COTS	ž							
569	Lee, Chin Y.	Office of Energy Projects	D2SI- PRO	£	Amerika de de la composição de la compos	and the reversible control and the second control and the second control and the second control and the second				ANTI-ANTI-ANTI-ANTI-ANTI-ANTI-ANTI-ANTI-	And described the second secon
570	Lee, Chin Y.	Office of Energy Projects	D2SI- PRO	ž					The second secon		

etter-	
7	
/ 15, 2002 Let	
'n	
Ë	
uar)	
ģ	
Fe	
Ę,	
. 3 in t	
က	•
Š.	•
stion	,
Que	(
\$	
ponse t	1
Res	

	X	VI. Other Comments (if any)							
	7	V. Was any action taken by the Comm. In response (if any) to communication:							
č		IV. Or date range					Transplanting political designs of the second		
ombsc	Ι	IV. Give		The state of the s	da a composito de constitución				
From Senators Lieberman and Thompson	၅	III. The subject matter of the communication				трудоминати межения применять по применать по применять по применять по применять по применять по применать по применять по применать			
senators Lieb	4	f. Sender(s) of communicatio communication							
From	m	I. Sender(s) of communicatio							
Ī		9	ĝ	ž	£	윤	ž	ž	ž
	S	Divisio n	Office of Administrate ative Executive Support Director Staff	CEA	DZSI	Office of Administr General ative Counsel Litigation	Division of Office of Environm Energy ental and Projects Engineering	OCIO	Division of Environm Office of Environm ental & Projects ng Review
	9	Offlice	Office of the Executive Director	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of General Counsel	Office of Energy Projects	Office of the Chief Informatio n Officer	Office of Energy Projects
***************************************	Α	Name	Lee, Donna	Lee, Eugene	Lee, Francis	Lee, Linda L.	Lee, Eddie	Leibtag, Ahava R.	Leiss, John S.
		-	571	572	573	574	575	576	577

Letter	
5, 2002 Let	nosa
on No. 3 in the February 1!	1 Thomps
Feb	eberman and I
the	man
3 in	Lieber
è	S Li
o Question	rom Senators
9	10T
sponse to	ш.

					From	Senators Lieb	From Senators Lieberman and Thompson	ompso	=			
	A	В	ပ	۵	E	¥.	9	Ī	_	P	X	
Ž	Name	Office	Divísio n	<b>@</b>	Divisio (b) of communicatio	f. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, E	
Le Le	Leitzke, Peter A.	Office of Energy Projects	Office of Environm Energy Engineeri Projects Engineeri Review	£								
Le 579	LeKang, Donald J	Office of Policy Markets, & & & & & & & & & & & & & & & & & & &	Policy Innovation & Communi cation	ž	The control of the co							
	Lengendfelder, David	Office of Markets, Tarriffs, and Rates	Office of Market Markets. Developm No and Rates	2					The state of the s			
೨	Lentini, Alfonso	Office of Markets, Tarriffs, and Rates	East	ટ્ટ		The state of the s						
	Leppert, Patricia	Office of Energy Projects	Division of Environm ental & Engineering Page Review HEB-1	£								
- F83	Lewis, Mary L	Office of Energy Projects	Division of Dam Safety and Inspection	2								

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L					LLOIL .	senators Lieb	rrom senators Lieberman and Thompson	osdwo	=		
_	A	n	ပ	٥	Ш	ı	တ	I	-	f	**************************************
-	Name	Office	Divisio n	æ	nder(s) municatio	Sender(s)     II. The reciplent(s)     of     communicatio     communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, b
584	Lewis, Tawanna S.	Office of Commissioner oner Brownell	N.A	, ses	Yes Linda Robertson	Tawanna S. Lewis	Telephone call to set up meeting with Commissioner to meet Mark Frevert	9/10/2001		Meeling date 9/19/01	
585	Lewis, Tawanna S.	Office of Commissi oner Brownell	N.A	Yes	Yes Cynthia Sandherr	Tawanna S. Lewis	Telephone call to set up meeting with Commissioner and members of New Power Company	9/6/2001	Addition and the state of the s	Meeting date 9/24/01	
586	Lewis, Tawanna S.	Office of Commissi oner Brownell	V. V.	Yes	Yes Wendy Jones	Tawanna S. Lewis	Telephone calls regarding and insuring the Commissioner's participation at Erron's Energy Conference in October 2001		9/01-10/01	Conference 10/4/01	
587	Lieb, Louis	Office of Markets, Tarriffs, and Rates	West	Yes		staff at Florida Gas Transmission	fuel tracker filings that i worked on in 1999-1999. I was asking questions about the filing(s).		1998-1999	orders accepting tracker flings.	
588	Lieb, Louis	Office of Markets, Tarriffs, and Rates	Rates West	Yes me		Staff at Northem Natural Gas Company.	filing of negotiated rate agreement, Docket No. RP96-272-026. Asked question about filing.		January, 2001	order accepting filing.	
589	Lippert, Robert M	Office of Markets, Tarriffs, and Rates	Central, Group 4	£					Income interest and in the contract and in the	The state of the s	
290	Lloyd-Bey, Cheryll	Office of General Counsel	OGC, Administr ative Litigation	2	·		-				
594	Long, Joseph H	Office of General Counsel	Administr ative Litigation	Yes	Yes Joseph H. Long	All parties to the case	New England Power Pool (NEPOOL, Docket No. 0A97 237, Open Access Tariff: discovery questions		4/98 - 7/99	Case settled.	

From Senators Lieberman and Thompson

-							TOTAL SELECTIONS ELECTRICAL AND THOMBSON		=		
_	A	8	ပ	۵	Е	F	9	·	_		**************************************
-	Name	Office	Office Divisio	<u>ê</u>	I. Sender(s) of communicatio n	Sender(s)     II. The recipient(s)     of the     communication     n	III. The subject matter of the communication	IV. Give I	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication;	1 10
599	Lucas, Camille M.	Office of Markets, Tarriffs, and Rates	Litigation	욷			-				
009	Lucas, Camille M.	Office of Markets, Tarriffs, and Rates		Yes	Litigation Yes Electric Company	Parties in Docket NO. ER97 Settlement discussions 2358-000	Settlement discussions		Various	Partial Settlement. Pending Opinion	
109	Lucas, Camille M.	Office of Markets, Tarriffs, and Rates.	Litigation	Yes	Litigation Yes Independent System Operator	Parties in the proceeding	CAISO Metering Agreement, Docket No. ER98-1499-000		Various	Settlement Accepted	
602	Lucas, Camille M.	Office of Markets, Tarriffs, and Rates	Lifigation	Yes	California Lifigation Yes independent System Operator	Parties in the proceeding.	Responsible Participating Transmission Owner Agreements		Various	Accepted Settlement	
903	Lucas, Camille M.	Office of Markets, Tarriffs, and Rales	Litigation	Yes	Calfornia Litigation Yes Independent System Operator	Parties in the proceeding	interconnected Control Area Operating Agreements	Principal distance in proprincipal designation of the control of t	Various	Accepted Settlement	
604	Lucas, Jenifer L.	Office of General Counsel	MTR	χeς	Northern Natural Gas Company	Commission secretary	intervention in RP93-29-000 -		approx. 12/01/92	no	THE STATE OF THE S
605	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Northern Natural Gas Company	Commission secretary	tate intervention - no protest - Docket No. RP93-39-000		approx. 12/01/92	ПО	
909	Lucas, Jenifer L.	Office of General Counsel	M T	Yes	Yes GAs Company	Commission secretary	motions to intervene - Dockell Nos. CP91-1616-000 and CP91-1634-000 - protest application to roll in Constructin costs		арргож. 04/15/92	00	

Response to Question No. 3 in the February 15, 2002 Letter
to Question No. 3 in the February 15,
to Question No. 3 in the February 1
to Question No. 3 in the
to Question No.
to Question No.
to Question No.
Response to Question No.
Response to Question
Response to
Response

From Senators Lieberman and Thompson

L	V	9		-	_	***************************************		200			
-	A	٥	اد	2	ų.	4	စ	I	-	ſ	7
	Name	Office	Divisio	<u>Q</u>	i. Sender(s) II. The of the communicatio comm	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	er C
607	Lucas, Jenifer L.	Office of General Counsel	M RT A	χes	Yes Enron Gas Marketing, Inc.	Correlission secretary	intervention and request for endirection re: El Paso application to add tariff provisions concerning operational threat to system imperfy - Docket NO. RP93- 16-000		арргох. 11/12/1992	tech conference established	1 have no notes or records from any technical conference related to this docket.
809	Lucas, Jenifer L.	Office of General Counsel	MTR.	Yes	Transwestern Pipeline Company	Commission secretary	intervention in Docket No. RP93-16-000 - no protest		approx. 11/12/1992	no	AND COLUMN AND THE STATE OF THE
609	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Gas Marketing, Inc.	Commission secretary	intervention only Docket No. RP93-4-000 and RS92- 43-000 - no protest		approx. 10/13/1992	no	NO PROPERTY OF THE PROPERTY OF
610	Lucas, Jenifer L.	Office of General Counsel	MTR	sə, ≺es	Enron Gas Services Corp. Inc.	Commission secretary	intervention only - Docket No. RP94-43-000 - no protest		approx. 11/10/1993	02	joint intervention with Enron Access Corporation
611	Lucas, Jenifer L.	Office of General Counsel	MTR	, es	Yes GAs Company	Commission secretary	intervention only - no protest Docket No. RP94-43-000		approx. 11/10/1993	DU DU	
612	Lucas, Jenifer L.	Office of General Counsel	MTR	χes	Enron Gas SErvices Corp.	Commssion secretary	intervention only - no protest Docket No. RP93-167-000		approx. 08/24/1993	no	The state of the s
613	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Northern Natural GAs Company	Commission secretary	intervention only - no protest - Docket No. RP93-167-000		approx. 08/24/1993	INO	i gen practice des divinanta des practica de la companya de la com
614	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron North America	Commission secretary	Intervention RP00-410-000 comments re: cash out procedure			No	parties submitted uncontested settlement
615	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron Gas SErvices Corp.	Commission secretary	intervention only - no protest Docket No. RP94-150-000		approx 03/10/1994	No	order established a tech. conf. but I do not have any notes or record of any such conference
616	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Northern Natural Gas Company	Commission secretary	intervention only - no protest Docket NO. RP94-150-000		approx. 03/10/1994	order established a tech conf, but I do not have notes or records of any such conference	
617	Lucas, Jenifer L.	Office of General	MTR	Yes	Yes Enron Gas SErvices Group	Commission secretary	intervention only - no protest -		approx.	ю	

15, 2002 Letter	
February	
in the	
3	•
Š.	
Question	
0	
-	
Response to Question No. 3 in the February 15, 2002	L

	***************************************			-			centacis Eleberman and Inompson		_		
_	A	8	O	٥	ш	L.	9	H	_		K
-	Name	Office	Divisio	æ	I. Sender(s) II. The of communicatio comm	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	io.
618	Lucas, Jenifer L.	Office of General Counsel	MTR	χeχ	Yes GAs Company	Commission secretary	intervention only - no protest - Docket NO. RP94-221-000		approx. 05/10/1994	ħ0	
619	Lucas, Jenifer L.	Office of General Counsel	M	Yes	Enron Gas SErvices Group	Commission secretary	intervention only - no protest Docket No. RP94-266-000		approx. 06/10/1994	TO	proceeding consolidated with RP94-150-000
620	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Gas Company	Commission secretary	intervention only - no protest - Docket No. RP94-266		approx. 06/10/1994	no	proceeding consolidated with Docket No. RP94-150
621	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Gas SErvices Group	Commission secretary	intervention only - no protest Docket No. RP94-384		approx. 09/13/1994	NO	consolidated with Docket NOs. RP94-150, et af
622	Lucas, jenifer L.	Office of General Counsel	MTR	Yes	Yes Gas Company	Commission secretary	intervention only - no protest - Docket No. RP94-384-000		approx. 09/13/1994	no	proceeding consolidated with RP94-150-000 et al
623	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital and Yes Trade REsources Corp.	Commission secretary	intervention only - no protest - Docket No. RP95-58-000		approx. 12/09/1994	no	
624	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes SErvices Corp.	Commission secretary	intervention only - no protest Docket No. RP94-93-000		approx. 01/11/1994	טט	joint intervention with Enron Access Corporation
625	Lucas, Jenifer L.	Office of General Counsel	MTR	Υes	Yes Enron Gas Services Group	Commission secretary	intervention only - no protest Docket No. RP94-328-000		approx 08/03/1994	10	and the state of the same was a special of the same of
626	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Services Group	Commsission secretary	intervention only - no protest Docket No. RP94-376-000		approx 09/09/1994	no	
627	Lucas, Jenifer L.	Office of General Counsel	MTR	χes	Yes Enron Gas SErvices Group	Commission secretary	intervention only - no protest - Docket Nos. RP94-397-000 and -001		approx 09/23/1994		no.
628	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade Resources Corp.	Commission secretary	intervention only - no protest Docket No. RP95-149-000		approx. 02/10/1995	no	All the resident and the state of the state
629	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Gas Co.	Commission secretary	intervention anly - no protest Docket No. RP95-149-000		approx. 02/10/1995	ПО	
630	Lucas, Jenifer L.	Office of General	MTR	Yes	Yes Trade REsources	Commission secretary	intervention only - no profest - Docket No. RP95-263-000		approx 05/10/1995	ПО	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	ď	c	6						The state of the s	
	Name	Office	Divisio	9	r(s) icatio	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	K VI. Other Comments (if any)
631	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Northern Natural GAs Co.	Commission secretary	intervention only - no protest - Docket No. RP95-263-000		approx. 05/10/1995	ού	
632	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital and Yes Trade Resources Corp.	Commission secretary	intervention only - no protest - Docket Nos. RP95-315-000 and RP95-181-001		арргож. 06/09/1995	0.0	
633	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes GAs Co	Commission secretary	intervention only - no protest - Docket Nos. RP95-315-000 and RP95-181-001		approx. 06/09/1995	OU	
634	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Gas Co.	Commission secretary	intervention only - no protest RP95-316-000		approx. 06/09/1995	ОП	
635	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes GAs Co	Commission secretary	intervention only - no protest - Docket No. RP95-317-000	-	approx. 06/09/1995	по	
636	Lucas, Jenifer L.	Office of General Counsel	M	Yes	Enron Capital & Yes Trade Resources Corp.	Commission secretary	intervention only - no protest RP95-429-000		approx. 09/11/1995	по	
637	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Northern Natural Gas Co.	Commission secretary	intervention only - no protest - Docket No. RP95-429-000		approx. 09/11/1995	010	
638	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Northern Natural GAs Co.	Commission secretary	Intervention only - no protest - Docket No. RP95-457-000		approx. 10/11/1995	OI O	
639	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Trade Resources Corp.	Commission secretary	intervention only - no protest Docket No. RP96-330-000		approx. 08/10/1996	no	
640	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital and Trade REsources Corp.	Commission secretary	intervention only - no protest Docket No. RP96-346-000		approx 09/05/1996	по	
44	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade REsources Corp.	Commission secretary	intervention only - no protest Docket Nos. RP97-346-000, et al		approx. 05/10/1997	UO UU	
642	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade REsources Corp.	Commission secretary	intervention only - no protest - Docket No. RP98-29-000		approx. 11/11/1997	100	

Response to Question No. 3 in the February 15, 2002 Letter		
to Question No. 3 in the February 1	Letter	
to Question No. 3 in the February 1	, 2002	
to Question No. 3 in the Fel	5	
to Question No. 3	ē	
to Question No. 3	he	
to Question No. 3	ī	
to Question	3	
to Qu	ŝ	
Response to	ã	
Response	9	
	Response	

								つのユミ	=		
	A	В	ပ	۵	ш	4	9		-		K
-	Name	Office	Divis	<u>a</u>	io (b) of communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	la la
643	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron Capital & Trade Corp.	Commission secretary	intervention only - no protest - Docket No. RP98-18-000		approx. 10/25/1997	100	ANTHROPOLOGICAL CONTRACTOR CONTRA
644	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Firon Capital and Yes Trade REsources Corp.	Commission secretary	intervention only - no protest Docket No. RP97-137-000		approx. 12/10/1996	NO	A harden de company es de comme
645	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital and Yes Trade REsources Corp.	Commission secretary	intervention only - no protest - Docket NO. RP97-420-000		approx. 07/25/1997	по	der de mell'a recommentation d'experience dessirates de participation de participation de construction de const
646	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron Capital and Trade REsources	Commission secretary	зве ЪеЮж		unknown	Q	I wrote the order modifying the Intial Decision. Lastie Lawner and Randall Roh entlered appearances at the Hearing, but Erron Capital and Trade did not file briefs on or opposing exceptions.
647	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade Resources Corp.	Commission secretary	protest to filing by Tannessee to implement firm backhaul transportation arenose—Euron arquad har film backhaul shippers should be permitted to use ascondary receipt and delivery points within the primary path.		approx. 01/10/1998	yes - Commission determined that Tennessee had not provided sufficient info and set the matter for a tech conference	in the order following tech conf. the Commission noted that Erron filed comments following the tech conf stafing that its concerns had been resolved:
648	Lucas, Jenifer L.	Office of General Counsel	MTR	, des	EnronCapital and Yes Trade Resources Corp.	Commission secretary	intervention only - no protest - - Docket No. RP99-454-000	And in contrast of the latest	approx. 08/10/1999	NO	enterente de la companya de la comp
649	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron North America Corp.	Commission secretary	intervention only - no protest Docket No. RP00-77-000		арргох. 12/04/ 1999	01	American de la companya de la compa
650	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade Resources Corp.	Commission secretary	late filed motion to intervene no protest - Docket No. RP99-159-000, et af		approx. 12/30/ 1999	Commission allowed intervention	Adolonia da esta esta esta esta esta esta esta est
651	Lucas, Jenifer L.	Office of General	MTR	Yes	Firon Capital & Yes Trade Resources	Commission secretary	intervention only - no protest - Docket No. RP99-335-000		approx.	00	

Letter	
i, 2002 L	
y 1.5	
Februar	
in the	
No. 3	
estion	
\$ 0	•
Response	

	_							~~ ₁ ~~~~	
	Х	VI. Other Comments (ff any)	Enron Capital & Trade Resources Corp. intervened but did not profest.	Enron Capital & Trade REsources Corp. intervened but did not protest the filing.		no		I have attendance sheets for the first two of the four sessions of the tech conference, and they do not indicate that Erron had a representative mesent	
	7	V. Was any action taken by the Comm. In response (if any) to communication:	Commission accepted filing as consistent with Commission precedent	Commission accepted tariff sheets w/ minor revisions	Commission dismissed portion of completin that was mooted by a Concoo lilling and set for hearing the question of discriminatory application of Concoo's proration policy.	uo	rejected request for rehearing	OU	no
=		V. Or late ange				approx. 01/20/2000		07/14/2000 an d10/10/2000	approx. 07/17/2000
) edillo	Η	IV. Give		-					
		III. The subject matter of the communication	revised tariff sheets to clarify types of discounts Transwestern may offer. Docket No. RP99-352-000	revised lariff sheets to implement a limited firm transportation service. Docket No. RP99-456-000.	compalint against Conoco Pipe Line Company re: proration policy - Dockel No. OR00-11-000	intervention only - no protest Docket No. RP00-162-000	request for rehearing in Docket NO. RP99-335 where Commission rejected Transwestern's proposal to be able to agree with refeasing shippers to level of dermand credits rehearing denied	intervention only - no protest in either Docket No. RP00- 332-000 or RP00-597-000	intervention only - no protest Docket No. RP00-323-000
	4	I. Sender(s) II. The recipient(s) of communicatio communication	Commission secretary	Commission secretary	Commission secretary	Commission secretary	Commission secretary	Commission secretary	Commission secretary
	ш	I. Sender(s) of communicatio	Yes Pipeline Company	Yes Pipeline Company	EOTT Energy Yes Operaling Limited P'ship	Yes Erron North America Corp.	Yes Transwestern Pipeline Company	Yes America Corp.	Yes Enron North America
	2	<u>ê</u>	Yes	Yes	Yes	Yes	Yes		şe
	اد	Divisio	MTR	MTM	WITH	MTR	MTR	MTR	MTR
	a	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsel	Office of General Counsel	Office of General
V	Υ	Name	Lucas, Jenifer L.	Lucas, Jenifer L.	Lucas, Jenifer L.	Lucas, Jenifer L.	Lucas, Jenifer L.	Lucas, Jenifer L.	Lucas, Jenifer L.
L		-	652	653	654	655	656	657	858

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		-	A. Contractor	- Annual Contractor		2011	TOTAL SOURCES ELECTRICAL AND THOUSEN		=		
	Α	8	O	٥	ш	u.	9	H		-	H.
-	Name	Office	Divisio (b) of n	<u>e</u>	Sender(s) nmunicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication.	le C
629	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron North America	Commission secretary	intervention only - no protest Docket No. RP00-324-000		арргож. 07/11/2000	Oú	
099	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron North America	Commission secretary	intervention only - no protest - Docket NO. RP00-497	The state of the s	***	no	THE REAL PROPERTY OF THE PROPE
661	Lucas, Jenifer L.	Office of General Counsel	MTR	, es	Enron North America	Commission secretary	intervention only - no profest - - Docket NO. RP00-491-000			no	a de proprieda de la companya de la
662	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron North America Corp.	Commission secretary	Intervention only - no protest Docket No. RP00-396-000			ľno	
663	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Enron Capital & Yes Trade REsources Corp.	Commission secretary	intervention only - no protest - Docket No. RP98-84-000		approx. 12/15/1997	0U	
664	Lucas, Jenifer L.	Office of General Counsel	MTR	Yes	Yes Enron North America Corp.	Commission secretary	Intervention only - no protest Docket NO. RP00-35-000		approx. 11/10/1999	uo	AND THE PARTY OF T
665	Lucas, Jenifer L	Office of General Counsel	MTR	Yes	Yes Morthern Natural Gas Company	Commission secretary	revised lariff sheets to clarify types of transportation discounts that Northern Natural may grant.		Company of the Compan	Yes - Commission accepted tariff sheets as consistent with Commission precedent.	Enron Capital and Trade Resources intervened, but took no position on the filing.
999	Lucas, Ronald George	Office of Markets, Tarriffs, and Rates	Office of Identification and Rates Managem	ž							
299	Luna, Janice Glasgow	Office of Markets, Tarriffs, and Rates	Office of Administr Markets, ation and Tarriffs, Case and Rates Tracking	g			The state of the s				· ·
999	Lurensky, Marcia	Office of General Counsel	MOE	§.		- Andrews - Andr				- Advantage of the Advantage of the Contract o	

etter	
, 2002 Lette	
y 15	
n the Februar	-
in the F	
3	į
Š.	-
Question	Erom Constone High and The
9	5
Response to	ù

-						Deliatora Lien	TOTAL SELECTIONS ELECTRICAL AND TROMBSON		Ē			
	A	В	ပ	Ω	ш	ш.	9	H		-	X	
-	Name	Office	Divisio	<u>a</u>	I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio of the n	ect ilon	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, p	
699	Lutlicken, Gerard M.	Office of Energy Projects	Office of Safety Energy and Projects Inspection	Š								
079	Lykens, Alsa M	Office of Energy Projects	DEER		Yes Alsa Lykens	Certificates Manager, Northern Natural Gas Company	RE: Docket No. CP87-40, Abandonment of Facilities by Saiel (Sacion The of the Saiel (Sacion The Off Matural Class 4). The leipprone call was placed for definition in the filling as to whether facilities would be meeded to be constitucted by Monthen Abaurals affiliate, from Mouthain Calamering. From Mouthain Calamering inc. in the abandonment by saie filling. This indumination as to whether the month of the conviction of the conviction of the Saiel Class 4.			NEPA determination: It was determined by staff that no environmental that no construction was expected with the sale of facilities since in construction was involved.		
671	Lyles, Tina C.	Office of Markets, Tarriffs, and Rates	Central	ž								
672	Lynch, Joseph C.	Office of Markets, General Tariffs Counsel and Rates	Office of Markets, General Tariffs Counsel and Rates	ž								
673	Lynch, Linda Marie	Office of Commissi oner Breathitt	N/A	ž								

I'm not aware of any, but i can't swear there weren't any.

Office of Markets, General Tariffs, No Counsel and Rates

ancke, Helen E

681

Je.		V. Was any action taken by the Comm. In response (if any) to communication:			Andrea ese ese estado de la constitución de la cons			l'm not awar can't swear i	
2002 Lette	-	IV. Or date range							Service and the service and th
y 15, 2 ompso	I	IV. Give the date						trimpresione en contrata de la contrata del contrata del contrata de la contrata del la contrata de  la contrata de la contrat	
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication			Anny and the second	,			
uestion No. 3 Senators Lieb	4	I. Sender(s) II. The recipient(s) of communicatio communication			okonov miniko provijest i kirja informaliza kosa za "dosta kongrije konomonomicana				
onse to Q From \$	Е	I. Sender(s) of communicatio n							and the second s
ds	۵	<u>e</u>	£	ž	£	2	£	Yes	ફ
S.	ပ	Divisio	Office of Office of the Secretary	Office of Division of the Regulator Executive Accounting Director g Policy	MTR	Division Of Dam Safety, Atlanta Regional Office	Office of Division of Markets, Tariffs & Tarriffs, Rates - and Rates	omtr	West 4
	В	Office	Office of the Secretary	Office of the Executive Director	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Markets, Tarriffs, and Rates
	A	Name	Lynch, Mary	Lynch, Robert J.	Lyon, Andrew E.	Lyon, John C., Jr.	Machuga, Robert T.	Macpherson, Janice G.	Magos, Zeny C.
		-	674	675	929	677	678	629	089

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

V. Was any action taken by the VI. Other Comments Comm. in response (if any) to communication: 104 IV. Give date the date range Standard rate case type data requests and responses III. The subject matter of the communication I. Sender(s)
II. The recipient(s)
of the communication communication Forida Gas Transmission and myself Florida Gas F Yes Transmission and an myself Sender(s) Division of Hydropow er Administr No ation and Complian ce £ Office of Tariffs
Markets, and Rates N
Tarriffs, East Office of Markets, Litigation Tarriffs, and Rates Divisio Office of Energy Projects Office inganello, Rodney C. Margolis, Morris Mark, John Name 683

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson
Response to Qu	From So

Name Mariette, Cynthia A		Office of General	Divisio	H H G H	N. Or date range range
Office of General Counsel	<del>                                     </del>	Divisio C General Office		Enron a	F G G H
Office of General Counsel Counsel Office	Divisio (b)  Caneral Coursel Yes Office	(b) (c) (d)	(b) (b) (c)	H. The recipient(s) of the communication  communica	(s) III. The subject matter of the canonumication the date communication the date communication accommunication accommunication accommunication and subject of canon company employee or altoney of canon cemember who) called to ask me about the living of one of the filings the company paned to make pursuant to FA to section 300 (corporate disposation of features and the proposed disposation of soldies and and the proposed decision of soldies and the proposed decision of soldies and the proposed decision of soldies and features the proposed decision of soldies and marketerstrades to a non-affecting, the proposed decision of soldies and communication from the bankurglory believe being filed or ask wither it made a difference when they made a soldience when they made a soldience when they made a difference when they application before or alter the bankurglory effect.
Office of General Coursel Coursel Office	Divisio (b) of n n of n n n n n n n n n n n n n n n	(b) of country Yes Erron 1	(b) (confidence of the confidence of the confide	Hi. The subject  That of the communication  Communication  Prior to Erron Carp, filing its bankurghy pelition, an Erron Company employee or alternot for conversing employee or alternot of connection of conference of the filings the company planned to section 2013 (corporate action 2013) (corporate of the planned sale of Erron's Coll, portured General effective, or to the proposal employee or alternot of the planned sale of Erron's Coll, portured General effective, or to the proposal anchor of software and marketerstraders to a nor-afficial of cart inemarbur which). Specifically, the bankurghy position pain files a special market that deal cart in the sale that the sharkurghy position with that as a sellitence when the sale subjection being market a difference when the sale alternor whether they filed an affect 1 said that as a sellitence when the sale alternor whether they filed that 203 and challed sale that 2013 filing, if a positivation before or after the bankrupicy pelition being real sale.	Hi. The subject  Transfer of the communication  Prior to Erron Corp. Illing its bentuplaty petition, an Erron Corp. Illing its executor 201 (carnot in the time corp. Illing its petition of leadilities). The corp. Illing its petition of leadilities. The call was seller in redeemed to 30 Erron's Illing its petition bentuplation of leadilities. The petition of seller in card of software and marketerstraders to a non-fared seller in redeemed to accord and community. Specifically, the leavest of community in the bankturylor petition prior to the bankturylor petition prior to the bankturylor petition prior to the bankturylor were committed additionary were constructed.
Office of General Coursel Coursel Coursel Office Of General Coursel Co	Divisio (b) of n n of n n n n n n n n n n n n n n n	(b) of country Yes Erron 1	(b) (confidence of the confidence of the confide		T H H H H H H H H H H H H H H H H H H H
Office In Communication communication of the communication communication of the company employee or alternate planned to a form the communication of the company employee or alternate planned to a form a form of the company employee or alternate planned to the company employee or alternate planned to the communication of the company of the company employee or alternate planned to the communication of software and employee or alternate to the communication to the communication of software and employee or alternate to the communication the communication of the communication of software and the communication of the	Divisio (b) communicatio of the communication in the date of the communication of the company employee or alternative of the company employee or alternative of the company of communication of the company employee or alternative of the company employee or alternative about the company of communication of the communication o	L. Sender(s)  II. The recipient(s)  of the communication  of the communication  communication  prior to Erron Cop. lining its barkuplicy pellion, an Erron Coppany employee or alterney of Learner tementary employee or alterney of Learner tementary employee or alterney of Learner tementary employee or alterney or alterney or alterney agreement to FPA section 203 (corpser). The call was alterned to failure and the permeast alterney or alterney or alterney or alterney field or an or alterney or charteney or alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelates/fineders to a nor-alterney field or alterney field an arrelate field field or alterney field an arrelate field field field or alterney field fi	L. Sender(s)  II. The recipient(s)  of the communication  of the communication  communication  prior to Erron Cop. filing its barkuply pelition, an Erron Cop. filing its barkuply pelition, and Erron Cop. filing its barkuply pelition and Erron Cop. filing its barkuply pelition for the filings the company generated to the filings the copression of the filings the copression of the filings the cop. For the pelition being filed of an order the filings the cop. The filed and	Late November 2001	
Office In the communication of	Divisio (b) E F G G H H I The subject of the communication of the date range of the communication of solvers and of the communication of solvers of the communication of the communication of solvers of the communication of the communication of solvers of the communication of	(b) E F G H I I The recipient(s) III. The subject I IV. Glva M. Or matter of the communication of the date range of the communication of the complex of the communication of the complex of the comple	(b) E F G H H I I The recipient(s) III. The subject h to communication of the date range of the communication of the date of the communication of the date of the communication of company employee or a firm of the company period to see the simple of the composition of selection of the company employee or a firm of the company period to select or selection of selection	- rode a gr	V. Was any action taken by the Comm. In response to communication:

Response to Question No. 3 in the February 15, 2002 Letter From Senators I inherman and Thomnson

		VI. Other Comments (if any)	
		V. Was any action taken by the Comm. In response (if any) to communication:	2
=		IV. Or date range	1992-2001
ompsc		ive afe	
From Senators Lieberman and Thompson	5		Legislative matters. Over the past 10 years it have hed commissiations will addressly expressing farron (primarily Dan Walkiss) with respect to various respect to various legislative proposals to amond the FPA, or that affect the electric inclusity 1 do not remember or have a record of specific dates or specific autor or have a specific autor or specific autor or have a specific autor or have a specific autor or have a specific and or or the part of t
senators Lieb	4	recipient(s) nication	Cyritha A. Mariette
EOL	ш	ender(s) imunicatio	Yes Erron attorneys
	۵	<u> a</u>	
	ပ	Office Divisio	General Counsel Front Office
	В	Office	Office of General Counsel
	¥	Nате	Marfette, Cynthia A.
		-	989

sponse to Question No. 3 in the February 15, 2002 Letter		
5, 2002	pson	
oruary 1	d Thom	
the Fel	man an	The second name of the second
No. 3 ir	s Lieber	The state of the s
<b>Nestion</b>	From Senators Lieberman and Thompson	The last suggest and the last of the last
nse to C	From	THE RESIDENCE AND ADDRESS OF THE PARTY OF TH
špoi		-

rioni senators Lieberman and Inompson		1. Sender(s) II. The recipient(s) III. The subject of the matter of the matter of the communication of the communication of the communication of the date and communication of the date communication of the date communication the date of the date o	Appellate ligation maters  Over the past ten year forestations with althorneys representations with althorneys representations with a past ten year forestation maters in appealate ligation maters in appealate ligation maters associated with electricity of these conversations. However, I do read in periodic conversations with Dan Waters, Enrors legal coursel, Tennors legal tennors account the property control and in the Coursel (Tennors and Tennors) and the Coursel (Tennors) and the Coursel of the Course	
enators Liebe	F	<u> </u>		
rom senat	ш	rder(s) II. The r unicatio of the		
-	0	i. Sen of comm n	Ves Enron a	
	ပ	Divisio	General Coursed Front Office	_
	В	Office	Office of General Counsel	
	А	Name	Marette, Cynthia A. (Line 1 of 2)	
- International Property of the Inte		-		_

			Ľ	ds.	onse to	uestion No. 3	response to Question No. 3 in the February 15, 2002 Letter	y 15, 2	002 Le	tter	108
					From	Senators Lieb	From Senators Lieberman and Thompson	osduc	_		
	A	в	O	٥	ш	Ŀ	9	H	-	L	derindristensensensensensensensensensensensensense
-	Name	Office	Divisio	9	t. Sender(s) of communicatio n	Office Divisio (b) of communicatio communication n		IV. Glve date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
	Marette, Cynttia A. (Line 2 of 2)	Office of General Counsel	÷.		·		(Control) To the best of my according to the best of my and possibly Ron Carroll.  Who at limes have perfected from in various perceives the control of the perfected from in various appeales matters, made several informal requests that the Commission information against the the Commission of the perfected from the court flightion against generators selling in additional parties to the perfect the perfect of				
688											

Letter	
ponse to Question No. 3 in the February 15, 2002 Let	1
5,	
2	
na	f
Febr	From Sanatore Liphorman and Theman
the	2
.⊑	Š
	5
9	=
Ξ	2
₽.	+
es	Š
Ž	ŭ
9	2
تب	
ž	-
ō	
-	

	0 0	Office Divisio (b) of communication	Office of General Course Front Course Office	Markel Informatio No n	Administr ation & No Case Tracking	Litigation No
5	ш	ander(s) III.				
	ш	I. Sender(s) II. The recipient(s) of communicatio communication	Cynthia A. Marletto			The Control of the Co
TOTAL SELECTS ELECTRICAL AND INOMPSON	9	III. The subject matter of the communication	Electricity legislation issues			
osdwo	Ŧ	IV. Give				
_	-	V. Or late ange	2001 2001 2001			
	L	V. Was any action taken by the Comm. In response (if any) to communication:	Over the last decade I have proteably had murrenous conversations with Error, among many others, regarding legislation proposals affecting the electric regarding the electric surfaces and other proposals affecting the electric surfaces with the foderal Power Act. I do not remember speedics, but to the best ornwesterson would have countered by indeptions out in meetings altered by indeptione or in meetings altered by indeption or in meetings altered by indeption or in meetings altered by indeption of indeptions and indeption of angel of indeed by electric source.			Opening and the control of the contr
	×	VI. Other Comments (if any)				edictions well as productions appropriate the code of

ē	
Ħ	
Ţ	
2	
2002	
ď	
5	
`>	
E.	
Ž	
ē	
Fe	
the	
3 in	
က	
ö	
ž	
Ë	
stic	
S	
ž	1
Œ	
\$	
0	ı
use	

			Re	sb	onse to Q From	uestion No. 3 Senators Lieb	Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	y 15, 2 ompso	002 Le n	tter	110
$\perp$	А	В	ပ	۵	ш	4	9	I		,	X
	Name	Office	Divisio	æ	l. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	b
693	Martin, James A	Office of Energy Projects	DEER		Yes Aico Weekely	James Marlin	E-mail regarding 1) responses to Florida Dept. of Environmental Projection remains reageling environmental impacts of projection construction; 2) Coordination on construction; 2) Coordination on construction from FERC; and 3) Confidention on 01/2404 Confidential data		11/26/01 to 02/11/02	. OV	Procedural communications
694	Martin, James A	Office of Energy Projects	DEER	×es	Yes Alice Waekely	James Martin	The Calypso Pipeline Project is being offered for sale by Enron		11/29/01 to	No	
695	Martin, James A	Office of Energy Projects	DEER	Yes	ENTRIX, Inc. (3rd Yes Party EIS contractor)	Alice Weekley - Calypso Pipeline	Calypso Pipeline Environmental Impact Statement - Environmental data		08/01/2001 to No	92	Isolated communications between ENTRIX, Inc. and Calypso may have ocurred in support of effort to compile environmental data for the environmental data for the
969	Martin, James A.	Office of Energy Projects	DEER	, Yes	Yes James Martin	Donna Martens - Northern Natural	Status of determination of new extra construction workspace impacts		04/22/1999 to 05/03/1999	None	
697	Martin, James A.	Office of Energy Projects	DEER	Yes	Yes Stephen Veatch	James Martin	The third-party contract to prepare an environmental impact statement for the Calypso Prpetine Project.		05/03/2001 to	I reviewed and commented on the 65/03/2001 to lrequest for proposals 05/07/2001 (RFP) being issued by Calypso to acquire a third-party contractor.	
869	Martin, Judith S.	Office of the Chief Informatio n Officer	Knowledg Office of e and the Chief Informatio Informatio n Officer Managem	Yes	Various users of Yes FERC's online systems	Helpine Staff, currently Callers requested help in Judy Marth., farmes Brown, using the systems or on and Arvise Miles	Callers requested help in using the systems or on finding information on them		01/01/1992 to present	Users questions were 01/01/1902 to answered and instructions present in using the systems were provided	We probably received calls from users working for the Enron companies, but no records were made of the cashs and callers were not asked to identify their company or association

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Call taken by Donna Glasgow V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: Did not attend. Donna Glasgow handled invitation. dessage taken by Valerie dersier. Did not attend. ŝ ş 2 IV. Give IV. Or the date range 12/1/1993 6/27/1995 7/25/1996 7/22/1996 Invite to a holiday party from Enron Corp. and its Interstate Gas Transmission Companies on Dec. 1, 1993 Possibly called to schedule a meeting that was on the calendar for 7/31/96. Announcement of acquisition of Portland General invite to attend reception III. The subject matter of the communication I. Sender(s)
II. The recipient(s)
of of the communication Villiam L. Massey William L. Massey William L. Massey Villiam L. Massey Kenneth Lay & Forrest Hoglund Enron and its Interstate Gas Trans Temy Thome Yes Ken Lay 0 o ê Yes ž £ ş ž Yes Yes Divisio Division of Hydropow er er Administr ation and Complian ce rates OAL 0251 Office of Administr ative Law Judges Office Office of Energy Projects Office of Markets, Tarriffs, and Rates Office of Commissi oner Massey Office of Commissi Commissi Office of Commissi Office of Commissi Office of Commissi Office of fartin, Rebecca M. sey, William L. ssey, William L. assey, William L. ssey, William L. Martin, Richard R assey, Jeffle J. lartinic, John Name 669 707 703 700 702 704 705 706

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

					5	さいばい こうきこりつ			_		
_	A	В	ပ	٥	w	4	9	-   =			K
-	Name	Office	Division	<u>@</u>	(b) of communicatio communicatio	recipient(s) inication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, i
707	Massey, Willam L.	Office of Commissi oner Massey	·	Yes	Yes Terry Thorne	William L. Massey	Do not recall, but probably electric transmission policy/mkts	12/23/1996	- Annie Anni	No	Telephone conversation. Message taken by Valerie Mersier.
708	Massey, William L.	Office of Commissi oner Massey		Yes	Yes Jeff Skilling	William L. Massey	Do not recall, but probabty electric transmission/markels			No.	I am not certain that such a phone conversation took place, but it may have.
709	Massey, William L.	Office of Commissi oner Massey	• .	Yes	Yes Steve Kean	William L. Massey	Do not recall, but probably RTO/transmission policy.			8	This was a phone conversation initiated by either Kean or myself.
710	Massey, William L.	Office of Commissi oner Massey		Yes		William L. Massey	I sat by Ken Lay at a conference dinner. We had general pipeline and energy policy discussions.	7/14/2000		No	I was a speaker al the INGAA Annual Natural Gas Analyst Conference in Napa, California.
711	Massey, William L.	Office of Commissi oner Massey		Yes	Yes Enron	William L. Massey	invite to attend inaugural brunch on Jan. 21, 2001	1/21/2001		No	Did not attend. Donna Glasgow regretted.
27	Massey, William L.	Office of Commissi oner Massey	111000000000000000000000000000000000000	Yes	Nancy Bagot, Chair, INGAA Washington Subcommittee	William L. Massey	Invite dated 3/6/01 from Nancy Bagot, Chair, INGAA Washington Subcommittee & Harnager, Gov. Affairs, Erron Transportation Services Co., to be the dinner speaker at the INGAA Amrual Regulatory Policy	5/16/2001		No	Donna Glasgow accepted this speaking engagement and at different times spoke with Nancy Bagot regarding the conference event.

15, 2002 Letter	
the February	
n No. 3 in	
se to Question	
Respons	

						senators Lieb	From Senators Lieberman and Thompson	osamo	<u>_</u>		
	А	В	ပ	۵	Е	4	5	- =	-		X
	Name	Office	Divisio	<b>@</b>	nder(s) nunicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	o sta	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	ar C
713	Massey, William L.	Office of Commissi oner Massey	•.,		Ves Jeff Skilling	William L. Massey	Invite from Errorn (in partnership with Intellibridge (Corp.) Jeded 772601 inviting met to participate as a parentist at an energy parentist at an energy scenarios forum, U.S. Excendes forum, U.S. Excendes Alternative Eulures for the Lutures for the Eulere for the Eulere for the Eulere for the Energy Crisis.	10/4/2001		°Z	I altended the conference on the altended the conference of 104001. Kell 194004. And 19400
714	Mastrorocco, Rita M.	Office of the Secretary	Division of Document Control - Dockets	£				Andrew Control of the	ODDINATION AND AND AND AND AND AND AND AND AND AN		
715	Mathura, Randolph E.	Office of Energy Projects	Office of Pipeline Energy Certificate Projects s	Yes	Pipeline Various Erron Certificate Yes Pipeline I	Mysell.	FERC pipeline construction policies and procedures, courtesy copies of official filings, surfures as to stays of practing applications, and other general type inquiries.		Several a year.	Not that I can recall.	1 do not keep a personal log ols such communications. I get many such communications from nearly and the oppelines FERC regulates, and while I am sure some were from Enron Companies, I do not have recal for the specific details to action in the specific details to act have action in the specific details to act have acted to a
716	Malyas, Douglas E.	Office of Markets, Tarriffs, and Rates	Tariff & Rates - East	2 Z					STATE OF THE PROPERTY OF THE P		
717	Маупагб, Monica A.	Office of Energy Projects	DHAC	£		forth defency (graft defendence control of comments of				mary certificity (in the latest and seasons) and the seasons are seasons and the seasons and the seasons are the seasons and the seasons are seasons and the seasons and the seasons are seasons are seasons and the s	

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson
Response to Question	From Senato

-					EOL	senators Lieb	rrom senators Lieberman and Thompson	osdwc	Ē		
	А	Ф	ပ	۵	Э	L.	9	F	_	J. J.	X
-	Мате	Office	Divisio n	9	I. Sender(s) of communicatio n	I. Sender(s) II. The reciplent(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	l la
718	Mccallister, Irene A.	Office of Markets, Tarriffs, and Rates	Rates West	욷					derrolling date of country		
719	McCarthy, Arlene T.	Office of General Counsel	General and Admnistra tive Law	운							
720	McCartney, Bobbie J.	Office of Administr ative Law Judges		Yes	OALJ Yes McCarney	Stan Horton	I recall sending Mr. Horton, who I had met briefly at an NGA luncheon a few months earlier, an email telling him that I was praying for him and his family.	1/1/2002	mid January of 2002	No.	This was a purely personal note of prayer and is the only communication of any kind that I have had with an Enron official.
721	McCartney, Bobble, J	Office of Administr ative Law Judges	OALJ	, Kes	Ornell L. Nicoley,	SE RTO Mediation Team: Bobbie McZartiny, Herbert Tabe, Jonathan Siems, and Laura Sheppard.	Emai inascrintal of 11 The remains of a study (dead Jan 4, 2001) completed by the FTG all ODE's request indicated by the relating the advances of the relating that often access '2' A report prepared by EPSA (another Sa RTO Mediation practicipan) reporting on the downwall rend in esticicity prices downwall cred the acticity prices downwall rend in esticicity prices downwall cred the Commissin's competitive regime."	8/20/2001		ý.	I have no independent recollection of his and of the part of the I have any of the I have any of the I have a communication, not of the Coccuments, was reminded of this communication by mediation team member foreith and Sienra Nis alternoon (2/26/02).
3	McCracken, Monica E.	Office of of the Crganizati No Executive onal	of Organizati onal	£						And the second s	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

		nents				- Andread - Control - Cont				
	¥	VI. Other Comments (if any)		Napolia initia de manpamana proposita anno						THE PROPERTY OF THE PROPERTY O
	7	V. Was any action taken by the Comm. In response (if any) to communication:								
=	-									
ом	Ŧ	IV. Give date the date range	With the last the las							
I I UIII SEII atul S LIEDERIII AII AII I NOMPSON	9	III. The subject matter of the communication								
Deliatora Lico	+	I. Sender(s) II. The recipient(s) of (b) communicatio communication n							-	
5	ŭ	I. Sender(s) of communicatio			And the state of t					
-	1	(g)	ŝ	문	ĝ	8	£	2	Š	운
	-	Divisio n	Office of Regulator the y Accountin Director g Policy	Division or Market Informatio	Customer Support Group	Tariffs and Rates - East	Pipeline Certificate s	Hydropow er Administr ation and Complian ce	Division of Environm ental & Engineeri ng Review	DEER
0		Office	Office of the Executive Director	Office of Division of Markets, Market Tarriffs, Informatio and Rates n	Office of the Chief Informatio n Officer	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Environm Chicago of Engineer Projects ng Review	Office of Energy Projects
٧	C	Мате	Office of Regulator the y WcDanal, Malcolm Wayr Executive Accountin Director g Policy	McDaniel, Walter E.	McDermott, William G.	McEneely, Megan E.	McGehee. Michael J.	McGovern, Peter J.	McGuire, John R	McKitrick, Seth R.
	I		723	724	725	726	727	728	729	730

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	2002 Letter
	'n
	February 1
	æ
į	in the
	~==
	Š.
	Question
	2
	esponse

Response to Question No. 3 in the February 15, 2002 Letter

From June 1993 until October 2000. I was commr. Massay i Secretary. While with Commr. Massay i off bit should fell family from Enor and cereial sense) a number of public filings from Enor and poblic filings from Enor and public filings from Enor and Commr's standarder and i Commr's scheduled meetings. V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: Settlement Agreement accepted by Commission fetter order dated December 30, 1998 01/01/99 to 03/30/99 IV. Give date the date range From Senators Lieberman and Thompson Data requests in conjunction with rate proceeding in Dockel No. RP99-166 III. The subject matter of the communication draft Stipulation and Agreement All parties on official service list in Stingray Pipeline Company, Docket No. RP99-166, which included Erron Capital & Trade Resources Corp. FERC Staff and all active parties in Docket No. RP98- c 274 II. The recipient(s) of the communication FERC Staff (b) of communicatio Sender(s) FERC Trial Staff Transwestern Pipeline Co Yes Pipeline Co Office of Division of Markets. Tariffs No Tariffs, and Rates and Rates Yes Š å Office of Logistics the Managem Executive ent Divisio Administr ative Litigation Administr ative. Litigation Office of General Counsel Office of General Counsel Office of Commissi oner Massey Office ersier, Valerie T. Mersier, Valerie T. elvin, Dennis H Jelvin, Dennis H Mey, Thomas W. feltz, Arnold H. 746 749 747 748 750

Letter	
2002	
7,	
February	
the	
₽.	
က	
ġ.	
Question	
2	
Response	

I called Jim Pho to clarify the effective clared in the application. In order to avoid an overlage of the current and and overlage of the current authorization (ESB0-13-000) with its previous authorization (ESB9-14-000) of securities, Jim Pho stated he would like the current authorization to supercede and replace its previous authorization is In response to the above I notice and prior to the filmy of Portland General's comments. Was conflicted by Larry Anderson of PGE for Anderson of PGE for a particular with respect to software platform for the FERC Form 1. Answer (3)(b): No other communications, to the best of my recoffection. V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: on 1/1502 FERC
submitted a winton
submitted a winton
Form to the Office of in
Form to the Office of in
for Review and approva
for Steven in sporting in
for Review and approva
for Steven in sporting
for Review and approva
for Steven in sporting
for Review and approva
for Steven
for Review and approva
for Steven
for Ste IV. Give date the date range From Senators Lieberman and Thompson 6/7/2001 Notice of Proposed information collection and request for comments, FERC Form 1. PGE Comments were dated 10/07/01. To discuss an action date/effective date of a securities application that Portland General filed with the Commission. III. The subject matter of the communication II. The recipient(s) of the communication Kirk M. Stevens, Controller in and Assistant Treasurer, in Portland General Electric Company Jim Piro of Portland General Electric Company l. Sender(s) II of communicatio Yes Michael P. Miller Sender(s) Jean Miller ۵ Yes æ Divisio Capital Planning and Policy Group Rates-Central Office of Markets, Tarriffs, and Rates Office of the Chief Informatio Office of Markets, Tarriffs, and Rates Office Miller, Michael P. Mills, Edward W. Miller, Jean, M Name

758

Litigation

760

Letter	
5, 2002 Let	1
ruary 1	rom Sanafore Lisharman and Thomas
e Febr	Pur un
3 in th	horne
n No.	are lio
luestio	Sonatr
se to G	Fron
Response to Question No. 3 in the February 15, 2002 L.	

		-	761	762	763	764	
A		Name	Milner, Arve	Mtchnick, Alan D.	Mitry, Linda L.	Molly, Elizabeth	Moleny, Scott P
В		Office	Office of the Chief Informatio n Officer	Office of Energy Projects	Office of the Secretary	Office of ENERGY General PROJEC Counsel TS	Office of the Executive Director
c	}	Divisio	Office of e the Chief Informatio n Officer Managem ent	Office of ental and Energy Engineeri Projects ng	Document Control	ENERGY PROJEC TS	Office of Division of the Regulator Executive y Audits Director (DRA)
6		<u>a</u>	ž	운	ž	ĝ	<del></del>
		I. Sender(s) of communicatio n					Audit leam for Docket # FA00-38-
	_	(b) communicatio communication					Monthern Natural Gas Company, DRA audit learn Company, DRA audit learn Soot Molony, and Cristen Russell) sert in unerous dala requests to Northern Albural Gas Company's Audit learn for Manager of Paul Roy Zahn Occelet If FAQD-3, Accounting (syr Zahn Occelet If FAQD-3, Northern Personne in Include Project Managers, Project Engineers, and Regulatory Engineers, and Regulatory Engineers, and Regulatory Personnel Include Stoget Roy Carlo Managers, Project Engineers, and Regulatory Personnel Include assemble Managers and Regulatory Personnel Regulatory Managers and Regulatory Regulatory Managers and Regulatory Regulatory Managers and Regulatory Managers and Regulatory Regulatory Managers and Regulat
	9	III. The subject matter of the communication					Data requests required responses relating to Northern's construction activities.
	T	IV. Give IV. Or the date range					
	-	IV. Or date range			enis a decidado en especial de la companya del companya del companya de la compan		July 2000 - December 2001
	7	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:				A STATE OF THE PROPERTY OF THE	Audi report issued on December 7, 2001.
	¥	VI. Other Comments (If any)					·

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-		biotorio marchemanada.	-	Ì	, = 5	Jelialors Lieu	rioni senators Lieberman and Inompson	ошрѕс	Ē		
	A	8	O		ш	ti.	9	Ξ	-	7	K
	Name	Office	Divisio	(b) of n	nder(s) municatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the VI. Othe Comm. In response (if any) to communication:	VI. Other Comments (if any)
766	Monahan, Michael R.	Office of Energy Projects	D2SI- NYRO	ž							
767	Montag, Rafael, L.	Office of Energy Projects	DEER	Yes	Yes Rafaet Montag	For CP95-395-000: Glen Hass and Josie Call, both of Northern Netural Gas. Company, For CP95-452. OOO: Stephen Veatch of Florida Gas Transmission corporation. For CP95-689-	Environmental compliance related to pipeline construction.		10/17/95 to 2/13/96	ý	
768	Montini, Raymond J.	Office of Markets, Tarriffs, and Rates	Complian ce and Auditing	yes	Raymond J. Monlini	Yes Raymond J. Montin Sleve January & Kevin Hyatt	Weekly pipeline capacity updates into California.	The state of the s	Dec. '00 thru Feb. '01	Dec. '00 thru Report provided to OMTR Feb. '01 office management.	
769	Moore, Marian	Office of the Executive Director	Office of Begulator the Pegulator y Executive Accountin Director g Policy	2							
770	Moore, Natalie Lawann	Office of the Chief Informatio n Officer	Customer Office of Service & IT Informatio Depkoyme n Officer nt Services	8							
777	Moore, Teresa E.	Office of Administr ative Law Judges	None	g 2					- продости при при при при при при при при при пр		
772	Morgan, David M	Office of the Executive Director	MAPS	ž					Arriministrajini kannonominana		
										Cherister franchisch programme Advantage and Comment	The destruction and the second

			Y.	g	onse to Q	uestion No. 3	Response to Question No. 3 in the February 15, 2002 Letter	y 15, 2	002 Le	tter	12
L	V			[	From	Senators Liek	From Senators Lieberman and Thompson	ompso	=		
	*	n	ပ		ш	4		Ŧ	-	L L	×
	Name	Office	Divisio	9	l. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communication communication n	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, i
773	Morgan, Elhel L.	Office of General Counsel	Energy Projects	£		Andrews and the second					order described and a second an
774	Morgan, Frances N.	Office of the Secretary	Dockets	2					Padopola de la companya de la compan		
775	Morgan, Joseph	Office of Energy Projects	Assistant Director's Office	Ŷ		mendagijaja daja menyenasa di Januarjan da daja daja daja daja daja daja daj					
776	Morgan, June D.	Office of Energy Projects	Division of Dam Safety and Inspection s	Š					,		† Teoritologiasticastastics
111	Moriarty, John E	Office of the Chief Informatio n Officer	Front	£					- Address - Addr		
778	Moriarty, John E	Office of the Chief Informatio n Officer	Front	ž							
97.2	Morice, Kay I.	issue Office of identificati Markets, Resolutio Tariffs, n and Rates Managem ent	issue Office of Identificati on and Tarriffs, Resolutio and Rates Managem	Yes	issue dentificati on and Resolutio Yes Shelley Corman managem ent	Kay Morice	Ken Lay's participation in a public conference on Issues and Priorities for the Matural Gas Industry, Docket No. PL97-1-000.		05/13-	Yes. Ken Lay was ladded to participate address to participate in a fladwed to particip	I was the contact pers requests to participate two day conference as spoke with many othe about participating. W approximately 35 part
	The state of the s	Commence of the Commence of th	dealers and a second second second	-	Section and assessment of the section of the sectio	Contraction of the Contraction o					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

denina					55	Seliators Lieb	rrom senators Lieberman and Thompson	osdwo	Ē			
	A	8	ပ	٥	3	L.	9	Ŧ	_	P	X	
	Name	Office	Olvie L	9	io (b) of communication	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	l b	
780	Morice, Kay I.	Office of Markets, Tarriffs, and Rates	Office of Identificati on and Markets, Resolutio and Tarrifs, nand Rales Managem	Yes	Issue on and Resolutio Yes Shelley Cornan haragem	Kay Morice	Question about whether to file pro forms tariff sheets to comply with Order No. 587- G.	6/30/1998		No.	I was listed as a contact for futher information on Order No. 587-G and this was one of a number of questions I received from the industry on implementation of Order No. 587-G.	
781	Morice, Kay I.	Office of Markets, Tarriffs, and Rates	Issue Office of on and Markets, Resolutio and Rafes Managem ent	Yes	Issue definification and con and Resolutio Yes Shelley Cornan Managem ent	Kay Morice	Question about the implementation date for Order No. 587-H.	7/16/1998		No.	i was listed as a contact for further information on Order No. 587-H and this was one of a number of questions I received from the industry on implementation of Order No. 587-H.	
782	Morris, Patricia W.	Office of Markets, Tarriffs, and Rates	OMTR	ž				Property Common and Co	er van de			
783	Morton, Barbara	Office of Markets, Tarriffs, and Rates	Rates- Central	Š								
784	Morton, Mary C.	Office of Commissi oner Brownell	Assistant	£								
785	Morton, Mary C.	Office of Commissi oner Brownell	Assistant	ž					American and a second a second and a second			
786	Mosley, Berne L.	Office of Energy Projects	Pipeline Certificate No s	ž						Andreas and Andrea	e de la company de la comp	

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 125 Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson IV. Give date the date range Divisio (b) of II. The recipient(s) III. The subject of the communicatio communication communication Sender(s) ٥ Division of Environm ental and Engineeri ng Review Š S Š ş £ £ Office of Human the Executive Managem Director ent Division of Environm ental and Engineeri ng Review Office of Agenda the and Secretary Minutes Dam Office of Safety and Fregry and Projects inspection s <u>x</u> D2SI Office Office of Markets, Tarriffs, and Rates Office of Energy Projects Office of Energy Projects Office of Energy Projects rozowski, Michael J Aount, Samuel Colin urafka, William A. Murphy, Patrick K. fukherjee, Benoy Murphy, John R. udre, John M. Name 787 789 790 791 788 792 793

ebruary 15, 2002 Letter	and Thompson
Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson

		-			From	Senators Lieb	From Senators Lieberman and Thompson	ompso	Ĕ		
-	А	8	O	٥	ш	L.	9	F	_		X
-	Name	ОЩСВ	Divisio	<u>a</u>	l. Sender(s) of communicatio n	I. Sender(s) II. The reciplent(s) of communication communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, i
794	Murphy, Sean C.	Office of Energy Projects	DEER	£							
795	Muray, Matthew Owen	Office of Administr alive Law Judges	OALJ	ž					**************************************		
796	Murrell, Penny S	Office of Markets, Tarriffs, and Rates	Tariffs and Rates: East	Ş					e-productive community of the community		
797	Murel, William E.	Office of Markets, Markets, and Rales	Office of Policy Markets, Innovation Tariffs, Communical and Rates cartonn	Yes	Various, see comments below.		Inquiries as to Corrarission policy, requests to set up policy, requests to set up melinings and status checks on pending Corrarission litems		1/1/1992 present	Na action was taken on formal regulatory the claims. Requests for meetings to be test saft or hardiness would have be furnal a commany people fereived menty from 10 classus pre-filing issues Fellion. Shely Comman leated to applications to desire state fullon. Shely Comman stalkfeld. Policy questions these communications were either answered or releaded to procy questions these communications were either answered or releaded to brose who had more knowledges.	format regulatory control and a control and
798	Myler, John A.	Office of General Counsel	d)	ž							
799	Naca, Diane	Office of General	Solicitor	£		THE CONTRACT OF THE CONTRACT O					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

When I attempted to call Ms. Lindberg, I was fold that Lindberg, I was fold that Lindberg, I was fold anybody by that name and The above named individual was subsequently out off i. I and date are to the best of my hours in the spreadsheat recollection. The data has gone out on our wook site under on our wook site under province the data has gone out on our wook site under province and the data has gone out VI. Other Comments V. Was any action taken by the Comm. In response (if any) to communication: IV. Give date the date range From Senators Lieberman and Thompson Jan-02 in ordering date on power purchases and sales made under markel-based attes, it had a question or their submittel for Enron Sanchai and some of their other companies as to whether the date presented was megawatts or megawatt-hours. III. The subject matter of the communication I. Sender(s) II. The recipient(s) of communicatio communicatio communication Susan Scott Lindberg, Director of Federal Governmental Affairs, Enron Power Marketing Sender(s) Yes Myself Division of Hydropow er Administr Yes ation and Complian ce 6 S å Office of Office of Administr Administr Administr Administr Administr Native Law Judges Judges Divisio Markel Developm ent MAPS Office of Markets, I Tarriffs, and Rates Office of the Executive Director Office of Energy Projects Office Nagler, Peter Andrew Naugle, Steven G. Vash, Jennifer A. acy, Joseph R.

800

801

805

128	×	VI. Other Comments (if any)	None	у учения предоставляющего предоставляюще
tter	ſ	V. Was any action taken by the Comm. In response (if any) to communication:	°.	Ş
2002 Le	_	IV. Or date range	07/01-	
y 15, 2 ompsc	I	IV. Give the date		
in the Februal erman and Th	9	III. The subject matter of the communication	Email providing coordinated FERC staff comments on a draft of a Memorandum of Agraement among the FERC, the Organ SHPO, and the Advisory Council on Haldoric Preservation on Managing Historic Properties that May be Advisored by an Order stauling to PEE for the propose a surrender and decommissioning of the Bull Run Hydroelectric Project, FERC No. 477.	To inform that PCE had initiated activations to aurended its license for the Bull Run Hydroelectric Depart, High of ERC No. 477, longer, ERIC Medical Son September, and six the type of activity that have the potential to cause effects on historic properties, (2) had ERC Intended to use the process and commonlation required to compty with NEPA to compty with NEPA to compty with NEPA to compty with REAC that dathorized PCE for initiated commonlation required to compty with NEPA and (3) that the PERC had authorized PCE to initiate consultation on this
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	4	Sender(s) II. The recipient(s) of communicatio of the communication n	Debora Schallert, PGE JoLee Gardner, Kleftschmidl Assoc.	Onegon SHPO Confederated These of the Warm Springs THPO Antone Marihorna. Antone Marihorna. Trustess. Confederated Trustess. Confederated Reservation The Advisory Council on Historic Preservation PGE officials
onse to Q From (	Е	I. Sender(s) of communicatio n	Division of Physicon Warden Warden Ves Cardiniest Yes FERC Compilan Compilan	Division of Hydropow er er Administ Yes Division of Jennister Yes Division of Leensing and Com Complian
ds	۵	ê	Yes	Yes
Re	ပ	Divisio n	Division of Hydropower et Administration and Compilian ce	Division of Hydropow Hydropow er er Administr autor and complan complan
	8	Office	Office of Energy Projects	Office of Energy Projects
	А	Name	Nauge, Sleven G.	Nauge, Sleven G.
ſ	T	_	40	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	Y	0	-	-	-	***************************************		-	-	Second Commence of the Commenc	
		٥	ر	2	Ц	-	5	Ŧ	-	r	×
-	Name	Office	Divisio n	(b) of n	nder(s) municatio	I. Sender(s) II. The recipient(s) of communication communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
806	Nawrocki, Anabelle B.	Office of Energy Projects	D2SI/SFR O	£		efective ditte et de de descriptions de descriptions de la constitucion de descriptions de descriptions de la constitución de descriptions de la constitución de la c	A PARTICIPATION OF THE PARTICI				
807	Netson, Margaret K.	Office of the Chairman	Office of Office of the Chairman Chairman	£							eren elektroniska kantalaria kantalaria kantalaria kantalaria kantalaria kantalaria kantalaria kantalaria kant
808	Newman, James C.	Office of the Executive Director	Financial Policy	No No					Apply of the Apply		
808	Ng, Сатжа	Office of Markets, Tamiffs, and Rates	Market Developm, No ent	ž					endanjuni enga endanda	Annual transport and the second secon	
810	Nguyen, Buu T.	Office of Energy Projects	Pipeline Certificate s - Branch 1	Š							There is no non-public communication during my review of Calypso's application, Docket No. CP01. 409-000, et.al., filed July 20, 2001.
118	Nguyen, Kim A	Office of Markets, Tarriffs, and Rates	Litigation	£				and forest contracts and contr			
812	Ni, Alice S.	Office of the Chief Informatio n Officer	Office of Infrastruct the Chief ure and informatio Operation n Officer s	ž							
813	Ní, Cheum	Office of Division of Markets, Tariffs and Rate and Rates - West	Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates - West		Yes Ni, Cheum	Miller, Mary Kay, Vice President, Transwestem Pipeline Co.	procedural dates for a technical conference.			The Commission issued a notice that a technical conference in Docket No. RP01-56-000 is to be held on 01/09/01.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

and the second		-						つの口三つ	_		
	A	В	ပ	Ω	Е	1	9	- -			Я
-	Name	Office	Office Divisio	9	ander(s) municatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (If any)
. 814	Ni, Cheum	Office of Division of Markets, Tariffs Tariffs, and Rate and Rates	Office of Division of Markets, Tariffs Tariffs, and Rates and Rates and Rates		Yes Ni, Cheum	Miller, Mary Kay, Vice Prssident, Northern Natural technical conference. Gas Co.	procedural dates for a technical conterence.	Opposition and the state of the		The Contrnission issued a notice that a technical conferecte in Docket No. RP00-404-000 is to be held on 01/23/01 and 01/24/01.	
815	Nichols, Nils N.	Office of Chairman James Hoecker	Office of the Chairman	Š							Variation and the state of the
816	Nicholson, Philiip R	Office of 10 Markets, Tarriffs, and Rates	Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates West	2							
817	Nicks, Causha	Office of the Secretary	Dockets	8							
818	Niehaus, Carol L.	Office of the Chief Informatio n Officer	Office of Infrastruct the Chief Informatio Operation n Officer	2				No contraction of the Contractio	Orderstand was a series of the		
2.	Nehaus, Kenneth P	Office of Energy Projects	Pipeline Certificate s	Yes	Pipeline Kerneth P. Certificate Yes Mehaus	Maria K. Pavlou	Transwestern's filing in Docket No. RP96-322-000 to participate in Commission's pilot program temporarity efirminating price cap for capacity release transactions and pipolesise interruptible services.			No. Staff data request seeking additional info on filing in Docket No. RP96- 352-000	Commission pilot program five. Staff data request mever impreve implemented because seeking additional into on selected commaniss protested fifing in Docket No. RP96: the Commission's data fifing requirements and withdrew from proposed program.

<u>.</u>	
ette	
2	
7 15, 2002 L	
15,	
February	
the F	
드	
က	
Š	
Question	
9	
Response to Question No. 3 in the F	L

Division of Phoeline s s s s Services Services
Sender(
Division  Pipeline Certificate Certificate East East East Human Human Physion of Division of Division of Division of Services Services
Office of Energy Projects of Energy Projects of Energy Projects and Rates of Markets.  The Markets and Rates of Markets and Rates of Energy Office of Director of Executive of Executive of Executive of Executive of Executive of Executive of Confice of Co
Name  Office of Niehaus, Kenneth P  Office of Niehaus, Kanneth P  Frogecia  Nerran, Kaliheen E.  Tarriffs, Andreas, And Rates  Office of Niero of N
824 822 823 824 A K

			Ž.	Sp	onse to Q	uestion No. 3	Response to Question No. 3 in the February 15, 2002 Letter	y 15, 2	002 Le	tter	132
					From	Senators Lieb	From Senators Lieberman and Thompson	osdwo	_		
	¥	В	O	۵	ш	ų.	9	I			Х
-	Name	Office	Divisio	9	i. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communication communication n	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comment (if any)
827	Noone, Kerry J.	Office of Markets, Tarriffs, and Rates	Tariffs and Rates: East		Yes Kerry Noone	Rate Department of Florida Gas Transmission	Rate Department of Florida dele for a technical Gas Transmission conference in RP99-186-000.		January/Febr uary 1999	January/Febr Notice of technical conference issued on 2/26/1999	
828	Norman, Jeffrey B.	Office of Markets, Tarriffs, and Rates	Market Developm No ent	ž			OTHER DESIGNATION OF THE PROPERTY OF THE PROPE				The state of the s
829	Notargiacomo, Moira	Office of General Counsel	General and Administr ative Law	운							
830	Novak, John K.	Office of Energy Projects	Hydropow er Administr ation and Complian ce	Yes	Hydropow er Administr Administr Administr Compilan ce	Robert Steete(PGE)	Fish kill at the Willamette Falls Project(P-2233)	A THE STATE OF THE	May 3- June 15,2060	Letter to PGE dated June 29,2000, finding no violation of license occurred.	
831	Novak, Martin W.	Office of the Executive Director	Office of Strategy like and Executive Planning Director Staff	ş			CONTRACTOR OF THE PROPERTY OF				
832	Novak, Steven M	Office of the Chief informatio n Officer	Office of Information the Chief n and Information Systems n Officer Assurance	2							
1	Nutsch, Casey	Office of the Chief Information	Office of Infrastruct the Chief ure & ure & nofficer Operation	£							

etter	
2002	
15,	
February 15	
the	
프	
က	
ŝ	
Question	
2	
Response	

From Senators Lieberman and Thompson

П	A	8	၁	0	ш		я — т — В	Ŧ	:		¥
	Name	Office	Divisio	(Q)	i. Sender(s) of communicatio n	(b) communicatio communication	III. The subject matter of the communication	ive	-	V. Was any action taken by the Comm. In response (if any) to communication:	0 18
834	Nygaard, K. Kristina	Office of General Counsel	Energy Projects		Thomas E. Mark. Julie A. Keil	K. Krislina Nygaard	Erron merged with Portland Benter Co. In June 1891. Since then Mr. Mark 1891. Since the Mr.		Between 6/1997 and now.	No.	
835	Nygaard, K. Kristina	Office of General Counsel	Energy Projects		K. Krislina Nygaard	Thomas E. Mark and Julie Yes K. Krislina Nygaard Portland General Electric Co.	Retrun e-meils and liesphone cults to arrange courtesy visits to advise staff of proposals and actions concerning 19CE's iscensed hydroelactric projects, and to answer general questions about the FERC hydropower program.	The state of the s	From 6-1997 to now.	Ġ	
	Nygaard, K. Kristina	Office of General Counsel	Energy		John Blair (FERC staff), Julie A. Keil	John Blair, Office of Energy Projects, K. Krishina Wyapard, Associate Coursel for Energy Projects, FERC; Alan Greenbarm, service of the General Coursel, Energy Projects, FERC; Alan Michnick, Office of Energy Projects, FERC; Ann Miles, Office of Energy Projects, FERC; Ann Miles, FERC; Ann Miles,	E-mails between 21/1995-bith Blat and Jule (Self selfing up a meeting to decuse proposed disposation of Portland General Electric Cos Bull Run Hydroelectric Project No. 417.			No.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson
Res

Ц	A A	8	ပ	٥	E	Politator o Erek	E F G H G H H G H H H G H H H H H H H H H	osd III	_	-	¥
-	Name	Office	Divisio	<u>e</u>	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	V. Or date ange	V. Was any action taken by the Comm. In response (if any) to communication:	b
837	Nygaard, K. Krislina	Office of General Counsel	Energy Projects	Yes	Alan Greenbaum, FERC staff	Julie A. Keil, Director of Hydro Licensing and water Hydro Licensing and water Hydro. Hydro Ceneral Electic No. co in K. Kristine Nygaard, Associate Coursel for Energy Projects, FERC, Jahns Mulchinck, Olice of Energy Projects, FERC, James Pastrieller, Olice of Energy Projects, E. FERC, Judens Projects, E. FERC, Juden Selley, Office of Energy Projects, FERC, Juden Projects	Julie A. Keil. Director of Medical Constitution of Medical Constitution and Water Rights, Portland General Staff response to questions (Kishina Nygard, Sacolade Intelection for, co. to K. Krishina Nygard, Sacolade Intelection Counsel for Energy Medical EREC, All Medical Counsel for Energy Medical Erector Bulk Run Mitchiol., Olitico of Energy Hydroelectic Project No. Mitchiol., Gillico of Energy Hydroelectic Project No. Histories, FERC, John Process and notices of intern Estay. Office of Energy Process and notices of intern Fishing Colitics of Energy Process and notices of intern Projects. FERC.			ž.	
838	Oaktey, Janet L.	Office of General Counsel	Energy Projects	8							
839	Oberoi, Geeta	Office of General Counsel	Markets, Tariffs, and Rates	£							
840	O'Brien, Susan Beth	Office of Energy Projects	DEER, West Branch 2	ક			The state of the s			And the second s	es de la composito de la compo
841	O'Brien, Susan Beth	Office of Energy Projects	DEER, West Branch 2		The state of the s				The section of the se		
842	O'Connor, Jerie P.	Office of Markets, Tamiffs, and Rates	Tariffs and Rates - West	S S			The state of the s				
843	O'Donnell, Lauren H.	Office of Energy Projects	DEER	ž						Profession broaden se de de sea and de dessenantes representation de la profession de la pr	

2
nos
Thor
in and Thompson
an :
Serm
Ë
ors
Senators Lieberman
om S
5

	,	·,				-,				,
		0 10						The same of the sa	A PART NA PART A REGIONAL MANAGEMENT AND A PART A REGIONAL AND A REGIONAL AND A REGIONAL AND A REGIONAL AND A	
	, T	V. Was any action taken by the Comm. In response (if any) to communication:		The result of the various detection detection of the between April issuance of the audit led to the 1993 and on may 17, 1996 to May 1996. Northerns Border in Docket No. FA93-45-000.	en e		And the second s	na populari de la compositiva della compositiva		
Ξ		IV. Or date range	**************************************	Various dates between April 1993 and May 1996						
ompsc		IV. Give date the date range				-				
From Senators Lieberman and Thompson	9	III. The subject matter of the communication		Various accounting related subjects related to Commission's financial compliance audit of Northern Border Pipeline Co.				and the state of t	and the section of th	esse mille reconstruction of the management of t
Senators Lieb	H	I. Sender(s) II. The recipient(s) of communicatio communication		Northern Border Pipeline Co. accounting staff including Ms. Pathicia all pulpeds related to Wheelendl and FERC audit Commission's famorial staff including John Okrak, compliance audit of No. Karl Grender and Bath Border Pipeline Co. Spalistoesser						
From	m	l. Sender(s) of communication		Northern Border acctg/ FERC audit staff				TANONII IN TANANIA AND AND AND AND AND AND AND AND AND AN		
	۵	<u> </u>	ž		ž	ž	ž	ટ્ટ	운	ટ
	ပ	Divisio	Office of Division of Markets, Market Tarriffs, Developm nd Rates ent	Office of Division the of Executive Regulator Director y Audits	Energy Projects	Office of Market Markets. Developm No Tarriffs, ent	Rates	Solicitor	DHAC	Office of Assistant Energy Director's No Projects Office
	В	Office	Office of It Markets, Tarriffs, It and Rates	Office of the Executive Director	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel	Office of Energy Projects	Office of Energy Projects
	A	Name	Ogur, Jonathan	Okrak, John M.	O'Malley, Joseph B.	Omkar, Songthara	Ott, Deborah	Pacella, Beth G.	Papsidero, Thornas F.	Paquin, John R.
		-	844	845	846	847	848	849	850	7 0
	_									

2 Letter	
, 2002	
15	
February	
3 in the F	
₽.	
	,
No.	
estion	,
Ž	(
9	
Response to	1

From Senators Lieberman and Thompson

	A	В	O	٥	Ш	201		± 1	=  -		¥
Name Off	- ₹	Office	Olvis n	9	io (b) of communicatio	I. Sender(s) of communicatio communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	1 2
Offis Parsons, Julie J. Tar and I	Mar Tar	Office of Markets, Tarriffs, and Rates	IRRM	Š		The state of the s					
Off Patchan, Michele L. En	8 2 2	Office of Energy Projects	Office of Pipeline Energy Certificate Projects S	ž				ere en	or the state of th		
Of Me Me Patterson, Linda M. Ta and	9 ± ₹ Q	Office of Markets, Tarriffs, and Rates		, kes	Various parties to Various parties to the proceeding Yes and/or FERC Trial Staff	FERC Trial Staff and Parties, including Enron Power Marketing, Inc.	discovery - Docket No. ER98- 210-000, Hearing/Settlement Conferences	Various dates	Between late December 1997 and March 1999	Contested settlement pending. Enron contested the settlement.	
Paulsen, Connie D. E. P.	ይጠደ	Office of Energy Projects	D2SI - PRO	ž		And the first first first first from the first first from the first first from the first firs		- Contract of the Contract of			
Pawelek, Adam S.	0 = 0	Office of Energy Projects	Division of Dam Safety and Inspection s	ž							
Pawikowski, Wilold J.	0 - 2	Office of Energy Projects	Division of Dam Safety and Inspection s (D2SI)	S S							
Pawlowski, Mark A	0 - 1	Office of Energy Projects	DEER	ટ્ટ	Professional State of the Assessment of the Asse						
Pazmino, John E		Office of Energy Projects	D2SI	Yes		enderwein trumidianisky greeningspilitäretti urveneranismussianismus					
Peacock, Robert T.		Office of Energy Projects	DZSI	ž	-					A CONTRACTOR OF THE PROPERTY O	

Letter	
15, 2002 Le	
ary 15	
Febru	
3 in the	
No. 3	
uestion	•
sponse to Q	L
Re	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	-	Comment of the Commen	The State of the Owner, where the Parket	-	The state of the s		TOSCHIOLI BUR HINGES TO COLOUR TO CO		=		
	A	8	ပ	۵	ш	ı	5	I	_		, , , , , , , , , , , , , , , , , , ,
-	Name	Office	Divisio	(g)	l. Sender(s) II. The of communicatio commun	II. The recipient(s) of the communication	ect ion	ate 3	IV. Or date range	V. Was any action taken by the Comm. in response (if any) to communication:	o r
798	Pederson, James A	Office of Pipeline Regulatio n	Office of Pipeline Division of Regulatio Litigation n		FERC Y Staff/Company Representatives	Pederson, James A	Varlous information, mostly settlement offers, pertaining to the issues in Northern Border's rate proceeding in Docket No. RP96-45-000		Various dates between 1995-1996		
868	Pederson, James A	Office of Pipeline Regulatio n	Office of Pipeline Division of Regulatio Litigation n	>	FERC Staff/Company Representatives	Pederson, James A	Various information, mostly settlement offers, pertaining to the issues raised in Morthern Natural's rate proceeding in Docket No. RP95-185-000		Various dates between 1994-1996		
969	Pederson, Jerry	Office of Markets, Tarriffs, and Rates	ii.	Yes self		steve kean	er01-2103-000		5/01-6/01	ПО	Order rejecting tariff amendments 96 FERC   61,003
870	Penkrot, David R.	Office of Markets, Tarriffs, and Rates	WEST GROUP 3	ž							
871	Perez, Edward J.	Office of Energy Projects	Dam Office of Safety Energy and Projects Inspection	Yes	Yes FERC	Various representatives of PGE's Hydro power group	Gernal discussions regarding FERc policy and requirements, and annual submittals.		Some items (1997 through actions or acknowled receipt of s	Some items discussed resulted in follow-up actions or acknowledgement of receipt of submitted items.	
872	Perty, Lona T	Office of General Counsel	Solicitor's Office	£					The second secon		derica provincia canada de la calenda de
873	Perry, Renee	Office of General Counsel	General and Administr ative Law	£							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	а	_	c	-	1		2			
_				)	U		9	Ŧ	-	ſ	¥
	Name	Office	Divisio	Q	I. Sender(s) of communicatio	(b) communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
874	Persaud, Phylecia E.	Office of Markets, Tarriffs, and Rates	DACT	2				ment of the table or control of the table of the table or control of the table of t		The state of the s	
875	Petrocelli, Robert J	Office of Markets, Tarriffs, and Rates	Rates West	Yes	John Carlson, Yes Group Manager Rates West	I do not remember, But i received it for reading	Transwestern Negotiated rate and whether the formula was consistent with commission policy		Summer of 2001	Commission set the matter for hearing	
876	Peuser, John C.	Office of Markets, Tarriffs, and Rates	Pipeline Rates _ East	£			Account of the control of the contro	-			
877	Pewterbaugh, Kevin J.	Office of Markets, Tarriffs, and Rates	Litigation No comments	2	see other comments				* A state of the s		Any communications would most likely dear with the three rate cases: Northern Natural, FRP95-185. Northern Natural, RP98-202, and Northern Border, RP99-312, or other rate-case-related information.
878	Pierce, Bolton S	Office of the Chief Informatio n Officer	Software Engineeri Yes Hoyf Ivey	×es		Bolton S Pierce Jr	Participation in initial testing of Form 2 (Gas) Submission Software for Northern Natural Gas Company, Transverstern Pipeline Company, and Florida Gas Transmission Company,	1		Hoy was forwarded email instructions on downloading and installing none the Form 2 Submission Soliware.	поле
879	Pierce, Bolton S	Office of the Chief Informatio n Officer	Software Engineeri Yes Hoyf ivey ng	Yes		Pierce, Bolton S	Participation in initial testing of Form 2 (Gast) Submission Software for Northern Natural Gas Company, Transvestern Pretire Company, and Florida Gas Transmission Company.		- Yes distribution of the second of the seco	Hoyt's suggestions were included in the list of improvements suggested by all users of the Form 2 software.	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Response to Question No. 3 in the February 15, 2002 Letter. From Senators Lieberman and Thompson

				-	5	חשומוסום בוסו	TOTAL SCRIPTION PROPERTIES AND TROMBSON		=		
	A	В	ပ	۵	ш	£	9	H	F		A
-	Name	Office	Divisio	<u>e</u>	nder(s) municatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	9r C
887	Plumb, Daniel W.	Office of Energy Projects	DPR	Yes	Yes Daniel W. Plumb	Keith L. Peterson ckpeters@enron.com>	Docket No. CP01-89-000 & CP01-100-000: An e-mail requesting the status of a withdrawal of Western Gas Resources-Texas, Inc.'s petition for Declaratory Order in Docket No. CP01-100-000.		1/2/2001-	After 30 days the Petition was considered to be withdrawn.	
888	Plumb, Daniel W.	Office of Energy Projects	DPC	Yes	Yes Daniel W. Plumb	Kelih L. Petersen <kpeters@enron.com></kpeters@enron.com>	Northern was asked to explain in greater detail the reconfiguration of facilities to be abstractioned (The Mitchell to-Gomez Lateral), (The Gomez Compressor Station Unit No. 5) and (The Reed State Lateral).		1/2/01-5/7/01	An order in Docket No. (1201-5/70) (CP01-89-00 was Issued on May 15, 2001.	Donna Martens, Sr. Regulatory Analysis, (402) 398-7138 with Northern called me to confirm that I had received the supplemental information.
889	Plumb, Daniel W.	Office of Energy Projects	DPC	Υes	Yes Daniel W. Plumb	Keith L. Petersen, (402) 398-7421, Dir. Cert. & Reporting, Northern	A request for additional information in Docket No. CP01-89-000.		4/26/01-	No.	увечений изобатор межен не межен без небольшений изобаты по применений изобаты по примен
890	Plumb, Daniel W.	Office of Energy Projects	рРС	, Xes	An Enron Company or myself.	Yes or myself. myself.	Occasional Communication with no specific record on CP Docket related mailers, ie: case status and data requests.		No specific record.	Additional information from the company would result in a Commission Order, either granting or denying the request.	
891	Poffenberger, Daniel Lee	Office of Markets, Tarriffs, and Rates	Litigation No	운	-						
892	Polit, Juan I	Office of Energy Projects		Yes	Environm ental and Engineeri Yes Juan I. Polit ng Review	Keith L. Peterson or his secretary	Request for copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service.			No.	

, 2002 Letter	
55	From Senators Lieberman and Thompson
to Question No. 3 in the February	rs Lieberman
	From Senator
Response	

	nents					8	
***************************************	VI. Other Comments (if any)		THE THE PROPERTY OF THE PROPER		MARKAN AND AND AND AND AND AND AND AND AND A	No contact with Enron	
	V. Was any action taken by the Comm. In response (if any) to communication:	No.	Settlement filed on April 16, 1999 supported by all partles, with a FERC order issued on June 18, 1999	Order Requesting Order Requesting 22, 2001			
	IV. Or date range		May 1998 and April 1999	22-Dec-00	**************************************		
	ate at						
	ect s	Can't find requested copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service.	Data requests, data responses and settlement offers related to Docket No. RP98-203-000	In response to complaint fied by San Diego Cas & Electre, FERC Stalk Issued data expuests to infersite to infersite and pipelines. LDCs and marketers serving California oraquei information to evelual 6 San Diego? complaint (Enron was not the conciliant or focus of the complaint)			
4	I. Sender(s) II. The recipient(s) of communicatio communicatio	Juan I. Polit	All parties to the proceeding including Northern Natural and FERC Staff	Various respondents Including Enron			
В	I. Sender(s) of communicatio n	Environm ental and Engineeri Yes or his secretary ng Review	FERC Staff/Northern Natural in RP98- 203	FERC Staff in Yes Docker No. RP01- 180-000			
	<b>(</b> 2)	Yes	Yes		운	욷	2
ပ	Divisio		Rates - West	Rates - West	Dam Safety and Inspection s	DPIC	Office of Division of Markets, Tariffs Tarriffs, and Rates
8	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarrifts, and Rates	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs,
A	Name	Polit, Juan I.	Pollonals, Susan G.	Pollonais, Susan G.	Pool, Randal G.	Poole, Allan Bruce	Poole, Marc F.
	-	893	894	895	968	897	

143		nents		***************************************						
-	×	0 18								
itter	ſ	V. Was any action taken by the Comm. In response (if any) to communication:								on and the same of
:002 Le	-	IV. Or date range								
ry 15, 2 ompsc	Ŧ	IV. Give date the date range								e de de la companya d
response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication								
uestion No. 3 Senators Lieb	4	(b) communicatio communication								
onse to u	E	l. Sender(s) of communicatio n								
ds		ê.	ž	S S	ž	Š	ĝ	Š	ž	ટ્ટ
ž	ပ	Divisio n	Agenda and Minutes	D2SI	Knowledg Office of e and the Chief Informatio Informatio n n Officer Managem ent	Managem ent Administr alive Payroll Support	Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates West	Regulator y Audits	OCIO	Office of Innovation Markets, and Tarriffs, Communiand Rates atton
	8	Office	Office of the Secretary	Office of Energy Projects	Office of the Chief Informatio n Officer	Office of the Executive Director	Office of Markels, Tarriffs, and Rates	Office of the Executive Director	Office of the Chief Informatio n Officer	Office of Markets, Tarriffs, and Rates
	А	Name	Posey, Philis J.	Puglese, Peter J.	Quijada-Cusack, Katheri	Quinn, Joeller G.	Radway, Peler R.	Office of the Regulator Cymr Executive y Audits Director	Ramos, Erica D	Randail, Kirk F
		-	899	006	901	902	903	904	905	906

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Vi. Other Comments (if any)			
	V. Was any action taken by the Comm. In response (if any) to communication:	Summor 1998 thru Fall able to identify or recail. 1999		
	IV. Or date range	Summer 1999 1999	Marine in the second se	Television Philosophia and de la company appropries de la company appro
	fve			
	III. The subject matter of the communication	During the summer of 1989 and through the fail of 1989 (when 1989 evened in a roundoutly between the summer of 1989 monitoring electric markets, had periodic phone and e-manced factors slidt frequential connections market events (price spakes, transmission access each locate to get monitoring the events and world into to aid in evaluating the events and world into to aid in contents they called to tell more of events as they were unfolding to cannot recast the specifics of any of the casils and have not found any modes or memors in real they specifies of any of the casils and have not found any modes or memors in regulating to these calls.		
3	oient(s) tion	During the summer of 1908 and through the fail of 1909 (when I served in a totle of monitoring electric markets had pendicing hole and the market by the monthous merket events anomotious merket events are the more of events as they were in red of events as they were informed any monther of the month		·
ш	(b) communication	Yes Ron Rattey		
6	(g)	Yes	2	ž
0	1 2 1	Energy Markeis	Registry	Office of Division of Markets, Tariffs and Rates and Rates
8	Office	Office of Markels, Tarriffs, and Rates	Office of the Secretary	Office of Division of Markets, Tariffs Tariffs, and Rate and Rates - West
A	Name	Rattey, Ronald L.	Raynor, Brenda	Reeder, Charles H.
	-	907	908	Ç.

Response to Question No. 3 in the February 15, 2002 Letter From Senators I inharman and Thompson

Ш	A	8	ပ	۵		Senators Lieg	F G H H G H H H H	ompsc +	_	-	¥
-	Name	Office	Divis Si	<b>(</b> P)	io (b) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	- B
910	Regal, Ray G.	Office of the Executive Director	OCA, Office of Division of the Regulator Y Director Accountin g Policy	2				·			
911	Regan, Patrick J	Office of Energy Projects	Dam Safety and hspection s	Yes	Yes Bob Steele (PGE) Pat Regan (FERC)	Pal Regan (FERC)	incidents related to public safety or environmental compliance at PGE hydro projects		October 2000 to December 2001	October 2000 Phone calls were to December documented in incident 2001	
912	Regan, Patrick J	Office of Energy Projects	Dam Safety and inspection	Yes	Yes Bob Steele (PGE) Pat Regan (FERC)	Pat Regan (FERC)	Annual test of project Emergency Action Plans (EAPs)		October 2000 to December 2001	October 2000 Phone calls were to December documented on response 2001	Annual tests of EAPs are a requirement of the Commission's dam safety program
913	Reid, Ray	Office of the the Executive Director	Division of Regulator y Accountin g Policy	ž				-			
914	Reusch, Charles F.	Office of General Counsel	litigation	Yes	fitigation Yes counsel	all other counsel for active parties, er97-2358-002	proposed language for settlement			no	Privileged settlement communication, see rule 60261
915	Reusch, Charles F.	Office of General Counsel	iligation	Yes	on carroll, enron counsel	all counsel of active parties, er97-2358-002 et al.	all counsel of active parties, reply comments to wording er97-2358-002 et al. proposed by counsel for dwr			no	Priviledged settlement document, see rule 602(e)
916	Reusch, Charles F.	Office of General Counsel	litigation	Yes	Yes counsel	counsel for all active parties, er9702358-002, et al.	enron's list of unsettled issues			no	Privileged settlement document, see rule 602(e)
917	Reusch, Charles F.	Office of General Counsel	litigation	, es	Yes reusch charles f, epstein roni f	all counsel to active parties, Staff's issues for the issues er97-2356-002 et al.	Staff's issues for the issues list.			по	none
918	Reusch, Charles F.	Office of General Counsel		Yes	itigation Yes ferc trial staff	counsel for all active parties, indiuding erron, er97-2358-002	trial staff's responses to data requests of california companies			OU	none

Letter	
, 2002	
7,	
February	
in the	
₽.	
S	
ġ	
Question	
\$	
Response	

From Senators Lieberman and Thompson

Name  Reusch, Charles F.  919  Reusch, Charles F.  920  Reusch, Charles F.  922  Rausch, Charles F.  922  Reusch, Charles F.		٥	ر		•						
	-	•	-	1	-	_	9	피	-	7	×
			Divisio	(e)	I. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
		Office of General Counsel	litigation Yes	Yes	reusch, charles f, epstein, roni f	counsel for all active parties, including enron, er97-2358-002	trial staff's data requests to enron			. Ou	none
		Office of General Counsel	litigation	Yes	itigation Yes counsel	presiding judge stephen grossman, er97-2358-002, served on counsel for all active parties	complaint about large number of data requests served on enron by counsel for california companies			ō.	ROTE
		Office of General Counsel	litigation	Yes	chief judge wagner	iligation Yes chief ludge wagner all active parties, eV7.2386 to data requests issued by 2072.	chief judge's ruiing as to how and when enron will respond to data requests issued by counsel for california companies		dergranden	ou	none de la constant d
		Office of General Counsel	Migation Yes		ron carroll, counsel for enron	presiding judge and counsel for all active parties, er97- 2358-002	presiding judge and counsel enron's answer to motion to for all active parties, et97- compel discovery responses 2358-002			Ou	none
		Office of General Counsel	litigation	×es	Yes enron	counsel for all active parties, er97-2358-002	enron's settlement offer			Commission may have accepted a partial settlement	priviledge settlement document, see rule 602(e)
Reuson, Charles F.		Office of General Counsel	litigation	Yes	so cal edison counsel, for all parties	presiding judge stephen grossman, counsel for all active parties, er97-2358- 002	issues list for hearing	Antonopping and a service of the ser	Total Control		rione
Reusch, Charles F.		Office of General Counsel	litigation Yes enron	Yes		counsel for all active parties, er97-2358-002	enron's settlement offer			Commission may have accepted a partial settlement	попе
Reusch, Charles F. 926		Office of General Counsel	ittigation	Yes	Yes enron's counsel	counsel for active parties, docket ER97-2358-003, et al.	responses to data requests issued by southern california edison co.			ou	Копе
Reusch, Charles F.		Office of General Counsel	litigation		Yes enron's counsel		enron's responses pacific gas & electric company's first set of data requests		-	00	none
Reusch, Charles F.		Office of General Counsel	iltigation		Yes enron's counsel	counsel for active parties, docket er97-2358-002, et al.	enron's responses pacific gas & electric company's first set of data requests			Ou	NOTIG
Reusch, Charles F. 929	$\exists$	Office of General Counsel	litigation	× es	iltigation Yes counsel for enron	counsel for all active parties, docket er97-2358- 002, et al.	enron's objections to data requests issued by pacific gas & electric co.			ПО	none of the contract of the co

V. Was any action taken by the Comments Comm. in response (if any) to communication: 147 Response to Question No. 3 in the February 15, 2002 Letter week before 12/07/00 IV. Give date the date range From Senators Lieberman and Thompson Telephone call - asked for full names and titles of FERC staffers to make name tags for the 12/07/00 Houston visit let me know that they were sending a letter telling us that they would be asking us to defer environmental work on the Catypso Pipeline III. The subject matter of the communication (b) communicatio communication J. Mark Robinson Karen Robinson Sender(s) Yes Calypso Employee Yes Enron 0 o ž ĝ ž ş ž Office of systems the Chief engineerin hadrandio Office of Strategy
the and Executive Performa
Director nce Staff Office of Software the Chief Engineeri n Officer Divisio Energy Markets Front D2SI-CRO West Office Office of Markets, Tarriffs, and Rates Office of Markets, Tarriffs, and Rates Office of Energy Projects ichardson, Larry W Ristway, Edward A. obinson, Karen R. ichards, Kevin S. ieley, Thomas O. obinson, J. Mark obb, Paul W Name 930 931 934 932 933 935

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	,				
	K	VI. Other Comments (If any)	Other than formal flings made by Ewon and the Commission orders on those flings (all of which are publicy) available), I do not recall having had any communications with Erron on cases I worked on.	-	I didn't have current number, only number for former chail abuses J. Heecker, Mr. Hartson said not to worry and rang off. (If m not sure of erang off to Dave Bonggris (Iformer Secretary of the Corruntssion's) liarewell party.
	٦	V. Was any action taken by the VI. Othe Comm. In response (if any) to communication:			No.
Ξ	-	IV. Or date range			November 13- No.
ompso	Ξ	IV. Give date the date range			·
rioni senators Lieberman and Inompson	9	III. The subject matter of the communication		обительного применения	request for phone number of current Cherman's office
senators Lien	4	Sender(s)     II. The recipient(s)     of     communicatio     communication			Peter Rodakis
EDIL	Ш	Sender(s) mmunicatio			Yes Joseph Hartsoe
		(Q)	£	£	Yes
-	U	Office Divisio (b) of co	Tariffs & Rates, East	Rates East	06C- MTR
	8	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of General Counsel
	A	Name	Rodgers, Steve P.	Rogers, John Michael	Roldakis, Peter J.
	-	-	937	938	939
1					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	The state of the s								_		
	¥	8	ပ	۵	ш	-	9	I	-		K K
-	Name	Office	Divisio	<u>ê</u>	f. Sender(s) of communicatio n	l. Sender(s) II. The recipient(s) of communicatio of the normanication communication	III. The subject matter of the communication	a še	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	0 18
940	Roidskis, Peter J.	Office of General Counsel	OGC. MTR	,	Joseph Hartsoe (& Pavrou)	Peter Rodakis	Settlement between Cai. Bub Utilities Commission and Transwestern.			The settlement was ultimately filed on May 21, 1996, was unconfested. The FETC Paregraph 61,030 (1996)	On Harton asked if the settlement should be filled without should be filled without showing it to safe first, since the CPUC heart approved it as a public document. Since at a public document obth an amendment but an amendment but an amendment but a settlement and a resolution of Alemative Recovery (PCAR) proposal in higher but it suggested the public state of the settlement was at a they wished one on man was the they wished one on one too lot it should be falled they comment on it absent public notice. I suggested he call Richard Howe or Mike a Much Lappin before
941	Rooths, Larry D.	Office of Markets, Tarriffs, and Rates	Rates- West	ž							
5	Rosenberg, Marvin	Office of Markets, Tarriffs, and Rates	Market Developm ent	Yes	Office of Market Market Town Rosenberg Christe Nicholay and Rates ent	Christie Nicholay	As part of the inquiry into Enrochol.ins! requested a file (and description) of all natural gas and power! "products" offeed on Enrochol.ins. Enron eventually denied the request.		07/01/2001-	٤	This is the only e-mail recall seated to the conformation of the c

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	В	ر	2		3					
			,	┉-	2	-	9	r	-	L .	х
	Name	Offlice	Divisio	<u>a</u>	I. Sender(s) of communicatio	l. Sender(s) II. The recipient(s) of communication communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
943	Rosenberg, Marvin	Office of Markets, I Tarriffs, and Rates	Market Developm ent	Yes	Market Developm Yes Christi Nicolay enti	Marvin Rosenberg	A copy of a filing by Enron subsidiaries, Portland General Electric and Enron Power Marketing.	Grand Strategy and	05/23/2001-	The Commission rejected Enron's request	The filing concerned EnronOnLine
446	Rosenberg, Marvin	Office of Markets, Tarriffs, and Rates	Market Developm ent	× 9×	Market Christ Nicolay, Developm Yes Sara Novosel and ent others	Marvin Rosenberg	Inquiry into EmonOnLine		05/23/2001-	ĝ	Inay have received e-mail communications from Erron employees concerning the Commission's inquiry into ErronChine dourgh place to a facility of the employees. The e-and with Erron employees. The e-and was eventually deelered after several months, as part of routine maintenance by the employee as part of routine maintenance by the Commission's IT group. I believe that the e-mail can be entured to the enture of the employee.
945	Rosenberg, Marvin	Office of Markets, Tarriffs, and Rates	Market Developm ent	Yes	Office of Market Markin Rosenberg Joe Hartsoe Tarriffs, Developm Yes Marvin Rosenberg Joe Hartsoe and Rates.	Joe Hartsoe	Fax informing Enron of the Commission's inquiry into EnronOnLine	5/23/2001		No	
946	Ross, William R.	Office of Energy Projects	Office of Dam Energy Safety Projects Inspection	ž							
790	Rossmiller, Rachel	Office of Markets, Tamiffs, and Rates	East	ž							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

X	16							
	V. Was any action taken by the Comm. In response (if any) to communication:							I was asked to write a draft test to the fact test to the sand test to the not know whether or when the letter was signed and mailed.
-	IV. Or date range							
Ξ	IV. Give date the date range							
T _ 5	III. The subject matter of the communication					The state of the s		Right of residential inter-of- customers to install time-of- ties of unitiom business to describe and retail markets who save sesidential customers to advocate on behalf of their customers in FERC-adopted governances protocods for RTOs.
	Sender(s) II. The recipient(s) of communicatio communication							Francis S. Blake and Rober and Corton Caud. Depl. General, Depl. Cells was forwarded to Faul McKee. FERC. by Brendra Mackell, Depl. of Energy.
E	I. Sender(s) of communicatio n							Ves Sandherr Sandherr
٥	(b) of n	£	2	§.	£	٤	ક	Yes
ပ	Divisio	General Law/Enfor cement	Market Oversight and Enforcem	Human Resource s Managem ent	MAPS	Office of Division of Markets, Market Tarriffs, Informatio	Public Affairs	Office of Markels, General Tariffs Counsel and Rates
8	Office	Office of General Counsel	Office of General Counsel	Office of the Executive Director	Office of the Executive Director	Office of Markets, Tarriffs, and Rates	Office of External Affairs	Office of General Counsel
A	Name	Rothenberg, Steven A	Rothman, Steven A.	Rowell, Margaret C.	Rudd, Sarah Z	Russell, Sandra J.	Russo, Thomas Nicholas	Ryander, Elizabeth E
	-	948	949	950	951	952	953	954

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	_		~						,
	X	VI. Other Comments (if any)							
	ſ	V. Was any action taken by the Comm. In response (if any) to communication:	No,	Meeting was scheduled for October 28, 1999.		And the control of th			
Ĕ	_	IV. Or date range	THE						
ompsc	Ŧ	IV. Give date the date range							
From Senators Lieberman and Thompson	9	III. The subject matter of the communication	Two projects (Mussers Dam, Project No. 3706 and tclos, Project No. 2585) involving surrender applications.	Ms. Keil requested that I schedule a meeting to discuss the Bull Run Project No. 477 removal plan.			,		
senators Lieb	L	I. Sender(s) II. The recipient(s) of communicatio communication	Julie Keil, PG&E	Regina Saizan, FERC					·
rrom :	W	f. Sender(s) of communicatio	Yes Regina Saizan, FERC	Yes Julie Keil, PG&E	-				
	۵	(a)	Yes	Yes	ž	운	8	2	2
	O	Divisio	Hydropow er Administr ation and Complian ce	Hydropow er . Administr ation and Complian ce	Procurem	N/A	West	DZSI	Office of Division of the Organizati The Organizati Org
	В	Office	Office of Energy Projects	Office of Energy Projects	Office of the Executive Director	Office of the Secretary	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of the Executive Director
	A	<b>N</b> ате	Saizan, Regina M.	Saizan, Regina M.	Sakaliaris, Andrew M	Salas, Magalie R.	Sampson, Sonya D	Samuel, Donna	Samuel, Juwan M.
-	T	-	955	926	957	958	959	960	80
_	1.			<del></del>		<u>.</u>			

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	Ψ			6				つのユニラ	=			
			,	5	u	-	9	I	-	7	×	-
-	Name	Offlice	Divisio n	(ĝ	I. Sender(s) of communicatio n	Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
962	Sanford, Heidemarie A.			Yes	Congressi oradi, oradi, nategover nrental Yes Erron Corp. and Affairs	Heide Sanford, Office of External Affairs	Requested 15 copies of an FERC brochure entitled 'An Interstate Natural Gas Facility on My Land".		the major and the same of the	Copies of the brochure were mailed to her.		
963	Sanford, Heidemarie A.	Office of External Affairs	Congrèssi onal, Intergover mental and Public Affairs		Yes Enron	Heide Sanford, Office of External Affairs	What is the source of information for the Firm Transportation Data - Marketible or Chart Covering Apart 1996 - July 1998 that appears on page 15 of the FRC State of the Markets July 2000 report?			The figures used to prepare the graph of marketers state of imaschete state of clarascondation were extracted from the 1999 Energy information. DCE, administration, DCE, report, *Issues and Terends,* Table D12, page 222.		
964	Sanford, Heidemarie A.		Congressi onal, Office of intergover External intental Affairs and Public Affairs	Yes	Yes (New York)	Heide Sanford, Office of External Affairs	Mr. Heu's question concerned 'Cenerator bids which are determined by Gas Delly spor Cas Daily prices However, Gas Daily prices are 'monthy ritid week price."	Control of the Contro	A desirable de la constanta de	Staff provided Mr. Heu the caveats of the data.	Staff provided Mr. Heu the The communication was a caveats of the data. letephone call.	
965	Sanford, Heidernarie A.	Office of External Affairs	Congressional Office of Intergover External mmental Affairs and Public Affairs	Yes	Scott Simms, Yes Portland General Electric	Heide Sanford, Office of External Affairs	Received a telephone message that Mr. Simms wished to discuss 'Settlement."		To a few management of the few management of	A voice mail message was left. Mr. Simms did not return the call.		
996	Sanford, Heidemarie A.	Office of External Affairs	Congressi onal, Intergover nmental and Public Affairs	Yes	Yes Enron, Houston	Heide Sanlord	A teleptrone inquiry was received, however, a notation was not made as to the nature of the call.			The inquiry was completed. How it was closed is not noted.		

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

г	<del></del>	T	· · · · · · · · · · · · · · · · · · ·			Υ		1
A	2			recipients identified above.				The state of the s
	V. Was any action taken by the Comm. In response (if any) to communication:		I assume the Commission ullimately approved Transwestern's 7(b) filing for abandonment of numerous facilities in OK, NM, and TX.					Andrews and Angres and
-	IV. Or date range		02/05/96 - 02/28/96			egye yeziye panjayan asaasaan anjaa aj		
	a š					The state of the s		
- E	III. The subject matter of the communication		issues related to staff's environmental review of Transvestern's filting in Docket No. CP94-751-000 ttrough -005.					
4	I. Sender(s) II. The recipient(s) of communicatio of the n		Rockford Meyer and/or Lee Hubber					
Н	Sender(s) mmunicatio		Yes L.J. Sauter, Jr.					
٥	9	2	Yes		ž	ž	ž	£
0	Divisio (b) of n (co)	Division of Hydropow Office of Energy Administr No Projects allon and Complian	DEER		Office of the Chief Policy and Informatio Planning n Officer	Tariffs and Rated No Central	Litigation	Office of Safety National Projects Inspection
8	Office	Office of Energy Projects	Office of Energy Projects		Office of the Chief Informatio n Officer	Office of Markets, a Tarriffs, and Rates	Office of General Counsel	Office of Energy Projects
A	Name	Sarma, Vedula Y.	Sauler, Laurence J., Jr.		Scaggs, Charles E.	Schaeffer, Karen L.	Schratwieser, Dlane B.	Scalt, John H.
	-	967		968	696	970	971	Ş

y 15, 2002 Letter	
2002	
y 15,	
February	1
No. 3 in the F	
=	1
on No.	Constant Links and Constant
Questi	0
e to	
Response	-

A B C D E TO The subject No. Give of Coursel Office of Governant Shafter, Mark S. General Office of Governant Section Shafter, Mark S. General Office of Shafter, Mark S. General Office		ments	h Erron ricediaros mications mications mications no place
A B C D E F F John Seriator's Lieberman and Inompson  Grander(s)  L. Sender(s)  L. The recipient(s)  II. The recipient(s)  III. The subject  Communication  Communication  Communication  See comment section  See comment	7	2	communications with Erron communications with Erron communications with Erron communications with special communications and specialic communications and specialic communications and specialic communications and special communications with respect to some of the answers given to 34th was a commissioner or received control special proposal special s
A B C D E G H G H H G Divisio (b) communication communication (communication and communication (communication and communication the date (communication and communication and communication the date (communication and communication and communication and communication the date (communication and communication and commun	-	V. Was any action taken by the Comm. In response to communication:	
A B C D E F F G G G G G G G G G G G G G G G G G	_ 	IV. Or date range	1892-2001
A B C D I See Comment office of front Ves see con Counsel office of See Consel of See Consel office of See Consel	ompsc ⊥	IV. Give the date	
A B C D See Comment of front Course of front C	erman and In	III. The subject matter of the communication	see comment section
A B C D I See Comment office of front ves see con Coursel office of See Comment of See	Deliators Lied	II. The recipient(s) of the communication	see corment section
Office of front Ves	5 4	Sender(s) mmunicatio	see corment section
Office of General Counsel	0	ê	\$89.
Mark 6.	ပ	Divisio	Diff.
Name Shaffer, Mark S.	8	Office	Office of General Counsel
-	A	Name	Shaffer, Mark S.
	П	-	

No

Office of Energy Projects

Shannon, Paul J.

978

ž

DRS

Office of General Counset

Shapiro, Steven A.

980

£

Office of Markets, Tarriffs, and Rates

reldon, Robert R.

981

files of Identification and Results for and Tartis Resolutio No Insulates Managem Amagem Amagem Coursel Concrete Enforcem ent

982

hepherd, Helen S.

983

hepherd, Cordelia M.

I may have been assigned Ernor deladed work when I worked in the Pipeline Certificates Branch and the Certificates Branch and the Environmental Compliance Fresh may communications. I my presently with the Division of Hydropware Admin & Compliance and to the best of my brondedge I tawe not had any Ernor related work or communications. V. Was any action taken by the Comments Comm. In response (if any) to communication: IV. Give date the date range From Senators Lieberman and Thompson III. The subject matter of the communication Sender(s)
 II. The recipient(s)
 of the
 communication Sender(s) (b) of Divisio Division of Dam Safety and Inspection s Office of Energy Projects Office

157

Response to Question No. 3 in the February 15, 2002 Letter

Name

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	V							2	=		
_	·	0	ار	2	T .	4	9	T		f	X
	Name	Office	Divisio	<u>a</u>	I. Sender(s) II. The of communicatio comm	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give IV. Or the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
984	Sheppeard, Laura K	Office of General Counsel	Office of Administr General alive Coursel Lifepation		Orisei Nickley, Yes Erron Power Markeling,	All participants in the southeast RTO mediation, RTO-100-000 and the mediator, Judge Bobbie McCartney and her team.	Comments on stawman proposals of the compelling RTO plan proposals. RTO plan proposals of the comments were directed by the medicar, and most other participants also authoritional practicipants and practicipants and practicipants are compelling to mediation.		Various dates in July and August 2001	No. The Corrnission did not see these corrnvaluetiens. Public corrnvaluetiens. Public corrnvaluetiens are propertiens on the mediator's 94 (1020) 1 report vewer field by EPMI and at least 120 other porticipants. The Corrnssion has not acted on the report. (See 3A, meetings, for actions the Corrnssion) has taken in this docket since it the report was isken in the report was isken in the report was issued.)	
985	Sheppeard, Laura K	Office of General Counsel	Office of Administr General alive Coursel Lifestion	, ses	Most of the parties, notuding EPMI	Al parties and trial staff in Parties & Electric Co. ER97.2386.002, Southern Collicorne Edson Co., ER97.2356.002, and San Diego Gas & Electric Co., ER97.2364-002.	Teleptone calls and written  Teleptone calls and written  Communications or call staff in settlement, tariff language, Pacific Cas & Electric Co., discovery, and procedural ER97-2386-002. Southern matters (e.g., procedural 2355-002, and San Diego involved the non-rate). Case 2355-002, and San Diego involved the non-rate in man 2355-002, and San Diego involved the non-rate in man 2355-002. Redric Co., ER97.  Galfichidion service with the California ISO operating.		Various dates botween January 1998 and February 1999	Commission (and the Peresting Judge) were not parties to these proceedings. Trail staff parties to these proceedings. Trail staff participated in these authorities and supported a partial authority participated in the hearing, and filed that the hearing, and filed that the hearing, and filed the selement and submitted the selement and submitted for the remaining issues. The ALL certified the selement and his middle floessor in the remaining the selement and his middle floessor in the filed floessor in the formatission for its review.	
g C	Sherman, Kathleen L.	Office of cations the Chief Manager Informatio report n Officer directly to	Office of cations the Chief Manager, information report of Officer directly to	ટ્ટ							

sponse to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson
uar	Ĕ
ebri	pu
Ĭ.	n a
Ę	пa
2	ē
	ē
ž	S
5	Ö
sti	na
ξœ	Se
9	Ĕ
e T	77
Ľ	_
õ	
**	1

Ц	А	В	ပ		3		F 6 H		,	- 5_	
-	Name	Office	Divísio	<u>ê</u>	l. Sender(s) II. The of communicatio of the n	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give date the date range		IV. Or date range	IV. Or taken by the taken by the Comm. In response (If any) to communication:
987	Sherwood, Mary R.	Office of the Executive Director	Front Office	ž							
988	Siddell, Dwight B.	Office of the Executive Director	Office of Division of the Regulator Executive y Audits Director (DRA)		Yes DRA Audil Team	Plant Accounting Staff (Northern Natural Gas Company)	Various data requests for information relating to the Audit of Cost of Removal/Abandonment of Plant (Dockett FA98-003-000)		April Septe 2000	April 1998 September 2000	1998 Imber No
989	Siddell, Dwight B.	Office of the Executive Director	Office of Division of the Regulator Executive y Audits Director (DRA)		DRA Audit Team (Docket # FA00-38- 000)	DRA Audil Team Plant Accounting Staff (Docket # FA00-39 (Northern Natural Gas (200)	Various data requests for information relating to the Audit of Northern Natural Gas Company's construction activities		July 2000 December 2001	July 2000 December 2001	000 Audit Report issued on December 7, 2001.
066	Siddell, Dwight B.	Office of the Executive Director	Division of Regulator y Audits (DRA)	≺es	Litigation Team in Docket # RP99-322	Verious requests for Accounting and Rates Staff Information relating the filing Docket # RP99-322 Company Border Pipelie in Company rate case (Docket # RP99-322 Company	Various requests for information relating the filing in Northern Border Pipeline Company rate case (Docket # RP99-322)		November 1999 December 2000	<b>2</b> 2	RP99-322 ended with F Settlement.
99	Siddell, Dwight B.	Office of the Executive Director	Office of Division of the Regulator Executive y Audits Director (ORA)		DRA Audit Team Yes in Docket # FA9B- 007-000	Northern Border Pipeline Company's Accounling Slaff	Various data requests for information relating to the accounting and reporting of cost to construct the "Chicago Project".		July 199 October	1999	No. Audit was terminated July 1999 and DRA Audit Team October 1999 joined the fligation team in Docket # RP99-322.
992	Sidoti, Anton J.	Office of Energy Projects	DZSI	2							AND THE PROPERTY OF THE PROPER
693	Sidrak, Loffy N	Office of Markets, Tarriffs, and Rates	Office of Markets, LITIGATI Tarriffs, ON snd Rates	£							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	V.										
-	Name	Office	Divisio	9 9	nder(s) municatio	I. Sender(s) II. The recipient(s) of of the communicatio n	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	K VI. Other Comments (if any)
66 4	Siems, Jonathan L.	Office of Markels, Tarriffs, and Reless	Div of Lifigation	Yes	Enon representatives	Docket No. RT01-100 Southers Regional Transmission Organization (RT0) Mediation FERC ALA Boobie McCarata Sieme, ALA Boobie McCarata (Mediator), Laura Tiate (Ouside, ad hoc montractor assisting the Mediator)	Numerous position papers, either individually or as a group of millarity intersted procure market participants, regarding their connectations as to various aspects of the enconnectations as to see the stand be encoded in single encoded in single Southeast U.S. RTO		July - September 2001	The Commission has no.) to my knowlege, taken any specific action in response to the mediation of the Mediators utilimate report to the Commission of the Commission of the Commission of the Commission has establishment of a Southeast RTO, although the Commission has generably hed additional fecturing conferences and established procedures to more directly involve the affected State regulatory process.	The Commission has not. Documents referenced, like to my knowlege, taken any those of other participants in specific action in response the modellation, were submitted to the redistation of the mediation of the TeRC mediation; were submitted to the EERC mediation team to the Commission of the would be strictly and the commission of the commission has electricily and additional and the commission has the commission has been according to the commission has electrical conferences and they had been there more becaused the commission has a federed State regulatory the commission has a federed State organish opportunities of the commissions in the process.
995	Siems, Jonathan L.	Office of Markets. Tarriffs, and Rates	Div of Litigation		Erron Yes representative Christi Nicoley	Attached 1-4-01 comments of the FERC mediation team in Commission to the federal Tade Commission to the Commission to the Southest Regional Transmission Organization Transmission Transmission Transmission from The Contract and Coulded and explaining various sixth Mediator) Internation assisting the market participants Could employ.	Altached 1-4-01 comments of the Federal Trade Commission to Federal Trade Department of Energy reparting electric reliability concerns, and a report prepared for Enno and other electric power suppliers regarding relative prices during the inavition from regulation to compellition, and acquerition, and acquerition, and acquerition, and acquerition, and acquerition that market that market participants could employ.	8/21/2001		g	All documents provided by all patricians in the mediation were submitted to the mediation ream on a stricity confidential basis.

Letter	
5, 2002 Letter	200
aary 15	rom Senators Lieberman and Thomnson
e Febru	. pue u
3 in th	berma
n No.	ors Lie
Questic	Senate
se to (	From
Response to Question No. 3 in the February 15, 200	

	and the second s	and the second second second	-	- American					=		
	A	8	ပ	۵	ш	L	9	Ξ			K
-	Name	Office	Divisic	<u>a</u>	(b) of communication	I. Sender(s) II. The recipient(s) of communicatio communication	ect ion	ate at	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	J.
966	Siversein, Edward M.	Office of Administr allow Law Judges	None	2	·						Your questionaire does not exclude hearings, prehearing conferences, etc., and conferences, etc., and subject of my recollection. I shave not contramitised in any way with an Ernon employee or representative, who was without an except at publicy my contramitised with any Ernon entropyee or representative, except at publicy motivated with any Ernon entropyee or representative except at publicy motivated with any Ernon entropyee or representative except at publicy exceptable or a sestiment conferences, etc., for which tensivitys prohearing conferences, etc., for which tensivities were made to at a case pursuant to my order a case pursuant to my order or in an outder which was published by the Commission and served on all parties in a proceeding.
766	Simler, Jamie L.	Office of Markets, Tarriffs, and Rates	Office of Corporate Markets, Applicatio Yes and Rates	Yes		seif	On occasion for general projects there may have been inquiries to industry participants that may have included representatives of Enron.		November 1997 - August 2001	November 1997 - August Not to my knowledge 2001	
800	Simmons, Ira B.	Office of Energy Projects	Office of Francisco Energy Regional Projects office	ĝ							

		<del>~,~~~~~~~~~</del>								
162	X	l la		потельностичности в предержения в предостивности в предости в пред		en in angent orden and an apart organization of the state		Alla COMMUNICATA (A COMMUNICATA COMMUNICAT		
etter	C	V. Was any action taken by the Comm. In response (if any) to communication:					And the second s			
2002 Le	_	IV. Or date range				The second secon		504 - 4 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -		
ry 15, 2 lompso	=	IV. Give date the date range								and the second s
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication				opermusa and proprieta de la composição de		- And and an annual state of the state of th		
uestion No. 3 Senators Lieb	4	I. Sender(s) II. The recipient(s) of communicatio communication		and down went in a deal of warmer owners the summary of the summar				And the second decourant of the second decourant of the second decourant of the second decourant of the second		
onse to Q From (	3	I. Sender(s) of communicatio n	TOTAL	And the second s			And delicated and the second and the			of different interpretational action of the district of the di
Sp		Đ	20	2	Š	2	8	£	£	ž
8 8	O	Divisio (b) of n	Office of Division of the Executive Regulator No Director	Office of Administr General ative Counsel Litigation	Division of Dam: Safety and Inspection s	Tariffs and Rates - EAST	MAPS	DZSI	Customer Office of Support & IT Informatio Deployme n Officer nt	Rales - No West - III
entropressamment.	В	Office	Office of the Executive Director	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of the Executive Director	Office of Energy Projects	Office of the Chief Informatio n Officer	Office of Markets, Tarriffs,
The second secon	А	Name	Simms, Clarence E.	Simon, Daniel R.	Simon, David L.	Singh, Gajinder	Skalka, Susan,I	Smith, Daniel P.	Smith, Denice J.	Smith, Gail M.
		-	666	1000	1001	1002	1003	1004	1005	
-										

No communications other than meetings separately enumerated.

I have no recollection of any communications with representatives of Erron. V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 163 Response to Question No. 3 in the February 15, 2002 Letter IV. Give date the date range From Senators Lieberman and Thompson | Sender(s) | II. The recipient(s) | III. The subject of the communicatio communication communication Sender(s) ž ž 욷 ž Ş 운 ž Divisio Office of the Secretary Solicitor D2SI-ARO 77 MTR Office of the Executive Director Office of Administr alive Law Judges Office of Energy Projects Office of the Secretary Office of General Counsel Office of General Office Office of Energy Projects Solomon, Robert H. moyer, Andrew T Soopper, Samuel esrud, Patricia mith, Gloria T. Smith, Janee L. Smith, John B. Sosa, Terri L. 1009 1008 1007 1010 1011

Response to Question No. 3 in the February 15, 2002 Letter From Senators I inharman and Thompson

-					From	Senators Lieb	From Senators Lieberman and Thompson	ompsc	Ē		
	A	В	ပ	٥	w	Ŀ	9	I	-	7	K
	Мать	Office	Divisio	(e)	l. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
1015	Sotelo, John L	Office of the Executive Director	Budget	ž		,		-			
1016	Soto, Andrew K.	Office of General Counsel	Solicitor	Yes	Yes Andrew K. Soto	Frank X. Keily and/or Steve Stojic, representing Northern Natural Gas Co.	Frank X. Kelly and/or Steve Court procedures in appeals Stojic, representing of FERC orders involving Northern Natural Gas Co.		Nov. 1998 to Nov. 2001	No.	
1017	Soto, Andrew K.	Office of General Counsel		Υes	Solicitor Yes Frank X. Kelly or Steve Stojic	Andrew K. Soto	Court procedures in appeals of FERC orders involving Northern Natural Gas Co.		Nov. 1998 to Nov. 2001	No.	
1018	Sozzi, Eugenia E	Office of the Executive Director	Human Resource s	2				- Anna Carlos Ca			
1019	Sparber, Frank A	Office of Markets, Tarriffs, and Rates	Rates West	√es	Lawrence J. Hand - Bridgeline Holdings	Ves Bridgeline Holdings Sparter by telephon	Request for extension of time to respond to Data Request in Section 311 Rate Proceeding		Oct. 28-30, 2001	Extension Granted	
1020	Speight, Helen S.	Office of the Executive Director	Division of Financial Policy	ž							
1021	Spencer, Charles B.	Office of the Secretary	Office of Office of the the Secretary	ž							
1022	Spicer, Stephen A.	Office of Energy Projects	Office of Safety Energy and Projects Inspection	ž							

			8	ds	onse to Q	uestion No. 3 Senators Lieb	Response to Question No. 3 in the February 15, 2002 Letter	ry 15, 2	2002 Le	ətter	165
Ц	A	В	၁	٥	ш	F FICH	o manual	70 I	<b>.</b>		2
	Мате	Office	Divisio	<b>@</b>	I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communication communication	III. The subject matter of the communication	2 2	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, i
1023	Springer, Michelle	Office of Di Markets, R. Tarriffs, and Rates	Office of Division of Markets, Rates and Tarriffs, Tariffs- md Rates East	5	Activity and the second			-	- Application of the Contraction	-	
1024	Squirewell, Bessine B. 24	Office of the Executive Director	Office of Organizati the onal Executive Managem Director ent	ĝ					and the same property of		
1025	Stanek, Jason M	Office of General Counsel	Markets, Tariffs and Rates	Š		Principal Princi					
1026	Stankus, Alexander J.	Office of the Chief Informatio n Officer	Office of thirastruct the Chief ure and Informatio Operation n Officer s	£							
1027	Starkoy, Jeannette	Office of the the Executive Director	Office of Administrathe alive executive Support Director Staff	ટ્ટ							
1028	Stein, Marlene L.	Office of Markets, Tarriffs, and Rates	Office of the Associate Director	8							
1029	Stein, Michael H.	Office of the Executive Director	МОО	£							
	Steinberg, Robert	Office of Markets, Tarriffs, and Rates	Rates - East	£		energianista del productiva de la composição de la compos					OPERATOR AND

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

						Senators Lieb	rrom Senators Lieberman and Thompson	osdwc	Ē		
	А	В	ပ	Ω	ш	u	9	I	-		X
-	Name	Office	Divisio	<u> </u>	I. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio of the communication	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (If any)
1031	Stephenson, Brian	Office of Markets, Tarriffs, and Rates	Central	8					And the Party of t		
1032	Strasser, Virginia	Office of General Counsel	Market Office of Oversight General and Counsel Enforcem ent:		Yes Virginia Strasser	Dennis Stram	Thank you note for time spent showing us trading floor of Enron			Ou.	
1033	Strasser, Virginia	Office of General Counsel	MOE	Yes	Yes Virginia Strasser	Dick O'Neili, Marsha Gransee, Dennis Stram	I visited the Ernon trading floors in Houston together with Dick Orveill and Marsha Gransea and me alterwards with Dennis Stram, an Ernon official whom Dick knew personally			None	
1034	Stratton, Donna M.	Office of Markets, Tarriffs, and Rates	Rates - West	2							
1035	Strzelecki, Michael Steve	Office of Markets, Tarriffs, and Rates	Tariffs and Rates, Central	N _O							
1036	Sulivan, Barry E.	Office of Markets, Tarriffs, and Rates	Division of Litigation	Xes.	Office of Market, Division of Yes (Northern Natural, Indigation Yes (Northern Natural, and Rates)	Barry Sulivan - Litigation Staff	Data responses by Enron pipleine presonnel to Staff data requests in formed pipleine rate proceedings as previously identified in Question No. 3A.		1992 to 2002	Used the data furnished in formal rate case proceedings involving Florida Gas, Northern Matural and Transwestem Pipeline as previously infentified in response to Question No. 34.	

etter.	
~	
5, 2002 L	
ਨ,	
February '	
9	
3 in the	
=	
۳.	
ŝ	
estion No.	
Que	1
Ş	
Response to	

From Senators Lieberman and Thompson

	V		-	0	ě			2	=			
	L The second sec	0	اد	2	ı	IL.	0	I	_		У	
	Name	Office	Divisio	æ)	I. Sender(s) of communicatio n	(b) communicatio communication	III. The subject matter of the communication	IV. Give IV. Or the date range	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	, a	
1037	Sultan, Shaheda	Office of General Counsel	MTR	Yes							I do not now know or remember whether I had convrunications with Enron personnel, but am not wiling to calenorically state that I rist	
1038	Sum, Pong T.	Office of Energy Projects	DAM SAFETY AND INSPECTE ONS	2							not.	
1039	Swanson, Karl F	Office of Energy Projects	D2SI- PRO	g								
1040	Swearingen, David C.	Office of Energy Projects	Gas Branch 1	운								
1041	Sweet, Matthew T.	Office of the Executive Director	Financial Policy	<del>2</del>					Participation of the Control of the			
1042	Szopo, frene E.	Office of General Counsel	Litigation	£			The state of the s					
1043	Tabbs, Denise H.	Office of the Chief Informatio n Officer	IT Strategic and Capital Ptanning and Policy	S _N								
1044	Tao, Leonard M.	Office of General Counsel	Markets, Tariffs and Rates		Yes C.M. Naeve	A fling before the Commission in docket number EL01-68-000.	Portland General Electric's concerns regarding West- wide price mitgation.			The Commission issued an order on December 19, 2001.		
1045	Tao, Leonard M.	Office of General Counsel	Markets, Tariffs and Rates	×es	Yes Enron Power Marketing, Inc.	The Commission received this filing in docket number EL01-68-000.	Enron provided comments concerning West-wide price mitigation for the winter		and the second s	The Commission issued Inumerous comments from an order on December 19, various interested parties in	The Commission received numerous comments from various interested parties in	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: None that I can recall. IV. Give date the date range We had asked if any companies would be willing to participate in a pilot program to have the brdex of Customers filed entirely peperless xife called to say Northern Natural Gas would be willing to participate. Donna was wondering why she was seeing so many waivers of the index of shippers requirement in the arisf. I explained hat libey were asking to remove the index from the larift. Something to do with GISB comments. My notes aren't specific. III. The subject matter of the communication II. The recipient(s) of the communication Myself Myself (b) of communicatio Yes Donna Fulton Rita Biancchi Yes Donna Fulton 0 £ ş Yes ž Office of e and the Chief Informatio n Officer Managem Office of e and the Chief Informatio n Officer Managem Office of e and the Chief of Informatio n Officer Managem ent Group Divisio network operation s Litigation DZSI, PRO Office of the Chief Informatio n Officer Office of Markets, Tarriffs, and Rates Office Office of Energy Projects Taylor, Elizabeth A. Faylor, Elizabeth A. Taylor, Elizabeth A. Faug, Richard B. Faylor, James S aytor, Diane L. 1046 1049 1050 1047 1048

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	handing the second seco	-	and the same of the same of the same of	The state of the s		HOSCHIOLI BUR HERINGSOIL STORES		) 2 5	=			
	A	8	ပ	۵	ш	ш	9	I	-		)	_
-	Name	Office	Divisio	9	l. Sender(s) of communicatio n	i. Sender(s) ii. The recipient(s) of communicatio communication n	ect ion	IV. Give IV. Or the date date		V. Was any action taken by the Comm. In response (if any) to communication:	La	
1052	Taylor, Tiquana M.	Office of the Secretary	Registry and Service Branch	S S						And the second s		
1053	Tekumalla, Visweswaran	Office of Markets, Tarriffs, and Rates	DPIC	ટ્ટ								
1054	TerHaar, Monte J.	Office of Energy Projects	Hydro East Group 2	S						Andry General State of the State of the State of the State of Stat		
1055	Terry, Carol A	Office of Markets, Tarriffs, and Rates	Litigation	Yes	FERC Litigation Yes Staff/Northern Border reps.	FERC STaff/Northern Border Pipeline Co. representatives	Data requests/responses on rate case issues set for hearing in docket No. RP96-45-000.	One of the latest and	Mar-96 None	None		<del>~,~~</del>
1056	Tary, Carol A.	Office of Markets, Tarriffs, and Rates	Litigation	Yes	FERC Litigation Yes Statiwforthern Border reps.	FERC Staff Northern Bacder Pigeline Company Perposentatives, other Perposentatives, other parties to Northern Broders and countier offers, parties to Northern Broders, comments on drift RP99-322-000 & RP96-46; settlement docume	Discovery requests and responses, seitlement offers, and courned offers, comments on draft seitlement documents.		6/30/99 thru 10/30/2000	These communications are included in an electronic included in an electronic cloder containing 1999 ensure and electronication with Enron in the case that was condendated in the case that was included in the case that was included the considerable time and ulimately approved by the electronication with containing the recipients, and electronication in the containing the containi	These communications are included in an electronic folder communication and older and electronic folder communication with Enron representations with Enron representations it would involve considerable time and enrol for	-prisoner
1057	Terry, Carol A.	Office of Markets, Tarriffs, and Rates		Yes	Liligation Yes Overthrust	FERC Start  Transcendance of Biscovery requests, Overthruss Propinies seltlement offers and Overthruss Propinies councilerites, aurumanies of Innovelet in Overthrust ratio conversations with case in Docket No. RP00-2- Overthrust reps, and others  O000	Discovery requests, settlement offers and counteroffers, summaries of conversations with Overthrust reps. and others		10/2/99 thru 4/30/2000	A Settlement was reached included in an electronic in the case which was followed containing 30 error ultimately seproved by the lony some of which including commission. Ownmission.	These communications are in the case which was reached included in an electronic in the case which was libder constaining 30 exemals, ultimately approved by the only some of which involve or describe involve or describe communications with Overthrust reps.	

V. Was any action taken by the Comments Comm. In response (if any) to communication: Settlement was approved by Commission. Settlement was approved by Commission. Settlement was approved by the Commission. Settlement was approved by Commission. Settlement was ag by Commission. August, 2001 Ihru October, 2001 August 1998 thru Jan., 1999 August 1995 thru Jan. 1996 August, 1997 July, 2000 thru March, 2001 1994 July, 1993 Ihru Nov., 1993 IV. Or date range IV. Give Data requests and responses set for responses, settlement of responses set for 203-000.
Data Requests and responses and settlement dives on rate case itsues set for settlement of responses and settlement of settl Data responses and settlement offers related to negotiated rate contracts and issues set for hearing in docket no. RP97-288-009. et Settlement offers on rate case issues set for hearing in docket No. RP94-104-000. Settlement offers on rate case issues set for hearing in Docket Nos. RP97-301-000 & 001. Settlement offers on rate case issues set for hearing in docket no. RP93-34-000. Settlement offers on rate case issues set for hearing in Docket no. RP00-2-000. III. The subject matter of the communication ပ FERC Staff/Overthrust
Pipeline Co.
pepresentatives, other c.
parties to Docket no. RP00-D FERC Staff.Transwestern S Pipeline Co. I representatives, parties to Docket No. RP97-288-009, it et al. FERC Staff, Overthrust
Pipeline Co.
Representatives, other
parties to Docket no. RP94- o FERC Staff, Overtrhust
Pipeline Co.
representatives, parties to
the proceeding in Docket
No. RP97-301-000. FERC Staff, Transwestern Pipeline Co. representatives, other coparties to Docket no. RP93- d 34-000. FERC Staff, Northern El Natural Gas Company in representatives, other parties to Docket No. RP98-h 203-000. FERC Staff, Northern
Natural Gas Co.
representatives, other
parties to Docket no. RP95- fr
185-000 & 001. The recipient(s) communication of the FERC Staff/Transwestem Representatives FERC Staff/Overthrust Representatives FERC Staff/Overthrust Representatives Sender(s) FERC Staff/Northern Natural Reps. FERC Staff/Northern Natural Reps. ۵ 9 Yes Yes Yes Yes Yes Yes Yes Divisio Litigation Litigation Litigation Litigation Litigation Litigation Office Office of Markets, Tarriffs, and Rates Office of Markets. Tarriffs, and Rates B erry, Carol A. erry, Carol A. eny, Carol A. erry, Carol A. Terry, Carol A. Terry, Carol A. erry, Carol A. Name 1063 1058 1059 1060 1061 1064

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	1	T	T	T	T	T	T	
¥	l is							
ſ	V. Was any action taken by the Comm. In response (if any) to communication:	Settlement was approved by Commission.	Settlement was approved by Commission.	Oct-98 by Commission.	Settlement was approved by Converission.	297 thru 6/97 Settlement was approved by Commission.	Settlement was approved by Commission.	7/99 thru 7/00 Settlement was approved
-	IV. Or date range	Nov. 1997 thru April 1998	11/93 thru 7/94	Oct-98	6/93 thru 11/93	2/97 thru 6/97	10/91 lhru 10/92	7/99 thru 7/00
2 =	IV. Give the date	of the state of th					ed de la company proprieta de la company	- and Annual Company of the Company
	III. The subject matter of the communication	Settlement offers on rate case issues set for hearing in docket No. RP97-408-000.	Settlement offers on rate case Issueas setfor hearing in Docket No. RP93-55-000.	Settlement offers on rate case issues set for hearing in Docket No. RP98-274-000.	FERC Staff, Black Martin Pipeline Co. Pipeline Co. and settlement offers on rate representatives, other appraises to Docket No. RP93, Goodet No. RP93, 70-000.	Settlement offers on rate case issues set for hearing in Docket Nos. RP96-366-000 et al.	Settlement offers on rate case issues set for hearing in Docket No. RP91-187-000.	Data requests and responses and settlement offers on rate case issues set for hearing in Docket No.
F	I. Sender(s) II. The recipient(s) of communicatio communication	FERC Staff, Traitibazer Pipeline Co. Settlement offers on rate representatives, other case issues set for hearing applies to Docket No. RP97-408-000. 408-000.	FERC Staff, Trailblazer Pipeline Co. reps., other parties in Docket no. RP93- 55-000.	FERC Staff, Black Martin Pipeline Co. Settlement offers on rate representatives, other case itssues set for hearing andress to docket No. RP98- Docket No. RP98-274-000. 274-000.	FERC Staff, Black Marlin Pipeline Co. representatives, other parties to Docket No. RP93- 70-000.	FERC Staff, Florida Gas Transmission Co. representatives, other parties to Docket no. RP96- 366-000 et al.	ERC Staff, Florida Gas Fransmission epresentatives, other parties to Docket No. RP91- 187-000.	FERC Staff/Northern Border Pipeline Co. representatives.
E	l. Sender(s) of communicatio n	FERC Litigation Yes Staff/Traitblazer representatives	FERC Litigation Yes Staff/Traitblazer Representatives	Litigation Yes FERC Staff/Black Marfin reps.	Litigation Yes FERC Staff Black Marfin Reps.	Litigation Yes Gas Reps.	Litigation Yes FERC STaff/Florida Gas reps.	FERC Litigation Yes Staff/Northern Border reps.
0	<b>Q</b>	Xes.	Yes	Yes	Yes	Yes	Yes	Yes
ပ	Divisio	1						
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates
A	Матте	Terry, Carol A.	Terry, Carol A.	Terry, Carol A.	Тепу, Carol A.	Terry, Carol A.	Terry, Carol A.	Terry, Carol A.
П	-	1065	1066	1067	1068	1069	1070	77

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

· ·		-	The state of the s			2014 0 717170	The second of th		=			
	A	8	ပ	۵	ш	u.	9	I			A	_
-	Name	Office	Office Divisio	<u>a</u>	l. Sender(s) of communicatio n	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	V. Or date ange	V. Was any action taken by the Comm. In response (if any) to communication:	l o	
1072	Terry, Carol A.	Office of Markets, Tarriffs, and Rates		Yes	Litigation Yes Northern Border reps.	FERC Staff/Northern Border Pipeline Co. representatives	Settlement offers on rate case issues set for hearing in Docket No. RP92-177-000.		Sep-92	Sep-92 Settlement was approved by Commission.		
1073	Terry, Carol A.	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Litigation Yes FERC Staff	Rockford G. Meyer, President, Florida Gas Transmission	Settlement offer in Docket No. FA94-15-000	6/19/1996 None	None			
1074	Terry, Carol A.	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Litigation Yee Transwestern	FERC Staff, Transwestern Pipeline Company representatives and parties to the proceeding in Docket No. RF99-288-000, et al.	Discovery requests and responses, satisferent offers and comments on such offers.		6/9/2001 thru	A hearing was held and an 17/27/2001 thru tritial Decision was issued 11/27/2001 in the case. It is ponding Commission opinion.	These communications are included in an electronic floder containing 128 e-mails, older containing 128 e-mails, older containing 128 e-mails, or some of which involve communication with rights Decision was bed and an Itarsweatenin reps. It will be find the consuming to read of print the section of determine the recipients.  Additional time would be needed to print those that involved to print those that involved communication with Transweaten reps.	
1075	Thomas, Christopher A	Office of Markets, Tarriffs, and Rates	West	Š								
1076	Thomas, Hugh V.	Office of Energy Projects	Division of Environm ental and Engineeri ng Review	Yes	Yes (FERC)	Teb Lokey (Northern Nalural)	Informal environmental data request regarding permits for disposal of PCB contaminated facilities.			No action taken.		

No action taken.

Division of Environm ental and Yes (FERC) ng ng ng Review

Letter	
5, 2002 Letter	
o. 3 in the February 1	
3 in the F	
ž	•
Questio	C
Response to (	L

	X	VI. Other Comments (if any)		This fax was a result of a lefephore request for the environmental assessment from Donna Martens of Northern Natural.	
		V. Was any action taken by the Comm. In response (if any) to communication:	No action taken.	No action taken.	No action taken.
2		IV. Or date range			
omosc	Ξ	IV. Give date the date range			
From Senators Lieberman and Thompson	9	III. The subject matter of the communication	Informal environmental data request via telephone with questions regarding abandonement of facilities	Faxed Northern Natural the environmental assessment prepared for Docket No. CP95-312-000.	Informal arvironmental data requestions regarding information needed for preparation of an environmental assessment. Questions dealt with air and mise quality information for
Senators Lieb	4	Sender(s) II. The recipient(s) III. The subject of the communication communication	Northern Natural representative.	Doma Martens (Northern Natural)	Michelle Winckowski (Northern Natural)
From (	ш	l. Sender(s) of communicatio	Yes (FERC)	Yes (FERC)	Division of Environm Energy ential and Projects Engineer (9s Hugh Thomas Projects Engineer)
		<u>e</u>	Yes	Yes	Yes
	ပ	Divisic	Division of Environm Office of Environm Energy Engineeri Projects ng Review	Division of Environm Energy Enginear Projects ng Review	Division of Environm ental and Engineeri ng
	8	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	A		Hugh V.	Hugh V.	Hugh V.

ebruary 15, 2002 Letter	nd Thompson
Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson

		Anness Company			From	Senators Lieb	rrom Senators Lieberman and Thompson	ompso	Ē		
	A	В	ပ	٥	Е	L.	9	H	-	J	X
-	Name	Office	Divisio	<u>e</u>	nder(s) municatio	i. Sender(s) of communicatio communicatio	III. The subject matter of the communication	IV. Give	V. Or fate ange	V. Was any action taken by the Comm. In response (if any) to communication:	9.0
1081	Thomas, Hugh V.	Office of Energy Projects	Division of Office of Environm Energy ental and Projects Engineeri ng review	Yes	Division of Francierum Kelly Allen ental and Yes (Transwestern) ng review	Hugh Thomas (FERC)	Telephone conversation with Transwestern to clear up confusion about an environmental data request question.			No action laken.	
1082	Thomas, Kenneth J.	Office of the Chief Informatio n Officer	5	ž					**************************************		
1083	Titlery, Betty	Office of General Counsel	Solicitor	2						And the state of t	
1084	Tingle-Stewart, Natalie Y	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Commission Staff and Parties to case	Litigation Yee Commission Staff Commission Staff and Data requests and parties to case parties to ER96-2382-0000, responses, Jestimony, leachnical information	Data requests and responses, lestimony, technical information		June 1998- April 1999	None	
1085	Office of Tingle-Stewart, Natalie Y Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Litigation	≺es	Commission Staff and parties to case	Liligation Yes Commission Staff Commission staff and Data requests & rest and parties to case 500, et al Chocket ER98-459 information, present handouts	Data requests & responses, testimony, technical information, presentation handouts		January 1998 - July 2000	попе	
1086	Office of Markets. Tingle-Stewart, Natalie y Markets. Tantifs. and Rates	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Litigation Yes Commission Staff and parties to case	Correnission Staff and parties to Docket EL01-10-000	Data requests and responses, Confidential Data submissions to Corrarission Staff and Judge Citron, lestimony and exhibits		Augutst 2001 September 2001	попе	
1087	Office of Tingle-Stewart, Natalie Y Tamiffs, and Rates	Office of Markets, Tarriffs, and Rates	Litigation	×e×	Commission Staff and parties to case	Liligation Yes Commission Staff Commission staff and Deficies to case 2356-000, et al.	Data Requests and responses, testimony and exhibits, techinical conference information		January 1998 - September None 1998	None	

			8	dse	onse to Q From (	uestion No. 3 Senators Lieb	Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	y 15, 2 ompso	002 Le n	tter	175
	A	В	ပ	۵	Э	Ł		Ŧ	-	ſ	¥
	Name	Office	Divisio n	<u>.</u>	I. Sender(s) of communicatio	Sender(s)     II. The recipient(s)     of the     communication	III. The subject matter of the communication	IV. Give date the date range		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
1088	Tingle-Stewart, Natalie Y	Office of Markets, Tarriffs, and Rates		Yes	Litigation Yes Commission Staff	El Paso, Enron and other intervenors	Data responses and requests, testimony		October 1996 - January 1998	None	
1089	Tingle-Stewart, Natalie Y	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Litigation Yes Commission Staff	Parties to Docket ER97- 4468-000, et al.	Data requests and responses, testimony		September 1997- May 1998	попе	,
1090	Tingle-Stewart, Natalle Y	Office of Markets, Tarriffs, and Rates	Litigation	Yes	Commission staff and parties to case	Litigation Yes and parties to case 100 Docket ER01+69- testimony and technical information information	Data requests & responses, lestimony and technical information		December 2000 - June 2001	None	
1091	Tishman David G.	Office of General Counsel	MTR	ž							
1092	Tolbert, Susan M	Office of the Executive Director	Division of Regulator y Audits	Υes	The Commission's Audit Team	Office of Division of The Commission's Northern Natural, a gas The Audit Team pipetine subsidiary of Enron Natural Director	The Audit of Northern Natural		I am not sure, I will have to access the audit records that are in storage	An audil report was issued	My supervisor (Dwight Syddel) for me I would not Syddel) for me I would not stated from the world not stated you were not interested in the audit.
1093	Tolson-Neal, Terri	Office of Markets, Tarriffs, and Rates	Tariffs and Rates - West	S						-	
1094	Tomasi, Eric J	Office of Energy Projects	Gas/Hydr o Branch	ž							
	Tompkins, Martha R.	Office of Markets, Tarriffs,	Central	£							

	_							
176	×	VI. Other Comments (if any)						
tter	5	V. Was any action taken by the Comm. In response (if any) to communication:						
:002 Le	-	IV. Or date range						
ry 15, 2 iompse	· =	IV. Give date the date range						
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication						
uestion No. 3 Senators Lieb	4	I. Sender(s) II. The reciplent(s) of communicatio communication						
onse to Q From	Э	I. Sender(s) of communicatio n						
ds		<u>@</u>	운	S	20	8	Ŷ	ž
Re	ပ	Divisio	Human Office of Resource s & the Security Organizati No Director Managem ent	Dockets	Pipeline Certificate No s	Division of Environm ental and Engineeri ng Review	Division of Hydropow Ciffice of er Frengy Administr No Projects alton and Complian Complian	Division of Environm ental and Engineeri ng Review
	В	Office	Office of the Executive Director	Office of the Secretary	Office of General ( Counsel	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
	A	Nаme	Trainum, Mary	Trice, Anthony	Tsang, Lori	Tseng, Susan	Tung, Hong, S.	Turner, David A.
		-	1096	1097	1098	1099	1100	

From Senator (Senator		From Senator	From Senator	Senator	s Lieb	From Senators Lieberman and Thompson	y 15, Z	002 Le	tter J Was and J	771 X
	Office	Divisio	<u>e</u>	nunicatio	of II. The recipient(s) of the communication communication	III. The subject matter of the communication	IV. Give date the date range	IV. Or date range	v. was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
Turpin, Terry L	Office of Energy Projects	<del>-</del> 200		Don Vgnaroli, Yes Gomp Gas Comp	fery Tupin	Vignatoric called me regarding Northern scomplanea with the 60 day noise survey conditions seenled in the first phone message stating that the undraid compressor at the fair a phone message stating that the undraid compressor station had been put in services of the fair properties are the fair properties are the fair fair fair fair fair fair fair fair			2	
						done to date, why the noise survey could not be submitted and an estimate of				
						the date Northern expected				

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_						
K	VI. Other Comments (if any)					
ſ	V. Was any action taken by the Comm. In response (if any) to communication:	ON	My comments were strictly related to filting requirements and concedures and the oil pipeline carrier could accept them of oo with what I they proposed in the carrier any infection as to what action the carrier any infection as to what action the what alone the the tart fing complete with the filing complete where no protests, then the filling would become effective by action of law.			
-	IV. Or date range		Jan. 1, 1992 to Dec. 2, 2001			
I	IV. Give the date	Feb-00				
9	III. The subject matter of the communication	He was one of numerous individuals that I called to get the stakeholders' perspective of the key issues surrounding RTO formation.	As the initial contact person for oil fauth fing metables I samples I sample in the I sample I s			
F	I. Sender(s) II. The recipient(s) of communicatio communication	Market Developm Yes call Government Aflairs, Enron	I would receive either pre- fifting telephone questions or fax drafts of lanffs.			
E	I. Sender(s) of communicatio	I made a telephone	Errors oil ppeline i Yes larif saff & counsel			
0	(a)	Yes	Yes	ટ્ટ		8
С	Divisio	Market Developm ent	Office of Dwision of Markets, Trafffs & Trafffs, Paris and Rates Central	Office of Safety Energy and Projects Inspection	S шеQ	Division of Dam Safety and Inspection s (D2SI)
В	Office	Office of Markets, Tarriffs, and Rates	Office of Markels. Tarriffs. and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
A	Name	Tuzun, Julia A.	Ulewich, David H.	Ung, John S.	Ung, John S.	Valeri, Peter R.
	-	1103	1104	1105	1106	1107

Response to Question No. 3 in the February 15, 2002 Letter		1. Sender(s) II. The recipient(s) III. The subject Divisio (b) of communication commun	of Office of Area No Trie No Science Science Science Science Control of the No Science Science Control of the No Science Science Control of the No S	Office of Markets, Division of No Tarrifs, Litigation No And Rates	c General No and Administr No and alternative to a since Law	0 of 10 cs 1 No cs 1 N	of Innovation are Source of publicly available is exchanged e-mails and hydropower data. We were hydromedistic and seen in hydropower data. We were hydromediate for the presentation during a callon. Regulatory Affairs at Erron. Research and the Power housestern investigation.	of Raes and 18. Tariffs. Central No	of Financial No Policy No Configuration (or Division No Configuration No C	Office of Disoline
Response to Quest	+	Sender(s) mmunicatio					Yes Mary Hain			anijac
	В	Name Office Div	Office of Office	Office of Markets, Division Vansco, Veronica V. Tarriffs, Litigand Rales	Vaughns, Vera Eleanor General Adr Counsel altve	Office of Energy D: Projects	Velces, Michelle E. Markels, a Tariffs, Com	Office of Rate Markets, Tar Vendryes, Kimberly S. Tarriffs, Ce- and Rates, Div	Office of Fin.  Veril, Karen A. Executive Pro Director	Office of Disc
	1		1108	Va 1109	Va	1111	Ve Ve	Ve	1114 Ve	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

							rrom senators Lieberman and Thompson	ompsc	Ξ		
	A	8	O	۵	П	ı	S	-			7
	Name	Office	Divisio	<u> </u>	I. Sender(s) of communicatio n	Sender(s)     II. The recipient(s)     of the     communication	III. The subject matter of the communication	a e		V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (If any)
1116	Vines, Elizabeth R.	Office of the Secretary	Registry & Service	2							
1117	Wachholder, Joanne M	Office of Energy Projects	DEER	ž							
1118	Wagner, Charles Davis	Office of SAFETY Energy &: Nu Projects INSPECT! ONS	DAM SAFETY & INSPECTI ONS	ž							
1119	Wagner, Gordon S	Office of General Counsel	Gas Certificate s	Yes	Gas Certificate Yes Jan Butler (phone s	Gordon Wagner	Order No. 608. Ms. Butler pointed out a reference in a September 15, 1999 order to a subsection (h) of the Code of Federal Regulations that should properly have been stated as subsection (g).		09/15/99 to	Errala notice issued on September 28, 1999, correcting the improper CFR reference.	
1120	Wagner, Jolinda C.	Office of Support & Ithe Chief IT Informatio Deployme n Officer Services	Customer Office of Support & IT Informatio Deployme n Officer Services	Š							
1121	Waldbauer, Katherine	Office of General Counsel	MTR								I may have had prione oconversations with Emon attorneys to ofscuss the scheduling of cases while I was in the Solicior's office But I can't recall at this point whether any of those calls involved Error or not not a more calls.

To the best of my knowledge and memory. V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 181 Response to Question No. 3 in the February 15, 2002 Letter
From Senators Lieberman and Thompson IV. Give IV. Or the date range Sender(s) II. The recipient(s) III. The subject of the communicatio communication communication Office of Division of Markets, Tariffs Tarriffs, and Rates and Rates Office of onal.

External public and No Affairs intergover nmental Office of Deputy No Secretary ž ž ş ş N_o Divisio Agenda and Minutes Office of the General Counsel Front Office Dockets e/u Office of the Executive Director Office of the Secretary Office of Administr ative Law Judges Office of General Counsel Office Office of the Secretary Washington, Angela M Walker, Christine V. Watson, Linwood A. Nard, Charlton C Vashington, Anita Vallace, Olivia J. Vallace, Venita Valler, Susie J. Name 1122 1126 1127 1123 1124 1125

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

si.		l						
Other Comment ny)								
> E		ļ						
V. Was any action taken by the Comm. In response to communication:					92	2	O _N	ON
IV. Or date range				-				
IV. Give the date								
III. The subject matter of the communication		THE RESERVE OF THE PROPERTY OF			Possible time extension	EAP test notification	Part 12 plan and schedule was overdue.	Plan and schedule for PT-12 review, Section G-G
II. The recipient(s) of the communication					Norman J. Weseloh	Harry Hall		
l. Sender(s) of communicatio n					Bob Steele & Kevin Marshall	Garry Hackett		Yes Norman Weseloh Ead Curtis
(q)	£	S.	No	oN.	Yes	Yes	Yes	Yes
Divisio		D2SI, Chicago Regional Office	Tariffs and Rates - West	Chief Economic Advisor	Dam Safety and Inspection s	Dam Safety and Inspection s	Dam Safety and Inspection s	Office of Safety Energy and Y Projects Inspection
Office	Office of General Counsel	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects
Name	Watson, Michael C.	Weeks, Olaf	Welch, Laura G.	Wentworth, Roland W.	Weseloh, Norman J	Weseloh, Norman J	Weseloh, Norman J	Weseloh, Norman J
-	1130	1131	1132	1133	1134	1135	1136	1137
	Office Divisio (b) communication communication communication Division (fig.)	Office Division (b) of communication of the communication of communication	Name Office Divisio of Communication of the communication of General Administration of Courses, Oler Energy Regions Projects Office of Chicago	Name Office Divisio of Communication of the communication of the Communication of Communica	Name Office Division Name Communication Name Communication Name Communication Name Communication Name Communication National Column Col	Name         Office of Laura G.         Division of Communication and Residue (America) and	Name         Office of Course And Authorise (b.)         I. Sender(s) of the Communication of the Communication         III. The subject (b.)         III.	Name         Office of General Foundation         I. Sandbridgh of the and of the communication of the communicatio

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	ď	C	6	L							_
l	Name	Office	Divisio	E E	1. Sender(s) of communicatio n	I. Sender(s) of of the communication communication	III. The subject matter of the communication	ate e	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	Vi. Other Comments (if any)	.,
1138	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection	Yes	Yes Norman Weseloh	Bob Steele	Question on annual agency meeting summary			Ş		
1139	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s ,	Yes	Yes Bob Steele	Norman Weseloh	Accident report			No		,
1140	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	, kes	Yes Roy Totten	Harry Hall	EAP test notification			ON.		
1141	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Bob Steele	Norman Weselch	Accident report			No		.,
1142	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Jim Wyalt	Mark Jones	EAP test notification			No		
1143	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Bob Steele	Norman Weseloh	Report of a fish kill.			The fish kill was investigated.		
1144	Weselot, Norman J	Office of Energy Projects	Dam Safety and Inspection	Yes	Yes Ray Tatten	Нагу На¶	EAP test notification			Ş		
1145	Neseloh, Norman J	Office of Energy Projects	Office of Safety Energy and Projects Inspection	κes	Yes bob Steele	Norman Weseloh	Report on PGE dams following Seattle, WA earthquake					

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	4	-	C	6	L			200				
			Ì	7	_		5	I	-	ſ	×	
	Name	Office	Divisio n	(p)	i. Sender(s) of communicatio	1. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
1146	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Bob Steele	Norman Weseloh	Dewatering of Willamette Falls Unit No. 9			QV		
1147	Weselah, Norman J	Office of Energy Projects	Dam Safety and Inspection	Yes	Yes Bob Steele	Norman Weseloh	Completed dewatering and maintenance of Unit No. 9 at Williamette Falls			G _N		
1148	Weseloh, Norman J 8	Office of Energy Projects	Dam Safety and inspection s	Yes	Yes Bob Steale	Norman Weseloh	Report of a fish kill at the Willamette Falls Project.			An investigation of the fish kill was conducted.		
1149	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection.	Yes	Yes Bob Steele	Patrick Regan	Report of a fish kill at Willamette Falls			The cause of the fish kill was investigation.		
1150	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Gary Hackett	Mark Jones	EAP annual lest at Bulf Run Project.			OV.		
1151	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Earl Curtis	Norman Weseloh	Report of penstock leakage and implementation of the EAP at the Bulf Run project.			An investigation was conducted.		
1152	Weseloh, Norman J	Office of Energy Projects	Dam Safety and Inspection s	Yes	Yes Bob Steele	Edward Perez	Penstock leakage repairs			Yes		
1153	Weselch, Norman J	Office of Energy Projects	Safety and Inspection	Yes	Yes Jack Seibel	Thomas Bobal	Notification of annual EAP test at Bull Run Project.			92		

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 185 Response to Question No. 3 in the February 15, 2002 Letter IV. Give date the date range From Senators Lieberman and Thompson Discussed penstock strain gage measurements at the Bull Run project during the Seattle, WA earthquake Removal of obsolete surge tanks at the Bull Run Project Discussed maintenance outage at the Buil Run Project. senstock vacuum valves III. The subject matter of the communication penstock inspections II. The recipient(s) I Vorman Weseloh orman Weseloh orman Weseloh Edward Perez Edward Perez I. Sender(s) II. of communicatio Sender(s) Yes Bob Steete Yes Bob Steete Yes Bob Steele Yes Bob Steele Yes Š £ Š Divisio Office of Assistant Energy Director's Projects Office Office of network the Chief operation in Officer Dam Safety and Inspection Dam Safety and Inspection s t Dam Safety and Inspection s Dam Safety and Inspection Dam Safety and Inspection E N Office of Energy Projects Office Office of Markets, Tarriffs, and Rates eseloh, Norman J /eseloh, Norman J Weseloh, Norman J reseloh, Norman J Wheeler, Howard West, Michael G. Vessler, Eliot J Name 1155 1156 1157 1158

186	×	VI. Other Comments (if any)									
itter	ſ	V. Was any action taken by the Comm. In response (if any) to communication:									
:002 Le	_	IV. Or date range									
ry 15, 2 Iompso	·±	IV. Give date the date range									
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication									
uestion No. 3 Senators Lieb	ш	I. Sender(s) II. The recipient(s) of communicatio communication n									
onse to Q From (	Е	I. Sender(s) of communicatio					·				
ds		<u> </u>	2	2	2	20	Š	£	ž	8	£
Ϋ́	O	Divisio	Tariffs and Rates West	Software Engineeri ng Team	press services	Energy Projects	Litigation	DZSI	Pipeline Certificate s	Office of PROCUR the EMENT Executive Director	Office of Adminisra the tive Executive Support
	В	Office	Office of Markets, Tarriffs, and Rates	Office of the Chief Informatio n Officer	Office of External Affairs	Office of General Counsel	Office of Markets, Tarriffs, and Rates	Office of Energy Projects	Office of Energy Projects	Office of the Executive Director	Office of the Executive
	V	Мате	Whitaker, Marion B.	White, John Davenport	White, Ken	Whitfield, James, Jr.	Whitfield, Wendelin W	Wiegel, John M	Wilderman, Lawrence I.	Williams, Patricia A.	Wilson, Gloria Jean
		-	1162	1163	1164	1165	1166	1167	1168	1169	
-											

Response to Question No. 3 in the February 15, 2002 Letter

•	
;	
•	
ı	
:	
:	_
ï	≂
•	×
ì	×
	=
	╚
•	٥
;	Ē
:	⊏
•	<u>.                                    </u>
;	ਹ
:	⊆
	Ø
•	_
•	≒
:	22
•	≽
:	-
•	ō
•	۰
	_₩
)	7
•	
•	Ś
:	≍
•	ᅩ
;	7
•	Ĕ
•	ā
,	ñ
1	
	⊱
•	≍
•	ĭ
٠	From Senators Lieberman and Thompson
•	
٠	
L	
7	

X	VI. Other Comments (if any)				
L L	V. Was any action taken by the Comm. In response (if any) to communication:	Ŋ.	ý		
_	IV. Or date range				
Н	IV. Give date the date range				
G		Slandard letter on Authorizing Initiating Section 106 Consultation	Examples of applicant teller requesting FERC to give authorization for Section 106 Consultation and our response teller granting authorization.		
4	II. Sender(s) II. The recipient(s) III. The subject of the communication communication n	Deb Schalleri, Portland General Electric	Deb Schalleri, Portland General Electric		
Э	l. Sender(s) of communicatio	Yes Frank Winchell	Yes Frank Winchell		
	Đ.	Yes	Yes	٤	2
ပ	Office Divisio	Division of Coffice of Environm Energy England Projects ng	Office of Environm Energy Engineeri Projects Review	Division of Dam Safety & Inspection S - NYRO	DEER
В	Office	Office of Energy Projects	Office of Energy Projects	Office of Energy Projects	Office of Energy
٧	Nате	Winchell, Frank R.	Winchell, Frank R.	Wing, James	Wisniewski, John J.
П	-	1171	1172	1173	1174
لب				· · · · · · · · · · · · · · · · · · ·	`

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_	1	Γ	T
¥	VI. Other Comments (if any)		
ſ	V. Was any action taken by the Comm. In response (if any) to communication:	reliher responded to the questions (as a FERC suggested they contact someone else within FERC.	I either responded to the questions (as a FERC Staff response) or suggested the contact someone else within the agency.
_		1995-2001	1994 through December 2, 2001
Ŧ	IV. Give date the date range		
E F G H	III. The subject matter of the communication	Telephone conversations matters, twas listed as the legal context or was listed as the legal context on Order No. 888 and over the years insuch underest of questions matters to the letephone conversations had with Doma tulino and Jos Hatson. I likely responded to questions from other Ermon employees or prospersentatives, but I haave no recollection of they might be.	Generally discussed, to the best of my recollection, (1) proceedural marties (e.g., whether as noder or notice and stread, whether a fined stread, whether a fined stread, which meaters, and family matters, and family matters. With respect to the least formatives, was risked as the legal contact on Order No. 888 and nore the years have fielded bundreds of upestions from a variety of entities, including Erron.
F	I. Sender(s) II. The reciplent(s) of communicatio communication	David Withnell	David Withrell
ш	l. Sender(s) of communicatio	No Possible Erron employees	Yes Doma Fullon
	ê.	2	Yes
၁	Divisio	MTR	MTR
В	Office	Office of General Counsel	Office of General Counsel
A	Name	Withmell, David D	Withnell, David D
	-	1175	

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_	×	œ	C	_	""	u			-		
-	Name	Office		<b>(</b> 2)	l. Sender(s) of communicatio n	l. Sender(s) of II. The recipient(s) of communicatio communication	act lon	ate K	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
1177	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	Yes	Yes Ken Witte	Mary Kay Miller, Vice President of Rates and Certificates of Northern Natural Gas Company	Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution."	10/7/1997		A subsequent order was issued in this case.	
1178	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	Yes	Yes Ken Witte	Mary Kay Miller, Vice President of Rates and Certificates of Northern Natural Gas Company	Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution."	10/21/1997		A subsequent order was issued in this case.	
1179	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	Yes	Yes Ken Witte	Mary Kay Milter, Vice President of Rates and Certificates of Northern Natural Gas Company	Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution."	9/8/2000		A subsequent order was issued in this case.	
1180	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	Yes	Yes Ken Witte	Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company	Data Request pertaining to Transwestern's acquisition of upstream pipeline capacity on Public Service Company of New Mexico	5/4/2000		A subsequent order was issued in this case.	
1181	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	Yes	Yes Ken Witte	Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company	Data request pertaining to the formula used by Transwestern to negotiate rates with its customers.	2/23/2001		A subsequnet order was issued in this case.	
1182	Witte, Kenneth John	Office of Markets, Tarriffs, and Rates	Rates- West	×es	Yes Ken Witte	Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company	Data request pertaining to the formula used by Transwestern to negotiate rates with its customers.	5/4/2001		A subsequent order was issued setting the proceeding for hearing.	
1183	Wolford, Mary	Office of the Secretary	Dockets	ž							
1184	Wolnerman, Herbert	Office of Energy Projects	DZSI	ĝ							

Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	-	v 1185	1186	1187	1188	v 1189	n 1190	1191 ×	n 1192	
A	Name	Woo, Henry	Wood, John M.	Wood, Pat III	Wood, Pat III	Wright, Jame Ronald	Wright, Jeff C.	Wuehrmann, David B.	Wyche, Grover L., Jr.	Wyrick, Deborah L
В	Office	Office of the Chief Informatio n Officer	Office of Energy Projects	Office of the Chairman	Office of Office of the Chairman Chairman	Office of Energy Projects	Office of Energy Projects	Office of General Counsel	Office of Software the Chief Engineeri Information ng	Office of Tariffs Markets, and Rates N
၁	Division	Office of Infrastruct the Chief ure & unformatio Operation on Officer S	pipeline certificate s	Natural Gàs	Office of the Chairman	Division of Dam Safety and Inspection s	Office of Division of Energy Pipeline Projects Certificate	Energy Projects	Software Engineeri ng	Tariffs and Rates
۵	<u>ê</u>	ž	o _N	S S	Yes	g	ž	ž	ĝ	ક
В	I. Sender(s) of communicatio n				Yes Kennelh Lay					
Ŀ	i. Sender(s) II. The recipient(s) of communicatio communication				Pat Wood					
- H	III. The subject matter of the communication				It appears to have been a call to inform me of proposed merger with Dynegy.					
=	ate č				11/8/2001					
	IV. Or date range									
	V. Was any action taken by the Comm. In response (if any) to communication:				No					
7	20				Mr. Lay phoned me but he did not reach me.					

V. Was any action taken by the VI. Other Comments Comm. In response (if any) to communication: 191 Response to Question No. 3 in the February 15, 2002 Letter IV. Give date the date range From Senators Lieberman and Thompson I. Sender(s) II. The recipient(s) III. The subject of the communicatio communication communication communication Office of Division of the Regulator No Director Y Audits Div.
Hydropow
er
Administr
Administr
Complian
cd. ŝ 윋 ક £ ĝ 2 Office of Human the Resource N S Director Divisio Central, Group 3 Energy Projects DEER -Hydro Division of Dam Safety and Inspection CIO Office of Markets, Tamiffs, and Rates Office of the Chief Informatio n Officer Office of General · Counsel Office of Energy Projects Office atsko, Maryclaire P. Young, Fernanda F farrington, Byron P. ounan, William E. earick, Vincent E. Young, Frances D. roung, Debra D Yanoff, Erica J 1194 1195 1198 1197 1200

to Question No. 3 in the February 15, 2002 Letter	eberman and Thompson
Response to Question No.	From Senators Lie

II. The recipient(s) III. The subject in the date range communication in response (if any)  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall begin to form the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall board for the communication:  Conference call with Chris feeght of impoundment wall begin to communication:  Conference call with Chris feeght of impoundment wall begin to communication:  Conference call with Chris feeght of impoundment wall begin to communication:  Conference call with Chris feeght of impoundment wall begin to communication:  Conference call with Chris feeght of impoundment wall feeght of impoundment with christian communication:  Conference call with Chris feeght of impoundment wall communication:  Conference call with Chris feeght of impoundment wall communication:  Conference call with Christian Communication  (if any)   Office of Administr cell No	Office of Markets. Case Transching No and Rates	Office of Tauffis & Markets, Rades. No Tauffis and Rates East	Office of Division of Markets. Markets. Tairlis. No Tairlis, and Rates and Rates	Office of DEER Yes (ENRON) Shyder Service Lear McCules Conference call with Chris Height of Impound Dilector of the EcoElectric Madeley, Leo Ring, Fits Head (under cons Projects Projects Bernary Jan Lealant, Joe Perc, Dancan Rochards, Joe Perc, Dancan Rochards, Joe Perc, Dancan Rochards, Joe Perc, Dancan Rochards over Bern McCule of Service Conferency well well and Chris Zerby.	Office of Salety Energy and No Projects Inspection	Office of Press No Affairs Services	Office Divisio (b) of communication communication	C D E F	
Or V. Was any action taken by the Comm. In response to communication:					Height of impoundment wall at the EcoElectrica LNG pler head (under construction). EcoElectrica considering in weeting wall height from rightal proposal.			III. The subject matter of the communication	9
								Give date date range	- I
VI. Other Comments (if any)  (if any)  FETC staff identified that original proposed theight consistent with other facility and drose not interfere with equipment operation.									
					FERC staff identified that original proposed height consistent with other facility and does not interfere with equipment operation.		**************************************	VI. Other Comments (if any)	×

Response to Question No. 3 in the February 15, 2002 Letter	From Senators Lieberman and Thompson	
------------------------------------------------------------	--------------------------------------	--

Ц	٨	В	ပါ	D		100 Inc.		H 1	-	7	¥
	Мать	Office	Divisio	( <del>Q</del> )	l. Sender(s) of communicatio	I. Sender(s) II. The recipient(s) of communicatio communication	III. The subject matter of the communication	IV. Give	IV Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
											Lattended an INGAA meeting in May 2001 at which I was a featured speaker. Several employees of the Enron companies were in
<del> </del>			e <u>.</u>								natendance, However, I do not recall speaking personally no any of them during the conference. In addition, during the period from 1992 to 2000, as a project manager, I worked on 4 certificate applications involving Enron commands. Treas wore
	Zoller, William L. (Line 1 of 2)	Office of Energy Projects	Division of Pipeline Certificate s	Yes							minor cases involving no communications with the company. One case, Docket No. CP95-140-000, an expansion of Northern Natural Gas Co.'s system involved a data request and several convexations with company company in the company of
	g										designed to other additional information to allow processing of the company's application. Since 3000, 1 have been a supervisor so the offer off

194	¥	tion VI. Other Comments bonse (if any)	(Control) Howevers I have had a runcher of conversations with regulatory personnel associated with Error regulatory personnel have speediffer of conveyantes. 1 do not have speediffer of convestions of any records of convestions of any records of convestions of any records of convestions of any least once a defining which are conversations are morrial in the course of deling my lips and involve such things as procedural quidance of questions about project specific proposals.		
etter	ſ	V. Was any action taken by the Comm. In response (if any) to communication:			
:002 Lo	_	IV. Or date range			
ry 15, 2 nompso	Н	IV. Give date the date range			
Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson	9	III. The subject matter of the communication			
uestion No. 3 Senators Lieb	£	I. Sender(s) II. The recipient(s) III. The subject of matter of the communication communication communication in			
onse to Q	Е	l. Sender(s) of communicatio n			
ds	۵	9		8	ક
Ä	O	Divisio	4	Policy	D2SI- ARO
	В	Office	Office of Energy Projects	Office of Markets, Tarriffs, and Rates	Office of Energy Projects
	∢	Name	Zoler, William L. (Line 2 of 2)	Żugris, Donna	Zygaj, John A 1212
		<del>-</del>	1210	1211	1212

## FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, DC 20426

Committee on Governmental Affairs
EXHIBIT #B-3

OFFICE OF THE CHAIRMAN

March 21, 2002

The Honorable Joseph I. Lieberman Chairman Committee on Governmental Affairs United States Senate Washington, D.C. 20510

Dear Senator Lieberman:

This letter and the enclosed material supplement the response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. I sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002. At that time, I indicated that we were continuing to seek responses to Question No. 3 from current FERC employees and former FERC employees (at the division director level and above). The enclosure here comprises the first supplemental compilation of those responses.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Best regards,

Pat Wood, III Chairman

Enclosure

## Question No. 3:

Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

- a) For any meetings, whether in-person or through telephone or videoconferencing, please identify:
  - i) who initiated the meeting
  - ii) all those who were present at the meeting
  - iii) the subject matter(s) of the meeting
  - iv) the date of the meeting
  - v) any action taken by the Commission in response to the meeting
- b) For all other communications, please identify:
  - ) the sender(s) of the communication
  - ii) the recipient(s) of the communication
  - iii) the date of the communication
  - iv) the subject matter of the communication
  - any action taken by the Commission in response to the communication.

## First Supplemental Answer:

As indicated in our March 4, 2002 answer to Question No. 3, at that time we had not received responses from every current FERC employee and had not received any responses from former FERC employees (division director level and above), but that we would continue to seek those responses. We have now completed the survey of current employees, which is reflected on Enclosure A in an Excel spread-sheet format. We have also received responses from some former FERC employees, who simply indicated that they had no meetings or other communications with Enron during the relevant time period. An alphabetized list of these employees is Enclosure B. Finally, we have received responses from other former FERC employees, which include more explanation or which detail meetings or other communications. This correspondence, in alphabetical order by respondent, is Enclosure C. Together, Enclosures B and C include responses from 64 former employees. We have made voice or e-mail contact with 36 more former employees, and expect responses from them in the near future, at which time we will provide the Committee with a second supplement to Question No. 3. We learned in our efforts to reach these employees that one current employee's name was inadvertently included in the list of former employees, one of the former employees has passed away, and another was incapacitated. There are eight former employees whom we have been unable to reach by letter, telephone, or e-mail. We have searched the Internet and talked to former colleagues, but to no avail. We will continue to try to reach these individuals, however, and will include any responses in the second supplement.

## Enclosure A

Current FERC Employees' Supplemental Responses to Question 3(a)

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	any)																				
×	VI. Other Comments (if any)																		***************************************		_
	V. Was any action taken by the Comm. in response to the meeting		-				None				No.										
	IV. Date range	-					Spring 1999														_
I	IV. Give the date										8/1/1997										
c	III. What was the subject matter(s) of the meeting						Study on Electric Transmission systems				Conducted a construction Inspection of Oak Grove Project, FERC No. 135										
4	I. Who inititated II. List all that were the meeting						FERC Staff				Frank Calcagno and personnel Conducted a construction from Portland General Electric Inspection of Oak Grove Company										
3	1. Who Inititated the meeting						Yes FERC Staff				Yes FERC										
		ž	£ • =	£	2	Š		NO.	No.	ž	Yes	No.	2	Ş.	2	S S	ž	ž	N C	욷	
O	à	Associate Director	Compliance and Operational Audits Group	Market, Tariffs & Rates	Information Analysis Group	D2SI	Market Developme t	MTR	Litigation	MOE	D2SI	MOE	DZSI		Market Developmen t	Market Developme t		Chief Economic Advisor	D2Si-NYRO	Litigation	
В	Offlice	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of General Counsel	Office of Markets Tariffs & Rates	OEP	Office of Markets Developmen Tariffs & Rates	Office of General Counsel	Office of General Counsel	Office of General Counsel	ОЕР	Office of General Counsel	OEP	Office of General Counsel	Office of Markets Tariffs & Rates	Office of Markets Developmen Tariffs & Rates	Office of General Counsel	Office of Markets Tariffs & Rates	OEP	Office of Markets Tariffs & Rates	
¥	Name	Armstrong, Richard	Bailey, David	Baker, Elizabeth R.	Barnett, Alan	Bobal, Thomas	Bond, Meesha	Bose, Kimberly D.	Broom, Anita D.	Burns, Theresa J.	Calcagno, Frank	Caldwell, Connie	13 Cataldo, Charles	Cater, Kent K.	Celentano, Thomas	Ctoteykine, Gloria	Cohen, Gary D.	Craig, Bryan	Cross, Gerald L.	Crowley, Patrick	
1	-	2	9	4	ر ,	9	7	8	9	10	11	12	13	14	15	16	17	18	19	20	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	A	8	c	_		_	5	I	-	-	¥
-	Name	Office	Division	(a)	I. Who Inititated the meeting	i. Who inititated ii. List all that were the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date	V. Was any action taken by the Comm. In response to the meeting	VI. Other Comments (if any)
22	Curtis, fris	Office of Markets Tariffs & Rates	Rates - East	2					-		
	Dooley, Joseph	Office of Markets Rates - East Yes Jack Donaho	Rates - East	Yes	Jack Donaho						The only connection which I had with an Enron affiliate was a Florida Gas certificate proceeding, which I was the rate analysis who provided a rate insert for Florida Gas' Phase V certificate application I attended as a couple
23											of meetings.
24	Douglas, Earl	Office of Markets Tariffs & Rates	Rates - West	ş							
25	25 Dylinskl, Barbara	Office of Markets Tariffs & Rates	Rates - East	ž							
26	26 Edmands, Joyce A.	Office of General Counsel		Š							
27	Ekzarkhov, Vladimir	Office of Markets Tariffs & Rates	Litigation	ĝ							
78	Epps, Ursula L.	Office of General Counsel		Š.							
53	Evans, James H.	OEP	D2SI	9							
30	Farran, Maria S.	Office of General Counsel	Energy Projects	No							
31	Fina, Giuseppe	Office of General Counsel	нтм	No.							
32	Finger, Lorena	Office of Markets Tariffs & Rates	Case Tracking Group	£							
8	33 Gingold, Edward M.	Office of General Counsel	Energy Projects	Š							
35	Glick, Theodore	Office of Markets Rates - East Tariffs & Rates	Rates - East	§.							
32	Grace, Eugene	Office of General Counsel	MTR	Š							
36	Grant, Patricia	OEP	DHAC	õ							
37		OEP		92							
38	Grayson, Deborah M. Counsel	Office of General Counsel	Energy Projects	Š							
38	39 Hamilton, Denise E.	Office of General Counsel	MOE	No.							
40	40 Harley, Dlane H.	Office of General Counsel	MOE	NO.							
4	41 Harris, Shanere L.	Office of General Counsel	MTR	ž							
	Hawkins, Joyce	Office of Markets	Case	ž							

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

-	J (1	Office Division (a) I. Who Initiated II. List all that were the meeting present at the meeting	Office of General DRS Yes Meeting Attended by 46 people, Including FERC Staff	Michael Henry Lode Wild, Dave Fanchor Michael Henry Scott FERC Counsel Counsel MITR Yes, Lagrant Gaze, Bob employees Topic Bayes Caze, Bob employees	Office of General No.	Office of Markets Rates - East No	Office of Markets Ecrotronic No Tariffs & Rates Advisor	48 Hinnant-Dockery, Tar Counsel DRS No.	Office of Markets Economic No Tarilta & Rates Advisor	Holsey, Bernadelte P Office of General MOE No.	Office of General No.	Office of General Administratio No.	Omnolative Omnolative Omerational No Tairlis & Rates Audits Group	Complement Office of Markets Operational No Audits Action Audits Operational No Operational No Operation Office Operation Oper	Office of Markets Rates - No Tariffs & Rates Central	Office of Markets Rates - East No
	9	III. What was the subject matter(s) of the meeting	GridSouth Transco Docket No. RT01-74-000	Party												
inosedino.	-	IV. Give IV. Date the date range	14-Mar-01	9/9/2001												
		<ul> <li>Was any action taken by the Comm.</li> <li>in response to the meeting</li> </ul>														
	¥	VI. Other Comments (if any)	An Enron representative was on of the observers; he did not participate actively in the meeting and I did not speak to him.	Spoke briefly and informally with an Euron imployee named Scott at a party hosted by Lodie White (of FERC) on Sept. 8, 2001.			TO PROPERTY OF THE PROPERTY OF									

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	4	60	U	۵	E	F	9	I	_	7	Х
	Name	Office	Division	(a)		I. Who inititated II. List all that were subject matter the meeting present at the meeting the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action taken by the Comm. In response to the meeting	VI. Other Comments (if any)
28	Kennedy, James	Office of Markets Tariffs & Rates	Compliance and Operational Audits Group	8					-		
59	Kohut, Kenneth	Office of Markets Tariffs & Rates	Market Developmen t	Š							
99	Kroeger, John R.	Office of General Counsel	MOE	Ş							
61	61 Lattin, Antonia	OEP	DHAC	ટ							
62	Lichtenstein, Lynn S.	Office of General Counsel		No.							
63	Lin, Shyang-Chin	ОЕР	DZSI	Yes	PGE	PGE Company staff, consultant engineers, and D2Si staff	Discussion on setting up new gaging stations for Petton- Round Butte Project (P-2030)	11/17/1999	11/17/1999	A field trip to 11/17/1999 investigate proposed new gaging stations	
25	Lin, Shyang-Chin	OEP	D2Si	χes	Yes D2SI	PGE Company staff, consultant Flaid brip on the project's engineers, and D2SI staff	Field trip on the project's watershed	6-Dec	12/6 & 12/7/1999	A seminar held by BOR as the dam owner upstream from the project dam	
65	Lin, Shyang-Chin	OEP	D2Si	Yes	Yes D2SI	Bureau of Reclamation staff, PGE Company staff, and D2SI staff	PMF recalculation for the project	1/20/2001	1/20/2001	Some ideas to recalculate PMF	
99	Lin, Shyang-Chin	ОЕР	D2Si	Yes	PGE	PGE Company staff (Messrs. Robert Steele, Kevin Marshall and James Wyatt), and D2SI staff	Gaging station establishment and PMF recalculation for the project	4/26/2001	4/26/2001	A plan to establish gages and recalculate PMF	
67	Logan, Sharon	Office of Markets Tariffs & Rates	Rates - East	ON							
88	Long, Lisa T.	Office of General Counsel		'ON							
69	69 Lurensky, Marcia	Office of General Counsel	MOE	No.							
2	Mabry, Richard	Office of Markets Tariffs & Rates	Policy	Yes	Yes OMTR						I may have attended one or more of the OMTR Outrach meetings during the summer of 2008 and 5001 as noted in the response submitted by Saida Shaalan.
71	Mahrenhotz, Gary	Office of Markets  Tariffs & Rates  t	Market Developmen t	2							
ŕ	Mamone, Russell B. Office of General	Office of General	MTR	ž				-			

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	В	၁	٥	ш	ш	ပ	I	-	->	х
-	Name	Office	Division	(a)	I. Who Inititated the meeting	Who initiated it. List all that were subject matter(s) of present at the meeting the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action W. Date taken by the Comm. range in response to the meeting	VI. Other Comments (if any)
73	Mathura, Randolph E	OEP	DPC	Yes	Interstate PL Regulatory Committee Annual Meeting. New Orleans	Nancy Bagot-Erron, Rich Hoffmann-FERC, and other company representatives.	Current Regulatory Issues	9/26-28/01			i don't recall who all else specifically attended, but it was about 30-40 people from a number of companies.
74	74 McCelland, John	Office of Markets Tariffs & Rates	Rates - West	£							
75	75 McKnight, Angela M.	Office of General Administratio Counsel	Administratio n	No.							
76	76 McLaughlin, Toniette	Office of Markets Tariffs & Rates	Rates - Central	Š							
77	77 McNamara, Suzanne Counsel	Office of General Counsel	MOE	ο̈́							
78	78 Meiburger, John	Office of Markets Rates - East Tariffs & Rates	Rates - East	Š							
79	79 Midway, Barry M.	Office of General Counsel	MTR	No.							
8	Miles, Richard L.	Office of General Counsel	DRS	Yes.	Yes. Don't recall	Over 100 individuals attended the meeting, including, possibly, a representative from Erron or one of its subsidiaries.	RTO formation.	Sept. 00	Dec00	Our role was to facilitate the meetings. Utilinately, filings were made but the filings were the result of many activities and not as a result of the meetings we facilitated.	Our role was to facilities for 1 have the business cards Carriel Alaquetii. meeting, 2 (Intelling), fraggl. Protecti, Soownance Alastis, the Americans were suche and the firming. Business of fraggl. Business of the Carriel Alastis protection and fraggl. Protection of the Carriel Alastis protection and fraggl. Protection of the Carriel Alastis protection of the meeting was meeting and an area of contect. In the place been at the meeting meeting and protection of the Carriel Alastis protection of the meeting was meeting and an area of the meeting was meeting and an area of the meeting and an area of the meeting and meeting an area of the meeting and area of the meeting and meeting and meeting and area of the meeting and meeting an area of the meeting and meeting and meeting an area of the meeting and meeting an

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

П		y, vunsel fried. I fried. I fried. I fried. I fries set is set in fries
¥	VI. Other Comments (if any)	From January 1, 1992 through February, 1999 1, was the Ascociated General Coursel of the Americation Legislation which the Office of the General Coursel of the General Course of the Course o
ſ	V. Was any action IV. Date taken by the Comm. range in response to the meeting	Yes. Any sellement that interactions in season sellement that interactions in season sellement that interactions are sellement apparent would sellement apparent would sellement apparent would sellement apparent would sellement sellement would sellement sellement would sellement sellement would sellement would sellement would sellement sellement would sellement of sellement sellement of s
-	IV. Date range	272873986
I	IV. Give the date	1///1992
9	III. What was the subject matter(s) of the meeting	have no record of who attracted metalog or actilement from 161/82 the cupi 2/20/99. See comments in section VI.
Ŧ	. Who Inititated II. List all that were the meeting	Have no record of who attended any meeting a selfement conference that I attended from 10192 through 22000 See comments in section VI.
Э	I. Who Inititated the meeting	Trial staff, a patγ, α Erron
	(a)	Yes
ပ	Division (a)	9 8 8
В	Office	Office of General Counsel
A	Name	Mies, Rehard L
П	-	16

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name	Г	1		· ·	T			ľ		_
Office Division (a) I. Who Initiated II. List all that were subject mater(s) of the date range is believed to the well-bell owners of the meeting present at the meeting the m	×	VI. Other Comments (if any)								
Office Division (a) It who inititated II. List all that were III. What was the present at the meeting subject matter(s) of the date below the control of the date and the control of the date that were a subject matter(s) of the date below the control of the date and the date and the control of the date and the date a			Yes, the settlement reached was approved by the Commission	No settlement was reached						
Office Division (a) I. Who Inititated II. List all that were present at the meeting subject mater(s) of present at the meeting subject mater(s) of subject material m	-	IV. Date range	4/1/2001							
Office Division (a) I. Who inititated II. List all that were present at the meeting present at the meeting present at the meeting present at the meeting control of the con		IV. Give the date	Mar. 00	12/24/2061						
Office Division (a) I. Who inititated II. List all that were present at the meeting present at the meeting present at the meeting present at the meeting control of the con		III. What was the subject matter(s) of the meeting	For over 10 years, parties stated over whether owners of natural size whether owners of natural size whether owners of natural size whether owners of natural natural size whether owners and violence that it has all violence that it has all the parties produced by the consumers should not have a should have a should have the mark that like offering the consumers that has a substitution of the consumers that has a substitution of the consumers and the consumers that has based on this resilienced from the consumers that the consumers of the the consumers of the threshold of the consumers that the consumers the consumers the consumers the consumers that the con	On September 28, 2001, Transweater Pipeline againts Southern Capilloria againts Southern Capilloria compainment a september Capilloria compainment the rates being changed was in excess allowed by the tariff						
Office Division (a)  Office of General DRS (*es. Course)  Coursed General DRS (*es. Course)  Office of General General Municipally No. Course of General Municipally No. Gourse of General Municipally Course		II. List all that were present at the meeting	Over 80 individuals altended the first selfement conference, approximately 40.	Greg						
Office of General DRS (ves Coursed General DRS (ves Coursed General DRS (ves Coursed General DRS (ves Coursed General Administrativ No. Coursed General Administrativ Office of General Administrative Office of General Adm	ш	I. Who Inititated the meeting	Gide of Karesas	ı did.						
	6	<u>e</u>		, ke	Ş		2	Š.	Š	-
	ن	Division	DRS			Geneal and Administration e Law				Market
A Name . Name . Rechard L	ď	Office	Office of General	Office of General Counsel	Office of General Counsel	Office of General Counsel	_	Office of General Counset	Office of General Counsel	Office of Markets
1 1 82 82 83 83 88 88 88 88 88 88 88 88 88 88 88	₹	Name		Miles, Richard L.		ŕ	Minnis, Frederick G.	Morgan, Dorothea R.	Morie, Roger P.	
		-		83	8	85	98	87	88	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

III. List all that were subject mater(s) of the date range in response to the result that was the subject mater(s) of the date range in response to the remember subject mater(s) of the date range in response to the range in range in response to the range in response to t
III. What was the free range from matter(s) of the date range from meeting of the date and range from pepuling from meeting from Tax Cabe has want from Tax Cabe has well as from Tax Cabe has well as from the date of the business of decidions required the from the date of the date of the from the date of the date of the from the date of the date of the from the date of t
III. What was the remember the board fit be date and rights of the date for the board fit be date and rights of the date for the board fit be date and rights of the date for the board fit be date and rights of the board fit be date and rights of the board commody services where he demands of services and rights and right be defined the board of the board fit be defined to the board fit be defined fit befores and decidions defined the board fit befores and decidions defined the board fit befores and decidions defined the board fit befores and decidions decidions defined the board fit befores and decidions defined the board fit befores and decidions decidions defined the board fit befores and decidions dec
III. Whise in the mee of the mee and the mee of the mee
III. Whise in the mee of the mee and the mee of the mee
F F F F F F F F F F F F F F F F F F F
F F  Prosent at the meet prosent at the meet be chistin, Marijan Rawd, Problem, For Dullar, Marijan Rawd, Problem, Problem, Venderman, Venderma
(a) I. Who Inititated II. List all that were the meeting present at the meeting present at the meeting bo Chustin, Marijan Rand, Vez. Voronica Motern (Shenot, Chorlina, Fred Minna, Lyang, Chorlina, Wendern Robert (Shenot, Chorl Hart, Robert Marijan Rand, Yes Voronica Motern (Bord Chustin, Marijan Rand, Herandet, and Voronica Motern (Shenot, Chorlett, Marijan Rand, Mary Kay Waller, Mary Waller, Mary Kay Waller, Mary Kay Waller, Mary Kay Waller, Mary Kay Waller, Mary Galler (Garandon, Time Western, Charles) Waller (Garandon, Mestern, Me
Ves. Yes. No. No. No. No. No. No. No. No. No. No
Division Solicito Solicito Office of Assistant Direction Direction Office of Assistant
Office of General Control (a) Office of General Solicitor (b) Counsel General Control (counsel Counsel
Name
- 08 19 99 99 99 90 00 00 00 00 00 00 00 00 00

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

												Τ		no 00
×	VI. Other Comments (if any)	The state of the s												Northern filed application in CP97-25-000 on April 17, 1997
7	V. Was any action taken by the Comm. in response to the				Yes. Law Judge issued order and Commission issued order.		None	December 30, 1998 Commission Order approving settlement fled November 19, 1998	None				None	None - Commission waited until actual application was
	IV. Date range	-			Jan Feb. 2001		Varlous dates between August 1998 and November 18, 1998	Various dates between October 1998 and November 18, 1998	September 21 24, 1998				12/96 - 12/99	12/96 - 12/99
Ξ	IV. Give the date													
9	III. What was the subject matter(s) of the meeting				RTO Formation		Procedural and substantive Issues in rate proceeding in Docket No. RP98-274	Settlement conferences to resolve cost of service and rate design issues in Docket No. RP98-274-000	Company field audit, Houston, Texas in conjunction with rate case filing in Docket No. RP99- 274-000				Prefiling meetings to discuss proposals to build pipelines	Proposal to build pipeline in stages
4	II. Who Inititated II. List all that were subject mater(s) of present at the meeting the meeting				Approx. 50 parties in the MISO and alliance proceedings established by the Commission		FERC Staff/Black Marlin	Al paties to the proceeding in Public rotice by FERC Dockets No. FP88-274-000 Staff FERC Staff Staff and FERC Staff	FERC Staff and Black Marlin Personnel				Rob Kilmer, Marilyn Rand, Kevin Madden, Robert Cupina	Mariyn Rand, Kevin Madden, Robert Cupina, Mary Kay Miller Northern
Е	I. Who Inititated the meeting				Commission Chief Law Judge		Yes FERC Staff Black	Public rotice by FERC	Yes FERC Staff				Florida Gas	Northern Natural Gas Company
٥	(a)	2	ž	ŝ	Yes	Ş.	Yes	Yes	Yes	Š	ટ્ટ	S.	۲es	Yes
၁	Division	Compliance and Operational Audits Group	Policy	Information Analysis Group	Rates - Central		Litigation	Litigation	Litigation	MOE	Information Analysis Group	DEER	Rates - Central	Rates - Central
8	Office	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of General Counsel	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of General Counsel	Office of Markets Tariffs & Rates	OEP	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates
۷	Мате	Pertilloux, Debra	103 Pierce, Darret	Pointer, Cynthia	Poionter, Stephen	106 Pratt, Francine M.	Pride, Bonnie	Pride, Bonnie	Pride, Bonnie	110 Pulas Jr. Demetrios d	Ramdath, Clint	112 Ramer, John	Rand, Marilyn	Rand, Marilyn
	-	102	103	104	105	106	107	108	109	110	111	112	113	114

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

_								_	,						_
¥	VI. Other Comments (if any)				And the state of t			Please see the comments filed by Saida Shaalan	THE RESIDENCE OF THE PERSON OF						
ſ	V. Was any action taken by the Comm. in response to the meeting									None	12/13/2000 Commission order approving the settlement filed September 26, 2000	None			
-	IV. Date range									Various dates between July, 1999 and November, 2000	Various dates between July, 1999 and September 2000	8/29/99 to 9/3/99			
Ξ	IV. Give the date														
9	III. What was the subject matter(s) of the meeting									Procedural and substantive buses in consolidated rate proceeding in Docket Nos RP98-322 and RP96-45-000	Settlement conferences to resolve cost of service and rate design issues in the previously mentioned dockets	Company field audit for the previously mentioned dockets			
4	I. Who Initiated II. List all that were subject matter the meeting present at the meeting the meeting									Yes   FERC Staff Northern   FERC Staff Northern Border   Border   Gas Personnel	All parties to the proceeding in Softlement conferences to Doobel Nos. R199-322.000 and R196-45.000 and R196-4	FERC Staff Northern Border			
ш	I. Who Inititated the meeting									FERC Staff Northern Border	Yes Public notice by Staff	Yes FERC Staff			
٥	(a)	Š	8	£	Š	ğ	ĝ	Yes	ŝ	Yes	Yes	Yes	No.	ō.	
ပ	Division	MOE	Information Analysis Group	Issue Identification & Resolution Mgmt.	General			Policy	DHAC	Litigation	Litigation	Litigation			
8	Office	Office of General Counsel	Office of Markets Tariffs & Rates	Issue Office of Markets Identification Tariffs & Rates & Resolution Mgmt.	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Markets Tariffs & Rates	OEP	Office of Markets, Tariffs & Rates	Office of Markets, Tariffs Litigation & Rates	Office of Markets, Tariffs & Rates	Office of General Counsel	Office of General Counsel	Office of General
4	Name	115 Roddy, John P.	Rogers, Albert	Rooney, Patrick	118 Ross, Joan E.	A va	120 Royster, Diana	o.a	122 Scardelis, Philip	Segal, Frances	Segal, Frances	Segal, Frances	126 Seigal, Jessica A.	127 Settles-Height, Renee Counsel	
		115	116	117	116	118	120	121	12,	123	124	125	126	121	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

=

¥	VI. Other Comments (if any)	Project was completed and facilities were placed in sorvice 9722/2000, frough on project and one-completee; FERC's that inspection resport dated 6227/2001 was last related communication.		
٦	V. Was any action IV. Date taken by the Comm. range in response to the meeting	Commission issued its Order (Certificale) on 9/30/98; Issued final authorization to place the filestiles in service on 9/14/20/0		AL mealant issued a notice of September (1, 200).  All mealant issued as a set of September (1, 200).  Comments from state (100; 300).  The comments from state (100; 300).  The comments from state (100; 300).  The comments from the comments of the from the comments from the comment
_	IV. Date range	2225/1999 to 8/21/2001		Various dates in July and August 2001
Ξ	IV. Give the date			
9	III. What was the subject matter(s) of the meeting	Miend series of scoping meetings and site visits to discuss the potential and are also and are visits to discuss the potential inputs it from the project, itsuse with rote and system alternatives. pipeline salety, construction compliance, and restoration of the fight over yard for the rote of the compliance, and restoration of the fight over yard for some inflownt to the above convictomental issues and compliance.		FERC discised the porties to decleate moving these RTO proposals in the Southeast (Southeast Confederate) to generate a single RTO in the region participate in mediation bachieva as single RTO in the region Parties to Grifficha's deckets were executaged to participate.
ш	Who initiated II. List all that were subject matte he meeting present at the meeting the meeting	Northern Natural Gas Company (Vertnern Natural), And Mensated Introvious, Nganitations, und elected officials		FERC ALJ Bobbie McCertrey freed Algobie McCertrey freed Continue Management free (continue), Luca and Sheppara (Illen CoC) and broading Sasse (Inno OAT) freed Cocy and freed Cocy and freed Cocy and freed Cocy and freed Cocy freed Cocy freed Cocy freed Cocy freed Cocy freed Cocy freed freed Cocy freed Cocy freed freed Cocy freed
ш	i. Who inititated the meeting	Shenol, Jeffey		Commission orders of July 12, 2001, 68 July 12, 2001, 68 TETE Cupus a 51,006 or 1 al setting the Southeast RTO for mediation
Δ	(e)	yes	ž	Yes
ပ	Division	OEER	MOE	Litigation
В	Office	ОЕР	Office of General Counsel	Office of General Counsel
۷	Name	Shenot, Jeffrey	130 Shepherd, Helen S.	Sheppeard, Laura K. Coursel
	F	129	130	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Name Office   Division   2)   L. Who inititated   L. List all that were   II. What was the care   II. What was the care   III. What was the care
Name Office Division (a) It who initiated It. List all that were subject materies of the date subject m
Name Office Division (a) the meeting present at the meeting subject mater(s) of the date the meeting t
Name Office Division (a) I. Who Initiated II. List all that were labeling present at the meeting present at the meeting subject matter(s) of the meeting present at the meeting subject matter(s) of the meeting present at the meeting the meeting subject matter(s) of the meeting present at the meeting subject matter(s) of the meeting present at the meeting subject matter(s) of subject matter(s) of the meeting subject matter(s) of the callering is subject.
Name Office Division (a) I. Who initiated II. List all that were the meeting present at the
Name Office Division (a) I. Who initiated II. List all that were the meeting present at the
Name Office Division (a) I Who initiated  Name Office Division (b) I who initiated the meeting of the meeting o
Name Office Division Sheppeard, Laura K. Coursel
Name Office  Sheppeard, Laura K. Counsed

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	s (if any)						rious tegat bolitical (intrusion on) concerns as te
×	VI. Other Comments (if any)						Response was to state serious legal (Federal Power Act) and political (intrusion on traditional state juridiction) concerns as Us extension of federal jurisdiction over bundled (transmission
7	V. Was any action IV. Date taken by the Comm. range in response to the meeting						Ş.
-	IV. Date range	December 19. January 20, January 20, and 6, 2001.					Sometime in 1998 or 1999 in Commissioner Bailey Office
r	IV. Give						
9	III. What was the subject matter(s) of the meeting	Lack of feward contracts in the California electricity and restructions electricity and electricity and electricity and electricity purpose of the mediation was to explore whether selects and outpets (PGEE, Social, and Sun Diego) could agree on terms of billiant a contracts.				·	To discuss the general competitive state of the industry, to discuss extending Order 889 open access (and electronic reporting) to include fundled retail transactions)
Ŀ	. Who inititated II. List all that were the meeting present at the meeting	Meditor/FERC Chief Administrator Lav Judge Administrator Lav Judge Chief Staff Dan Polymer, FERC staff Dan Polymer Ser Chief Staff Found Polymers and Luta Shappeau Formar and Luta Shappeau Formar and Luta Shappeau Seasing the A.L.; parties with the Chief Chief Chief and Law Shappeau San Dago Gas & Perofic. Pleas and Dago Gas & Perofic. Pleas and Dago Gas & Perofic. Pleas Chief Chief Wood of the Californa Plut, and Thom Shappeau Chief					Jeffrey Skäling (President, Erron, at the time I believe). Other Erron officials or representatives (incluting, I belleve, Steve Kean, Sentor VP). Commissioner Vicky Beley, and myself (Legal Advisor to Commissioner
E	I. Who Inititated the meeting	127/800 FERC Order infanty mediation, Enzand Carletter Drowed Cognitive Linear Constitution (Salterman Littless Ooks No. Print Carletter Para 1678) FERC para 1678					Enron officials seeking a courtesy visit
0	(a)	, se	Š	NO.	2	N.	Yes.
0	Division	Liftgation	MTR	Litigation		Solicitor	Solicitor
В	Office	Office of General	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Genera Counsel	Office of Genera Counsel
4	Name	Sheppeard, Laura K. Coursel	Silverman, Jacob	135 Simon, Daniel R.	136 Simonyi, Peter G.	137 Smallwood, Cynthia B Counsel	Solomon, Robert H. Office of General
	-		134	135	136	137	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	60	ပ	a	ш	ii.	9	I	-		7
	Name	Office	Division	(a)	I. Who Inititated the meeting	. Who Inititated II. List all that were the meeting present at the meeting	III. What v subject me the meetin	IV. Give the date	IV. Date range	V. Was any action taken by the Comm. in response to the meeting	Vi. Other Comments (if any)
139	Solomon, Robert H.	Office of General Counsel	Solicitor	Yes	Yes. Enron officials seeking a courtesy visit	Steve Kean. Sentor Erron VP. Commissioner Vicky Balley. Newself (Legal Advisor to Commissioner Balley at the Itme), perhaps other Ervon officials or representatives	To discuss the general competitive state of the competitive state of the Cride 488 of scruss extending Order 80 open access (and electronic reporting) to include bundled retail transactions)		Sometime in 1998 or 1999 in Commissioner Balley Office		Response was to state serious legal (Federal Power Act) and political (infrasion in traditional state jurificition) concerns as to extension of federal jurisdiction over bundled transmission
140	Solomon, Robert H.	Office of General Counsel	Solicitor	Yes	Outside altorney seeking courtesy visit	Joffrey Watkiss, cutside lawyer (from Becewel & Patierson, Ibelievo) representing Erron Power Marketing and Erron Power Marketing and Power Marketing and Wicks Baley, and myself (Legal Advisor to Commissioner Balley at the time).	To discuss the general compositive state of the inclusive, to discuss extending Chder 686 open access (and electronic reporting) to include bundled retail transactions)		Sornetime in 1998 or 1999 in Commissioner Bailey Office	ο̈́ν	Response was to stine serious legal Response was to stine serious legal or of the state Proset As Just publication some serious serious states in the serious
141	141 Sooper, Samuel	Office of General Counsel	MTR	ş							
142	142 Swinson, Pamela	Office of General Counsel	Litigation	ON							
143	143 Tapscott, Maxine		Rates - East	£							
144	144 Toepfer, Betty	Office of General Counsel	MOE	No.							
145	Tolley, Jo	Office of Markets Administratio Tariffs & Rates Tracking	Administratio n and Case Tracking		Yes FERC	Open to Commission staff	Series of information sessions given to FERC staff to provide training/information on Commission-related issues.		3/00 - present	None	Erron Ont,ine Information Session was presented on April 15, 2000. One hour and 30 min, presentation to Commission staff presented by Bob Shutts from Erron.
146	146 Travers, Cynthia	Office of Markets Office of the Tariffs & Rates Director	Office of the Director	S.							
147	Turner, Laura	ОЕР	DEER	Yes	Yes various	various	environmental topics related to the preparation of the environmental impact statement		10/95 to 6/97	environmental impact statement issued	Northern Border (CP95-194) various letters, conversations, and meetings
148	Turner, Laura	OEP	DEER	Yes	Yes various	various	issues dealing with compliance with environmental conditions and mitigation measures		6/97 to 12/99	6/97 to 12/99 start of service granted	Northern Border (CP95-194) various letters, conversations, meetings, and inspections of construction for compliance with environmental conditions
149	Turner, Laura	OEP	DEER	Yes	Yes various	various	environmental topics related to the preparation of the environmental assessment		10/98 to 1/00	ervironnental assessment completed	Northern Border (CP99-21) various letters, conversations, and meetings

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

غم

	٩		ţ	4				2	=			
		٥	ر	2	IJ	_	9	Ι		7	×	
	Name	Office	Division	(g)	I. Who Inititated the meeting	I. Who Inlittated II. List all that were the meeting	III. What was the subject matter(s) of the meeting	IV. Give the date	IV. Date range	V. Was any action taken by the Comm. in response to the meeting	VI. Other Comments (if any)	
Turne 150	Turner, Laura	OEP	DEER	Yes	Yes various	various	issues dealing with compliance with environmental conditions and mitigation measures		1/00 to 3/02	, 1700 to 3/02 start of service granted	Northern Border (CP99-21) various letters and conversations	
Tum 151	Turner, Laura	ОЕР	DEER	Yes	Yes various	various	environmental topics related to the preparation of the environmental assessment		4/01 to 6/01	environmental assessment completed	environmental assessment Transwestern (CP01-115) various competed conversations	
Tum 152	Turner, Laura	ОЕР	DEER	Yes	Yes FERC	various	issues dealing with compliance with environmental conditions and mitigation measures		1994 - 1995		Florida Gas Transmission (CP92-182) inspections of construction for compliance with environmental conditions	
\se	153 Vasapoli, Dennis	Office of General Counsel										
× ×	154 Walton, Arlene F.	Office of General Administratio Counsel	Administratio n	ģ								
Š	155 Ward, Arnetta R.	d30	SFRO	ş								
₩.W	Williams, Gerald	Office of Markets Tariffs & Rates	Compliance and Operational Yes FERC Staff Audits	Yes		FERC StafffMary Hain	California Power Markets	8/24/2000		None		
	Williams, Gerald	Compliance of Markets Operational Yes FERC Staff Andres Operational Yes FERC Staff Group	Compliance and Operational Audits Group	Yes		FERC Staffenron	Accounting and auditing issues		1993		I altended INGAS/FERC Staff Accounting Lisson Meetings ince 1939 where an ENRON or ENRON Company representative was present. That ist was submitted by the Melf Accoundants staff in response to the request.	
V.		Office of General Counsel	Solicitor	S.								
Хап	159 Yamashita, Takeshi	OEP	D2SI	No								
Zenc	160 Zendel, Mark	Office of Markets Tariffs & Rates	Rates - East	£								
164												

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L			ľ	-								
	₹	n	د	9	ננ	щ	9	Ŧ	_	7	¥	
	Name	Office	Division (b)	(Q	l. Sender(s) of communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	
7	Armstrong, Richard	Office of Markets, Tariffs & Rates	Associate Director	£								
r.	Bailey, David	Complianc Office of e and Markets Tariffs Operationa & Rates 1 Audits Group	Complianc e and Operationa 1 Audits Group	ĝ								
4	Baker, Elizabeth	Office of General Counse)	Markets. Tariffs & Rates	Yes	Yes Elizabeth Baker	Mary Hain	Christmas Cards	Dec. 1999		O _N		
r.	Barnett, Alan	Office of Markets Tariffs & Rates	information Analysis Group	No								,
ဖ	6 Bobal, Thomas	OEP	D2SI	ş								_
7	Bond, Meesha	Office of Market Markets Tariffs Developme N & Rates nt	Market Developme nt	£								
∞	Bose, Kimberly D.	Office of General Counsel	MTR	- S							-	
6	Broom, Arita D.	Office of General Counsel	Litigation	ON								
10	Burns, Theresa J.	Office of General Counset	MOE	§.								
Ξ	Caldwell, Connie	Office of General Counsel	MOE	Ş.						and the same of th		_
7	12 Cataldo, Charles	OEP	DZSI	οN								_
13	Cater, Kent K.	Office of General Counsel		o O								
4	Celentano, Thomas	Office of Market Markets, Tarilfs Developme & Rates nf Group	Market Developme nf Group	Š								
15	Cloteykine, Glorla	Office of Market Markets Tariffs Developme & Rates nt	Market Developme nt	Š								
9	Cohen, Gary D.	Office of General Counsel		Ş.								_

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

B	Α	Vi. Other Comments (if any)													
Office of Computer (a)   1. Sender(e) of of the Communication   1. Sender(e) of Office of Comment   1. Sender(e) of of the Communication   1. Sender(e) of the Communication		Vi. Other ( (if any)													
Compared   Compared   Compared   Communication   Communicati	5	V. Was any action taken by the Comm. In response to communication:						THE PARTY OF THE P							Č
C	-	IV. Or date range													Summer
Common   C	. =	IV. Give the date												L	
C	0	II. The subject matter of the communication													Small talk. Nothing work related.
Office Division (b) Communication (communication defice) of Complete (complete (comple		recipient(s) nication													ט כי ה
Office of Manders, Tartife & Rauses (Common of Manders) Tartife & Rauses (Common of M	3	I. Sender(s) of communication													
Office of Manders, Tartife & Rauses (Common of Manders) Tartife & Rauses (Common of M	a	(q)		No			Ş.	ž	g	Š	ž	No.	Š.	9	۲es
, <u>a</u>	O	Division	Complianc e and Operationa I Audits Group	DZSI- NYRO	Littgation	General Counsel					Litigation			D2Si	Energy Projects
	В	Office	Office of Markets, Tariffs & Rales	OEP	Office of Markets, Tariffs & Rates	Office of General Counsel	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets Tariffs & Rates	Office of Markets, Tariffs & Rates	Office of General Counsel	Office of General Counsel	OEP	Office of General Counsel
Table Expansion   Could   Coul	Ą	Nате	Craig. Bryan	18 Cross, Gerald L.	Crowley, Patrick	Cullum, Jeanette M.	Curtis, Irls	Dooley, Joseph	Douglas, Earl	Dylinski, Barbara	Ekzarkhov, Vladimir	Emonds, Jayce A.	Epps, Ursula L.	28 Evans, James H.	Farran, Maria S.
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				8									27	28	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	٧	<u> </u>	c	_	ш	3	9	7	L		۷
Z	Name		Division	9	s) of	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
.E	Fina, Giuseppe	Office of General Counsel	MTR	No.							
31	Gingold, Edward M.	Office of General Counsel	Energy Projects	No.							
32	Glick, Theadore	Office of Markets Tariffs & Rates	Rates - East	ş							
33	Grace, Eugene	Office of General Counsel	MTR	- N							
34 G	34 Grant, Patricia	da0		2							
35 G	35 Gray, Charles W	OEP	DPC	9							
36	Grayson, Deborah M.	Office of General Counsel		ĝ							
37 H	Hamilton, Denise E.	Office of General Counsel	MOE	Ŋ.							
38	Harley, Diane H.	Office of General Counsel	MOE	No.							
36	Harrls, Shanere L.	Office of General Counsel	MTR	Š							
40 H	Hawkins, Joyce	Office of Markets Tariffs & Rates	Case Tracking Group	8							
1.4	Helget, Kasha	Office of General Counsel	ORS	ò							
42 H	Henry, Michael G.	Office of General Counsel	MTR	No.							
43 H	Неутап, Ату R.	Office of General Counsel		. Ö							
. 4	Hill, Diedre	Office of Markets Tariffs & Rates	Rates - East	ę.							
45 H	Hilton, Lillian	Office of Chief Markets, Tariffs Economic & Rates Advisor	Chlef Economic Advisor	욷							

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	A	B	ပ	۵	E	Ŀ	9	Ξ	-		~
-	Name		Division (b)	( <del>Q</del> )	f. Sender(s) of communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
46	Hinnant-Dockery, Tamik	Office of General Counsel	DRS	No.							-
47	Hobbs, Benjamin	Office of Chief Markets Tariffs Economic N & Rates Advisor	Chief Economic Advisor	Ž.							
48	Holsey, Bernadette P.	Office of General Counsel	MOE	g							
69	Howard, Esther D.	Office of General Counsel		o S						-	
50	Hyde, Laurel	Office of Market Markets, Tariffs Developme & Rates nt Group	Market Developme nt Group	oN							
51	Jeffas, Jeanne M.	Office of General Counsel	Administration	ģ							
52	Johnson, Bradley	Office of e and Markets Tariffs Operational No & Rates Group	Complianc e and Operationa I Audits Group	8							
53	Jones, Bea Williams	Complianc Office of e and Markets Tariffs Operationa & Rates I Audits Group	Complianc e and Operationa I Audits Group	ON.							
54	Jones, Jeffery	Office of Markets Tariffs & Rates	Rates -	S S							
55	Karabetsos, Frank	Office of General Counsel		Š							
26	Kennedy, James	Compilanc Office of e and Markets Tariffs Operationa & Rates I Audits Group	Complianc e and Operationa I Audits Group	8							
57	Kroeger, John R.	Office of General Counsel	MOE	ž							
28	58 Lattin, Antonia	OEP	DHAC	none							
53	Lichtenstein, Lynn S.	Office of General		No.							

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	a		-	ш						
_	Name		Division	<u> </u>	(s) of cation	sient(s) tion	III. The subject matter of the communication	IV. Give	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	E
9	60 Lin, Shyang-chin	OEP	D2SI	ટ							
19	Logan, Sharon	Office of Markets, Tariffs & Rates	Rates - East	ž							
62	Long, Lisa T.	Office of General Counsel		2							
83	Lurensky, Marcia	Office of General Counsel	MOE	ō.							
64	Mahrenholz, Gary	Office of Market Markets Tariffs Developme & Rates nt	Market Developme nt	£	- ;						
65	Mamone, Russell B.	Office of General Counsel		No.							
8	Marbry, Richard	Office of Markets, Tariffs & Rates	Policy	No							
67	Massey, Willam L.	Office of Commissioner Massey		Yes	Yes H. Eugene Lockhart	William L. Massey	Thank you letter	5/31/2001		ON	
89	Massey, William L.	Office of Commissioner Massey		Yes	Yes H. Eugene Lockhart	William L. Massey	Thank you letter w/attachment	10/2/2001		No	
69	McCelland, John	Office of Markets Tariffs & Rates	Rates - West	Ş	_						
70	McKnight, Angela M.	Office of General Counsel	Administration	o N							
71	McLaughlin, Toniette	Office of Markets, Tariffs & Rates	Rates - Central	문							
72	McNamara, Suzanne	Office of General Counsel	MOE	No.							
73	Midway, Barry M.	Office of General Counsel	<del>-</del>	ģ							

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

(s)
III. The subject matter of the the the communication The communications (vicinity and in the communications of inquests, sealing or of inquests of inquests and inguished to other sealing or other or other sealing or other
III. The subject matter of the communication The communication The communications The communication is the communication that manually of the communication that manually communication that manually communications that conferences could have a conferences could have was finded on the site was finded to the site was finded to the site of the site
III. The subject matter of the communication is possible to the communications by yolicily would have covered listeness. Beginning issues, data of specially would have covered is possible to the normal subject of the common for the normal subject of communications that and communications that are common conferences could have normal manufactures and that was included any policit has we help the issues set for help the subject of the subj
- 5 - >> 5
Eciplent(s) Ication Ic
Sender(s) of communication is been no records on the question.
Division (b)
Office of General
Name Name 1 of 2)
-

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Х	VI. Other Comments (if any)	to my current position in my current position in the current position in the current position in the current position in the records from those concepting would be in the recording would be in the recording would be in the lies of the current proposition in the lies of the current public files or in the lies are concepting the current public files or in the lies are controlled by the linguishment of the linguishment of the linguishment public linguishment and currently involving any mention the mention form the mention from from the period into gram freeling from free currently from the period into gram freeling from free currently from the currently from the currently free period into gram freeling from free currently freely f			Role was that of a facilitator		
ſ	V. Was any action taken by the Comm. In response (if any) to communication:		No. No settlement reached.	No. No communication to the Commission or its advisory staff where made by me e: the substance of the settlement agreement.	No communication was made by me to the decision makers and advisory staff respecting any substantive discussions held during the negotiations.	:	
-	IV. Or date range		2/15/2002	Apr. 01	Feb. 02		
. =	IV. Give the date		9/29/2001	Mar. 00	Mar. 00		-
H 9	III. The subject matter of the communication		Settlement negotiations re: correct rate to be charged, scheduling and tocation of meetings, interest based negotiations v. positional bargalning.	Settement negotiations re: ad valorem tax refunds	Settlement negotiation, logistics, RTO formation		
ш	II. The recipient(s) of the communication		Ves. Plyeine Company Plyeine Company	Me. Northern Natural Pipeline Company, and parties	Me and plantes to RTO formation		
ш	I. Sender(s) of communication		Me and Transwostom Pipeline Company	Me and Yes, representatives from Northern Nat.	Yes. RTO formation		
0	(q)		Yes.	Yes.	Yes.	8	
O	Division (b)	*.	DRS	DRS	DRS		Lucion
В	Office	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of General Counsel	Office of General Counsel	
A	Name	Mles, Richard L. (Line 2 of 2)	Miles, Richard L.	Miles, Richard L.	Miles. Richard L	Miller, Thajavna D.	
П	-	52	76	77	78	79	ſ

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

	X	VI. Other Comments (if any)														
	ſ	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:						Yes, order was issued	Yes, order was issued	Yes, order was issued				-		Commission approved the settlement
	_	IV. Or date range						Don't remember	Don't remember	Don't remember						
osduo	Ξ	IV. Give the date						Don't remember	Don't	Don't remember						08/30/00-
From Senators Lieberman and Thompson	9	III. The subject matter of the communication						clarification/supplemental data	clarification/supplemental data	Ask that atternate route be drafted						Settlement negotiations, focation timing of settlement, conferences
enators Liebe	ч	II. The reciplent(s) of the communication						Florida Gas Transmission	Midwestern Gas Transmission	Northern Natural Gas Company						Mary Kay Miller, Mike McGowan, Bob Thurber, Jim Talcott, Frank Kelly, Rob Wilson, On Straton, Tim Klssner, Drew Fossom, Roger Brumeister
From S	3	Division (b) communication						yes Veronica Moten	yes Veronica Moten	yes Veronica Moten						Yes Deborah Osborne
ĺ		(g)	NO.	Š.	No.	ę.	ŏ.				Ş		Š.	No.	§.	Yes
	ပ	Division			MOE	Market Developme nt	Solicitor	Office of Assistant Director	Office of Assistant Director	Office of Assistant Director	DHAC	Litigation		MOE	Rates -	DRS
	æ	Office	Office of General Counsel	Office of General Counsel	Office of General Counsel	Office of Market Markets Tariffs Developme & Rates nt	Office of General Counsel	d30	ОЕР	OEP	OEP	Office of General Counsel	Office of General Counsel	Office of General Counset	Office of Markets, Tariffs & Rates	Office of General Counsel
	V	Name	Minnis, Frederick G.	Morgan, Dorothea R.	Morie, Roger P.	Morton, Jerel	Morton, Patricia A.	Moten, Veronica	Moten, Veronica	Moten, Veronica	Murray, Diane	Murrey, Barbara A.	Myler, John A.	Norman, Michelle E.	Nowak, Daniel	Osborne, Deborah M.
		-	81	82	83	84	85	98	87	88	68	90	91	92	93	96

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

L	٧	4	c	6	i.	L		-	-	-	2
	Name	Office	Division (b)		i. Send commu	II. The recipient(s) of the communication	III. The subject matter of the communication	fV. Give the date	IV. Or date range	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:	1 5
95	Osborne, Deborah M.	Office of General Counsel	DRS	Yes	Yes Deburah Osborne	Deborah Ceborne, Christi Nicolay, Andy Edison	Enron was one of four companies selected by the ORS to inferrow in Haston as part of a plot protect on the use or Affernation (ADPS) more for reaching business and to fear about commonly services where the definition is not a plot of the demands of hours' hading require smart, quick, and fair business decisions.	Prior 5/24/2001, lelephone Conversation is were held to set to presetting to discuss use of ADR		No. The DRS wrote a thank you teller to Ms. the coordinate of the meeting and the meeting and the meeting and opportunities when we could service Erron in ADR training.	During one of the lelephone conversations, there was a tree discussion of potentially using ADR for a commodities are ab this parties chose in our use the DRS and instead work on the case was unassisted regoliations.
96	Osborne, Deborah M.	Office of General Coursel	DRS	√es.	Yes. Deborah Oabonne	Fossom Fossom	Finon was one of four four department of four four four four four four four f	5/24/2001		No. A Kolow up trank you Town was earl to he Fosson hearling fair for the same of the form the first of the personnel.	
97	Owens, Yvonne	Office of Markets, Tariffs & Rates	Issue Identificatio n and Resolution Manageme	£							
86	Oxendine, Willie	Office of Markets Tariffs & Rates	Rates - East	Š.							
66	Perrilloux, Debra	Office of Markets Tariffs & Rates	Complianc e and Operationa I Audits Group	S.							
100	Pierce, Darrel	Office of Markets Tariffs & Rates	Policy	g S	• .		-				

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Ĺ				ı	C HIOTH	ellators Liebe	rrom senators Lieberman and I nompson	mpsor			
	¥	æ	٥	ᅴ	ш	ш	ဗ	Ξ	-	ſ	У
-	Name	Office	Division (b)	e	l. Sender(s) of communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
101	Pointer, Cynthia	Office of Markets Tariffs & Rates	Information Analysis Group	ž							
102	Poionter, Stephen	Office of Markets Tariffs & Rates	Rates - Central	£							
103	Pratt, Francine M.	Office of General Counsel		g.							
104	Pride, Bonnie 4	Office of Markets, Tariffs & Rates	Litigation	Yes	Office of Markets, Tariffs Litigation Yes Martin A Raives	FERC Staff/Black Marlin	Data request/responses, settlement offers in conjunction with rate proceeding in Docket No. RP98-274-000		Various dates between August 1998 and November 18, 1998	Various dates between December 30, 1998 August 1998 Commission Order and approving Settlement field November November 19, 1998 18, 1998	
105	Putas Jr., Demetrios G.		MOE	g							
106	Pursley, Thomas A.	Office of General Counset	MOE	Š.							
107	Ramdath, Clint	Office of Ir Markets Tariffs & Rates	Information Analysis Group	£							
ĕ	108 Ramer, John	OEP	DEER	9							
109	Rand, Marilyn	Office of Markets Tariffs & Rates	Rates - Central	Yes	Yes Florida Gas	Rob Kilmer & Marityn Rand	Status of pending Rob Klimer & Marityn Rand applications and responses to data requests		12/96 - 12/99	None	
110	Robinson John M.	Office of General Counsel	MOE	ģ							
11	Roddy, John P.	Office of General Counsel	MOE	S.							
- 5	Rogers, Albert	Office of Ir Markets Tariffs & Rates	Information Analysis Group	£							

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

į					From S	enators Liebe	From Senators Lieberman and Thompson	mpsor	_		
	A	8	O	۵	В	ш	9	Ŧ	-	7	¥
	Name	Office	Division	e e	Division (b) communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the VI. Oth Comm. In response (if any) to communication:	2
12	127 Scordelis, Philip	OEP	DHAC	운							
128	Scott, JoAnn	Office of General Counsel	Liligation	Yes.	Eilher EERC or Ennon Liigation Yes, or Portand General Electric	Ether FERC or Ennot Same as in column 1 and or Portand General it all participants in Electric.	Discovery and data request responses in Docket Nos. ER97,238-303, ER98-2351 000. ER98-236-000. Bit of the R99-28-003 & EL00-95-045	1998 forward		Ongoing	
129	Segal, Frances	Office of Markets, Tariffs & Rates	Liligation	Yes	Office of Natives Tartis Liligation Yes Border Border	FERO Staff/Northern Border	Data requestis/response, serificment offers in conjunction with consolidated rate proceeding in Docket Nos RR99-322-000 and Rp96-45-000		Various dates between July, 1099 and September 2000	Мале	
	Seigel, Jessica A.	Office of General Counsel		ģ							
131	Settles-Height, Renee C			Ş							
132	Shapiro, Steven A.	Office of General Counset	DRS	o N							
133	Shenot, Jeffrey	ОЕР	OEER	sex.	Florida Gas Transmission Company (FGT)	FERC (Secretary)	FGT submitted an application to FERC for section 7(b). Abandonment of interruptible Transportation Service	1/21/1993		Order issued on 3/3/93	
134	Shenot, Jeffrey	OEP	DEER	yes	Transwestern Pipeline (Company (Transwestern)	FERC (Secretary)	Transvooten submitted an application of FRC for a Prior Notice section 157.216. Banker Type Authorization, for Abandomeni of interrupible Transportation Service	1/21/1993		Order issued on 3/13/93	
135	Shenot, Jeffrey	OEP	DEER	yes	Florida Gas Transmission Company (FGT)	FERC (Secretary)	FGT submitted an application to FERC for a Prior Notice section 157.212. Blanket Type Authorization, for Constructing and Operating a New Detwery Point	2/1/1993		Order issued on	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter

-5

	¥	VI. Other Comments (if any)						
							693	7
	ſ	V. Was any action taken by the Comm. In response (if any) to communication:	Order issued on 6/3/93	Order Issued on 6/4/93	Order issued on 7/13/93	Order Issued on 1/18/94	Order issued on 12/21/93	Order issued on 8/30/94
_	_	IV. Or date range						
iosdw.	I	IV. Give the date	2/25/1993	4/14/1993	5/24/1993	7/15/1993	11/4/1993	7/7/1994
From Senators Lieberman and Thompson	9	III. The subject matter of the communication	Northern Natural submitted an abbreviated application (Sections 157, and 157,18) to FERO to Abandon Trensportation and Exchange Service	Northern Natural submitted an application to FERC for a Prior Notice section 1977.212, Banket Type Authorization, for Constructing and Operating a New Delivery Point	Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point	Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Banket Type Authorization, for Constructing and Operating a New Delivery Point	FGT submitted an application to FERC for a Prior Notice section 157.2.12, Banket Type Authorization, for Constructing and Operating a New Delivery Point	Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Conference Point
enators Liebe	Ŀ	II. The recipient(s) of the communication	FERC (Secretary)	FERC (Secretary)	FERC (Secretary)	FERC (Secretary)	FERC (Secretary)	FERC (Secretary)
From S	В	l. Sender(s) of communication	Northern Natural Gas Company (Northern Natural)	Nerthern Natural Gas Gongsary (Northern Natural)	Northern Natural Gas Company (Northern Natural)	Northern Natural Gas yes Company (Northern Natural)	Florida Gas Transmission Company (FGT)	Northern Matural Gas Yes Company (Northern Natural)
	۵	( <u>q</u> )	xex.	yes	sex.	yes	yes	yes
	၁	Division (b)	DEER	DEER	DEER	DEER	DEER	DEER
	В	Office	OEP	OEP	OEP	OEP	OEP	OEP
	A	Мате	Shenot, Jeffrey	Shenof, Jeffrey	Shenot, Jeffrey	Shenol, Jeffrey	Shenot, Jeffrey	Shenot, Jeffrey
		-	136	137	138	139	140	

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

1	A	В	٥		ш	LL.	9	I	-	7	×	
	Name	Office	Division	æ	Division (b) communication	ft. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV. Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)	,
142	Shenot, Jeffrey	ОЕР	DEER	sex	Northern Natural Gas yes Company (Northern Natural)	FERC (Secretary)	Northern Natural submitted an application to FERC for a Prior Notice section 177.212, Banket Type Authorization, for Constructing and Operating a New Delivery Point	8/23/1994		Order issued on 10/12/94		
143	Shanot, Jeffrey	ОЕР	DEER	yes	Florida Gas yes Transmission Company (FGT)	FERC (Secretary)	FGT submitted an application to FERC for a Prior Notice section 157.212, Banket Type Authorization, for Constructing and Operating a New Delivery Point	1/16/1996		Order issued on 8/5/96		·
144	Shenot, Jeffrey	ОЕР	DEER	yes	Northern Natural Gas Company (Northern Natural)	-ERC (Secretary)	Northern Natural submitted an explication to FERC for section 7(c), Construct and Operate New Facilities	2/2/1999		Order issued on 9/30/99		
145	Shepherd, Helen S.	Office of General Counsel		ž								-
146	Silverman, Jacob 6	Office of General Counsel	MTR	g								_
147	Simonyi. Peter G.	Office of General Counsel		S.			APPORT OF THE PARTY OF THE PART					
148	Smellwood, Cynthia B.	Office of General Counsel	Solicitor	No.								
149	Sooper, Samuel	Office of General Counsel	MRT	Ş	,						ere backi sama de de la companya de	
150	Swinson, Pamela 0	Office of General Counsel	Litigation	ģ								
151	Tapscott, Maxine	Office of Markets Tariffs & Rates	Rates - East	S.		,						
152	Toepfer, Betty	Office of General Counset	MOE	. <u>S</u>								·

Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

				ĺ							
	A	В	O	۵	ш	ш	9	I	_	ſ	エ
-	Name	Office	Division (b)	<b>(9</b> )	l. Sender(s) of communication	II. The recipient(s) of the communication	III. The subject matter of the communication	IV. Give the date	IV Or date range	V. Was any action taken by the Comm. In response (if any) to communication:	VI. Other Comments (if any)
153	Tolley, Jo	Office of Markets Tariffs & Rates	Administrat ion and Case Tracking	Yes	Yes, FERC	Open to Commission staff	Series of information sessions given to FERC staff to provide training/information on Commission-related issues.		3/00 - present	None	Erron Ort.ine Information Session was presented on April 18, 2000. One hour and 30 min. presentation to Commission staff presented by Bob Shults from Erron.
154	Travers, Cynthia	Office of Markets, Tariffs & Rates	Office of the Director	£							
155	155 Turner, Laura	OEP	DEER	ŝ							
156	Van Der Jagt, Carolyn	Office of General Counsel	Energy Projects	S.							
157	Vasapoli, Dennis L.	Office of General Counsel									-
158	Walton, Arlene F.	Office of General Counsel	Administrat lon	No.							
125	59 Ward, Arnetta R.	OEP	SFRO	ON							
160	White, Lodie	Office of General Counsel	MRT	N. O							
161	161 White, Richard			Š							
162	Williams, Gerald	Comptianc Office of e and Markets Tariffs Operationa No & Rates I Audits Group	Comptianc e and Operationa I Audits Group	2							
163	Winchell, Frank	OEP	DEER	sak	Jolee Gardner, consultant for Portland General Electric	Frank Winchell	Section 106 Consultation Invoving Relicensing the ClackamusRiver Hydroelectric Projects (p- 135, 2195, 2233)	4-Nov-99		по	communication was an email message
25	Winchell, Frank	OEP	DEER	yes	Gall Thompson, consultant for Portland Gas and Electric	Frank Winchell	Discussion on setting up Cultural Resources Work Group Meetings Involving Relicensing the the Clackamus River Aydroslectric Poljects (p- 135, 2195, 2233).	1-վսո-00		ou ou	communication was an email message

Supplemental Response to Question No. 3 in the February 15, 2002 Letter

Thompson	
n and	
ieberma	
enators L	
From S	

						_
¥	VI. Other Comments (if any)	communication was an email message				
ſ	IV. Or taken by the date Comm. In response (if any)	2				
_	IV. Or date range					
I	IV. Give the date	1-Jun-00				
O	III. The subject matter of the communication	Developing the Area of Potential Effects for Cultural Resources Involving Reticensing the Clackamus River Hydroelectric Projects (p-135, 2185, 2233).				
ı.	Division (b) communication communication communication	Frank Winchell				
E	I. Sender(s) of communication	Gall Thompson, consultant for Portland Gas and Efectric				
٥	(P)	yes	g 2	£	Г	
O O	Division	DEER	Solicitor	DZSJ		
В	Office	ОЕР	Office of General Counset	OEP		
A	Nаme	Winchell, Frank	Wright, Renee M.	167 Yamashita, Takeshi		
	-	165	166	167	168	169

# Enclosure B

March 20, 2002

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

- 1. Bach, Christena
- 2. Bruner, Becky
- 3. Cappello, Patricia
- Card, Kathleene (former Director, Office of External Affairs (1992-93); former Advisor to Chairman (Jan. 1993-April 1993)
- Corso, Ronald
- 6. Curry, Robert
- 7. Folsom, Noel (former Regional Director, San Francisco Regional Office)
- 8. Fowler, Lindil
- 9. Gordon, Samuel (former Administrative Law Judge)
- 10. Goss, Patrick
- 11. Harris, Ronald (former Press Secretary and Director of Press Services (1989-94)
- 12. Hirning, Kathleen (former Chief Information Officer)
- 13. LaMay, Donna
- 14. Leache, Allen
- 15. Levant, Michael (former Administrative Law Judge)
- 16. Lotis, Jon (former Administrative Law Judge)
- 17. Maxwell, Thomas
- 18. McAllister, Sanford
- 19. Megan, Thomas (former Administrative Law Judge)
- 20. Miller, David (former Administrative Law Judge)
- 21. Murphy, William (formerly in Office of the Executive Director)
- 22. Passerini, Margie
- 23. Redman, Douglas (formerly in Office of the Executive Director)
- 24. Springer, Fred (formerly in Office of Hydropower Licensing)
- Szekely, Robert (former Director, Division of Pipeline Certificate, Office of Producer and Pipeline Regulation)
- 26. Toronto, Anthony (former Comptroller)
- 27. Trant, Matthew
- 28. Wakefield William
- 29. Witkin, Jay (former Solicitor)
- 30. Wyvill, Sandra (formerly in Office of the Executive Director and Chief Financial Officer)

# Enclosure C

March 20, 2002

The following former employees of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

- 1. Adamson, Daniel
- 2. Alexander, Michael
- 3. Allday, Martin
- 4. Angle, Stephan
- 5. Berman, Stan
- 6. Boyle, Walter S.
- 7. Cackowski, Bob
- 8. Cashell, Lois
- 9. Cochrane, Anna V.
- 10. Conway, John
- 11. Cowan, William
- 12. Dias, Kathleen
- 13. Fallon, Robert C.
- 14. Frangipane, Joe
- 15. Hirning, Kathleen
- 16. Hoecker, James J.
- 17. Hoecker, Rebecca Evans (formerly Rebecca F. Schaffer)
- 18. King, Tom
- 19. Lansinger, William C.
- 20. Madden, Kevin
- 21. Mohler, Paul
- 22. Moorhead, Adrian
- 23. Nelson, Jermone
- 24. Orecchio, John A.
- 25. Sampson, Carol
- 26. Santa, Donald F.
- 27. Schopf, Michael
- 28. Siomporas, Ted
- 29. Smith Douglas W.
- 30. Stone, Howard
- 31. Tindall, Robert
- 32. Tomasky, Susan
- 33. Tomcalla, Karen A.
- 34. Vasile, Mary K

March 1, 2002

Ms. Susan J. Court Lead Counsel for General and Administrative Law Office of General Counsel Federal Energy Regulatory Commissio Washington, D.C. 20426

Dear Ms. Court:

Thank you for your letter of February 26, 2002, regarding the information request from the United States Senate Committee on Governmental Relations regarding communications and contacts between Enron employees and FERC employees over a ten-year period. What follows is my response, which cannot fit into the template provided by the Committee:

I served as Director of the Office of Energy Projets (OEP) at FERC from October 1999 through May 2001. OEP is responsible for regulating non-federal hydroelectric generation and the siting of interstate natural gas pipelines. During my tenure at FERC my schedule was kept by hand in appointment books by my Secretary Joyce Harris. In response to a question from Senator Boxer regarding meetings with Enron, I contacted Ms. Harris on February 11, 2002, to request that I have the opportunity to review these appointment books in order to refresh my recollection of any meetings with Enron employees. Ms. Harris informed me that she had discarded my appointment books after I left FERC. Therefore, I have no records of any meetings with Enron during my tenure at FERC.

However, it is likely that I met with Enron employees or their representatives during my FERC tenure. I specifically recall at least one meeting concerning a proposed pump storage hydroelectric facility and another regarding the development of energy infrastructure on Indian lands. It is also likely that other meetings occurred regarding natural gas pipeline expansions proposed by Enron affiliate.

It is also likely that I had oral communications with Enron employees during my tenure at FERC but I have no record of my calls and do not recall any specific communications.

I hope this response is helpful to you in your effort to respond to the Committee. If you have questions or comments, please do not hesitate to contact me at (202) 508-6640.

Daniel M. Adamson

F:\DOCS\adamd\lieberman.doc Washington, D.C.

# Response to Question Number 3 (a) – Meetings (whether in-person or through telephone or video-conferencing)

Name: Michael D. Alexander
FERC Title at Time of Meeting: Technical Advisor, Office of Commissioner Breathitt

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject matter of meeting	Any action taken by Commission in response to meeting
July 23, 1998	Enron	Joe Hartsoe, Commissioner Breathitt, and myself	Electric industry restructuring issues	None
September 18, 1998	Enron	Joe Hartsoe, Steve Kean, Commissioner Breathitt, and myself	Electric transmission issues	None
September 22, 1999	Enron	Joe Hartsoe, Steve Kean, Commissioner Breathitt, and myself	Electric industry restructuring issues	None
September 7, 2000	Charles Bone	Richard Shapiro, Charles Bone, Commissioner Breathitt, and myself	Electric industry restructuring issues	None
January 18, 2001	Dynegy	Representatives of Dynegy, Reliant, ELCON, EPSA, and Enron, Commissioner Breathitt, and myself	Interregional coordination of Regional Transmission Organizations	None

Response to Question Number 3 (b) – Other Communications (whether in-person or through telephone or video-conferencing)

Name: Michael D. Alexander
FERC Title at Time of Meeting: Technical Advisor, Office of Commissioner Breathitt

Date of the communication	Sender of the communication	Recipient of the communication	Subject matter of communication	Any action taken by Commission in response to communication
No records of any other communications	N/A	N/A	N/A	N/A

### MARTIN L. ALLDAY

5405 Highland Crest Drive Austin, Texas 78731 (512) 206-0633

March 7, 2002

Federal Energy Regulatory Commission 888 First Street, NE Washington, D. C. 20426

Attn: Ms. Susan J. Court

Re: Communications and contacts with Enron employees and Martin L. Allday, FERC Chairman, during the period from January 1, 1992, through April

1993

### Gentlemen:

In response to your February 26, 2002 letter to "Former Federal Energy Regulatory Commission Employees," please be advised that I do not recall any meeting, communication, or contact with any Enron employee or any representative of Enron during the above-referenced time frame from January 1, 1992, through April 1993 when I resigned from the Commission.

Yours truly,

Martin L. Allda Past Chairman FERC

#### Susan Court

From: Angle, Stephen [sangle@velaw.com]

Sent: Friday, March 15, 2002 5:51 PM

To: Susan Court

Subject: Response to March 12, 2002 letter

Susan, in response to your letter of March 12, 2002, there were two meetings that I and other members of the litigation staff held with representatives of an Enron affiliate. These meetings were held in late 1995 or early 1996 when I as Assistant General Counsel for Hydroelectric and Telemetric Litigation was working with my staff and staff of the Office of Electric Power Regulation to develop a trial staff litigation position. The Commission had set for hearing a number of proceedings in which it had directed transmission owners to offer transmission service to transmission customers that was comparable to the service that the transmission owner provided to itself as an integrated electric company. In response to this directive, I initiated meetings with a number of different transmission users to obtain their views on what features should be included in "comparable transmission service." We contacted representatives of transmission dependent utilities, independent power producers, and power marketers. One person whom I contacted was Joe Hartsoe, whom I asked to attend a meeting with me and other staff members. Subsequently, we held two meetings with Joe, who was serving as FERC liaison for Enron and Steve Kean, who was a vice president of one of the Enron companies (probably the power trading unit). No action was taken by the Commission in response to this meeting. As trial staff, I was subject to the separation of functions rules that preclude any communication from trial staff to the advisory staff or any Commissioner respecting any matter that has been set for litigation. These limitations were igorously observed. Information obtained from Enron, as well as information obtained from ther parties that had intervened in the proceedings at issue, was used to inform trial staff's position on the requirements of an open access tariff. Trial staff, through an OEPR witness, sponsored a uniform open access tariff based on pre-existing filings by certain utilities, as modified to include changes that staff conclude

Any other meetings that I had with Enron representatives would have been in the course of settlement negotiations in litigated Commission proceedings. Enron routinely intervened in transmission related matters that had been set for hearing. Such conversations are privileged and confidential pursuant to Rule 602 of the Commission's Rules of Practice and Procedure. Accordingly, no statement made by an Enron representative or any party participating in such discussions were ever reported to advisory staff or a Commissioner.

Thank You.

Stephen Angle Vinson & Elkins PH (202) 639-6565 FAX (202) 639-6604 sangle@velaw.com

### ++++++CONFIDENTIALITY NOTICE+++++

The information in this email may be confidential and/or privileged. This email is intended to be reviewed by only the individual or organization named above. If you are not the intended recipient or an authorized representative of the intended recipient, you are hereby notified that any review, dissemination or copying of this email and its attachments, if any, or the information contained herein is prohibited. If you have received this email in error, please immediately notify the sender by return email and delete this email from your system.

3/18/2002

#### Susan Court

"Berman, Stan " <SBerman@HEWM.com>
[WDCODOMAIN.INTERNET."SBerman@HEWM.com"] on behalf of WDCODOMAIN.INTERNET."SBerman@HEWM.com"

Sent: Thursday, March 14, 2002 9:39 PM

Vera Vaughns To: Susan Court

RE: Senators Joseph Lieberman and Fred Thompson Letter Subject:

I was Assistant General Counsel, in charge of the Office of Hydroelectric and Electric Litigation in the FERC General Counsel office, from April 1997 through May 1999. Prior to holding the position of Assistant General Counsel, I was a Trial Attorney in the Office of Hydroelectric and Electric Litigation from August 1994 through April 1997. Since June 1999, I have been employed by the law firm of Heller Ehrman White & McAulliffe LLP in Seattle, Washington.

The Office of Hydroelectric and Electric Litigation, also known as Electric Trial Staff, was assigned to represent the public interest in proceedings relating to electric utility matters that were set for hearing at the FERC. relating to electric utility matters that were set for hearing at the FERC. Additionally, the Electric Trial Staff was frequently called upon to act as a mediator or facilitator to attempt to resolve disputes pending before the FERC. In order to facilitate settlement of disputes pending before the FERC, the Electric Trial Staff conducted settlement conferences -- such conferences typically involved bringing all the parties to a dispute together in a room at the FERC, and the Electric Trial Staff would attempt to bring the parties to an agreement that would resolve the dispute. Occasionally, in that facilitator role, the Electric Trial Staff would meet individually with each of the parties to a dispute, and then engage in shuttle diplomacy in order to find a way to resolve the dispute.

During the several years that I was Assistant General Counsel, and in the prior years when I was a Trial Attorney, I moderated many dozens or scores of such settlement conferences. Enron was a frequent participant in such conferences, as it was concerned with advancing open access transmission service on virtually all electric utility systems around the country. I no longer have records of exactly when those meetings occurred, the names of all the participants of the meetings, or which meetings were attended by representatives of Enron.

On several occasions, cases involving Portland General Electric (a subsidiary of Enron) were set for hearing, and similar settlement discussions were held to resolve disputes between Portland General Electric and its customers. Again, I no longer have records of exactly when those meetings occurred, the names of all the participants of the meetings, or which meetings were attended by representatives of Portland General Electric.

Other than settlement conferences such as those described above, I was not a participant in any meetings involving Enron or its subsidiaries, and I did not have any non-public communications with Enron or its subsidiaries.

If you need any additional information, feel free to contact me.

```
> Stan Berman
> Attorney
> HellerEhrman
> phone: 206.389.4276
> fax: 206.447.0849
> email: sberman@hewm.com
> mail: 701 Fifth Ave., Suite 6100, Seattle, WA 98104
```

FEDERAL ENERGY REGULATORY COMMISSION Washington, D.C. 20426

OFFICE OF THE GENERAL COUNSEL

February 26, 2002

#### FORMER FEDERAL ENERGY REGULATORY COMMISSION TO: **EMPLOYEES**

On February 19, 2002, Chairman Pat Wood, III, received a February 15, 2002 letter from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, regarding communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Question Number 3 is directed at all FERC employees, including certain employees who are no longer at the Commission. After receiving a clarification from the Senators' staff, the Commission has been asked to collect responses to Question Number 3 from former FERC employees who were division directors or above. Accordingly, I am enclosing for your information a copy of the incoming letter and forms which you can use as a template to answer the question. The only other clarifications that we have received on this question are also enclosed. Finally, I am enclosing a self-addressed envelope that you may use to return your response, which we would appreciate receiving by Wednesday, March 13, 2002.

If you have any questions about this matter, feel free to contact me at (202) 208-2183 or at susan.court@ferc.fed.us. Thank you.

Lead Counsel for General and Administrative Law

Susan J. Court

Enclosures

March 4, 2002

There had no informal communications with Tortland General Electric Company, a subsidiary of Enron Corporation. All other communications and meetings are documented in the files in the Washington D. C. and Portland Regional Offices.

Walter S. Boyle, OHL/DISI/PRO

## FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, D.C. 20426

OFFICE OF THE GENERAL COUNSEL

February 26, 2002

#### TO: FORMER FEDERAL ENERGY REGULATORY COMMISSION EMPLOYEES

On February 19, 2002, Chairman Pat Wood, III, received a February 15, 2002 letter from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, regarding communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Question Number 3 is directed at all FERC employees, including certain employees who are no longer at the Commission. After receiving a clarification from the Senators' staff, the Commission has been asked to collect responses to Question Number 3 from former FERC employees who were division directors or above. Accordingly, I am enclosing for your information a copy of the incoming letter and forms which you can use as a template to answer the question. The only other clarifications that we have received on this question are also enclosed. Finally, I am enclosing a self-addressed envelope that you may use to return your response, which we would appreciate receiving by Wednesday, March 13, 2002.

If you have any questions about this matter, feel free to contact me at (202) 208-2183 or at  $\underline{susan.court@ferc.fed.us}$ . Thank you.

Sincerely,

Susan J. Court

Lead Counsel for General and Administrative Law

Enclosures

Just I don't have any notes irrecollections of any I don't have any notes irrecollections of any meetings or communications with ENRON. I may meeting or communications confirmed involving than have attended stuff prefiling confirmed involving than have attended any.

But I don't recall any.

But I don't recall any.

LOIS CASHELL 7434 HERSCHEL AVE., #6 LA JOLLA,CA 92037

March 16, 2002

Ms. Susan J. Court
Lead Counsel for General and
Administrative Law
Room 5K-13
Federal Energy Regulatory Commission
Washington, D.C. 20426

Dear Ms. Court:

Yesterday I received an e-mail from your office requesting a response to question 3 of a letter from Senators Lieberman and Thompson. My mail is forwarded during the winter months from my Virginia residence to the above address, but I have not yet received your February 26 letter. I am sending this by e-mail since you requested a response by March 15 and am also sending it to you by regular mail.

I can provide no specific information on any communications with Enron employees during the relevant period until my retirement on December 30, 1997. If any communications did occur, they would have concerned procedural matters related to my duties as Secretary of the Commission. Such communications with employees of regulated entities typically related to the status of filings such as motions for extensions of time and the status of orders requiring action by the Commission.

Sincerely,

Lois Cashell

Susan J. Court Lead Counsel General and Administrative Law Federal Energy Regulatory Commission Washington, DC 20426

VIA E:MAIL

Dear Ms. Court:

This is in response to your letter, dated March 12, 2002, requesting that I respond to Question No. 3 of the February 15, 2002 letter to Chairman Wood from Senators Lieberman and Thompson. I understand that you attempted to contact me in a letter dated February 26, 2002. However, I did not receive that letter since it was sent to a prior address.

Question No. 3 addresses communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Since I left government service on October 31, 1992, my response will relate to the period from January 2, 1992 through October 31, 1992.

I was Technical Advisor to Commissioner Charles Trabandt during the period in question. When I left the Commission, I did not take with me any notes, calendars, or other non-public documents. I do not recall any specific individual conversations or communications with an Enron employee during this period, other than mentioned below. Nor do I recall attending any meeting at the FERC with Enron employees.

I attended the following conferences at which Enron employees may have been present:

- 1. During the period in question, my primary focus was on the development of a major rulemaking, called Order No. 636, which restructured the interstate natural gas pipeline industry. I attended a publicly noticed, Commission technical conference organized to provide industry with the opportunity to share their views and concerns about the Notice of Proposed Rulemaking. Order No. 636 notes that the conference was held on January 22, 1992. Although I assume that there were Enron employees in attendance, I do not have the agenda for the conference and do not recall any presentations by Enron. Nor do I recall any specific conversations I may have had with any Enron employee.
- I participated as a panelist at a Legislative and Regulatory Conference, sponsored by the Interstate Natural Gas Association of America (INGAA) in the Spring of 1992. Since Enron is a member of INGAA, I assume there were Enron employees at the conference. However, I do not have the agenda for the conference and do

not recall any presentations by an Enron employee. I have no recollection of any specific conversations with any Enron employee.

- 3. I attended an INGAA Annual Meeting in September 1992. Although I assume that there were Enron employees in attendance, I do not have the agenda for the conference and do not recall any presentations by Enron. Nor do I recall any specific conversations I may have had with any Enron employee.
- 4. I was a speaker at an industry luncheon on October 30, 1992. I assume there was an Enron employee in attendance. However, I have no recollection of any specific conversations with any Enron employee. To the best of my recollection, the general topic of discussion was Order No. 636 and my impending departure from the Commission.
- 5. In the afternoon of October 30, 1992, Commissioner Trabandt hosted a going away party for me in the Commission meeting room. I recall speaking with Gay Baker, an employee in the Enron Washington office. The conversation was personal in nature and related to my change in career.

Respectfully submitted:

Anna V. Cochrane 6409 Jumet Court Fairfax Station, VA 22039

John H. Conway 3812 Columbia Pike Apartment No. 10 Arlington VA 22204

March 15, 2002

Ms. Susan J. Court
Lead Counsel for General
And Administrative Law
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, D.C. 20426

Re: Inquiry Concerning Communications and Contacts Between Enron and Commission Employees

Dear Ms. Court:

In response to your letter of February 26, 2002 to former Commission employees regarding communications and contacts between Enron employees and FERC employees over the period January 2, 1992 and December 2, 2001, please be informed of the following:

From January 2, 1992 to January 26, 1994, I was Legal Advisor to Commissioner and then Chair Elizabeth A. Moler; and from January 26, 1994 to April 1997 Senior Counsel to Chair Moler. During that period, I had numerous courtesy calls from, and meetings with, industry and state representatives. While representatives from Enron or its affiliates may have been among those with whom I talked or attended meetings, I kept no records of my various contacts or meetings and I have no memory of any particular meeting or discussion involving Enron's representatives.

From April 1997 to my separation from government service on September 23, 2000, I was FERC's Acting Solicitor and Deputy Solicitor. During that period, and as lead Commission counsel for the judicial review of FERC Orders No. 888 and No. 889, I met frequently with opposing counsel, including members of the firm Bracewell & Patterson who represented Enron in those judicial review proceedings. The Enron counsel for these meeting was Mr. Jeffrey Watkiss. These meetings (all of which, as I remember, were by telephone conferencing) were either status conferences before the Clerk of the United States Court of Appeals for the Second Circuit or among the parties' counsel as required by the United States Court of Appeals for the District of Columbia Circuit to develop procedures for the briefing and arguing of the case.

Susan J. Court Page 2

Finally, in mid-1999, I met on at least two occasions with counsel for Enron regarding the decision of the United States Court of Appeals for the Eighth Circuit in Northern States Power Company v. FERC, 176 F.2d 1090 (8th Cir. 1999). The purpose of those meetings, including one with the Solicitor General's Office, was to discuss the litigation risks and possibilities in seeking Supreme Court review of the court's decision. For these meetings, the counsels for Enron were Mr. Jeffrey Watkiss of Bracewell & Patterson and Mr. Louis Cohen of Wilmer Cutler & Pickering.

Should you have any further questions, please do not hesitate to contact me.

Sincerely yours,

John H. Conway

400 Old Meetinghouse Road East Falmouth, MA 02536 March 11, 2002

Susan Court Office of General Counsel Federal Energy Regulatory Commission Washington, D.C. 20426

Dear Susan,

This is in reply to your memo of February 26, 2002, which I received on March 8, 2002, requesting a response to Question No. 3 propounded by the Senate Committee on Government Affairs, dealing with communications and contacts between Enron employees and FERC employees from January 2, 1992 to December 2, 2001. As you know, I served as an Administrative Law Judge and Deputy Chief Administrative Law Judge at FERC from January 7, 1997 to August 11, 2001.

In my capacity as a Presiding Judge and Settlement Judge in a number of multi-party proceedings dealing primarily with Northeast electricity markets, I conducted settlement conferences in cases where Enron was a party. In these settlement cases, Enron was usually represented by Dan Alligretti, whom I believe to have been an employee of the company. In one case involving distribution of the costs of running ISO-New England, Enron was represented by one Donna Fulton, whom I believe to have been an employee of the company. In these cases, discussions were either on the record, or held in public conferences involving multiple parties. In some settlement conferences, informal caucuses of groups of parties with similar interests were held with me as the settlement judge. Enron was usually represented in such caucuses as a member of a "marketers" subgroup. In the case involving ISO-New England costs, I recall having several communications directly with Ms. Fulton, as I was attempting to get Enron to sign onto a tentative resolution of the dispute that was acceptable to other parties.

I trust this has been helpful. Please let me know I you need anything further.

William J. Cowan
U.S. Administrative Law Judge

10410 Muir Place Kensington, MD 20895 March 13, 2002

Susan J. Court, Esquire Lead Counsel for General and Administrative Law Office of the General Counsel 888 First Street, N.E. Washington, D.C. 20426

RE: Inquiry from Senators Joseph Lieberman and Fred Thompson

#### Dear Susan:

I received your letter of February 26, 2002 in which you asked certain former Commission employees who were division directors or above to respond to Question No. 3 of a February 15, 2002 letter from Senators Joseph Lieberman and Fred Thompson to Chairman Pat Wood, III.

Question No. 3 requests a listing of "all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

- For any meetings, whether in person or through telephone or videoconferencing, please identify:
  - who initiated the meeting
  - all those who were present at the meeting the subject matter(s) of the meeting
  - iii)
  - iv) the date of the meeting
  - v) any action taken by the Commission in response to the meeting
- For all other communications, please identify: b)
  - i) the sender(s) of the communication
  - the recipient(s) of the communication ii)
  - iii) the date of the communication
  - the subject matter of the communication
  - v) any action taken by the Commission in response to the communication

I served as a legal advisor to Commissioner Curt Hébert, Jr. from November 1997 through January 2000 and as a legal advisor to Chairman Curt Hébert, Jr. from January 2001 through August 2001. While I do not recall any specific meeting or communication with Enron during that period, I am bringing to your attention two matters I was involved in because there is a likelihood that Enron employees participated or attended.

March 13, 2002

First, the Commission held a number of Staff and industry outreach meetings in response to the Commission's Notice of Proposed Rulemaking in Docket No. RM98-10. These meetings were initiated by the Commission. Staff and representatives of various groups were present (pipelines, producers, consumer groups etc.). The subject matter was the Commission's NOPR in Docket No. RM98-10. I don't have any memory of when the meetings occurred. I don't know of any Commission action taken in response to those meetings.

Second, I attended a regulatory affairs conference sponsored by the Interstate Natural Gas Association of America (INGAA). Attending the meeting were members of INGAA and FERC Staff. The meeting was held in the Spring of 1998. I don't recall any specific subject matter or any action taken by the Commission in response to the meeting.

If you have any further questions about this matter please feel free to call me at 202-778-1657.

Sincerely,

Kathleen M. Dias

Kathleen M. Lliax

#### March 18, 2002

Ms. Susan Court, Lead Counsel General and Administrative Law Office of the General Counsel Federal Energy Regulatory Commission 888 First Street, N.E. Washington, DC 20426

Re: Senator Lieberman/Senator Thompson Letter

Dear Ms. Court:

Pursuant to your email of March 4, 2002, the following contains my recollection of meetings with Enron employees from January 1, 1992 to December 1, 2001. During the time in question, I worked primarily for former Commissioner and then Chair Elizabeth Anne Moler. Prior to leaving the Commission in November 1997, I worked a brief period of time in the Office of the General Counsel Trial section. To the best of my recollection during the time in question, I had the following meetings with Enron employees:

- Meeting with Richard Kinder, Enron title unknown, and Joe Hartsoe
  Washington representative for Enron with Elizabeth Moler; discussed Order No.
  888 NOPR among other issues; time of meeting after the issuance of Order No.
  888 NOPR, meeting took place at 825 North Capital; no action taken as a result of the meeting.
- 2. Meeting with J. Skilling, Enron title unknown, other unknown Enron personnel, and Elizabeth Moler; time of meeting-unknown, meeting took place at 825 North Capital; topic-general market issues; no action taken. I believe I met with Mr. Skilling along with Ms. Moler one additional time during this period but cannot recall the specifics of the meeting.
- 3. Meeting with Stan Horton, Enron title unknown and other representatives of the Interstate Natural Gas Association of America ("INGAA"); time of meeting-unknown, meeting took place at 825 North Capital; topic-pipeline proposal to negotiate rates, terms and conditions; no action taken at the time but

1420884 v1; %GD001!.DOC

Commission later issued policy statement and various orders on negotiated rates and considered, but rejected, allowing negotiation of terms and conditions for open access transportation service.

- Meeting with Joseph Hartsoe, Washington representative for Enron, time of meeting unknown, meeting took place at 825 North Capital; topic-general gas issues, including gathering; no action taken.
- 5. Conversation with Stan Horton, Enron title unknown; time of meeting unknown, call took place at 825 North Capital; topic-displeasure with Northern Natural Gas Pipeline's meeting with Elizabeth Moler on pipeline proposal for incentive rates; no action taken at time and but Commission did consider incentive rate issues in a variety of proceedings. I cannot recall if Northern Natural ever made any incentive rate filing.
- 6. Conversation with Joe Hartsoe, Washington representative of Enron; time of meeting unknown, conversation took place at 888 First Street; topic-INGAA attempt to submit alternative proposals to the Commission pursuant to the Commission's directive to the Gas Industry Standards Board "GISB"; action taken-during the conversation I stressed that the Commission wanted one proposal from GISB, GISB submitted one filing and Commission ultimately adopted a series of rulemakings on GISB related issues.

In addition to the above meetings, during this time I attended numerous industry gatherings, such as INGAA meetings, meetings of the Energy Bar Associations, industry receptions and gave numerous speeches to a variety of industry gatherings as well as attended all Commission meetings. During these gatherings, I no doubt interacted with Enron employees, such as Shelly Corman, an attorney for Enron, Joe Hartsoe and Donna Fulton, another Enron representative in the manner set forth in the Committee's letter. I simply cannot recall the specifics of any of those encounters. In addition, I saw Joe Hartsoe on social occasions, primarily with a group of energy lawyers that met from time to time. Again, I cannot recall the specifics of any of those encounters.

March 18, 2002 Page 3

I trust this answers the questions of the Committee and will be happy to provide any additional information you require. I can be reached at 202-861-9134, fallonr@dsmo.com.

1420884 v1; %GD0011.DOC

#### Page 1 of 1

#### Susan Court

From: Joe Frangipane [frangipane@earthlink.net]
Sent: Wednesday, March 13, 2002 9:54 AM

To: Susan Court

Subject: Response to Committee Request

I'm enclosing a summary of my contacts with Enron employees in Response to Question No 3 from the U.S. Senate Committee on Governmental Affairs.

I will put a hard copy of my response in the mail to you today. I'm sorry for the delay in responding.

Resp. of Joseph Frangipana to JS Senate Committee on Governmental Aff

Сотрапу	Docket No.	Audit Period (Date Audit Started - Date Report legund)	Comments	Contact
Fiorida Gas Transmission Finencial Audit	FA91-23-000	1/1/81 - 7/14/92	Audit report issued 7/14/92	Assistant Controller, John P. Trevelise
Fforda Gas Transmission Financial Audit	FA94-15-000	Audit ended 11/12/98	Contested audit issues settled 11/12/96	Director of Financial Accounting, James M. Saunders
Northern Border Pipeline Co. Financial Audit	FA93-45-000	4/19/93 - 5/17/36	Audit report issued 5/17/96	Controller, Patricla M. Wiederhoft
Northern Border Pipeline Co. Construction Audit	FA98-007-000	4/12/99 - 10/12/99	Audit report issued 10/12/99	Controllor, Patricla M. Wiederholt
Northern Natural Gas Company Financial Audit	FA93-48-000	6/1/93 - 6/26/95	Audit report issued 8/28/95	Director, Accounting and Reporting, Robert Chandler
Transwestern Pipeline Company Financial Audit	FA94-16-000	1/3/94 - 4/11/98	Audit report issued 4/11/766	Vice President and Controller, Mr. E.G. Parks
Midwestern Gas Transmission Co. Financial Audit	FA91-72-000	9/16/91 - 4/09/92	Audit report issued 4/09/92	Controller, Bilt J. Walls
Midwestern Gas Transmission Co. Financial Audit	FA94-9-000	11/15/93 - 10/20/94	Audit report issued 10/20/94	Assistant Controller, Mr. Gregory G. Gruber
Transwestern Pipeline Co.				

Kathleen M. Hirning 18 Earth Star Court Gaithersburg, MD 20878 (301) 986-3844

March 13, 2002

Susan J. Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission 888 First Street NE Washington, DC 20426

Dear Ms. Court,

I am writing in response to your letter dated February 26, 2002 asking me to identify any communications and contacts I recall having between ENRON employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001).

Between December 6, 1999 and February 9, 2001 I was Director for Office of External Affairs reporting to FERC Chairman/Commissioner James Hoecker through January 19, 2001, and then to Chairman/Commissioner Curt Hebert until my departure from FERC on February 9, 2001. On September 15, 1997 I started working at FERC as IT Consultant and hired February 1998 as FERC Chief Information Officer reporting to Chairman Hoecker. To my best knowledge I had no direct communications with ENRON employees and was not present during any direct communications between FERC employees and ENRON employees.

I am currently employed by IBM in position of Director for Global e-Government in Global Scrvices, Business Innovation Services and can be reached during the day at 301-803-3828, or by email <a href="mailto:hirming@us.ibm.com">hirming@us.ibm.com</a>.

Please do not hesitate to call me if I can provide any further information.

Kathleen Hirning

## Response to Question Number 3 (a) – Meetings (whether in-person or through telephone or video-conferencing)

Title at Time of Meet	N			
Name: Kathleen Hirning FERC Title at Time of Meeting: Director of Office of External Holdings				
Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting	
No mechi	as or telep	hme call	, to report	
	,	. :	,	
		:		
	Who initiated meeting	Who initiated Who was present at meeting meeting	Who initiated Who was present at Subject Matter	

#### SWIDLER BERLIN SHEREFF FRIEDMAN, LLP

THE WASHINGTON HARBOUR 3000 K STREET, NW. SUITE 300 WASHINGTON, DC 20007-5116 TELEPHONE (202) 424-7500 FACSIMILE (202) 424-7647 WWW:SWIPLAW:COM

JAMES J. HOECKER jjhoecket** swiJlaw.com Direct Dtal: (2021 295-8554 Fax: (2021 424-7643 New York Office The Chrysler Bullding 405 Lexington Avenue New York, NY 10174 Tel. (212) 973-2111 FAX (212) 891-9598

March 12, 2002

#### BY ELECTRONIC MAIL AND HAND DELIVERY

Susan J. Court. Esq. Lead Counsel for General and Administrative Law Office of the General Counsel Federal Energy Regulatory Commission 888 - 1st Street. N.E. Washington. DC 20426

Dear Ms. Court:

This letter constitutes my response to your memorandum of February 26, 2002, with respect to Question 3 in the February 15, 2002 request by Senator Joseph Lieberman and Senator Fred Thompson to Chairman Wood for information about communications between Commission personnel and Enron.

During my seven years and eight months of service on the Commission. Enron participated in many publicly-noticed natural gas- and electricity-related conferences at the FERC. Although the "Special Instructions" which you received from Senate staff appear to require me to include such public meetings, I have no records that would allow me to identify those meetings, their dates, or their content, with any degree of accuracy. I will therefore assume that the Commission's Office of External Affairs will supply that information, including the record of attendance by Commissioners. I will not include that information here. I will also assume that this is acceptable to you unless you inform me otherwise.

With respect to non-public meetings, I have a calendar identifying my schedule as Chairman from June 1997 to January 2001 (one month is missing). It provides virtually no information on the content or nature of meetings and scant data on the persons in attendance. I can confidently make the following observations, however. First, most of the meetings identified below were "courtesy visits," which were customarily held seriatim with the available members of the Commission. Second, my personal assistants (and occasionally line staff members) usually sat in on those meetings, which they were informational in nature. All my courtesy visits were governed by the strict rule that pending matters were off-limits to discussion. Third, courtesy visits were always initiated by the company, association, or official seeking an appointment. Fourth, I have no record or recollection of telephone conversations or other communications with Enron personnel. Outside my official capacity, I occasionally interacted

Susan J. Court. Esq. Page 2 March 12, 2002

socially with Mr. Joseph Hartsoe, an Enron mid-level executive, whom I have known for over 20 years. Finally, I want to make clear that I did not recommend, nor did the Commission ever take, any formal action as a direct result of any Enron meeting.

The list below includes all Enron-related meetings that I can confirm. They occurred between June 1997 and my departure from the Commission in January 2001. I have no recollection or record of specific meetings that I had with Enron before I became Chairman, save one. Mr. Kenneth Lay called upon me in 1995 or 1996. Although I remember his visit, I have no record or memory of the substance of the conversation.

Date	Description
06/26/97	Meeting with Joseph Hartsoe. Vice President
	for Federal Regulatory Affairs. Steve Kean
	(title uncertain), and Bill? Butler (title
! !	uncertain)
09/11/97	Meeting with Stan Horton, head of Enron's
	natural gas pipeline operations
01/29/98	Meeting with Jeffrey Skilling (unsure of his
· · · · · · · · · · · · · · · · · · ·	title at this time) and Joseph Hartsoe
03/24/98	Meeting with Mr. Joseph Hartsoe
07/23/98	Lunch with Joseph Hartsoe and non-Enron
	friends
09/18/98	Meeting with Jeffrey Skilling (unsure of his
	title at this time) and Joseph Hartsoe
09/08/99	Meeting with Stan Horton, head of natural gas
	pipeline operations, and Donna Fulton, Enron
	Washington Office
09/22/99	Meeting with Jeffrey Skilling and Joseph
	Hartsoe
09/18/00	Meeting with Joseph Hartsoe
09/20/00	Sat at the luncheon head table with Kenneth
•	Lay, Chairman and CEO, Governor Knowles
	(Alaska), Governor Taft (Ohio), and others, at
	the Governors' Natural Gas Summit,
	Columbus, OH
10/19/00	Meeting with Gas Industry Standards Board
İ	(probably included Stan Horton, head of GISB
	at the time)

Susan J. Court, Esq. Page 3 March 12, 2002

Date	Description
01/09/01	Meeting at the Treasury Department, convened by the White House Council of Economic Advisors. Gene Sperling, and presided over by Secretary of the Treasury Lawrence Summers and Secretary of Energy Bill Richardson. Between 30 and 50 people were present, including Kenneth Lay and possibly one or two other Enron personnel. The purpose of the meeting was to find solutions to California's electricity crisis. Governor Gray Davis and others in leadership in California were in attendance.
01/13/01	Meeting on California issues with attendees of January 9, 2002 meeting. Kenneth Lay, other industry leaders, and California officials participated by video conference from Sacramento.

Thank you for your attention to this difficult matter.

Sincerely

James J. Hoecker

JJH/mch

3069882.1

March 12, 2002

Susan J. Court Lead Counsel for General and Administrative Law Office of General Counsel Federal Energy Regulatory Commission Washington, D.C. 20426

Dear Ms. Court,

This letter is in response to your memo of February 26, 2002, to Former Federal Energy Regulatory Commission Employees regarding a February 15, 2002, letter to Chairman Pat Wood, III, from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, requesting a response to Question Number 3 of that letter.

I no longer have my calendars for the years I was Director, Office of External Affairs, May 1993 to December 1999. I have no recollection of any private meeting, telephone conversation, or video conference with any Enron employee or executive. Occasionally, Enron's Washington representative, Joseph Hartsoe, would come into the Office of External Affairs (OEA) to request press releases or other public documents available in OEA from OEA staff. When I saw him, we would exchange pleasantries, but we never had any substantive discussion that I recall.

The written and other communications to me as Director, Office of External Affairs, regarding any Enron matter, would generally have been a congressional inquiry. Such communications, and any responses, should be in the congressional files maintained in OEA, to which I do not have access.

Sincerely,

Rebecca Evans Hoecker (formerly Rebecca F. Schaffer)

Page 1 of 1

#### Susan Court

From: Tom King [regres@sbcglobal.net]
Sent: Tuesday, March 12, 2002 2:33 PM

To: Susan Court

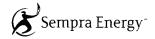
Subject: Your February 26, 2002 letter

Susan,

I retired September, 1994. I destroyed all personal work related notes years ago. I have no access to any historical FERC records to which I may have been a party.

Thank you,

Tom King



William C. Lansinger, Jr. Director FERC and Federal Agency Relations Washington Center Sixth Floor East 1001 G Street, N.W. Washington, D.C. 20001-4545

Tel: 202.662.1712 Fax: 202.293.2887 wlansinger@sempra.com

Memo To: Susan J. Court, Lead Counsel for General and Administrative Law

William C. Lansinger, Jr., Director, FERC and Federal Agency Relations, Sempra Energy From

Request from Senators Lieberman and Thompson regarding communications and contacts between Enron employees and FERC employees from January 2, 1992 to December 2, 2001.

During the relevant time period I held two positions at the FERC that place me in the class of employees required to respond to this request. From January 1994 to June 1997, I was Natural Gas Advisor to Chair Elizabeth Moler. From August 1997 to January 2000, I was Senior Policy Advisor to Chairman James Hoecker. From January 2000 to September 2000, I was Deputy Director, Office of Energy Projects. I left the FERC in September 2000, and have been since employed at Sempra Energy.

When I left the FERC, I did not retain any personal items, including calendars, that would have provided a record for this request. Therefore, I provide my response exclusively from memory.

## Response to Question Number 3 (a) – Meetings (whether in-person or through telephone or video-conferencing)

FER	C Title at Time of Mee / ニャ -> ム/イフ	ting: <u>Advisante (</u>	Luis Betzy	Mole-
Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
3194	Florian Sus	Tengthon, Betsymole Bill hunsinger	Ground Brenking for FGT p. seli: in Florida.	None
	·	·		

# Response to Question Number 3 (a) – Meetings (whether in-person or through telephone or video-conferencing) Name:

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
Summer 1998	Envon	Jeff Skilling, Starkean Junes Hooker, Billianing	I do not remember	None that I Know

March 13, 2002

Susan J. Court, Esq. Lead Counsel for General & Administrative Law Office of General Counsel Federal Energy Regulatory Commission

Dear Ms. Court,

This is in response to your letter dated February 26, 2002 regarding a recent request that Chairman Wood, III, received from Senators Lieberman and Fred Thomason

At the outset, I want you to know that, to the best of my recollection, I did not routinely maintain or retain a calendar of meetings or telephone logs during my tenure at the FERC. Consequently, I do not have any of the specificity as requested under question number three. However, during the period in question, I recall that on sporadic occasions, I attended meetings at which Enron representatives also were present (I left the Commission on August 24, 2001). These may have included, among others, pre-filing conferences, public technical conferences, public symposia and conferences, courtesy visits, INGAA conferences, an EPSA conference, Congressional hearings, Commission public policy conferences, and Commission public meetings. Generally, public meetings included representatives from all sectors of the energy industry, as well as other industry groups. I also recall that my secretary or I received certain telephone calls from Enron officials during this period. For the most part, I believe that these calls were initiated by Enron officials to arrange courtesy visits and pre-filing conferences. Most, if not all of the communications (i.e. meetings and telephone calls), were directly related to public proceedings where a public record exists in the Commission's file, or were related to general energy issues.

Additionally, I previously provided you with communications that, to the best of my recollection, I had with Emon representatives for the period covered by Senator Boxer's request. Finally, I recall that about three or four years ago, I met with Enron officials and traders when I visited the Enron Pipeline Operations Center and its trading floor, along with the energy trading floors of other companies.

Please let me know if you have any additional questions.

Sincerely, Leven P Madden

Page 1 of 1

#### Susan Court

From: Mohler, Paul [PMohler@HEWM.com]
Sent: Wednesday, March 13, 2002 2:54 PM

To: Susan Court Cc: Mohler, Paul

Subject: Response to Q3(a) and 3(b) per your 2/26 memo

With one exception, I was unable to identify or recall from the limited records I retained after leaving FERC any meetings or communications responsive to your request. The one exception was a calendar entry relating to an Enron reception on November 29, 1993.

Please call if you have any questions.

Paul B. Mohler Attorney HellerEhrman 1666 K Street, NW, Suitz 300 Washington, DC 20006-1228 Direct (202) 912-2153 Fax (202) 912-2020 E-mail: pmohler@hewm.com

The information contained in this e-mail message may be privileged, confidential and protected from disclosure. If you are not the intended recipient, any dissemination, distribution or copying is strictly prohibited. If you think that you have received this e-mail message in error, please e-mail the sender of "pmohle@hewn.com".

#### Susan Court

From: Adrian Moorhead [moorhead@bwmq.com]

Thursday, March 14, 2002 3:02 PM Sent:

Susan Court To:

#### Subject: Response to Question No. 3 from Senators Lieberman and Thompson

Subject: Response to Question No. 3 from Senators Lieberman and Thompson

With respect to Question No. 3, Mr. Moorhead would first point out that he retired from the FERC in December, 1998. For the period January 1, 1992 through December 1998, Mr. Moorhead served as Director of the Litigation Division in the Office of Pipeline Regulation. In that capacity Mr. Moorhead was responsible for directing and coordinating the technical trial staff's settlement and testimony positions in natural gas proceedings set for formal hearing by the Commission. The formal hearing process involved settlement negotiations which were privileged and confidential and were attended by the Commission's trial staff' both technical and legal) assigned to the the particular case, the pipeline applicant's personnel responsible for the filing, customers of the pipeline, State Commissions, other Federal agencies, and interested parties who received intervenor status. Any settlement reached as a result of the negotiations would have to be certified to the Commission by the presiding Administrative Law Judge if it met certain requirements. The Commission may then dispose of the settlement in several ways (i.e., approval, rejection, or require further proceedings). Mr. Moorhead cannot recall the specifics of particular settlement negotiations or the dates that specific settlement negotiations took place.——Adrian Moorhead

#### JEROME NELSON 508 Whitingham Drive Silver Spring, MD 20904

March 5, 2002

Ms. Susan J. Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission Washington, DC 20426

Dear Ms. Court:

Your letter of February 26, 2002 forwards the February 15, 2002 request of Senators Lieberman and Thompson regarding communications and contacts between Enron and senior FERC employees between January 2, 1992 and December 2, 2001. As you know, I served as an Administrative Law Judge at FERC during part of the time in question - from January 2, 1992 until October 4, 1996, when I left the federal service and took a position as a Hearing Officer with the National Association of Securities Dealers.

I believe that Enron appeared before me in one or more hearings over that time period. Any communications and contacts between Enron and me would have been in the form of written submissions, documentary exhibits, oral presentations, or testimony. All such communications would be part of the public record in the docket of such proceedings.

Question 3 in the Senators' request asks for various specifics about the communications (names, dates, subject matter, Commission action, etc.). I have no memory of such details for any of the proceedings in which Enron appeared before me.

Yours very truly

Jerome Nelson

Ms. Susan J. Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission 888 First Street, NE, Room 5k-13 Washington, DC 20426

Dear Susan:.

Your letter of February 26, 2002 asked me to respond to Question 3 of the February 15, 2002, inquiry made of Chairman Wood by Senators Lieberman and Thompson. The inquiry asks for certain details regarding any communication I, as the former Director of the Division of Investigations, Office of Electric Power Regulation, might have had with the Enron Corporation from January 1, 1992, to the date of my retirement from federal service on April 3, 1998. This letter is to inform you that, to the best of my knowledge, I have had no communication of any kind with Enron.

Sincerely, John A Quenhio

John A. Orecchio

2030 F Street, NW, #201 Washington, DC 20006

March 6, 2002

Ms. Susan J. Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission Washington, DC 20426

Dear Ms. Court,

I have reviewed your February 26, 2002, letter to former employees of the Federal Energy Regulatory Commission (FERC), which seeks a response to Question Number 3 contained in a February 15, 2002, letter from Senators Joseph I. Lieberman and Fred Thompson to FERC Chairman Pat Wood, III. I was an employee of the FERC from February 9, 1998, through October 6, 2001, and during that period held positions as the Director of Hydropower Licensing and the Deputy Director of the Office of Energy Projects.

While serving as the Director of Hydropower Licensing at FERC, I met with representatives from Portland General Electric (PGE), both in private meetings and in meetings with the National Hydropower Association of which the company is a member. To the best of my recollection, discussions in those meetings were general in nature, and limited to providing input to or obtaining guidance and direction about hydropower licensing policies and procedures. I believe I also received briefings about project operations at some of their projects. I did not retain any records of those meetings, and am unable to provide the specific details requested. I do not recall any other communications or contacts with representatives of PGE.

As Deputy Director of the Office of Energy Projects, I was responsible for the internal administrative operations of the office, and I was not involved in either the hydropower licensing or the pipeline certification responsibilities in the office. On a few occasions, I was designated to act for the Director of the office in his absence. To the best of my recollection, I had no communications or contacts with Enron, as defined in the Senators' letter, during my tenure as the Deputy Director or as Acting Director of the Office of Energy Projects. I did not retain any records from that period, and am unable to provide further details.

Please let me know if I can provide anything further. I am currently an employee of the Department of the Interior, Office of Surface Mining, and can be reached at 202-208-2546.

Sincerely,

Carol L. Sampson

### Troutman Sanders LLP —

ATTORNEYS AT LAW

401 9TH STREET, N.W. - SUITE 1000 WASHINGTON, D.C. 20004-2134 www.irouimansanders.com TELEPHONE: 202-274-2950

Donald F. Santa, Jr. donald.santa@troutmansanders.com

Direct Dial: 202-274-2815 Fax: 202-654-5651

March 8, 2002

#### Bv U.S. Mail

Susan J. Court, Esquire Lead Counsel for General and Administrative Law Office of General Counsel Federal Energy Regulatory Commission 888 First Street, N.E. Washington, DC 20426

Re: Response to U.S. Senate Committee on Governmental Affairs

Dear Ms. Court:

This letter responds to your memorandum dated February 26, 2002, requesting assistance in responding to the request that Chairman Wood received from the Chairman and Ranking Member of the Senate Committee on Governmental Affairs. In particular, you asked that I respond to the third question in the Committee's letter: "Please list all communications between Enron and the Commission from January1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation."

As you know, I was a Member of the Commission from May 25, 1993, through August 14, 1997. Upon leaving the Commission, I did not retain meeting calendars, notes or other documents regarding the meetings in which I participated while a Commissioner. Consequently, I have no basis for responding in detail to the question in the Committee's letter.

As a Commissioner, I maintained an open door policy and met with representatives of all stakeholders on matters where it was permissible for me to have discussions, i.e., matters outside the prohibitions of the Commission's ex parte rules. In this regard, representatives of Enron Corporation and its subsidiaries were treated no better and no worse than representatives of other stakeholders. While I cannot confirm specific dates, participants or subjects of meetings, I surely met with Enron representatives multiple times during my four years as a Member of the Commission.

## TROUTMAN SANDERS LLP

Susan J. Court, Esquire March 8, 2002 Page 2

Please contact me if I can be of any further assistance to the Commission in responding to the Committee's inquiry.

Cincaraly

Donald F. Santa, Jr.

#### Susan Court

 From:
 schopf [schopf@erols.com]

 Sent:
 Tuesday, March 12, 2002 5:17 PM

 To:
 Susan Court

 Subject:
 Answers to Question No. 3

1. I have no specific recollection of any meeting with any employee of Enron or its subsidiaries from January 1, 1992 until the end of my tenure at the F.E.R.C. 2. I have no specific recollection of any other communication with any employee of Enron or its subsidiaries during the same period. It is conceivable that there were official written communications between Enron and me during the period. If so, they are presumably available in Communisation files. I have no such documents in my possession and no specific memory of any communication, as noted earlier.

March 13, 2002

Susan Court Lead Counsel for General & Administrative Law Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Dear Ms. Court:

This letter responds to your letter of February 26th requesting information about contacts between Enron employees and FERC officials from January 2, 1992 through December 2, 2001.

I was a FERC employee for only part of the time period specified – I served as General Counsel at FERC from November 1997 through February 2001. I do not have calendars for the time period prior to 2001, and I do not have telephone logs or electronic mail records for any of the time period. Thus, my response is based on my recollection and on review of the limited records in my possession.

Below I have outlined the information requested. I have assumed that reading pleadings made by Enron companies that were filed with the Commission's Secretary, and reading briefs and other court filings served on the Commission, are outside the scope of this request.

- (1) On February 20, 1998, I attended a public conference held by the Commission on electricity reliability issues. A representative of Enron, Steve Kean, was one of a number of participants in the conference.
- (2) On July 12, 1999, I participated in an outreach meeting with the Electric Power Supply Association, the National Energy Marketers Association and members of those organizations, including an Enron representative. Other FERC staff from the Office of Markets, Tariffs and Rates and the Office of General Counsel also participated. This session was part of a series of outreach meetings on regional transmission organizations.
- (3) I recall meeting with representatives and attorneys for Transwestern Pipeline, which is owned by Enron, on issues concerning renewal of the pipeline right-

- of-way agreements for tribal lands. I believe Bob Christin of FERC staff also attended this meeting.
- (4) I recall telephone conversations with Dan Watkiss of Bracewell & Patterson, who served as counsel to Enron, concerning appellate litigation in <u>NSP v. FERC</u> and <u>New York v. FERC</u>, both matters in which Enron was a party.
- (5) On July 12, 2000, I participated in a meeting with representatives of the American Wind Energy Association, including Hap Boyd of Enron Wind Corporation. Other participants included Randy Swisher and Jaime Steve of AEWA, Chris Ellison, Robert Sims of Seawest and Sam Enfield of Atlantic. The topics covered related to wind generators' concerns about FERC transmission policy.
- (6) On September 12, 2000, I attended a public conference held by the Commission in San Diego on the California electricity situation. A representative of Enron was one of a number of participants in the panels addressing the Commission.
- (7) On November 9, 2000, I attended a public conference held by the Commission on proposed remedies for California markets. An Enron representative, Steve Kean, was one of a number of participants in conference.
- (8) On January 9 and 13, 2001, I attended two large meetings convened by senior Administration officials to try to arrive at a negotiated resolution of California's electricity supply problems. Chairman Hoecker and Pat Alexander were also in attendance. The meetings were chaired by Secretary of the Treasury Lawrence Summers and National Economic Council Chairman Gene Sperling. Participants included Secretary of Energy Bill Richardson, Governor Gray Davis and energy officials from his administration, President Loretta Lynch of the California Public Utilities Commission, leaders from the California legislature, and senior executives from the California electric utilities and a number of energy suppliers in the California market. One or more Enron executives attended each meeting. Ken Lay attended the first meeting, and may have attended the second meeting. Joe Hartsoe of Enron attended the second meeting. In all, 40 or more persons attended these meetings.

Please call me at 202 298-1902 if I can be of any further assistance.

Sincerely,

Douglas W. Smith

Page 1 of 1

#### Susan Court

From: Robert Tindall

Sent: Monday, March 18, 2002 5:03 PM

To: Susan Court Subject: Enron Question 3

My response to your letter of 2/26/2002 is presented below. Bob Tindall (3/18/2002)

<u>Subject</u>: Communications and contacts between Enron employees and FERC employees between January 2, 1992 and December 2, 2001.

Name: Robert C. Tindall, Jr.

FERC Titles during subject period: 1/92 - 3/98, Deputy Director, Division of Investigations (renamed Division of Litigation in 1997), within the Office of Electric Power Regulation; 3/98 - 3/99, Acting Director, Division of Litigation, within the Office of Electric Power Regulation; 3/99 - until retirement in 9/99; Senior Leader, Office of Administrative Litigation

Response to Question Number 3a: I am quite certain that I had no one-on-one meetings with any Enron employees or representatives. I do not recall any other specific meetings that I attended that included Enron employees or representatives except publicly noticed meetings, such as technical conferences and generic policy conferences.

Response to Question Number 3b: I am quite certain that I had no other one-on-one communications with any Enron employees or representatives. The only other communications I can recall are written communications from Enron publicly filed in docketed proceedings.

Explanations/Comments: I apologize for my tardy response. I was actively engaged in a consulting project in Oklahoma and did not return home until March 15, 2002. I kept an electronic calendar with notes on meetings while employed at the FERC; however, such information was left behind on an FERC PC when I retired. Thus, I could not refresh my memory as to specific meetings that I may have attended that included Enron employees or representatives.

March 15, 2002

Susan J. Court, Esq. Lead Counsel For General and Administrative Law Federal Energy Regulatory Commission 888 First Street, N. E. Washington, D. C. 20426

Re: Request For Information In Response To February 15, 2002 Letter From Senators Lieberman and Thompson

Dear Ms. Court:

You have asked me, as a former employee of the Federal Energy Regulatory Commission, to provide information in response to question number three in the February 15, 2002 letter to FERC Chairman Wood from Senators Lieberman and Thompson.

Enron had numerous pipelines subject to FERC regulation and FERC had regulatory authority over Enron Power Marking as well. I point that out to note that, as with the representatives of other regulated entities, I could have encountered Enron representatives in the course of any business day but I have no specific recollections other than as described below.

I served as General Counsel of the Federal Energy Regulatory Commission from May, 1993 until August, 1997. I did meet with some Enron employees during that time. All the meetings that I recall were in connection with FERC business.

I do not recall the specific dates or times of these meetings nor, except as described below, do I recall the precise subject matter of the meetings. When I left FERC, I did not bring any written records with me, which might serve to refresh my recollection on these points.

I do recall meeting with Enron employees Kenneth Lay and Steven Kean and perhaps others at a pre-filing meeting regarding the merger of Enron and Portland General. I believe FERC Chair Betsy Moler attended this meeting along with other FERC staff.

I also recall meeting with Enron employees Jeffrey Skilling and Steven Kean on one occasion and with Mr. Kean and Enron employee Joseph Hartsoe on another occasion. I recall these meetings as courtesy visits occurring shortly after my appointment as FERC General Counsel. I also recall other meetings with Mr. Kean and Mr. Hartsoe, who were involved in regulatory affairs for Enron, though I do not remember the specific subject matter of any discussions.

I also recall that Enron representatives often attended and participated in technical conferences in which I also frequently participated. The technical conferences pertained to a wide variety of policy issues including natural gas and electricity regulatory matters, and development of the Commission's open access transmission rulemaking Order No. 888.

If I may be of further assistance please contact me.

Very truly yours,

Susan Tomasky

Sion Vamarle

#### Susan Court

From: Sent: Torncala, Karen A [KAT5@pge.com] Wednesday, March 13, 2002 2:44 PM

To: Susan Court

Subject: Response re Enron contacts

I am responding to your February 26, 2002 letter regarding the request from Senators Lieberman and Thompson about communications and contacts between Enron employees and FERC employees for the period from January 2, 1992 to December 2, 2001.

I joined the FERC staff as an attorney reporting to Dan Larcamp in the Spring of 1995. During the period I worked for Dan, I had no individual contacts with Enron employees outside of widely attended events, such as FEBA meetings.

In the Spring of 1996, I became Commissioner Hoecker's electric advisor. I remained in this position until I left FERC in the Fall of 1998. During this period, I had no individual contacts with Enron employees outside of widely attended events. However, Commissioner Hoecker had numerous courtesy visits from a broad range of people that requested meetings with the Commissioner, including industry representatives, consumer advocates, state regulatory and legislative contacts, environmentalists and labor leaders. I would sit in on many of these meetings, and Enron representatives occassionally made such visits. I did not keep a calendar of Commissioner Hoecker's meetings, but they would be reflected on his calendar. In the period I worked for the Commissioner, Enron representatives visited infrequently, probably not more than once or twice a year. I have no particular recollection of these meetings — they do not stand out in any way from the numerous courtesy visits that we attended. I do recall that the topic of one of these meetings was the state of electric transmission service in the United States, and I believe that the attendees at that meeting included Steve Kean and Joe Hartsoe. I do not have any other specific recollections.

Susan Court, Lead Counsel General and Administrative Law Federal Energy Regulatory Commission. Washington D.C. 20426

Dear Susan;

This responds to your letter request of February 26, 2002, enclosing a February 15, 2002 letter with questions from Senators Joseph Lieberman and Fred Thompson to FERC Chairman Pat Wood III. Question Number 3 included in that letter seeks information regarding communications and contacts between Enron employees and FERC employees over the 10-year period January 2, 1992 to December 2, 2001.

I was a FERC employee on January 2, 1992, remaining so until my retirement from the government in August 1999. From January 2, 1992 until June 1993, I was an attorney advisor on hydroelectric licensing matters in the Office of the General Counsel and had no meetings with Enron representatives.

From June 1993 until my retirement in 1999, I served as legal advisor to Commissioner Vicky Bailey, with responsibility for hydroelectric and certain natural gas industry matters. In that capacity, I participated with Commissioner Bailey and her staff in a number of routine courtesy visits with industry and company representatives. I recall approximate three to five such courtesy visits with Enron company employees or representatives, including at least one visit where Mr. Ken Lay, Enron CEO, was a part of an Enron group. Discussions at those meetings addressed generic issues of industry-wide significance, and no specific actions were taken in response to those meetings. I kept no definitive records as to the dates or further details of those meetings or names of participants.

Likewise, in my capacity as legal advisor to Commissioner Bailey, I attended Commission publicly noticed generic gas industry policy conferences at which Enron employees or representatives sometimes presented formal public statements. Finally, I attended one or two Enron Company Christmas parties at the Phoenix Park Hotel in Washington D.C. in the mid-1990s.

I believe that this answers to the best of my ability Question Number 3 of the Senators' letter. Please feel free to contact me if you need any additional information.

Sincerely,
Many Visile
Mary K. Vasile 8314 Riverton Lane Alexandria, VA 22308

703.780.0174

### FEDERAL ENERGY REGULATORY COMMISSION

Committee on Governmental Affairs
EXHIBIT #B-4

OFFICE OF THE CHAIRMAN

April 24, 2002

The Honorable Joseph I. Lieberman Chairman Committee on Governmental Affairs United States Senate Washington, D.C. 20510 RECEIVED APR 2 5 2002

Dear Mr. Chairman:

This letter and the enclosed material comprise the second supplement to the response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. We sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002, and supplemented that answer on March 21, 2002. As indicated in both the initial and supplemental answer, we were continuing to seek responses to Question No. 3 from former FERC employees (at the division director level and above). The enclosure here conveys the responses from former FERC employees from whom we have heard since March 21, 2002.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Best regards,
Put Wood, III
Chairman

Enclosure

#### Question No. 3:

Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

- a) For any meetings, whether in-person or through telephone or videoconferencing, please identify:
  - i) who initiated the meeting
  - ii) all those who were present at the meeting
  - iii) the subject matter(s) of the meeting
  - iv) the date of the meeting
  - v) any action taken by the Commission in response to the meeting
- b) For all other communications, please identify:
  - i) the sender(s) of the communication
  - ii) the recipient(s) of the communication
  - iii) the date of the communication
  - iv) the subject matter of the communication
  - any action taken by the Commission in response to the communication.

#### Second Supplemental Answer:

As we indicated in our March 21, 2002 Supplemental Answer, at that time, we had received responses from 64 former employees to Question No. 3, and we described or attached those responses in that answer. Since then, we have received responses from 31 more former employees as set forth below. To date, we have heard from every former Chairman or Commissioner who served at the Commission during the relevant period. Six of the former employees with whom we have made voice or email contact, assuming we had the correct information, have not provided anything in writing in response to Question No. 3. That leaves eight former employees whom we have been unable to reach by letter, telephone, or e-mail. We have searched the Internet and talked to former colleagues, but to no avail.

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

- 1. Samuel E. Freeman
- 2. James Goris
- 3. Georgia LeDakis
- 4. Ronald A. Lesniak, Director of Chicago Regional Office
- 5. Gray Payne
- 6. Dean Shumway, Director of Division of Hydropower Licensing

The following former employees of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

- 1. Patricia Alexander, Assistant to Chairman
- 2. Vicky Bailey, Commissioner
- David Boergers, Secretary
- 4. Sharon Booye
- 5. Larry Bruneel, Technical Advisor to Commissioner Bailey
- 6. Debbie Clark
- 7. David Cook, Deputy General Counsel
- 8. Joan Dreskin, Assistant to Commissioner
- 9. Warren Edmunds, Deputy Director of Office of Pipeline Regulation
- 10. Jerome Feit, Solicitor
- 11. Walter Ferguson, Assistant to Commissioner/Chairman Hebert
- 12. Curtis Hébert, Commissioner/Chairman
- 13. Patricia Jagtiani, Assistant to Chairman Hoecker
- 14. Jerry Langdon, Commissioner
- 15. Christie McGue, Executive Director and Assistant to Chairman Hoecker
- 16. Arthur Martin, Jr., Regional Director, Portland Office
- 17. Jerry Milbourn, Deputy Director of Office of Electric Power Regulation
- 18. Elizabeth Moler, Commissioner and Chairman
- Joshua Rokash, Assistant to Commissioner Trabandt and Commissioner/Chairman Hébert
- 20. William Scherman, General Counsel
- 21. Howard Shafferman, Chief of Staff and Assistant to Chairman Allday
- 22. Brian Starkey, Computer Specialist Group Manager
- 23. Branko Terzic, Commissioner
- 24. Charles Trabandt, Commissioner
- 25. Dorothy Zimmerman

#### **Susan Court**

From: Sent: Alexander, Patricia [AlexanderP@dsmo.com]

Sent: To: Cc: Subject: Friday, April 05, 2002 1:44 PM Moira Notargiacomo; Susan Court

Alexander, Patricia Response to 2/26 letter

### Response to 2/8

Your 2/26/02 letter asked me to provide information requested by Senators Lieberman and Thompson. You explain that the information request is intended to apply to employees who served at the level of division director and above. During my tenure in the Office of Electric Power Regulation, I never served at that level. Between 1999 and 2001, I served as Electric Policy Advisor to the Chairman, and my response is intended to cover that period.

Attached is an email that I sent earlier providing information requested in your 2/8/02 letter. Although the period covered by your 2/26/02 letter is somewhat longer than the period covered by your 2/8/02 letter, my response is the same. As noted in my earlier response, I have no calendars or logs to refresh my memory. It is entirely possible that there were occasions when Enron employees visited or called the Chairman's office, for example, during routine courtesy visits, and t is possible that Enron employees were present when I attended various meetings between FERC staff and industry groups. However, without the assistance of calendars, email or phone logs, the only specific events that I can recall are those reported on my earlier letter.

Please let me know if I can be a further assistance.

I apologize for the delay in responding. I request that you forward further communications to my business address (below) to help me provide more timely responses.

Pat Alexander Consultant/Energy Dickstein Shapiro Morin & Oshinsky LLP 2101 L Street, NW Washington, DC 20037 phone: 202-756-2918 alexanderp@dsmo.com

message and any attached files are confidential and are intended solely for the use of the addressee(s) named above. This communication may contain material protected by attorney-client, work product, or other privileges. If you are not the intended recipient or person responsible for delivering this confidential communication to the intended recipient, you have received this communication in error, and any review, use, dissemination, forwarding, printing, copying, or other distribution of this e-mail message and any attached files is strictly prohibited. If you have received this confidential communication in error, please notify the sender immediately by reply e-mail message and permanently delete the original message.

To reply to our email administrator directly, send an email to postmaster@dsmo.com

Dickstein Shapiro Morin & Oshinsky LLP http://www.legalinnovators.com

February 15, 2002

Susan J. Court, Esq. Lead Counsel for General and Administrative Law Office of General Counsel Federal Energy Regulatory Commission 888 First St, NE Washington, DC 20426

Dear Ms. Court:

I am responding to your February 8, 2002, request for information concerning contacts between FERC senior staff and Enron executives for the August 2000 to June 2001 period. You indicate that, for purposes of responding to this letter, senior staff includes Commissioner's advisors. As you know, my position as Electric Policy Advisor to the Chairman ended on January 18, 2001. Accordingly, in responding to this letter I have considered the relevant period to be from June 2001 through January 18, 2001.

In responding to your request, I am relying entirely upon my unrefreshed recollection. When I left the Chairman's office in January of 2001, and when I subsequently resigned my position with FERC on April 13, 2001, I did not retain calendars, schedules, phone logs, copies of e-mails, or any similar records that would assist me in recalling the information you have requested. As a result, my recollection is almost certainly incomplete. In any event, with these caveats, I do recall the following meetings.

On two occasions in early January, I attended a meeting concerning California energy matters, together with Chairman Hoecker and Douglas Smith, FERC General Counsel, which was convened by the Secretary of the Treasury (Lawrence Summers) and the Secretary of Energy (Bill Richardson), and at which one or more Enron executives were present. Ken Lay attended the first of those meetings, and I am reasonably certain that Ken Lay also attended the second. One or two other Enron executives may also have been present, but I do not know the number or their names. Others at that meeting included Governor Gray Davis and his staff, Loretta Lynch, Chairman of the California Public Utilities Commission, several leaders from the California legislative bodies, senior executives of a number of energy suppliers to the California market, members of the President's Council of Economic Advisors, and others whose names and positions I do not recall. Topics discussed were potential solutions to the problems facing the California electricity market.

Sincerely,

Patricia Alexander



## **Department of Energy**

Washington, DC 20585

March 22, 2002

Susan Court, Lead Counsel General and Administrative Law Federal Energy Regulatory Commission 888 First Street, N.E. Washington, D.C. 20426

Dear Susan:

I am sending you this letter pursuant to your e-mail of March 13, 2002, needing my response to a letter dated February 15, 2002 from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, for my response to Question 3. I was a Commissioner with the Federal Energy Regulatory Commission (FERC) from May, 1993 through January, 2000.

In my seven years at FERC, I had approximately four to six meetings or interactions with executives or employees of Enron. These sessions would be initiated by the company in the form of courtesy visits with myself and/or my immediate staff. Mr. Ken Lay, Enron CEO, came in to talk with myself and one other member of my staff back in 1996. I don't have the exact date. We talked about generic issues of interest to the industry at that time and no specific action was taken by the Commission as a result. In other meetings, I talked with Jeff Skilling, President of Enron, Steve Kean, Senior VP, and Jeffrey Watkiss, an attorney representing Enron along with a group of power marketers. The meetings were initiated by the individuals and were held in the 1997-1999 time frame. The subject matter dealt with the competitive state of the industry and, no Commission action was taken in response. Also I attended one or two years during the Christmas holidays a reception hosted by Enron.

I hope this letter is responsive to your inquiry as I do not have my records from those years but please do not hesitate to contact me (202) 586-8660.

Regards,

Vicky A. Bailey Assistant Secretary

Office of Policy and International Affairs

GENERAL & ADMIN. LAW INCOMING

2001 MAR 20 ₱ 3 2 b

March 11, 2002

Susan J. Court Assistant General Counsel Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Dear Ms. Court:

This is in response to your letter of February 26, 2002, concerning the data request from the United States Senate about Commission employees' meetings with the Enron Corporation and its affiliates during the period from January 1992 through December 2001.

From October 1990 to February 1993, I was an advisor to FERC Chairman Martin Allday on hydroelectric and electric matters. In this capacity I participated in numerous courtesy visits by representatives from industry and public interest groups. I do not remember any specific meetings, but the ground rules always were that pending cases could not be discussed. I also participated in publicly noticed meetings that may have included company representatives. The minutes of such meetings would be reflected in the Commission's public files.

From late 1993 until March 1995 I was on detail to the Department of Energy, Office of Policy, Planning, & Analysis. I worked on hydropower issues and have no recollection of meetings during that time with representatives from Enron or its affiliates.

From December 1997 until December 2001, I was Secretary of the Commission. In this capacity I met with representatives from industry and public interest groups and responded to telephone inquiries from many people. I do not remember an specific discussions, but I always observed the Commission's ground rules prohibiting ex parte communications.

I hope this information is helpful.

Sincerely.

David P. Bolistes
David P. Boergers

Response to Question Numbers 3 (a) and 3 (b) of the February 15, 2002 Letter from the United States Senate Committee on Governmental Affairs

Name: Sharon Lee Booye

Titles at FERC During January 1, 1992 through August 31, 1998: <u>Director of the Division of Policy, Technology, and Oversight; Deputy Office Director, Office of the Chief Accountant:</u> <u>Director of the Division of Rate Filings</u>

The nature of my duties in all three of these positions included occasional meetings with members of the energy industry, predominately from the regulatory and accounting portions of the industry to discuss proposed filings they intended to make with the Commission; implementation of new policies established by the Commission, and to obtain information on how sectors of the industry worked. I also would have received correspondence from a variety of energy sector entities.

When I retired from the Commission in August of 1998, I did not retain any records of meetings or correspondence from any of the parties I met with during the years I was at the FERC. It is entirely possible that I may have met with or received correspondence from Enron during the 7 year period, but I do not have any memory of any particular meeting or correspondence.

## Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video-conferencing)

Name	:	Larry Br	unee	1					-
FERC	Title at	Time of Mee	ting: _	Technical	Advisor	to	Commis	sioner Baile	У
			T					Any action take	n I

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
July 31, 1996	Ken Lay	Vicky Bailey, Ken Lay and Larry Bruneel	No recollec- tion and no notes	No recollection and no notes
-				

Additionally, I recall other meetings in this time period with Jeff Skilling and Steve Kean of Enron but I have no written documentation of dates or subject matter. I only have a vague recollection of meeting with them.

Lay Bu

### Susan Court

From: Sent: To: Subject:

DebbieClarkDOIIA@aol.com Tuesday, April 09, 2002 8:50 PM Susan Court ENRON Contact

Susan,

During my tenure at FERC I do not specifically recall any contact with Enron officials and have no records to indicate otherwise.

Please feel free to contact me if you have other questions. I will be out of the office through 4/18/02.

Debbie L. Clark
Department of the Interior
Assistant Secretary of Indian Affairs
Chief Financial Officer
1849 C Street, NW
MIB-4140
Washington, DC 20240
(202) 208-5185

#### DAVID N. COOK 75 EAST SHREWSBURY PLACE PRINCETON, NEW JERSEY 08540

March 21, 2002

Ms. Susan J. Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission Room 5K-13 888 First Street, N.E. Washington, D.C. 20426

Dear Ms. Court:

This letter is in response to your request dated March 12, 2002, for assistance in responding to a letter that the Federal Energy Regulatory Commission received from Senators Joseph I. Lieberman and Fred Thompson of the Senate Committee on Governmental Affairs concerning contacts between Enron Corporation and the Commission during a ten-year period (January 2, 1992 to December 2, 2001). I understand that you are asking me to respond only to Question Number 3 of the Lieberman-Thompson letter and only for the period I was employed at the Commission. Question Number 3 reads as follows:

- Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.
  - For any meetings, whether in-person or through telephone or videoconferencing, please identify:
    - i. who initiated the meeting;
    - ii. all those who were present at the meeting;
    - iii. the subject matter(s) of the meeting;
    - iv. the date of the meeting;
    - v. any action taken by the Commission in response to the meeting.
  - b. For all other communications, please identify:
    - i. the sender(s) of the communication:
    - ii. the recipient(s) of the communication;
    - iii. the date of the communication;
    - iv. the subject matter of the communication;
    - v. any action taken by the Commission in response to the communication.

In the ten-year period you ask about, I served as the Commission's Deputy General Counsel through February 24, 1999. On that date I left the Commission to take the position of General Counsel for the North American Electric Reliability Council.

Ms. Susan J. Court March 21, 2002

Page 2

During the time I served as the Commission's Deputy General Counsel, I had occasion to meet with or have communication with representatives of numerous companies and organizations concerning matters and issues that were before the Commission. I have no specific recollection of any meeting or communication with representatives of Enron Corporation, although it is highly likely that some meetings and communications with Enron representatives did take place. I have no records that indicate the details of any meetings or communications with Enron or any other company or organization.

I understand that you intend to include this letter as part of the Commission's response to the request from the Senate Governmental Affairs Committee. Please contact me (609-452-8060, <a href="mailto:david.cook@nerc.net">david.cook@nerc.net</a>) if you need additional information.

Very truly yours,

Day Mook



March 13, 2002

Susan J. Court **FERC** General & Administrative Law Room 5K-13 888 First Street, N.E. Washington, D.C. 20426

Dear Ms Court:

I am in receipt of your letter dated February 26, 2002. In response to Question Number 3, I do not recall having any one-on-one meetings from January 1, 1992 through March 1998 (when I left the Commission) with any Enron employee. I do recall attending a publicly- noticed Transwestern settlement conference, along with others, at the request of the pipeline and its customers. I do not recall the date of the settlement conference (perhaps 1995/1996). I did not receive or keep any documents from that meeting.

Further, as a Commissioner's Assistant from May 1993 - August 1997, I attended Natural Gas Roundtable lunches and three INGAA regulatory conferences (Spring 1995, 1996 and 1997). I believe that an Enron employee(s) attended these events. Neither do I have any materials from any of these well-attended events nor do I remember the agenda.

I believe my response fully answers Senator Lieberman and Thompson's Question Number 3.

Sincerely,

John Drusku

Joan Dreskin



March 18, 2002

Ms. Susan J. Court FERC General & Administrative Law Room 5K-13 888 First Street, N.E. Washington, D.C. 20426

Dear Ms. Court:

On March 13, 2002, I replied to your letter of February 26, 2002 regarding my communications with Enron while I was employed by the Federal Energy Regulatory Commission. I erroneously stated the dates that I attended the INGAA regulatory conferences. Upon further research, I discovered that the correct dates are: Spring 1994, Spring 1996 and Spring 1997.

Due to the importance of this matter, I wanted to make sure that I corrected these dates immediately.

Sincerely,

Joan Dreskin

Response to Question Numbers 3 (a) and 3 (b) of the February 15, 2002 Letter from the United States Senate Committee on Governmental Affairs

Name: Warren Clarence Edmunds

Titles at FERC During January 1, 1992 through April 1, 1998: <u>Deputy Office Director, Office of Pipeline Regulation, Acting Director, Office of Pipeline Regulation</u>, <u>Deputy Director, Office of Pipeline Regulation</u>

The nature of my position as acting Office Director and as Deputy Office Director of the Office of Pipeline Regulation involved many meetings with various members of the energy industry, predominately from the regulatory sector of the industry, to discuss proposed filings they intended to make with the Commission; implementation and ongoing procedures related to the new policies established by the Commission, and to gather information about the gas and oil industries regulated by the Commission. I also would have received correspondence from a variety of energy sector entities.

When I retired from the Commission in April of 1998, I did not retain any records of meetings or correspondence from any of the parties I met with during the years I was at the FERC. I did, however, meet on occasion with various Enron officials concerning gas pipeline rate and certificate applications proposed to be filed by Enron's regulated pipelines. Because of the positions I held at the FERC I also received correspondence from Enron during this 7 year period, but I do not have any memory of any specific meetings or correspondence.

### Susan Court

Jerome Feit [jrfeit@webtv.net] Thursday, April 04, 2002 9:37 PM Susan Court RE: 636 Reunion From:

Sent: To: Subject:

Susan... The answer is "no" I met with Ken Starr---- more than once I must say---- but never with Enron or any of its hirelings.

The Commission does not have my correct mailing address ...nor do you. We sold our home a year or so ago my new address is : 531 Lawson Way, apt. 306, Rockville Md. 20850.

E#njoy the reunion.

Jerry Feit

Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video-conferencing)

FERC	Title at Time of Meet	ing: ATTORNEY	& Advis	on
Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
1999, 2000 Z	EMPLEMENT THE TOTAL	REP. & MYSELF	PAE-FILING VISIT	NORMAL PROCESSING
		·		



Entergy Corporation 639 Lovoia Ave. P.O. Box 61000 New Orieans. LA 70161 Tel. 504 576 4743 Fax. 504 576 4997

Curt L. Hébert, Jr. Executive Vice President External Affairs

March 26, 2002

Ms. Susan J. Court Lead Counsel for General and Administrative Law Room 5K-13 Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Dear Ms Court:

I am writing in reference to your request that I provide information responsive to Question No. 3 contained in a letter dated February 15, 2002, from Senators Lieberman and Thompson to Chairman Wood. To the best of my recollection, while I was a member of the Commission, I only had one contact with an Enron executive (other than perhaps at some widely attended industry meeting or social event and other than in open Commission meetings). The contact occurred by phone on February 9, 2001. As I recall, Mr. Kenneth Lay called me. Present in my office for all or part of the call, was my Chief of Staff and FERC General Counsel, Kevin P. Madden. Those present in my office only heard my side of the conversation. Mr. Lay and I discussed my continuing on as Chairman of FERC. No Commission action was taken as a result of the call.

As you know, the phone call was the subject of a GAO investigation which was requested by Senator Lieberman and which found nothing improper about the call.

Sincerely yours,

Curtis L Hebert

Response to Question Number 3 (a) – Meetings (whether in-person or through telephone or video-conferencing)

Name: Patricia W. Jagtlani.
FERC Title at Time of Meeting: Public Utility Specialist (Litigation Staff)

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
1995 - 1996	Conferences/ Site Visits in	FERC Litigation staff qu' Northern Pate	Rute Asc	Case withdrawn by Northern
No record of actual dates	Connection with Northern Natural Gas Company's	Staff		
	Rate Cluse Docket No. RP95-185			

## Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video-conferencing)

Name:	( Patricia	W. Janto	in'	_
		<u> </u>		
FERC Tit	le at Time of Meetir	ng: Advisor to	Chairman (	James Hocker

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
2/12/98	No recollection	Donna Fulton -manager, Regulator a Contestive And for NVG	Not MS work- MS related	None
		Alw Lovinger -Former FEEL A Litigation Staff		·
4/22/18	No record or recollection of meeting	Donnu Futton -Manager, Regulation Competitive Analysis for NNG	, Connot recull	None
12/2/48	No record or recollection of meeting	"Morthern" entry on calendar -Attendoes Unknown	Unknown	None

It is possible that I could have attended other meetings in which I have no documentation and cannot recall,

Response to Question Number 3 (b) – Other Communications (whether in-person or through telephone or video-conferencing)

Name: Yatricia W. Jagtiani
FERC Title at Time of Communication: Advisor to Chairman James Noecter

Date of the communication	Sender(s) of the communication	Recipient(s) of the communication	Subject matter of the communication	Any action taken by the Commission in response to the communication
		· · · · · · · · · · · · · · · · · · ·		

I have No recollection of any specific Communications as described above.

JERRY J. LANGDON P.O. BOX 1329 HOUSTON, TX 77251 832. 676.4744

April 12, 2002

Honorable Joseph I. Lieberman, Chairman United States Senate Committee on Governmental Affairs Washington, D.C. 20510-6250

Re: Enron Investigation

Dear Mr. Chairman:

I am in receipt of a copy of your letter to Chairman Wood dated March 27, 2002, requesting information concerning my contact with Enron representatives between January 1, 1992 and December 2, 2001. Specifically you have requested details concerning the following:

- a.) who sent the communication
- b.) who received the communication
- c.) the date of the communication
- d.) any action taken in response to the communication
- e.) for any meetings responsive to the request, the date of the meeting and all of those who attended the meetings

My service as a Commissioner to the Federal Energy Regulatory Commission terminated with my resignation, submitted to President William Jefferson Clinton on June 5, 1993. Accordingly, I am assuming that this request includes contact I had with Enron representatives from January 1, 1992 through June 5, 1993.

Regretably, I have no written or electronic records for that period of my service to verify specifics of any meeting with Enron representatives; however, during my term I recall approximately six to eight meetings with various communications of the following individuals:

Kenneth Lay Jeffery Skilling Oliver Richard James Rogers Rebecca McDonald Stanley Horton Honorable Joseph I. Lieberman, Chairman April 12, 2002 Page Two

These meetings were typically held in my Washington office with the exception of one or two at offsite industry functions such as Interstate Natural Gas Association. Additionally, I toured Enron's trading floor on one occasion; however, this may have been subsequent to the dates specified and thus my service to FERC.

The nature of these meetings can be best characterized as "informational" in nature. Typically, Enron representatives would visit the Commission before formal filings to gauge staff and commission reaction. This is a common practice followed by other regulated companies at the Commission. At no time did anything irregular or improper occur, nor did any Enron representative ever attempt to unduly or improperly influence my office. Additionally, to the best of my memory none of the meetings I had with Enron were private, as my practice was to have counsel attend all meetings with regulated entities, including Enron.

I took no action as a member of the Federal Energy Regulatory Commission based upon meeting with Enron representatives.

Sincerely,

Cc: Chairman Patrick H. Wood Ms. Susan Court

#### Susan Court

From: McGUE CHRISTIE

Sent: Saturday, March 30, 2002 11:03 AM

To: Susan Court Subject: ENRON

Sorry for the delay in responding to your letter. I was out of the country and didn't get it until my

In response, any meetings I would have had with ENRON personnel over the past few years would have been part of focus groups for our "FERC First" reengineering project or in courtesy visits with either Chairman Jim Hoecker or Chair Betsy Moler. I do not recall any names or titles of those in attendance.

Once again, sorry for the delay. Please let me know if I can be of further assistance.

Christie McGue

Do You Yahoo!?
Yahoo! Greetings - send greetings for Easter, Passover

# Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video-conferencing)

Name: Arthur C Martin, Jr.

FERO	Title at Time of Meet	ing: <u>Regional Div</u>	rector, Portla	d Régional Office
Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
			1006	
	I re	ired from the FERC in Apr uire Portland General Electr	il 1996 and Enron d	Id not Therefore
	neq	ire Portland General Election of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the	on with Enron duri	ng my FERC
		loyment.	DE WILL ED	-,
		Lu C. Marting		•
	Art	nur C. Martin, Jr.		

4407 Helle Porkway University Park Md. 20182 March 9, 2002

Dear Jusan.

There is in response to your letter dated Trebruary 26, 2002, transmetting a letter dated February 15, 2002, to brain and Francis regarding communications and contacts between Even and the Commission.

I retired from the Commission on January 31, 1994, therefore, my response is limited to the period January 1, 1992, to January 31, 1994. Dam not aware of any communication between Enron and the Commission - as defined in the Senators' letter-during that period.

I trust that this letter responds fully to the Senstors' request for information. If I can be of any further help, please let me know.

2001 MAR 20 ⊖ 3:27

GENERAL & ADMIN. LAW INCOMING

Harm perional regards

#### Susan Court

From: Sent: To: Subject: elizabeth.moler@exeloncorp.com Monday, April 15, 2002 3:01 PM Susan Court Enron Contacts

Susan Court t: Enron Contac

#### Susan:

I am writing to confirm our earlier conversation with respect to any contacts I had with Enron while I served as a Member and/or Chair of the FERC.

I do not have official calendars, or other records, that would allow me to reconstruct the meetings I had with Enron officials while at the FERC. I served at FERC from October 5, 1988 until June 16, 1997. I left nearly five years ago. It is simply unrealistic to expect me to be able to recall any/all of the meetings I had during that period of time. I acknowledge that I met with Ken Lay, Rich Kinder, Jeff Skilling, and Steve Kean of Enron, and Dan Watkiss, their outside counsel, while I served at the Commission. However, I am simply unable to reconstruct all of the contacts.

#### Betsy

Elizabeth A. Moler Senior Vice President - Government Affairs and Policy Exelon Corporation 701 Pennsylvania Ave., NW Washington, DC 20004 (202) 347-7500 elizabeth.moler@exeloncorp.com

This e-mail and any of its attachments may contain Exelon Corporation proprietary information, which is privileged, confidential, or subject to copyright belonging to the Exelon Corporation family of Companies. This e-mail is intended solely for the use of the individual or entity to which it is addressed. If you are not the intended recipient of this e-mail, you are hereby notified that any dissemination, distribution, copying, or action taken in relation to the contents of and attachments to this e-mail is strictly prohibited and may be unlawful. If you have received this e-mail in error, please notify the sender immediately and permanently delete the original and any copy of this e-mail and any printout. Thank You.

GENERAL & ADMIN. LAW INCOMING

Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video  $\frac{1}{2}$  3 28

Name: Joshua Z. ROKUCh X
FERC Title at Time of Meeting: Legal Advise (Cleatric) to Commission for 1/2 bact

	·	<del></del>		17320811
Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
1998	Enron	Comm Hebert 6 I Steve Keane of Fin 100	Regional Trains	0.017
1999	Euvu	Same	Whether to have for-profit	ion
[99 <b>9</b>	Enron Enron	Jeff Skilling Kni Steve Keane Kni Comm. Habourt 6 I	or make thom	market or when
GENERAL & ADMIN. LAW	d R		Vaiontany	
GENER	HW 1007			

to my records. I observed from memory,
I mak your met corns on ofter occasions
The subspect of the meetings would now metall
throse I recorded here. I did not meet with
throse erron when chairman Hebertheld offices

#### WILLIAM S. SCHERMAN

1440 NEW YORK AVENUE, N.W. WASHINGTON, D.C. 20005

(202) 371-7060

April 2, 2002

Ms. Susan J. Court
Lead Counsel for General
And Administrative Law
Federal Energy Regulatory Commission
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

Dear Ms. Court:

This letter is in response to the March 12, 2002 e-mail from Ms. Vera Vaughns of your Staff.¹ My response to Question 3 contained in the February 19, 2002 letter from Senators Lieberman and Thompson is set forth below:

I served at the Federal Energy Regulatory Commission ("FERC" or "Commission") from March 1987 to April 1993. During that time, I served as Senior Legal and Policy Advisor to the Chairman, Chief of Staff of the FERC, and the General Counsel of the FERC, respectively.

At no time during my tenure at the Commission did I keep or maintain any official calendar of my meetings or telephone records. Since I did not maintain any official calendar or telephone records and in light of the significant passage of time since my Federal service, I have no current recollection of any specific meetings, telephone calls or "other communications" with officials from Enron Corporation.

The FERC's records did not contain a current home address. Therefore, I did not receive a letter requesting my response to the letter from Senators Lieberman and Thompson. I received a phone call and email from Ms. Vaughns on March 12, 2002 asking me to respond.

Ms. Susan Court April 2, 2002 Page 2

However, I believe it is highly likely that I was in meetings with or had received telephone calls from employees of Enron Corporation on a sporadic basis during my over six years at FERC.

I would appreciate it if you would forward my response to Senators Lieberman and Thompson.

Cincaraly

William S. Scherman

GENERAL & ADMIN. LAW INCOMING

## 

Name: Howard H. Shafferman

FERC Title at Time of Meeting: Chief of Staff and Counselor

Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
·	- see	below -		
		·		2001 MAR 20
				20 P 3
				28 LAW

I left the commission in Sanuary 1993. While it is lekely that a would have met with Enron, I do not recall any information of the type requested in this question.

Response to Question Number 3 (b) – Other Communications ( whether in-person or through telephone or video-conferencing)

Name:	Howard	H. Shatter	man			
FERC Tit	le at Time of (	Communication:	Chief of Sta	FP 4- 4	Courselow	

Date of the communication	Sender(s) of the communication	Recipient(s) of the communication	Subject matter of the communication	Any action taken by the Commission in response to the communication
	Sce	below _		
	٠			
		-		

I lift the Commission in Samuary 1993. While it is likely 2 would have communicated with Frion, I do not recall only information of the type eliquested in this question.

## Response to Question Number 3 (a) – Meetings ( whether in-person or through telephone or video-conferencing)

Name: Brian Storkey

FERO	Title at Time of Meet	ting: Software (Compale	Engineer Spen)	Grang May
Date of Meeting	Who initiated meeting	Who was present at meeting	Subject Matter of Meeting	Any action taken by Commission in response to meeting
(An oran	Bracks Carter tomboders (the COOpen of the)	300+ alentres	Jahredoce FERC'S elects Ching inchestive	
	teem to	This was a large open mostly & galatic could work cons.	ביותק	
		of questions about the electronic Ilm not some in		
		an Enran resigna	teline led	

I did not need w/ay industry Pep to 2-sours any subject not returned to FERE'S Mio. Systems & invo. eccess. Also, I was ned-method in peter, montenny, etc.

Response to Question Number 3 (b) – Other Communications ( whether in-person or through telephone or video-conferencing)

Name: Stron Starkey  FERC Title at Time of Communication: Soitwee Enga Grang May  (Computer Spen)								
ive	1							
	-							

Question Number 3

Page 1 of 1

#### Susan Court

From: Terzic, Branko (US - McLean)
Sent: Friday, March 22, 2002 5:14 PM

To: Susan Court Subject: Question Number 3

To: Susan Court Lead Counsel for General and Administrative Law Federal Energy Regulatory Commission Office of General Counsel

#### Dear Ms. Court.

This is in response to the Question Number 3 of the Questionaire of February 15, 2002 Senators Lieberman and Thompson letter regarding Enron Corporation.

I served on the FERC for part of the period in question which was January 2, 1992 until May 24, 1993. During that period I do not remember any specific meetings with representatives of Enron nor do I remember any communications with Enron outside of normal administrative documents provided to the FERC.

Yours truly, Branko Terzic

This message (including any attachments) contains confidential information intended for a specific individual and purpose, and is protected by law. If you are not the intended recipient, you should delete this message. Any disclosure, copying, or distribution of this message, or the taking of any action based on it, is strictly prohibited.

CHARLES A. TRABANDT 3667 NORTH HARRISON STREET ARLINGTON, VIRGINIA 22207

March 8,2002

Ms. Swan J. Grut

Lead Counted for General and Adenmentative law

Offer of the Ceniral Grunsel

Februal Energy Regulatory Commission

838 First Street, N.E.

Washington, D. C. 20426

Dear Ms. Count,

There you for your memorandum of televisty

26, 2002 to Former Federal Energy Regulatory

Commission Employees.

I served as a Commissioner from November

4, 1985 to March 31, 1993, on which date

I resigned and retired from the Civil Service.

I do not heave in my possession, norde I

have access to, any logs or seconds of meetings

or telephone calls during my service. And,

I have no recollection of any particular,

meetings or phone calls with Emon employees

during the period famous 7, 1992 to March

31, 1993. Consequently, I am not able to

provide any information in response to your

munorandum.

Sincerely,

Charles A. Trabart

March 10, 2002

Ms. Susan Court
Lead Counsel for General and
Administrative Law
Office of the General Counsel
888 First Street, NE.
Washington, DC 20426

Dear Ms. Court:

Effective September 2000, I was no longer employed by the Federal Energy Regulatory Commission. Between January 1992 to September 2000, any communication I may have had with Enron employees would have been related to scheduling a courtesy visit or extending a speaking invitation to a Member of the Commission. I cannot recall from memory the specifics of any scheduled appointments.

During the above-mentioned time periods, the position titles that I held were secretary, staff assistant, and executive assistant.

Sincerely,

Dorothy J. Jimmerman

# FEDERAL ENERGY REGULATORY COMMISSION

WASHINGTON, DC 20426

Committee on Governmental Affairs
EXHIBIT #B-5

OFFICE OF THE CHAIRMAN

May 8, 2002

The Honorable Joseph I. Lieberman Chairman Committee on Governmental Affairs United States Senate Washington, D. C. 20510

Dear Mr. Chairman:

This letter and the enclosed material comprise the final response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. We sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002, and supplemented that answer on March 21, and April 24, 2002. As indicated in those answers, we were continuing to seek responses to Question No. 3 from former FERC employees (at the division director level and above). The enclosure here conveys the responses from former FERC employees.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Pal Wood, III
Chairman

Enclosure

## Question No. 3:

Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

- a) For any meetings, whether in-person or through telephone or video-conferencing, please identify:
  - i) who initiated the meeting
  - ii) all those who were present at the meeting
  - iii) the subject matter(s) of the meeting
  - iv) the date of the meeting
  - v) any action taken by the Commission in response to the meeting
- b) For all other communications, please identify:
  - i) the sender(s) of the communication
  - ii) the recipient(s) of the communication
  - iii) the date of the communication
  - iv) the subject matter of the communication
  - any action taken by the Commission in response to the communication.

# Third Supplemental Answer:

As we indicated in our Supplemental Answer of March 21, 2002 we had received responses from 64 of our former employees to Question No. 3. In our April 24, 2002 Second Supplemental Answer, we indicated we had received 31 more responses. In both answers we described or attached those responses. To date, we have heard from the final six former employees with whom we have made voice or e-mail contact. The responses of those six are listed below. Also, a former employee whose response was previously reported supplemented that response.

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

- 1. Charles E. Bullock
- 2. Clifford Emmerling
- 3. Russell E. Faudree

- 4. Ernst Liebmann
- 5. Regina Speed-Bost

The following former employee of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

# 1. Clifford Sikora

The following former employee of the Federal Energy Regulatory Commission supplemented his prior response to Question No. 3. A copy of his correspondence is attached.

# 1. Robert C. Fallon

TROUTMAN SANDERS LLP

March 14, 2002 Page I

# Troutman Sanders LLP

ATTORNEYS AT LAW

ID1 97H STREET, N.W. - SUITE 1000 WASHINGTON, D.C. 20004-2134

March 15, 2002

Clifford S. Sikora clifford.sikora@troutmansanders.com

Direct Dial: 202-274-2966 Fax: 202-654-5627

YIA ELECTRONIC MAIL
Susan J. Court, Esq.
Lead Counsel for General and Administrative Law
Room Sk-13
888 First Street, N.E.
Washington, D.C. 20426

Answer to Question 3 of Letter Sent to The Honorable Patrick H. Wood III by Senators Lieberman and Thompson

Dear Ms. Court:

This letter responds to your correspondence of March 12, 2002, sent to me via e-mail. You have asked that I respond to Question 3 of the February 15, 2002 letter from Senators Lieberman and Thompson to Chairman Wood. Question 3 asks that the Commission provide the Senators with "all communications between Enron and the Commission from January 1, 1992 and December 2, 2001 ...," specifically, details regarding meetings and

During 1993 and 1994 I was Legal Advisor to Commissioner Donald F. Santa, Jr. I vaguely remember participating in one meeting with an Earon employee during this time. The meeting was a coursey visit. The Enron employee was named Mr. Steve Kean. I believe he was accompanied by another Euron employee, but I do not remember the name or identity of this other person. I have no clear recollection of the subject of the meeting in which Mr. Kean was involved. However, I believe that the goal of the meeting was to inform me of the nature of Erron's business in the electricity market. I also believe Mr. Kean mentioned that Erron was a termy supporter of retail electric competition. I also remember participating in a Harvard Electricity Policy Group meeting in New Orleans in which Mr. Jeffrey Skilling also participated. I have no other recollection of any other meetings or communications with Erron.

Please let me know if you have any other questions or if I can be of additional help.

Sincerely,

Clifford S. Sikora

ATLANTA - HONG KONG - LONDON - NORPOLK - RICHMOND TYSONS CORNER - VIRGINIA BEACH - WASHINGTON, D.C.

April 29, 2002

Ms. Susan Court, Lead Counsel General and Administrative Law Office of the General Counsel Federal Energy Regulatory Commission 888 First Street, N.E. Washington, DC 20426

Re: Senator Lieberman/Senator Thompson Letter

Dear Ms. Court:

In response to your letter of April 4, 2002, I can recall no discussions with other federal agencies concerning Enron.

I do want to supplement my response of March 18, 2002. I recently recalled an additional contact with Enron during the applicable period. The meeting was with Bill Allison, President of Florida Gas Transmission, Stanley Horton, the Enron executive in charge of the Enron pipelines, myself and the environmental staff of the Federal Energy Regulatory Commission. We discussed the company's compliance, or in this case the lack thereof, with the certificate issued to Florida Gas Transmission to expand its facilities in Florida. The meeting occurred at 825 North Capital. The result of the meeting was the issuance of an order stopping work on the project pending adequate assurance from Florida Gas Transmission that it could adequately comply with the terms and conditions of its certificate.

Please let me know if I can provide any further assistance.

1442628 v1; %X50011.DOC

Committee on Governmental Affairs EXHIBIT #C

#### MINORITY VIEWS FERC and its Oversight of Enron Corp.

#### INTRODUCTION I.

In January 2002, the Senate Committee on Governmental Affairs began reviewing the collapse of Enron Corp., then this country's seventh largest corporation. The Committee's goal was to investigate the regulatory entities – both public and private – charged with overseeing and monitoring the business activities of companies such as Enron. This Committee, along with its Permanent Subcommittee on Investigations ("PSI"), spent months reviewing hundreds of thousands of documents, interviewing or deposing hundreds of witnesses, and holding a series of hearings. The overall objective has been to identify what went wrong, to inform the public, to initiate changes to laws and regulations as necessary to attempt to prevent a recurrence, and to ensure that lawbreakers are

The Committee and PSI have investigated: (1) the Enron Board of Directors that, among its many missteps, waived the Company's conflict of interest rules which opened the door to a series of highly questionable transactions; (2) the company's auditors who "pushed the envelope" beyond the boundaries of "generally accepted accounting principles;" (3) the securities analysts and credit rating agencies who were unwilling or unable to tell the world that "the emperor has no clothes", some of which was caused by the fact that these entities truly did not understand Enron's financial reports; (4) the financial institutions that readily jumped on the Enron bandwagon and proposed and structured transactions that they knew allowed Enron to paint a false and misleading financial picture for the public and, in exchange for millions of dollars in fees, ignored the holes in its flatbed and the nails in its tires as it hurtled downhill, and (5) the Securities and Exchange Commission ("SEC") that obviously abandoned its efforts to promote "plain language" reporting when it accepted Enron's infamous "footnote 16" as an adequate explanation of its conflict-of-interest transactions.

Each of these "watchdog" actors asked some of the right questions, but each ultimately abandoned its responsibility to insist on getting appropriate answers in the face of Enron's cast of persuasive and ethicallychallenged executives.

Finally, the Committee undertook an extensive investigation of the Federal Energy Regulatory Commission ("FERC"), which is charged with regulating the wholesale energy markets. As the Majority Staff Memorandum, dated November 12, 2002 (the "Majority Report") points out, the Committee staff spent months investigating and preparing for the hearing held on November 12, 2002. The Minority staff would, at this point, like to express its appreciation for the timely and cooperative responses from everyone at FERC, in the face of voluminous and repeated requests for information and documentation.

Unlike the other entities investigated by the Committee, FERC has no responsibility for reviewing the overall fiscal activity of companies such as Enron. FERC's primary responsibility is to ensure that the rates charged by wholesale energy sellers such as Enron are just, reasonable, and not discriminatory. Thus, even had FERC, as suggested by the Majority Report, questioned Enron's sale of the "Qualifying Facilities" or monitored Enron Online more closely, there is no evidence that Enron's collapse could or would have been avoided or even brought to light sooner.

This does not mean that FERC deserves a clean bill of health. As the Majority Report so clearly portrays, the Commissioners who held office in 2000 and early 2001, during the critical energy crisis in California "displayed a striking lack of thoroughness and determination . . . a shocking absence of regulatory vigilance." On this point there is no disagreement,

The Majority is right in its general assertion that FERC allowed energy market problems to arise and fester by its lack of action and oversight. There is almost universal agreement that FERC had significant problems in

^{1 &}quot;The FERC didn't have much jurisdiction over Enron," according to Sen. Jeff Bingaman (D-N.M.), chairman of the Energy and Natural Resources Committee. This comment came during the hearing in which the Committee approved the nominations of Commissioners Wood and Brownell (both of whom Chairman Bingaman supported: "I supported both of them strongly and I think they've been doing a good job." Quoted in <u>The Hill</u>, Feb. 6, 2002).

Majority Report, page 2.

overseeing the rapidly developing energy markets of the 1990's. That record is abundantly clear. That record of failure ended, however, approximately 18 months ago, when the current Commission took shape. As the committee's expert witness, Paul L. Joskow testified,

"I believe that FERC has made a lot of progress in the last 18 months under Chairman Patrick Wood's leadership and has responded positively to the criticisms that I made in mid-2001.

Unfortunately, while the Majority Report correctly identifies FERC's regulatory failures as they relate to Enron, in the judgment of the minority, it fails to give appropriate recognition to everything that has occurred since Mr. Wood became Chairman and purports to "paint" both Mr. Wood and his fellow Commissioner, Nora Brownell with the "tainted Enron brush." This Minority Report is intended to put FERC's faults into proper historical perspective, to provide "the rest of the story," and to put aside unfair inferences that otherwise could be drawn about the current administration, Commissioner Wood or Commissioner Brownell. In coming to FERC, Chairman Wood inherited a sizable set of challenges and has implemented a formidable plan. Fairness dictates the need to report on FERC, as it exists today, to provide appropriate credit where due, and to recognize the positive developments on several fronts involving a new department of market oversight and investigation and a number of rule-making proposals designed to prevent a recurrence of the problems highlighted by the Enron debacle.

#### FERC OVERSIGHT OF ENRON: A Perspective on Failure I.

#### Windfarm Transactions:

In 1997, Enron became the owner of a number of a number of windfarm projects, all of which were certified "qualifying facilities" (QFs). This means that they were eligible for preferential rate treatment, among other benefits. Seven months later, Enron acquired Portland General Electric (PGE), an Oregon public utility. This created a problem: QF's cannot be more than 50 percent owned by a public utility or its holding company. Therefore, in order for the wind farms to retain their QF status and associated benefits, Euron, either had to (1) divest itself of at least 51 percent of its ownership of the QF's, or (2) obtain an appropriate exemption under the Public Utility Holding Company Act ("PUHCA"). Enron, in its characteristically aggressive approach, attempted to do both, and with questionable validity

Enron's first approach was to "divest" itself of the windfarms. As the Majority Report points out, Enron transferred majority ownership in at least three of these windfarms to an entity (RADR) created by Enron Chief Financial Officer, Andrew Fastow, and his associate, Michael Kopper. It is now known that the complex documents Enron sent to FERC effectively hid the fact that Enron retained beneficial interests in the windfarms. The SEC and the Department of Justice ("DOJ") recently filed civil and criminal complaints, respectively, against the two Enron executives (Fastow and Kopper) alleging, in part, that they devised a scheme to allow Enron to maintain secret control over the QF windfarms while preserving QF benefits for the windfarms.

For some reason, during 2000-2001, Enron decided to "repurchase" the windfarms including the three RADR projects. Since Enron still owned Portland General, the repurchase in the Windrams including the three RADR projects. Since Enron still owned Portland General, the repurchase put the QF status of the windfarms back in jeopardy. Desiring to continue to receive favorable QF treatment for these windfarms, Enron applied to the SEC for a second seemingly redundant PUHCA exemption. Under FERC rules, merely applying for this exemption in good faith meant that Enron was no longer an electric utility holding company and could own these QFs without causing them to lose QF status and the related benefits. Therefore, Enron was able immediately to file notices of self-certification of QF status for the windfarms.

³ Joskow Testimony before the Governmental Affairs Committee, Nov. 12, 2002, page 8.

⁴ Euron already was exempt from the requirements of PUHCA under an SEC interpretation of what constituted "interstate" activity. However, FERC regulations issued pursuant to the Public Utility Regulatory Policy Act ("PURPA") recognize only certain of the PUHCA exemption categories for QF ownership. Only those utility holding companies exempt from PUHCA under section 3(a)3 or 3(a)5 may own QFs. Enron filed for a second "exemption" under these sections.

The sags of Euron's PUHCA exemption application is detailed in a prior report of the Committee. See "Financial Oversight of Enron. The SEC and Private Sector Watchdogs, Report Prepared by the Staff of the Committee on Governmental Affairs, "S.

Prt.107-75 (October 7, 2002).

There is nothing inherently wrong with any business applying to take advantage of an exemption to some rule or regulation. However, the Committee, through its previous Report, did raise a valid concern over the manner in which FERC and the SEC interacted—or failed to interact—with respect to a public utility obtaining such an exemption. FERC apparently accepted that any application submitted for such an exemption is made was in "good faith." The SEC, however, also did not question the "good faith" of a filing; it simply processes the application in the normal course of events. The SEC had no sense of urgency with respect to Enron's application. In this instance, Enron applied for this particular exemption two and half years ago, and the SEC did not act on the application until October 7, 2002 (coincidentally the same day that the Committee issued its report that criticized the handling of Enron's PUHCA exception application), when it scheduled a hearing on that application.

This issue points out a serious regulatory gap that is caused, in large part, by the overlapping and conflicting responsibilities created under PUHCA. Both the SEC and FERC have been urging Congress to repeal PUHCA and Congress is giving the matter serious attention.

With respect to the fraudulent filings, Chairman Wood testified that the FERC self-certification system has a built-in system of checks and balances. Since the burden of paying for the benefits granted to QF's is borne by the public utilities, they have a natural financial interest in monitoring the validity of QF certification. Therefore, affected utilities are notified of all pending QF certifications. Chairman Wood testified that FERC has handled approximately 9,000 applications for QF status and that public utilities or other similar parties of interest have challenged at least twenty of those filings. Indeed, Southern California Edison Company currently is challenging Enron's self-certification of the windfarms by opposing Euron's application to the SEC for exemption under 3(a)3 and 5 of PUHCA.

The Majority Report, not unreasonably, wants FERC to have a process in place whereby fraudulent filings such as those submitted by Enron would be discovered before approval by FERC. For FERC to be able to examine each of the filings to the extent that would have been necessary to uncover Enron's fraudulent documentation may not be cost-effective or indeed, even possible, given FERC's mandate and resources. The better way for FERC to prevent such actions in the future is to have a much stronger system in place to monitor market activities and much stronger civil and criminal penalties in place as a deterrent. Under Chairman Wood's leadership, FERC has begun implementing these changes, which are discussed more fully in Section III of this Minority Report.

#### B. Affiliated Transactions

The Majority Report's concern about affiliated transactions involves two loans totaling approximately \$1 billion issued by JP Morgan Chase and Citigroup to Enron's subsidiaries, Northern Natural Gas Company and Transwestern Pipeline. Enron announced the commitment for the loans on November 1, 2001. The loans were secured by the assets of the two companies—primarily, their natural gas pipelines.

The problem arose when the proceeds of the loans, through Enron's overall cash management program, were "swept" to the accounts of their parent company, Enron. These proceeds became unsecured "loans" from the subsidiaries to Enron, the parent. Enron's interstate pipeline companies are directly regulated by FERC; Enron is not. When Enron declared bankruptcy a few weeks later, it made no payments of these monies to its subsidiaries, and the pipeline companies have been left to pay off the entire amount of the obligations to the banks – potentially at the expense of their customers.

FERC has rules in place prohibiting this kind of affiliated financial activity, and within a short time, FERC reacted. On March 1, 2002, the FERC instituted a formal non-public investigation into these transactions. In August 2002, pursuant to that investigation, FERC directed Northern Natural and Transwestern to demonstrate why the costs and indebtedness associated with these loans were not "imprudently incurred" and therefore unrecoverable from ratepayers. In response, Northern Natural executed a consent agreement whereby it would not include the costs associated with the loan in any future rate proceedings before FERC. Currently, FERC is engaged in similar settlement discussions with Transwestern.

⁶ It should not be lost that at least one of the financial institutions involved, through these pipeline loans, essentially "converted" unsecured debt owed by the parent, Enron, into secured debt owed by the pipeline subsidiary. This occurred when the pipeline loan proceeds were sweet to Enron and used by Enron to pay down existing unsecured indebtedness at that institution.

In addition to a timely prosecution of this violation, the investigation of Enron's subsidiary transactions has led the Commission to propose amending its Uniform Systems of Account to supplement the rules with respect to administering and reporting cash management agreements. On August 1, 2002, the Commission issued a Notice of Proposed Rulemaking (NOPR) on Regulation of Cash Management Practices. The proposed rules include specific documentation requirements and conditions precedent for participation in cash management arrangements between regulated and non-regulated affiliated companies. These rule changes are designed to make such arrangements more transparent and to prevent the abuse of cash management or money pool arrangements that could affect the financial health of regulated entities. FERC recently held a technical conference on the proposed rules and comments are under consideration

#### cEnron Online.

Enron's Internet trading system, Enron Online (EOL), was generally acknowledged to be the dominant Internet—based platform for trading both physical energy (electricity and natural gas products) and energy derivatives during its short-lived existence (1999-2001). The structure of this new energy-trading platform was unique at the time it was created. Unlike any other trading system in existence, one company (Enron) was a party to every trade. Moreover, EOL was not subject to the extensive regulation of a commodity exchange by the Commodity Futures Trading Commission ("CFTC") because these were bi-lateral transactions not made through an exchange and all of the transactions took place between sophisticated parties.

Nonetheless, all commodity futures transactions are subject to regulation for 'fraud and abuse.' And since FERC has the authority and obligation to regulate where such trades are creating market power and result in prices that are not just or reasonable, FERC had a responsibility to understand quickly how this new market functioned and to ensure that EOL was not exercising market power or in some way adversely affecting the price of energy.

As the Majority Report notes, however, from 1999 well into 2001, FERC did not have a system in place that could react to emerging issues such as those presented by EOL trading. It was not until May 2001, that FERC staff initiated an informal review into EOL and electronic trading in natural gas and electric energy markets. The staff report was completed in August 2001 but never formally presented to the Commissioners. This initial report recommended that FERC continue to monitor BOL and electronic trading of natural gas and electric power, but determined that there was no reason for concern about EOL at that time. At approximately the same time, the FERC staff informally began to analyze whether FERC could assert jurisdiction over the derivative trades and the trading platform itself.

As we have ultimately learned, the biggest problem created by EOL was that it did such voluminous business, it became a source of price discovery for natural gas products – despite the system's lack of transparency. In January 2002, in response to allegations that certain practices engaged in by EOL (such as wash trades) may have distorted electric and natural gas markets in the West, FERC initiated a fact-finding investigation into EOL and other trading practices engaged in by Enron and FERC staff has recommended that the FERC not approve a price index based on EOL transactions.

Recently, FERC has implemented several organizational changes that will positively affect trading oversight. The primary change is FERC's creation of a new Office of Market Oversight and Investigations (OMOI). This new office is discussed more fully in Section III of the Minority Report.

### California Energy Crisis.

The California energy crisis receives more coverage, by far, than any of the other three issues covered by the Majority Report, and it remains the most significant example of FERC's ineffectiveness during a critical period of time. Part of the problem is jurisdictional. The extent of FERC's jurisdiction and the extent to which FERC elects to exercise its jurisdiction continue to be a source of controversy, particularly as the deregulation of the

⁷ Enron OnLine was taken over by UBS Warburg Energy following Enron's December 2001 bankruptcy filing, and currently the

trading platform operates under the name of UBS Wenergy, com

* Minority staff met with representatives of the CFT on several occasions; this is very much an oversimplification of the complex rules that govern CFTC's jurisdiction.

electricity market evolves. Jurisdiction over energy regulation is split between FERC (wholesale) and the states (retail), thus making cooperation between the regulators essential. In California, any cooperation that may have existed evaporated at the first sign of trouble.

Disagreements over the design of California's deregulation model arose early, as FERC quickly urged the State to reinstate the use of long-term contracts, while the State immediately asked FERC to implement price controls. FERC did take steps to control the California energy crisis as early as December 2000, when it issued an extensive order for changes to the market. However, most would agree that this order did not go far enough or quickly enough, and it wasn't until April 2001 that FERC provided the help California needed. Indeed, many of the problems that California experienced in early 2001 emanated from FERC's December 2000 order.

While there is a great deal that can be – and has been – said about the California energy crisis, the best thing that can be said is that the crisis is over and has not reoccurred. One of the expert witnesses, Paul Joskow, that the Majority requested testify at the Committee's November 12, 2002 hearing, said it best:

"A lot has happened in 18 short months. The extraordinarily high wholesale electricity market prices and power supply emergencies that plagued California and the rest of the West during the second half of 2000 and the first several months of 2001 subsided by the summer of 2001, and these extraordinary conditions have not reappeared since then."

Since President Bush's appointment of Patrick Wood and Nora Brownell in May and June 2001, and Mr. Wood's ascension to the chairmanship of FERC in August 2001, a number of important steps have been taken. The new FERC Commissioners have taken action: (1) to understand and implement some new rules and a basic structure for the new deregulated market-based system so that a California-type crisis will not reoccur, and (2) to investigate the charges of market manipulation in order to ensure that appropriate refunds will be made to anyone who has been subject to unjust or unreasonable rates for wholesale energy. These efforts are detailed more fully in Section III, below.

#### II. FERC ACTS TO IMPROVE

A. New Rules for Regulating a Deregulated, Market-Based System of Electric Energy Production and Delivery: Standard Market Design

On July 31, 2002, FERC published a Notice of Proposed Rulemaking (NOPR) on Standard Market Design (SMD). The NOPR seeks comment on a series of rules on market design, including a comprehensive plan for mitigating market power and market manipulation. The proposed rules are intended to provide certainty to all market participants, encourage new infrastructure investment, promote fair competition and prevent a repeat of the mistakes made previously in California.

This is a sweeping rulemaking that attempts to deal with many of the problems with wholesale markets that have been identified, including efforts to respond to many of the "lessons learned" from the California crisis and emerging energy market issues. Energy expert, Paul Joskow, said this in his testimony:

"I recognize that many of the proposals in the SMD are controversial. And while I agree with many of them, I also believe that there are several aspects of the SMD NOPR that need significant improvement and revision. Nevertheless, this is a serious, even courageous effort by FERC to facilitate wholesale market competition and improve market performance. Market monitoring and mitigation proposals are fully integrated into the SMD and the potential for exercising market power and the need to mitigate it has influenced important aspects of the proposals." (emphasis added).

For whatever reason, the Majority Report did not discuss this important rulemaking.

The extent of the discord between FERC and California may be best typified by the State's continued refusal to abide by FERC's Dec. 15, 2000 order requiring the California ISO to have an independent governing board. Indeed, on August 19, 2002, FERC actually filed suit against the CAISO seeking a declaratory judgment and injunctive relief to force the ISO to appoint a new and independent Board of Directors

FERC has extended the time period for filing comments on the SMD NOPR and has initiated various outreach efforts to better explain certain aspects of the SMD proposals and to receive advice from interested parties about problems with the SMD and potential improvements to its proposals.

#### B. Investigating Market Manipulation.

In January 2002, in response to allegations that Enron may have used its market position to distort electric and natural gas markets in the West, FERC initiated a fact-finding investigation into whether any entity, including any affiliate or subsidiary of Enron Corp., had manipulated electric energy or natural gas prices in the West since January 1, 2000. The investigation was formally announced on February 13, 2002. In conducting this investigation, FERC has coordinated closely with DOJ, SEC, the CFTC, and the Department of Labor.

On August 13, 2002, FERC released an initial report of its investigation. The report concludes that published indices of electricity and natural gas prices in or near California during the recent crisis may not be sufficiently reliable to be used in setting refunds for wholesale power buyers in California. Based on this staff finding, FERC requested comments on whether it should change the method for determining the cost of natural gas in calculating the refunds for power sales in California from October 2000 to June 2001, and if so, what method should be used. FERC recently received comments on this issue and the comments are currently under consideration.

Also based on the staff report, FERC initiated formal enforcement proceedings under section 206 of the FPA regarding possible misconduct by three corporate affiliates of Enron (Enron Power Marketing, Inc., Enron Capital and Trade Resources Corporation, and Portland General), and two investor-cowned utilities that did business with Enron (Avista Corporation and El Paso Electric Company). If these investigations result in findings that FERC orders or regulations were violated, possible sanctions include loss of market-based rate sales authority.

FERC's investigations continue. ¹⁰ FERC, with the assistance of outside consultants, is conducting a comprehensive investigation of a variety of factors and behaviors that may have influenced electric and natural gas prices in the West during 2000-2001. FERC's final report proposes to be comprehensive, and will include an explanation of EOL operations and the role EOL played in the energy markets and an analysis of the so-called wash trades in electricity and natural gas markets in the West and the concern that these trades drove price higher. The targeted date for completion of the investigation is January/February 2003. As soon as the investigation is complete, FERC intends to provide a thorough and timely report to Congress.

#### C. Ongoing Market Monitoring and Investigation: Creation of FERC's Office of Market Oversight and Investigation.

Perhaps the single most significant effort being undertaken at FERC is the creation and staffing of a new Office of Market Oversight and Investigation. Created in response to an obvious and glaring need, the OMOI is an idea that experts such as Paul Joskow have been promoting for many years. The director of OMOI was appointed in April, and many of the new positions have already been filled – at least 90 of the new hires coming from outside the government, and offering the agency access to new and different areas of expertise.

OMOI is providing FERC with the needed sophistication to monitor the new market-based energy industry and to implement immediate and effective investigation and enforcement efforts. The office encompasses both of these functions that must work closely together. The Market Oversight and Assessment unit reviews developments in the market on a real-time and longer-term basis, and spots irregularities. As problems arise and are identified, OMOI's Investigations and Enforcement unit brings swift, decisive and effective enforcement. OMOI serves as an

¹⁰ Indeed, two days after the hearing, as part of this investigation, FERC submitted staff's "Statement of Asserted Violations" to the Administrative Law Judge. This filing charged that Enron Power and Marketing in concert with its public utility affiliate (Portland General Electric) (i) "insrepresented the nature and amount of power Enron intended to sel into the California market, as well as the load it intended to serve," (ii) "developed a scheme under which it created false congestion and received payment for relieving the same false congestion," and (iii) "set up sleeves using Washington Water Power in order to shield its false congestion scheme from scrutiny," all in violation of the market rules of the California ISO and of sections 205 and 206 of the Federal Power Act.

early warning system to alert FERC when market problems develop, such as the California energy crisis or the on, and allows FERC to intervene and correct the problems more quickly

Finally, OMOI has begun an aggressive program of outreach to a wide variety of entities including: other federal, state and provincial regulatory agencies, state consumer advocates, industry participants, academic institutions and think tanks, financial institutions (such as ratings agencies), and Market Monitoring Units (MMUs) at Regional Transmission Organizations and Independent System Operators. The purpose of the outreach is to let these entities know that FERC is developing a clear market oversight capability and to obtain their input for how best to develop that capability. Market monitors presented their evaluations of the ISO regional electricity markets at a FERC Open Meeting in June 2002, and participated in a FERC market monitoring technical conference on October 2, 2002.

#### THE "PROPRIETY" OF CONTACTS BETWEEN ENRON & CERTAIN FEDERAL OFFICIALS

We believe the current record and the Majority's report must be supplemented to provide a more complete picture of the contacts between Enron and FERC commissioners. Contacts between regulators and the companies that they regulate not only are normal - indeed, they are to be expected. Given the sometimes limited resources of government agencies, the input of companies and their representatives can be invaluable. The difficult question is when do those contacts become improper. In today's world, unfortunately, the mere suggestion of a contact sometimes raises, in the public's mind, the specter of impropriety. That is why if these contacts are raised, with the public left to draw whatever inferences are to be drawn, the individual or institution raising the issue is duty bound to do so fairly and even-handedly. This is where the Majority Report unfortunately falls short. Once the issue of political influence is raised, however, we have a duty to present a fair and balanced picture.

The Majority Report, in raising the issue of Enron's use of its political clout, refers to meetings with the current administration as well as Enron's past promotion of the candidacies of Commissioners Wood and Brownell to serve on FERC. References to these matters, however, in no way relate to the substantive matters that the Committee investigated or that are detailed in the Majority Report.

In addition, on May 31, 2001, Senator Lieberman requested the U.S. General Accounting Office (GAO) Office of Special Investigations to review a telephone call between Curt Hébert, a Republican appointee and then-Chairman of FERC, and Kenneth Lay, Chairman of Enron. During this conversation, Mr. Hébert reportedly asked Mr. Lay to endorse his remaining as FERC's Chairman. Senator Lieberman appropriately expressed concern that this communication may have violated federal criminal statutes or ethics regulations.

After GAO completed its investigation, finding no evidence that federal criminal statutes or ethics regulations were violated, Senator Lieberman, along with Senator Dianne Feinstein, wrote incoming FERC Chairman Patrick Wood, referencing the GAO investigation. In this letter, the Senators wrote, "while GAO concluded that it found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations the fact remains that GAO confirmed that the Chairman of the Federal Energy Regulatory Commission discussed support for his continued appointment as Chairman with the senior official of a major energy company regulated by that Commission." The letter went on to request that FERC review its ethics and record-keeping regulations to "ensure that communications between the Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of the Commission.

The Minority agrees that it is important for government officials to maintain the highest ethical standards in order to maintain the public's trust. We also believe that public officials have an obligation to meet and talk with the public – including members of the public whom they regulate – at an appropriate time and in a proper manner. While it might have been preferable for Commissioner Hebert to refrain from soliciting support from one of the entities regulated by FERC, his integrity 2 and his steadfast adherence to principle are to be congratulated.

## Enron Lobbying of Commissioner Breathitt

¹¹ Letter, September 17, 2001, Senators Lieberman and Dianne Feinstein to The Honorable Patrick Wood III.
¹² GAO found "Mr. Hebert refused to change his position on access [to the electric transmission grid] even though that refusal might have cost him Mr. Lay's support." See GAO Letter to The Honorable Joseph I. Lieberman, dated August 16, 2001, at page 4.

FERC Commissioner Linda Breathitt was appointed to the Commission in 1997 by President Clinton to fill a Democratic slot on the Commission. She is from Kentucky, where she was the Chair of the Kentucky Publi Service Commission. Records produced to the Committee by Enron included a set of documents from a Kentucky law firm, of which Ms. Breathitt's father is a partner. One of the firm's other partners was hired by Enron to lobby FERC at least in part because of his close friendship with Commissioner Breathitt. According to the Committee records, it appears that Enron was referred to this firm by Johnny Hayes, a former board member of the Tennessee Valley Authority ("TVA"). Both the lobbyist and Mr. Hayes are close confidants of then Vice President Gore. Mr. Hayes resigned from the Board of Directors of the TVA to be chief of fund-raising for Mr. Gore's 2000 presidential campaign. These records further indicate that Enron paid Mr. Hayes \$200,000 and paid the Kentucky law firm another \$500,000, a significant part of which was apparently earmarked for Mr. Hayes. Among the documents provided to the Committee were the law firm's billing records for Enron during 2000 – 2001. These billing records revealed a string of more than 40 contacts between Enron representatives and Ms. Breathitt. A chart listing each of these contacts is attached to this Minority Report as Schedule A. The record indicates that during the height of the California energy crisis, Commissioner Breathitt was having as many as five and six contacts a month with Enron

While each of these payments and contacts may be entirely innocent, the sheer number and frequency of the contacts during a time of enormous significance in the energy industry creates an appearance of impropriety and a need for further inquiry. We cannot say why there is no mention of these matters by the Majority when the evidence is in the Committee's investigation files. We would simply note that the 46 Enron contacts evidenced in the record for Ms. Breathitt are far more frequent than the contacts reported by any other Commissioner for that two-year period. Commissioner Massey, for example, who has been on the Commission since 1993, reported only 11 contacts with Enron representatives during the same two-year period, 2000-2001. 15

Moreover, there would appear to be an obvious question why Commissioner Breathitt reported only 14 contacts ¹⁶ when records clearly indicate that she met or spoke with Enron lobbyists more than three times that often. These are uncomfortable but legitimate questions that deserve answers. As Senator Thompson noted during the November 12, 2002 hearing, "there have been other good people who have suffered from the suggestions or implications that have been made in the public arena, and I think the record ought to be complete." Fairness to all dictates that result.

#### CONCLUSION

As the Majority Report indicates, prior to 2001, FERC failed to adequately regulate the fledgling deregulated electricity market on a number of occasions, four of which are highlighted in the Report. We believe, however, and the record seems to indicate that since that time, much attributable to Chairman Wood's leadership, FERC is to be commended for undertaking bold initiatives to address past failures, including aggressive investigations, proposed and final rulemakings, and internal reorganizations and personnel staffing efforts. Given all that FERC has done in the last 18 months, the Committee now should let FERC do its job and give it support.

Finally, as we close the book on our Committee's and PSI's Enron investigations, let us put aside our differences. There is much that we can be proud of. Many of the recent reform and law enforcement actions can be traced indirectly if not directly to our investigations and hearings. But let us not forget that in any investigation, we have a duty to be fair and even-handed. The public expects and deserves that from Congress.

¹³ Memo detailing money transaction between the law firm and Enron

Law firm billing records.

Responses to Committee's March 2002 letter and follow up letter dated October 30, 2002 to Id.

Committee on Governmental Affairs EXHIBIT #D-1

# United States Senate

COMMITTEE ON GOVERNMENTAL AFFAIRS WASHINGTON, DC 20510-6250

September 17, 2001

1 SEP 19 ₹

The Honorable Pat Wood, III Chairman Federal Energy Regulatory Commission Washington, DC 20426

Dear Chairman Wood:

We are writing to you in your new capacity as Chairman of the Commission. The Commission faces a number of complex challenges, both internally and externally, in the ongoing evolution of the electric and natural gas energy industries toward more market-oriented structures and the Committee has an ongoing interest in monitoring the Commission's operations and actions. In this regard, we have enclosed a copy of a report of an investigation conducted at my by the Office of Special Investigations of the U.S. General Accounting Office (GAO) in response to concerns orginally raised by Senator Feinstein concerning communications that occurred between Mr. Curt Hébert, Ir., the former Chairman, and Mr. Kenneth Lay of Enron

While the GAO concluded that it found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations, the fact remains that GAO confirmed that the Chairman of a Federal Regulatory Commission discussed support for his continued appointment as Chairman with the senior official of a major energy company regulated by that Commission. We believe that such behavior undermines the public's confidence in federal regulators in general and in the Federal Energy Regulatory Commission in particular. Consequently, we respectfully request that the Commission review its ethics and record-keeping regulations and procedures, including the maintenance of telephone logs and other measures, to ensure that communications between Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of

We would appreciate it if you would promptly advise us in writing of your recommended procedures in these areas, and whether you recommend any legislation concerning this matter.

Sincerely,

Joseph I. Lieberman

Dianne Feinstein

Enclosure

cc: Honorable Fred Thompson

JIL:db



United States General Accounting Office Washington, DC 20548

August 16, 2001

The Honorable Joseph I. Lieberman Chairman Committee on Governmental Affairs United States Senate

Subject: No Evidence That Communications Between the FERC Chairman and the Chairman of Enron Corporation Violated Criminal Statutes or Ethics
Regulations

#### Dear Mr. Chairman:

This letter responds to your May 30, 2001, request that we review the communications between Curt Hébert, Jr., Chairman of the Federal Energy Regulatory Commission (FERC), and Kenneth Lay, Chairman of Enron Corporation, who were the subjects of an article published in *The New York Times* on May 25, 2001. You were concerned that communications between the Chairman of FERC, an independent federal agency, and the Chairman of Enron, an entity regulated by that agency, may violate applicable federal criminal statutes or ethics regulations.

We conducted our work in June 2001. We interviewed witnesses who have first-hand knowledge of the conversation referred to in the Times article—Mr. Hébert, his Chief of Staff, FERC's General Counsel, and Mr. Lay.

In summary, based on our review of the information we gathered and consultation with our General Counsel, we found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations.

# Communications Between Mr. Hébert and Mr. Lay

Messrs. Hébert and Lay confirmed that they had a telephone conversation in February 2001, that Mr. Hébert asked Mr. Lay to endorse him continuing as FERC's Chairman, and that Mr. Lay asked Mr. Hébert about his views on what FERC's policy

President George Bush named Mr. Hébert as FERC Chairman on January 22, 2001. The President, with the advice and consent of the Senate, appoints all FERC commissioners to 5-year terms. The President designates one of the commissioners to serve as Chairman: the designated Chairman need not be approved by the Senate. Thus, Mr. Hébert serves as Chairman at the President's sufferance and could be replaced, without Senate approval, if the President desired. On August 7, 2001, it was reported that Mr. Hébert announced his resignation, to be effective at the end of August 2001.

*According to Mr. Lay. Enron had written to the White House supporting Mr. Hébert when he was first appointed FERC Chairman.

should be on access to the electricity grid. Further, they both agree that they did not discuss any matters Enron Corporation had before FERC. However, they disagree on how the conversation about FERC's policy on access should be interpreted. Mr. Hébert believes that Mr. Lay was attempting to de his support for Mr. Hébert continuing as Chairman to a change in Mr. Hébert's position on this policy issue. Mr. Lay said that because Mr. Hébert was pressing him for an endorsement, 'he took the opportunity to ask him about his position on access, an issue that he and Mr. Hébert did not agree on. However, Mr. Lay said he never told Mr. Hébert that Mr. Hébert's position on this issue was tied to his endorsement, nor did he imply any such connection. Mr. Lay told us that during the conversation, Mr. Hébert said that FERC was addressing some issues and that Mr. Lay would probably be happy with the direction in which FERC was moving. This statement conflicts with Mr. Hébert's recollection. Mr. Hébert told us that he refused to waiver on his policy.

Mr. Hébert's Chief of Staff and General Counsel were present during all or part of the telephone conversation between Messrs. Hébert and Lay but heard only Mr. Hébert's conversation. They based their characterization of the substance of the conversation on what they heard and their subsequent conversation with Mr. Hébert. They both agree that Mr. Hébert asked Mr. Lay to endorse him continuing as Chairman. They further agree that they heard Mr. Hébert justify his position concerning the access issue to Mr. Lay. In addition, they both said that after the telephone conversation, Mr. Hébert said that he would not get Mr. Lay's support unless he changed his position and that he could not compromise his position.

#### GAO Legal Analysis

Three criminal statutes have some relevance to these circumstances. The first, 18 U.S.C. section 201, a bribery statute, makes it a crime to give, offer, or promise anything of value to a public official with the intent to influence any official act; the statute also makes it a crime for any public official to demand, seek, receive, accept, or agree to receive or accept anything of value in return for being influenced in performing any official act. The second, 18 U.S.C. section 210, makes it a crime to offer or promise money or a thing of value to any person in consideration of the use or promise to use any influence to procure any appointive office in the U.S. government. The third, 18 U.S.C. section 211, makes it a crime to solicit or receive any money or thing of value in consideration of the promise of support or use of influence in obtaining public office.

Additionally, there are ethics regulations to which all executive branch employees must adhere. Executive Order 12674 specifically states that an employee shall not solicit or accept any gift or item of monetary value from a person or entity seeking official action from, or conducting activities regulated by, the employee's agency. The executive order further specifies that employees shall not use public office for

According to Mr. Lay, Mr. Hebert expressed concern about the possibility that Pat Wood would be appointed to replace hun as Chairman. Mr. Lay told us that in February, rumors were circulating that President Bush might appoint Mr. Wood to serve as a FERC commissioner and that the President might designate Mr. Wood to replace Mr. Hébert as Chairman. Mr. Wood was commarded as a commissioner by the President on April 30 and confirmed by the Senate on May 25.

FERC's General Coursel said that sometime after February 9, 2001, he was summoned to Mr. Hébert's office where Mr. Hébert was already engaged in a telephone conversation with Mr. Lay.

private gain, and that employees shall act impartially and not give preferential treatment to any private organization or individual. The Office of Government Ethics has promulgated Standards of Ethical Conduct to which all executive branch employees are required to adhere. These standards repeat that employees shall not use public office for private gain, shall act impartially, and shall not give preferential treatment to any private organization or individual. Moreover, FERC has issued regulations that supplement the Office of Government Ethics' standards. In general, FERC employees with decisionmaking responsibilities are prohibited from having offthe-record (i.e., ex parte) communications relevant to the merits (i.e., capable of affecting the outcome of or influencing a decision) of a contested on-the-record proceeding. However, FERC's regulations specifically exclude from their definition of prohibited off-the-record communications, any relevant communications with respect to general background or broad policy discussions involving an industry FERC regulates, where the discussion occurs outside the context of any particular proceeding and does not affect the specific merits of the proceeding. Significantly, the regulations state that it is FERC's policy to encourage the public, including those subject to regulation by FERC, to submit suggestions, comments, or proposals concerning substantial prospective regulatory policy issues. This policy is intended to serve as a means of advising FERC of potential significant issues and problems that may come before it during its activities.

Regardless of who initiated the discussion concerning open access, it does not appear that any of the criminal statutes summarized above were violated. All three statutes require that money or a "thing of value" be offered or solicited in return for something else. The only thing that may have been sought or offered here was Mr. Lay's political support for Mr. Hébert continuing as Chairman. Although the courts interpret the term thing of value broadly to include both tangibles and intangibles, our review of case law found no support for the proposition that mere political support may be considered a thing of value for purposes of the relevant criminal statutes.

Moreover, under the plain language of each of the above-referenced criminal statutes, the offer of a thing of value must be tied to an expectation of a corresponding action by the other party. That is, there must be an expected quid pro quo, a specific intent to give or receive something of value in exchange for an official act. Here, there is no evidence that such an exchange was contemplated, and Mr. Lay specifically said that he did not tie his support to Mr. Hébert changing his position on access. When Mr. Hébert asked for Mr. Lay's endorsement, it was not unreasonable for Mr. Lay to ascertain whether the Chairman would take a position that would be to Enron's advantage. Mr. Lay wanted the Chairman to take a position in favor of making open access mandatory. According to Mr. Hébert, when he said that he would not support mandatory open access, Mr. Lay said that he would not support Mr. Hébert continuing as Chairman. In fact, after Messrs. Lay and Hébert discussed open access, neither party was willing to budge from his own position, and neither party offered to exchange something of value for some action or influence by the recipient.

⁵ C.F.R. § 2635.101 et seq.

⁴ 5 C.F.R. §§ 2635.101(b)(7), (8), and 2635.702.

The essence of the above-referenced regulations is that government employees may not use their public offices for private gain, and government employees must act impartially and not give preferential treatment to any private organization or individual. Even though Mr. Hébert asked Mr. Lay for his support, there is simply no evidence that he used his public office to obtain that support or that he offered to give preferential treatment to Enron. To the contrary, Mr. Hébert refused to change his position on access even though that refusal might have cost him Mr. Lay's support.

in addition, as stated previously, FERC's regulations explicitly encourage parties regulated by FERC to submit suggestions, comments, or proposals concerning substantial prospective regulatory policy issues as a means of advising FERC of potential significant issues and problems. Thus, discussion of important policy issues such as access to the electrical transmission grid by representatives of companies like Enron is specifically encouraged as a matter of policy under FERC's regulations. The only restraint imposed by FERC's regulations is that FERC decisionmakers may not have exparte discussions relevant to the merits of contested on-the-record proceedings pending before FERC with parties to those proceedings. Here, both Mr. Lay and Mr. Hébert specifically said that while Enron had some matters before the FERC, they did not talk about those matters. This was confirmed by our interviews with the other individuals who were present when the conversation took place. Thus, the FERC rules on exparte communications were not violated.

## Conclusion

On the basis of information obtained in the interviews and a review of the statutes and regulations previously discussed, we found no evidence that applicable federal criminal statutes or ethics regulations were violated. There is no evidence that the Chairman attempted to use his public office for private gain, acted other than impartially, or offered preferential treatment to Mr. Lay and Enron. Likewise, there is no evidence that Mr. Lay offered a thing of value to Mr. Hébert, the FERC Chairman, as that term has been interpreted by the courts on similar issues.

----

As arranged with your office; unless you announce its contents earlier, we plan no further distribution of this letter until 30 days after the date of the letter. At that time, we will send copies of the letter to interested congressional committees and the Chairman of FERC. We will also make copies available to others on request.

The letter will also be available at <a href="www.gao.sov">www.gao.sov</a>. If you have any questions, please call me at (202) 512-7455 or Director Ronald Malfi at (202) 512-6722. Senior Analyst Shelia James, Senior Attorney Peter Iannicelli, and Assistant General Counsel Robert Cramer made key contributions to this letter.

Sincerely yours,

Robert H. Hast Managing Director Office of Special Investigations

(600898)

# FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, DC 20425

OFFICE OF THE CHAIRMAN

October 11, 2001

The Honorable Joseph I. Lieberman Chairman Government Affairs Committee United States Senate Washington, DC 20510-6250

Dear Mr. Chairman:

I am writing in response to your September 17, 2001 letter requesting that the Federal Energy Regulatory Commission review its ethics and record-keeping regulations and procedures. You asked that I provide a written response advising you of my recommended procedures and whether I recommend any legislation in these areas.

As an initial matter, with respect to the Commission's ethics procedures, I want to assure you that the ethics of this agency and its employees are extremely important to me. Indeed, one of my first official actions as Chairman was to meet with all employees to discuss, among other things, the importance of ethical conduct. As I stressed in those meetings held on September 3 and 4, 2001, the public must have confidence in the integrity of the Commission and its employees, and each employee is responsible for knowing and following the applicable rules. For that purpose, I provided to the employees a copy of a FERC Ethics Mandate (Enclosure One), which is also available on the Commission's Intranet and Internet (http://www.ferc.gov/legal/ethics/ethics2.htm) sites.

In addition, although only certain senior staff must receive ethics training this. year, I have required that all Commission employees, regardless of grade, occupation, or tenure, receive formal training, which will be given in classes scheduled between October 23 and November 15, 2001. The training will serve as a reminder of the relevant statutes and regulations with which the employees should be familiar, and give them the tools which will help them comply with the law. It will also give the employees an opportunity to ask questions and learn more about the agency's ethics officials whom they may contact in the future for further assistance.

In response to your September 17, 2001 letter, I asked staff to prepare a report that reviews the Commission's ethics program (Enclosure Two). As discussed in more detail there, the Commission's ethics program was recently audited by the Office of Government Ethics ("OGE"), which commended the Commission for ensuring that its

program complied with Federal regulations and policies. A copy of the OGE report is attached to the enclosed staff report. Accordingly, I am confident that the applicable statutes and regulations as currently administered provide a strong ethical foundation for the Commission's ethics program.

As a separate matter, you also ask that the Commission review its "record-keeping regulations and procedures, including the maintenance of telephone logs and other measures, to ensure that communications between Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of the Commission." Your request was prompted by the General Accounting Office's (GAO) investigation into a conversation between former Chairman Curt Hébert and Enron Corporation Chairman Kenneth Lay. We have reviewed our procedures in this regard and based on that review, I conclude that no changes to FERC's existing rules or relevant statutes are necessary at this time.

If I can be of any further assistance on these or any other matters, please feel free to contact me

Best regards,

Par Wood, II Chairman

Enclosures

# FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, D.C. 20426

Committee on Governmental Affairs
EXHIBIT #D-2

OFFICE OF THE COMMISSIONER

October 30, 2002

Mr. Gary M. Brown, Special Counsel U.S. Senate Committee on Governmental Affairs Washington, DC 20510-6250

Dear Mr. Brown:

This responds to your invitation to supplement my responses to the February 15, 2002 letter from Senators Lieberman and Thompson set forth in your letter of October 11, 2002 to Don Chamblee.

After conducting another review of my calendar, I have found one additional meeting that involved Enron or an Enron affiliate during the relevant time period. The meeting occurred on June 7, 2000. It was attended by representatives of Puget Sound Energy, Avista, Portland General Electric (an Enron affiliate) Sierra Pacific Resources and MT Power. The subject matter most likely involved the development of RTO West.

As to other communications, I have had opportunities for incidental contact with representatives of numerous entities regulated by the FERC, including Enron and its affiliates, in contexts such as conferences, seminars and speaking engagements. Further, during the relevant time period I had telephone communications with representatives of Enron and its affiliates. However, because neither my office or I keep telephone logs, I cannot provide specific information such as dates and the number of additional contacts. They likely involved the same kinds of discussions reflected in my prior responses, i.e., transmission access, RTO development and tariff reform for a single transmission tariff.

My prior responses to Senators Lieberman and Thompson, as supplemented by this letter, reflect my best recollection of contacts I have had with employees of Enron and its affiliates. If I can further assist the committee in this matter, please do not hesitate to contact me.

Sincerely,

Linda K. Breathitt
Linda K. Breathitt
Commissioner

# Commissioner Breathitt — Enron Contacts

1.	May 1		Courtesy Meeting	Committee on Governments Affairs EXHIBIT #D-3		
			May 22: California's electricity supply crisis begins. June 14: California suffers its largest planned blackout since World War II.			
2	Aug. 23		Meeting: Commissioner Breathit	t and C. Bone		
.3.	Aug 24		Telephone Conference: Commis	ssioner Breathitt, C. Bone, J. Hayes		
4.	Sept. 7		Meeting at FERC: Commissione	r Breathitt and C. Bone		
.5.	Sept 15	2	Telephone Conference: Commis	sioner Breathitt and C. Bone		
6.	Sept 28	Carried States	Luncheon meeting:: Commission	er Breathitt, C. Bone, J. Hayes		
7.	Sept 29	<b>*</b>	Telephone conference: Commiss	ioner Breathitt, C. Bone, J. Hayes, and R. Shapiro		
8.	Sept. 30		Telephone conference: Commiss	ioner Breathitt, C. Bone, J. Hayes		
9.	Oct. 18	2	Telephone conference: Commiss	ioner Breathitt, C. Bone		
10.	Oct. 19	2	Telephone conference: Commiss	ioner Breathitt, C. Bone, J. Hayes, and Mr. Delainey		
11.	Oct. 26		Telephone conference: Commiss	ioner Breathitt, C. Bone and R. Shapiro		
12.	Oct. 30		Telephone conférence: Commiss	ioner Breathitt, C. Bone and R. Shapiro		
<b>5</b> 13.	0ct. 31		Telephone conference: Commiss	ioner Breathitt, C. Bone and R. Shapiro		
				ing specific remedies to address dysfunctions in rikets and to ensure just and reasonable wholesale mia		
14.	Nov. 7		Telephone conference: Commiss	ioner Breathitt, C. Bone and Governor Breathitt		
,15:	Nov. 16	2	Telephone conference: Commiss	ioner Breathitt, C. Bone		
16.	Nov. 27		Telephone conference: Commiss	ioner Breathitt, C. Bone and R. Shapiro		
17.	Nov. 28		Telephone conference: Commiss	ioner Breathitt, C. Bone and R. Shapiro		
18.	Dec. 4		Breakfast Meeting: Commission	er Breathitt, C. Bone and R. Shapiro		
-19.	Dec. 4	P.T.	Lunch meeting: Commissioner Br	reathitt and C. Bone and R. Shapiro		
-20.	Dec. 5	Talin	Dinner meeting: Commissioner B	reathitt, C. Bone and J. Hayes		
21.	Dec. 8		Telephone conference: Commissi	ioner Breathitt and C. Bone		
			Dec. 15: FERC issues second major dysfunctions. As part of the order, FE California	order in an effort to remedy California RC rejects wholesale rate caps in		

# 

		,	-			
ij,	22.	Jan. 9	7	Telephone conference: Commissioner Breathitt and C. 8one		
	23.	Jan. 18		Meeting at FERC: Commissioner Breathitt, Enron Representatives, EPSA, ELCON, Reliant, Dynegy		
	24.	Jan. 21	70.5 ST.	Enron-hosted Inaugural Brunch at Red Sage: Commissioner Breathitt—approved attendence		
	25.	Jan. 24	R i	Meeting: Commissioner Breathitt and C. Bone		
	26.	Jan. 24		Dinner meeting: Commissioner Breathitt, C. Bone, J. Hayes, and R. Shapiro		
	27.	Feb. 8		Telephone conference: Commissioner Breathitt and C. Bone		
				Feb. 14: RERC rules that the ISO can no longer buy power on behalf of PG&E and SCE because they no longer meet the credit-worthiness standards set out in the ISO's tariff.		
	28.	Feb. 21	*****	Commissioner Breathitt spoke at INGAA Board meeting in Houston. Stan Horton (Enron)		
	29.	Mar. 9		Telephone conference: Commissioner Breathitt and C. Bone		
	30.	Mar. 19	1.75	Dinner meeting: Commissioner Breathitt, C. Bone, J. Hayes, and R. Shapiro		
	31.	Mar. 27		Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro		
	32.	April 6	<b>2</b>	Telephone conference: Commissioner Breathitt, C. Bone and Mr. Harold Ford Sr.		
	33.	April †2	<b>**</b>	Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro		
	34.	April 18	<b>2</b>	Telephone conference: Commissioner Breathitt, C. Bone and J. Hayes		
	35.	April 23		Telephone conference: Commissioner Breathitt and C. Bone		
	36.	April 24		Commissioner Breathlit attended Ford dinner. C. Bone, J. Hayes, and others		
		·		FERC resists hard price caps and establishes a prospective mitigation and monitoring plan which calls for a series of soft temporary price caps.		
	37.	May 31		Telephone conference: Commissioner Breathitt and C. Bone		
3	38.	lune 16	<b>2</b>	Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro		
	39.	June 25		Telephone conference: Commissioner Breathitt and C. Bone		
	40.	June 28	4	Dinner in D.C: Commissioner Breathitt, C. Bone and J. Hayes		
				July 31: Secramento BEE headline proclaims: Governor *Davis savs *FERC excluding \$5 Billion of Owed Refunds*		
	41.	Sept. 19		Meeting for Commissioner Breathitt to introduced Mark Frevert: Steve Kean, Linda Robertson		
	42.	Sept. 20	A.	Meeting: Commissioner Breathitt, Stan Horton (Enron Pipelines), INGAA representative		
	43.	Sept. 24		Meeting: Commissioner Breathitt, Cynthia Sandherr and Kathleen Magruder (Enron)		
	44.	0ct. 4	X	Commissioner Breathitt attended "US Energy Policy at a Crossroads" hosted by Enron		
	45.	Nov.	<b>T</b>	Telephone conference: Commissioner Breathitt and R. Shapiro		
				Nov. 7: FERC issues an order giving the ISO 15 days to bill the California Dept. of Water Resources for spot market purchases of electricity, or risk losing the FERC requirement that generators make power available to the State		
	46.	Dec.		Telephone conference: Commissioner Breathlitt and Stan Horton		

# F.E.R.C. COMMISSION 1998 – 2002

Date	Members	Changes
1998	(D) James Hoecker, Chair (D) Bill Massey (D) Linda Breathitt (R) Vicky Bailey (R) Curt Hébert	Clinton Appointees
1999	(D) James Hoecker, Chair (D) Bill Massey (D) Linda Breathitt (R) Vicky Bailey (R) Curt Hébert	
January 2000	(D) James Hoecker, Chair (D) Bill Massey (D) Linda Breathitt (R) Vicky Bailey (R) Curt Hébert	
February 1, 2000	(D) James Hoecker, Chair     (D) Bill Massey     (D) Linda Breathitt     (R) Curt Hébert     VACANCY	Vicky Bailey leaves
Mar. 2000 - Dec. 2000	No changes	
January 2001	(R) Curt Hébert, Chair (D) Bill Massey (D) Linda Breathitt VACANCY VACANCY	Bush appoints Hébert, Chair James Hoecker leaves
Feb April 2001	No Changes	
May 25, 2001	(R) Curt Hébert, Chair (R) Nora Mead Brownell (D) Bill Massey (D) Linda Breathitt VACANCY	Bush appoints Nora Brownell
June 14, 2001	(R) Curt Hébert, Chair (R) Nora Mead Brownell (R) Pat Wood (D) Bill Massey (D) Linda Breathitt	Bush appoints Pat Wood
July 2001	No changes	
August 14, 2001	(R) Pat Wood, Chair (R) Nora Mead Brownell (D) Bill Massey (D) Linda Breathitt VACANCY	Bush appoints Pat Wood, Chair Curt Hébert leaves
Sept. 2001 - June 2002	No Changes	
July 2002	[No change]	Linda Breathitt's term expires (she remains active)
Aug. 2002 - Present	No changes	

Committee on Governmental Affairs
EXHIBIT #D-4

* - Article Attached

## **Timeline**

- > 1995—The California Public Utility Commission (PUC) opens the door to competition.
- > September 23, 1996—Governor Pete Wilson signs deregulation legislation.
- > 1998—Deregulation legislation takes effect.
- > Summer 1999—San Diego Gas & Electric lifts consumer price caps.

# <u>2000</u>

# May 1, 2000→Courtesy Meeting

- > May 22: California's electricity supply crisis begins.
- > June 14: California suffers its largest planned blackout since World War II.
- August 2: Governor Davis charges price manipulation on the electricity market.

# August 23, 2000→ Meeting: Commissioner Breathitt and Charles Bone

- August 23: FERC initiates an investigation under Section 206 of the Federal Power Act and directs its staff to accelerate its fact-finding investigation, begun in July, with regard to electric bulk power markets in California and the Western United States.
- August 24, 2000 → Telephone Conference: Commissioner Breathitt, Charles Bone, and Johnny Hayes
- September 7, 2000→ Meeting at FERC: Commissioner Breathitt and Charles Bone
- September 15, 2000→ Telephone Conference: Commissioner Breathitt and Charles Bone
  - > September 20: Cooler weather prevents Blackouts.*
- September 28, 2000→Luncheon meeting: Commissioner Breathitt, Charles Bone, and Johnny Hayes
- September 29, 2000→Telephone Conference: Commissioner Breathitt, Charles Bone, Johnny Hayes, and Rick Shapiro
- September 30, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone, and Johnny Hayes

- October 5: Pacific Gas & Electric (PG&E) asks regulators to bill customers for higher wholesale costs.*
- October 18, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone
- October 19, 2000 → Telephone Conference: Commissioner Breathitt, Charles Bone, Johnny Hayes, and Mr. Delainey
  - October 21: California Independent System Operator Corporation (ISO) proposes to cap wholesale electricity prices at \$100 per megawatt hour, down from \$250.*
- October 26, 2000 → Telephone conference: Commissioner Breathitt, Charles bone and Rick Shapiro
  - October 27: Divided ISO adopts sliding caps on wholesale electricity prices.*
- October 30, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro
- October 31, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro
  - November 1: FERC issues an order proposing specific remedies to address dysfunctions in California's wholesale bulk power markets and to ensure just and reasonable wholesale power rates by public utilities in California.
- November 7, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone and Governor Breathitt
- November 16, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone
  - > November 21: California State Senator seeks \$2 billion from State funds to stabilize power rates.*
- November 27, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro
- November 28, 2000→ Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro
- December 4, 2000→Breakfast and Lunch meeting: Commissioner Breathitt and Charles Bone and Rick Shapiro
- December 5, 2000→ Dinner meeting: Commissioner Breathitt, Charles Bone and Johnny Hayes

- > December 7: California faces unprecedented energy alert.
- December 7: Power plant "Witch Hunt" starts. *
   December 8: No blackouts -- by a thread.*

# December 8, 2000→ Telephone conference: Commissioner Breathitt and **Charles Bone**

- > December 13: Energy Secretary Bill Richardson intervenes to avoid blackouts.
- > December 13: Wholesale electric prices soar anew.*
- December 15: Federal regulators reject wholesale rate cap for California.
   December 16: FERC sets new rules on energy. Davis questions effectiveness of FERC, suggests State should have more authority.*

  > December 26: Southern California Edison sues FERC.

  > December 27: PG&E to seek extra charge.*

- > December 28: PUC weighs power hikes.*
- > December 31: State Legislators to tackle utility crisis.*

### 2001

- > January 4: California regulators approve emergency rate hikes.
- January 9, 2001 → Telephone conference: Commissioner Breathitt and Charles Bone
  - > January 16: Utilities move closer to bankruptcy.
  - > January 17-18: California suffers rolling blackouts.
- January 18, 2001 → Meeting at FERC: Commissioner Breathitt, Enron Representatives, EPSA, ELCON, Reliant & Dynegy
- January 21, 2001→Enron-hosted Inaugural Brunch at Red Sage: Commissioner Breathitt—approved attendance
  - January 23: Energy Secretary Spencer Abraham extends emergency order.
- January 24, 2001 → Meeting: Commissioner Breathitt and Charles Bone
- January 24, 2001→ Dinner meeting: Commissioner Breathitt, Charles Bone, Johnny Hayes and Rick Shapiro
  - > February 1: State approves legislation to spend \$10 billion on power.
  - February 2 & 5; California Governor Gray Davis uses his emergency powers to seize long-term power purchase contracts of Southern California Edison (SCE) and PG&E.
- February 8, 2001→Telephone Conference: Commissioner Breathitt and Charles Bone
  - February 14: FERC rules that the ISO can no longer buy power on behalf of PG&E and SCE because they no longer meet the creditworthiness standards set out in the ISO's tariff.
  - > February 16: Davis proposes buying transmission lines.
- February 21, 2001→Commissioner Breathitt spoke at INGAA Board meeting in Houston. Stan Horton (Enron)
  - > March 1: California ISO files request for refunds with FERC.
- March 9, 2001 → Telephone conference: Commissioner Breathitt and Charles Bone
  - > March 9: FERC orders refunds.
  - March 16: FERC orders additional refunds.
- March 19, 2001→Dinner meeting: Commissioner Breathitt, Charles Bone, Johnny Hayes and Rick Shapiro
  - > March 19-20: California suffers first statewide rolling blackouts.
- March 27, 2001 → Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro

- > March 27: The state approves record rate hikes.
- April 5: A federal appeals court blocks a Sacramento judge's order that directed Reliant Energy to keep selling power to the state despite the wholesaler's worry struggling utilities (PG&E) won't pay.
- April 5: Governor Davis proposes a tiered rate increase.
- April 6, 2001 → Telephone conference: Commissioner Breathitt, Charles Bone and Mr. Harold Ford Sr.
  - > April 6: PG&E files for bankruptcy.
  - > April 10: Governor Davis reiterates request for price caps
- April 12, 2001→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro
- April 18, 2001→ Telephone conference: Commissioner Breathitt, Charles Bone and Johnny Hayes
- April 23, 2001 → Telephone conference: Commissioner Breathitt and Charles Bone
- April 24, 2001→ Commissioner Breathitt attended Ford dinner: Charles Bone, Johnny Hayes and others
  - > April 25: FERC issues order for limited price caps.
  - ➤ May 4: FERC chief (Hebert) defends price cap plan for state.*
  - May 11: By a 20-12 vote, the House Energy and Commerce Committee's Energy and Air Quality subcommittee rejected a price controls amendment to an emergency electricity package offered by Rep. Henry Waxman, D-CA.*
  - > May 15: FERC begins hearings on allegations of price fixing.
  - May 16: Rate hikes set (California PUC).*
  - > May 17: Davis signs Public Power Authority bill.*
  - May 17: FERC nominee (Pat Wood) open to rate caps in his nominations hearing.
  - May 30: State loses round in FERC fight (9th Circuit dismisses price caps case)*
- May 31, 2001→Telephone conference: Commissioner Breathitt and Charles Bone
  - > June 12: FERC broadens El Paso Gas probe.*
  - ➤ June 13: FERC weighs wider energy price controls.*
- June 16, 2001→ Telephone conference: Commissioner Breathitt,

## Charles Bone and Rick Shapiro

- > June 19: New FERC limit on power costs (Close to what Senators Feinstein and Smith proposed).*
- June 25, 2001→Telephone conference: Commissioner Breathitt and Charles Bone

- June 28, 2001→Dinner in D.C.: Commissioner Breathitt, Charles Bone and Johnny Hayes
  - > July 11: Davis repeats threat to sue FERC to get full refund.*
  - July 26: FERC orders hearing on power overcharges to discuss potential refunds.*
  - July 31: Davis energy aide leaves Chris Lehane, a former Clinton / Gore worker, left without pay, citing a conflict of interest. He was credited with getting FERC to the table on price caps. "Chris Lehane provided an invaluable service to the people of California in helping us get action after a yearlong wait from FERC," Gov Davis said. "It's a shame that politics gets in the way of public service."*
  - July 31: Davis says FERC excluding \$5 billion of owed refunds.*
  - August 2001: California is forced to sell its surplus electricity, purchased at an average of \$133/MWh, for between \$15/MWh and \$30/MWh into the market, according to the California Department of Water Resources (DWR).
  - August 7: FERC chairman (Hebert) to leave his post, effective Aug. 31.
  - September 14: Enron agrees to provide files, averts State Senate contempt finding.*
- September 19, 2001→ Meeting for Commissioner Breathitt to be introduced to Mark Frevert: Steve Kean, Linda Robertson
- September 20, 2001→Meeting: Commissioner Breathitt, Stan Horton (Enron Pipelines), INGAA representative
  - > September 20: PG&E files for Chapter 11 bankruptcy.
- September 24, 2001→ Meeting: Commissioner Breathitt, Cynthia Sandherr and Kathleen Magruder (Enron)
  - September 30: New FERC chief sparks hope for troubled agency (Pat Wood).*
- October 4, 2001 → Commissioner Breathitt attended "US Energy Policy at a Crossroads" hosted by Enron
- November 7, 2001 → Telephone conference: Commissioner Breathitt and Rick Shapiro
  - November 7: FERC issues an order giving the ISO 15 days to bill DWR for spot-market purchases of electricity, or risk losing the FERC requirement that generators make power available to the state.
- December 2001→Telephone conference: Commissioner Breathitt and Stan Horton
  - > December 2: Enron files for Chapter 11 bankruptcy.

Committee on Governmental Affairs
EXHIBIT #D-5

- Very friendly meeting with Linda Breathitt.
- She wanted to make sure I emphasized that she was here in Nashville visiting family.
- We had a good opportunity to talk about the issues and her position as the "swing vote".
- We visited the Gore headquarters and had lunch with Johnny Hayes.
- The FERC will be responding today to the request of President Clinton and Secretary Richardson. FERC will be very responsive to the crisis, with investigations in California and possibly hearings in California.
- Linda did not want to address the issues specifically today, but indicated she would be
  pleased to meet with us in Washington at an early date.
- She is very impressed with Steven Kean and spoke highly of him.
- She acknowledged that this is not a partisan issue in any way, and is very conscientions
  about trying to solve the crisis.

Ther 2000 Yoluntary only fec. EXHIBIT#D-6

EXHIBIT#D-6

Solution of vote for MANdatory

And Model of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the solution of the O FERE Should Make.
Regime Transmission (2) Mative load exempting would be sliminated but that only Creater a level playing field All user need to be on A traits So. Co. + other Are opposed -Whole sole / Not Redon ? NotABOUT Ky -- Lynn Church - Elech Power Syphy Arm.

- ENRON- DANCTY CORAL -> Shell -

TE RUN: 06/05/02		WYATT, TARRANT & C						
OFORMA NUMBER:		PROFORMA STATEMENT AS	Trongway name					
			F.MATTER NUMBER:010859.089244		PROFORMA BATCH:			
MATTER DESCRIPTION-	*	•BI	LLING INSTRUCTIONS-					
REPORATE AND GOVERNMENT	AFFAIRS REPRESENTATI	NO						
CLIENT INFORMATION-	*	CLIENT NUMBER	ORIGINATING	BILLING	SUPE	RVISING		
RON CORP. AND ENRON POP	WER MARKETING, INC.	010859	019 CWE	019 CWB	019	CWB		
			C BOME	C BOME	C BOME			
CLIENT ADDRESS	.*	*MA	PTER ADDRESS					
RON CORP. AND ENRON POW				•	tee on Govern			
BARA HUSTER				E	EXHIBIT	#D-7		
O SMITH STREET								
O. BOX 1188								
ISTON, TEXAS 77251-118								
MATTER INFORMATION-	****							
NE:		PHONE:						
ERRED BY:		CONTACT;	RARBARA IIUSTER					
ATUS: OP	RATE: 1	TEMPLATE:	52	MIN FEE:				
TE OPENED: 07/31/2000	DEPT: 0	TIME PORM	AT: 35	MIN COST:				
E CLOSED:	LOCATION: 51	COST FORM	AT: 5	MIN TOTAL:				
T RATE: 07/31/2000	PRACTICE: 26	INTRST CO	ĎE; 0	FEE MARKUP:	Ł			
D FEES:	FEE FREQ: M	INT FREE	CAY:	COST MARKUP:	t			
D COSTS:	COST FREQ: M	FIXED COS		FIXED FERS:				
ST RET ACCT:	TROST RETAIN:	ARRANGEME	nt: H	CURRENCY: 05				
Department Data for th								
t Bill Date: 01/03/200	3							
TIMEKEEPER	TASK		WORKED	STANDARD	BILLABLE			
ATE NUM INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT IND		
		~~~~						
20/00 019 CMB		NFERENCES WITH MR. SHAP	IRO AND 1.00	300.00	300.00	B 3155		
	MR. HERTER.							
23/00 019 CWB	MESTING WITH	MR. SHAPIRO AND MR. HE	RTER. 2.00	600.00	600.00	B 3155		
27/00 019 CWB	TELEPHONE CO	NFERENCE WITH MESSRS. C	ROWELL, 1.00	300.00	300.00	в 3155		
	HAYES AND SH	APIRO.						
31/00 019 CWB	transmin and the	eting with MR. Hayes.	1.00	300.00	390.00	B 3155		
22,00 019 1.88	HELARFAST ME	eximo with MR. HATES.	1.00	300.00	390.00	a 3155		
21/00 019 CWB	TELEPHONE CO	NFERENCE WITH MR. KENTE	R; 2.00	600.00	600.00	B 3155		
	PREPARATION	PCR MEETING IN HOUSTON.						
23/00 019 CWB	DESCRIPTION FOR THE	THE PROPERTY OF EAR MEET	2 00	600 70	600.00	p 3155		

WTC 0014

								Page	2 (2)
DATE RUM	: 06/05/	02		WYATT, TARRANT & COMBS, LLP		CLIENT	.MATTER NUMB	ER:010	859.089244
	TIMEX	REPER	TASK		WORKED	STANDARD	BILLABLE		
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT		
07/24/00		СМВ		TRAVEL TO HOUSTON FOR ENRON MEETING.	4.00	1,200.00	1,200.00	В	3155377
07/25/00	019	CWB		MEETINGS IN HOUSTON WITH ENRON HANAGEMENT; TRAVEL TO DERVER.	8.00	2,400.00	2,400.00	В	3155378
07/31/00	019	CWS		TELEPHONE CONFERENCE WITH MR. SHAPIRO; WORK ON ENGAGEMENT LETTER.	1.00	300.00	300.00	В	3155894
08/01/00	576	ALD		REVISE ENRON CURPORATION ENGAGEMENT LETTER FOR CHARLES BONE.	.20	46.00	.00	ВИР	3159741
08/04/00	019	CWB		TELEPHONE CONFERENCE WITH MR. HEUTER AND VOICE MAIL TO MR. SHAPIRO.	.50	150.00	150.00	В	3161690
08/08/00	019	CWB		TELEPHONS CONFERENCE WITH MR. SHAPIRO AND MR. KEANS; TELEPHONE CONFERENCE WITH MR. HAYES.	1.00	300.00	300.00	В	3170541
08/10/00	019	CWB		TELEPHONE CONFERENCE WITH MR. BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	. 50	150,00	150.00	В	3170550
08/11/00	019	CWB		TEAVEL TO KNOXVILLE; MEETING WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. SHAPING.	5.00	1,500.00	1,500.00	В	3170554
08/16/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPINO.	.50	150.00	150.00	В	3178596
08/17/90	019	CN/B		WORK ON OFFICE OF CHAIRMAN CROWELL FOR APPOINTMENT; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	300.00	300.00	В	3178597
08/18/00	019	СИВ		TELEPHONE CONFERENCE WITH MR. NEUTER.	.50	150.00	150.00	В	3178598
08/21/00	019	СМВ		TELEPHONE CONFEDENCE WITH MR. SHAPIRO.	.50	150.00	150.00	В	3189768
08/28/00	019	СМВ		WORK ON SCHEDULING; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	.50	150.00	150.00	В	3198486
09/04/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES.	1.00	304.00	300.00 WTC	в 00	3207247 15
							4410		

				***************************************		******		Page 3	(3)
DATE RON:	06/05/	0.2		WYATT, TARRANT & COMBS, LLP		CLIEN	T.MATTER NUMBE	R:0108	159.089244
	TIMEX	EEPER	TASK		WORKED	STANDARD	BILLAFLE		
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
	****			***************************************		*******	*********		
09/12/08	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO.	.50	150.00	150.00	ė	3217722
09/13/00	019	CWE		TELEPHONE CONFERENCE WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MR. MAYES.	.50	150.00	150.00	В	3217723
09/14/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SAMDERS AND MR. HADDEN; TELEPHONE CONFERENCE WITH MS. HUETER; TRAVEL TO HOUSTON.	5.00	1,500.00	1,500.00	B	3217726
09/15/00	019	CMB	,	TRAVEL FROM HOUSTON TO KNONVILLE; LUNCH WITH NS. LAY, MR. CROMELL AND OTHERS; TELEPHONE CONFERENCE WITH MR. CROMELL; TELEPHONE CONFERENCE WITH MS. BREATHITT.	6.00	1,800.00	1,800_00	В	3217731
09/16/00	019	CMB		TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO.	.50	150.00	150.00	B	3226558
09/18/00	019	CWB		TELEPHONE CONFERENCE WITH MR. HUETER; TELEPHONE CONFERENCE WITH MR. HAYES.	.50	180.00	150.00	В	3226560
09/20/00	019	CMB		TELEPHONE CONFERENCE WITH MR. DELAINEY.	.50	150.60	150.00	В	3226569
09/21/00	019	CMB		TELEPHONE CONFERENCE WITH MS. SUETER.	.50	150.00	150.00	В	3226571
09/22/00	019	CMB		TRAVEL TO CHATTANOOGA AND RETURN' MEETING AT TVA WITH MR. DELAINEY, MR. ZERINGUE, MR. MEDFORD, MR. BOSTON AND OTHERS.	6.00	1,800.00	1,800.00	в	3226575
09/26/00	019	CWB		TELEPHONE CONFERENCE WITH MR. CROWELL, MR. SHAPIRO AND MR. DELAINEY.	.50	150.00	150.00	В	3238855
10/23/00	019	СИВ		TELEPRONE CONFERENCE WITH MR. SHAPIRO.	.50	175.00	175.00	Э	3270634
10/25/00	019	CHIB		TELEPHONE CONFERENCE WITH MR. CROWELL, MR. DELAINEY AND MR. SHAPIRO.	1.00	350.00	350,00	в	3270638
11/01/00	019	Сжв		TELEPHONE CONFERENCE WITH MR. SHAPIRO.	.50	175.00	175.00	В	3279962
11/02/00	019	CMB		TELEPHONE CONFERENCE WITH MR. CROWELL AND MR. DELAINEY.	1.00	350,00	350.00 WTC	[™] 00	³²⁷⁹⁹⁶³

DATE RON:	06/05	/02 KEBPER	TASK	WYATT, TARRANT & COMBS. LLP	WORKED	CLIENT STANDARD	MATTER NUMBE BILLABLE	ER:0108	159.089244
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
		****		••••••					
11/06/00	019	СМВ		TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. CROWELL AND MR. HAYES.	1.00	350.00	350.00	В	3290027
11/13/00	019	СМВ		TELEPHONE CONFERENCE WITH MR. CROWELL AND MR. DELAINEY.	1.00	350.00	350.00	В	3299169
11/14/00	019	CWB		TELEPHONE CONFERENCE WITH MR. CROWELL; VOICE MAIL FROM MR. DELAINEY; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	350.00	350.00	В	3299172
12/12/00	019	СWB		TELEPHONE CONFERENCE WITH MR. SHAPIRG AND MR. HAYES.	.50	175.00	175.00	В	3348550
12/18/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. CAUTHEN.	.50	175.00	175.00	В	3354660
12/22/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO REGARDING LUNCH WITH MR. CROWELL.	.50	175.00	175.00	В	3354669
12/23/00	019	СМВ		LUNCH WITH MR. HAYES AND MR. CROWELL.	1.50	525.00	525.00	В	3354671
01/10/01	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. STEFFERS.	.50	175.00	175.00	В	3383775
01/25/01	019	CWB		TRAVEL TO NASHVILLE; MEETING WITH MR. HEUTER, MR. STEFFEN, MR. NORTON AND MR. WEST.	3.00	1,050.00	1,050.00	В	3398095
02/12/01	019	CWB		TELEPHONE CONFERENCE WITH MR. NAYES, MR. CULBREATH, MR. MESRITT; TELEPHONE CONFERENCE WITH MR. ROCERS, MR. NAYES; TELEPHONE CONFERENCE WITH MR. CROWELL.	2.00	700.00	700.00	В	3510385
02/13/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON PROPOSALS.	2.00	700.00	700.00	В	3510386
02/14/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON PROFOSALS; TELEPHONE CONFERENCE WITH MR.	4.00	1,400.00	1,400.00	В	3510387
				MOSHIER.			WTC	00	17

								-	
DATE RUN		eeper 02	TASK	WYATT, TARPANT & COMBS. LLP	WORKED	CLIEN STANDARD	T.MATTER NUMBS BILLABLE	R:0108	159.089241
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
		*****			******		777777777	****	
02/15/01	03.0	CMB		TELEPHONE CONFERENCE WITH MR. HAYES;	1,00	350.00	350.00	п	3510388
02,13,02				TELEPHONE CONFERENCE WITH MR. CROWELL;				-	***************************************
				TELEPHONE CONFERENCE WITH MR. MERRITT;					
				TELEPHONE CONFERENCE WITH MR. ADDINGTON.					
02/16/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES, MR.	1.00	350.00	350.00	В	3510389
				YOTTO AND MR. SHAPIRO.					
02/17/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES, MR.	2.00	700.00	700.00	В	3510390
				MERRITT; REVIEW AGREEMENT ETC.					
02/21/01	019	CWB		TELEPHONE CONFERENCES WITH MR. HAYES AND MR.	1.00	350.00	350.00	В	3510391
				SHAPIRO.					
02/22/01	07.9	CWB		ATTEMD TVPPA MEETING - MR. CURT HERBERT	4.00	1.400.00	1,400.00	В	3510392
0.0, 0.0, 0.0				SPEAKER; TELEPHONE CONFERENCE WITH MR.					
				HAYES; REDRAFT VOITH LETTER TO MR. ROGERS;					
				TELEPHONE CONFERENCE WITH MR. MOUSER.					
02/23/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES;	1.00	350.00	350.00	B	3510393
				TELEPHONE CONFERENCE WITH MR. REID (2).					
03/14/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON	2.00	700.00	700.00	В	3510394
				ORGANIZATIONAL MEMO FOR TEG.					
03/19/01	019	CMB		SIDEVIEW - TRAVEL TO WASHINGTON FOR MEETING	6.00	2,100.00	2,100.00	В	3465370
				AND DINNER WITH MR. SHAPIRO, MR. HAYES AND					
				MS. BREATHITT,					
03/20/01	019	CMB		BREAKFAST MEETING WITH MR. HAYES, MR.	4.00	1,400.00	1,400.00	В	3465371
				SHAPIRG; TRAVEL FROM WASHINGTON TO					
				NASHVILLE: TELEPHONE CONFERENCE WITH MR.					
				NORTON AND MR. BOULDIN.					
						•		_	
03/21/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES AND MR.	2.00	700.00	700.00	В	3510395
				SHAPIRO; WORK ON CONTRACTS.					
03/27/01	010	CNB		TELEPHONE CONFERENCE WITH COMMISSIONER	1.00	350,00	350.00	В	3510396
-21211VE	443	CRD		BREATHITT AND MR. SHAPIRO; TELEPHONE	1.00	320,00	224.00		
				CONFERENCE WITH MR. HABLE; TELEPHONE					
				CONFERENCE WITH MR. DYER.			WOO	00	
							WTC	00	18

DATE RUN:	06/05/	02		WYATT, TARRANT & COMBS, LLP		CLIENT	MATTER NUMBI		
	TIMEK	REPER	TASK		WORKED	STANDARD	BILLABLE		
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
03/28/03	019	CMB	h-	TELEPHONE CONFERENCE WITH MR. HAVES; VOICE MAIL OR MR. BINDER; TELEPHONE CONFERENCE WITH MR. HABIS; TELEPHONE CONFERENCE WITH EXECUTIVE COMMITTEE; TELEPHONE CONFERENCE WITH MR. CROWELL.	2.00	720.00	700.00	35	3510397
03/29/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES AND MR. CROMBEL; TELEPHONE CONFERENCE WITH MR. BINDER; TELEPHONE CONFERENCE WITH MR. O'BRIEN.	1.00	350.00	350.00	В	3510398
04/02/01	019	CMB		TRAVEL TO WASHINGTON FOR DINNER WITH MR. HAYES.	3.00	3,050.00	1,050.00	В	3510401
04/03/01	619	CWB		RETURN TO NASHVILLS.	2.00	700.00	700.00	B	3510402
04/06/01	019	CAB		MEETING WITH MR. HAYES AND TELEPHONE CONSERRING WITH MR. HARGLE FORD SR. AND COMMISSIONER BREATHITT; MEETING WITH MR. CROWELL AND TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HEUTER.	2.00	700.00	700.00	В	3510404
04/18/01	019	CMB		MEETING MITH MR. HAYES; MEETING WITH MR. HAROLD FORD; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. CROMEIL.	1.00	350.00	350.00	В	3510410
04/23/01	019	CMB		TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAFIRO; TELEPHONE CONFERENCE WITH MR. EGBINSON; TELEPHONE CONFERENCE WITH MR. WOMACK; TELEPHONE CONFERENCE WITH MR. HAYES.	1.00	350.00	350.00	В .	3512810
04/24/01	819	CNS		TRAVEL TO WASHINGTON: ATTEND FORD DINNER WITH MR. HAYES, COMMISSIONER BREATGIFF AND OTHERS.	5.00	1,750.00	1,750.00	В	3512813
04/25/01	019	CNB		MESTING IN MASHINGTON, TELEPHONE CONFERENCE WITH MR. ROBINSON, TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE MITH MR. SEEATHITT.	5.00	1,750.00	1,750,00	В	3512815
04/30/03	446	*JW		CONFERENCE WITH MS. HARRIS RECARDING ENRON'S RELATIONSHIP WITH TVA DISTRIBUTORS;	1.50	375.00	375.00	В	3517768
				CONFERENCE WITH MS. MIDGEN WITH ENROW REGARDING PLANS TO ATTEMD THE TENNESSEE			WTC	0	019

ATE RUN:	-	IS/02 IEKEEPER	TASK			WYATT, TARRANT	& COMBS, LLP	WORKED	erre	CLI		TER MUMB BILLABLE		359.089244
DATE	NUM	INIT	CODE		DE	SCRIPTION		HOURS	51	VALU				INDEX
												740001	DIMI	INDEA
				VALLEY P	OBLIC PO	WER ASSOCIATION	MEETING IN							
				NASHVILI,	E IN HAY	: TELEPHONE CON	FERENCE WITH							
				MR. ADER	AND HR.	BERNSTEIN REGA	RDING							
				ATTENDIN	G THE TE	NNESSEE VALLEY	PUBLIC POWER							
				ASSOCIAT	TON CONV	ENTION IN NURSHY	ille in may							
				AND DISC	OSS STRA	TEGY FOR MAKING	A PROPOSAL	•						
				TO THE N	ASHVILLE	THERMAL TRANSF	ER PLANT							
				REGARDIN	3 A REPL	ACEMENT FACILIT	Y; RESEARCH							
						GANIZATION AND	DETAILS OF							
				TVPPA CO	TERENCE									
5/03/01	446	*3.6				SATION WITH DAN SARDING OPCOMIN		.50	12	5.00		125.00	В	3527035
						SANDING OFCOMING WER ASSOCIATION								
						H CHARLES BONE I								
						NT IN TVPPA CO								
											*			
COST	ENTR	ES*						765.20	40,22	1.00	40	175.00		
TE	CODE	DESCRIPTION	4				STANDARD	BILLABLE	SUM ST	AT V	OUCHER	CHEC	x	INDEX
/31/00	130	LONG DISTA	NCE TEL	EPHONE X74	0 0728		1.00	1.00	Y B					1710331
. ,		LONG DISTA					23.72	23.72	. A B					1710635
		LONG DISTA					. 50	.50	r B					1710638
/31/00		LONG DISTA					1.01	1.01	Y B					1710639
		LONG DISTA					.50	.50	Y B					1710643
		LONG DISTA					. 94	.94	Y B					1710645
/31/00 :		LONG DISTA					4.04	4.04	у в					1710646
/31/00 : /31/00 :		LONG DISTAL LONG DISTAL					.50 2.52	.50	Y B					1710648
/31/00 :		LONG DISTAL					3.53	3,53	Y B					1710649
		TRAVEL EXP					1210.00	1210.00	N B	4.	42173	1732		1715857
/21/00		LONG DISTAN				W. BOAL	2.19	2.19	A B	٠.	192313	1/32		1719304
/21/00 :		LONG DISTAN					.50	.50	Y B					1719334
/21/00	130	LONG DISTAN	CE TELE	SPHONE X75	4 0808		.94	.94	Y B					1719367
		TRAVEL EXPE				W. BONE	1324.29	1324.29	M B	1.2	43006	1735		1719402
/23/00 3		LONG DISTAN					1.51	1.51	χв					1720123
/23/00 1	130	LONG DISTAN	CE TELE	SPHONE X75	4 5810		.22	.22	у в					1720124
/25/00 3	130	LONG DISTAN	CE TELE	SPHONE X75	1 0821		1.45	1.45	Y B					1723197
/28/00 1		LONG DISTAN					1.23	1.23	Y B					1725059
28/00 1		LONG DISTAN					9.09	9.09	Y B					1725061
28/00 1		LONG DISTAN					14.54	14.64	Y B					1725069
30/00 1		LONG DISTAN				00	1.51	1.51	Y B					1727377
31/00 1		LONG DISTAN					3.42	3.42	Y B					1729227
31/00 1		LONG DISTAN					2.59	2.69	Y B					1729251
21/00 1		LONG DISTAN					.22	.22	Y B					1739191
21/00 1		LONG DISTAN					. 50	.50	Y B					1739192
		LONG DISTAN				oo	3.53	3.53	Y B					1742555
28/00 1 24/00 1		LONG DISTAN					2.52	2.52	Y B					1747104
49/UU 1		Long distan Long distan					1.00	1.00	Y B					1760373 1761449
75/02 *		AND DIGIAN	كاسلكا سب	ENVINE A 754	0326		1.01	1.01	z B				002	
25/00 1											14/	TC		
25/00 1											**		002	. •

								Page	8 (8)
DATE RUN	: 06/							rage	9 (9)
		PROFORMA STATEMENT		2					
PROFORMA	NUMBE	R: CLIENT.MATTER NUMB	ER:010859.0892	14			PROFORMA BA	TCH:	
10/25/00	130	LONG DISTANCE TELEPHONE X754 0922	.50	.50	¥	В			1761460
10/25/00		LONG DISTANCE TELEPHONE X754 0925	1.01	1.01	Y	В			1761466
10/25/00	130	LONG DISTANCE TELEPHONE X740 0925	5.05	5.05	Y	В			1761472
10/25/00		LONG DISTANCE TELEPHONE X754 0920	6.55	6.55	Y	В			1761482
10/25/00		LONG DISTANCE TELEPHONE X740 1010	5.55	5.55	Y	В			1761575
10/25/00		LONG DISTANCE TELEPHONE X740 1013	1.52	1.52	Y	В			1761609
10/25/00		LONG DISTANCE TELEPHONE X754 1023	.50	.50	Y	B			1761745
10/26/00		TRAVEL EXPENSES VENDOR: CHARLES W. BONE	42.00	42.00	N	В	1250412	176343	1762048
10/27/00		LONG DISTANCE TELEPHONE X720 1023 LONG DISTANCE TELEPHONE X720 1023	1.23	1.23	Y	В			1763682 1763684
10/27/00	130	LONG DISTANCE TELEPHONE X740 1023	5.20	5.20	Y	В			1763712
11/06/00	130	LONG DISTANCE TELEPHONE X754 1016	.44	.44	Y	B			1766420
11/06/00	130	LONG DISTANCE TELEPHONE X754 1024	12.61	12.61	Y	R			1766438
11/06/00	570	MEAL EXPENSES - VENDOR: TRACE BLANKENSHIP	179.33	179.33	N	В	1251428	176774	1766484
11/16/00	130	LONG DISTANCE TELEPHONE X754 1106	2.02	2.02	Y	В	1001100		1776406
11/16/00	130	LONG DISTANCE TELEPHONE X740 1113	5.43	5.43	Y	В			1776505
11/22/00	700	TRAVEL EXPENSES VENDOR: ADDISON MCKINLEY,	1100.00	1100.00	N	В	1253575	177704	1779501
		tic .							
11/27/00	130	LONG DISTANCE TELEPHONE X754 1117	1.00	1.00	Y	В			1780011
11/29/00	130	LONG DISTANCE TELEPHONE X740	7.07	7.07	Y	В			1781546
11/29/00	130	LONG DISTANCE TELEPHONE X740 1115	.50	.50	Y	В			1781547
11/29/00	130	LONG DISTANCE TELEPHONE X700 1115	30.29	30.29	Y	В			1781549
11/29/00	130	LONG DISTANCE TELEPHONE X740 1122	2.02	2.02	¥	В			1781559
11/30/00	150	OUTSIDE DELIVERY COSTS X740 1127	4.03	4.03		В			1782801
11/30/00		OUTSIDE DELIVERY COSTS X740 1128	5.03	5.03	Y	В			1782806
11/30/00	150	OUTSIDE DELIVERY COSTS X740 1129	.50	.50	Y	В			1782820
11/30/00		OUTSIDE DELIVERY COSTS X754 1129	3.69	3.69	Y	В			1782823
11/30/00	130 130	LONG DISTANCE TELEPHONE X754 091300 LONG DISTANCE TELEPHONE X540 091300	3.56	3.56	Y	В			1782841
11/30/00		LONG DISTANCE TELEPHONE X540 091300	3.28 2.11	3.28	Y Y	В			1782843 1782845
11/30/00	130	LONG DISTANCE TELEPHONE X740 091400	6.06	6.06	y	В			1782848
12/29/00	700	TRAVEL EXPENSES VENDOR: CHARLES W. BONE	795.33	795.33	N	В	1257325	179189	1797153
	570	MEAL EXPENSES VENDOR: CHARLES W. BONE	9.50	9.50	N	В	1257325	179189	1797154
12/29/00	582	MILEAGE EXPENSES VENDOR: CHARLES W. BONE	120.90	120.90	Y	В	1257325	179189	1797155
12/29/00	130	LONG DISTANCE TELEPHONE X740 1213	1.01	1.01	Y	В			1797844
12/29/00	130	LONG DISTANCE TELEPHONE X740 1208	.10	.10	Y	в			1797866
12/29/00	130	LONG DISTANCE TELEPHONE X754 1211	.50	.50	Y	В			1797874
12/29/00	130	LONG DISTANCE TELEPHONE X740 1211	1.01	1.01	Y	В			1797878
12/29/00	130	LONG DISTANCE TELEPHONE X740 1129	.50	.50	¥	В			1797979
	130	LONG DISTANCE TELEPHONE X754 1129	.66	.66	Y	В			1797882
	130	LONG DISTANCE TELEPHONE X744 1201	6.93	6.93	Y	В			1797887
	130	LONG DISTANCE TELEPHONE X740 1215	1.23	1.23	Y	В			1797892
	130	LONG DISTANCE TELEPHONE X754 1215	1.45	1.45	Y	B			1797896
12/29/00	130	LONG DISTANCE TELEPHONE X740 1214	2.01	2.01	Y	В			1797906
	130	LONG DISTANCE TELEPHONE X754 1214 LONG DISTANCE TELEPHONE X744 1201	2.41	2.41	Y	В			1797910 1824767
01/19/01		LONG DISTANCE TELEPHONE X744 1201 LONG DISTANCE TELEPHONE X740 0112	2.16	2.16	Y	В			1824767
01/19/01		LONG DISTANCE TELEPHONE X740 0112	1.95 2.01	1.95 2.01	Y	B			1809548
01/23/01		LONG DISTANCE TELEPHONE X720 0117	1.00	1.00	Y	B			1810550
01/31/01		OUTSIDE DELIVERY COSTS FEDEX / R. SHAPIRO 0131	10.53	10.53	Y Y	B			1816409
03/16/01		LONG DISTANCE TELEPHONE X754 0118	1.51	1.51	Y	В			1839081
03/16/01		LONG DISTANCE TELEPHONE X754 0119	,22	,22	Ŷ	В			1839084
03/16/01		LONG DISTANCE TELEPHONE X754 0124	1.01	1.01	Y	В			1839088
03/16/01	130	LONG DISTANCE TELEPHONE X754 0125	.72	.72	Y	В			1839090
							WTC	0021	
							,,,,		

DATE BORS - 06/69/02	Dame no	· · ·	Ins Inc						Page	9 (9)
	DATE RU	N: 06	,		(02					
13.00 1.00	PROFORMA	A NUMB						PROFORMA BA	тен.	
0.7146/01 130 LONG DISTANCY TREAPPOOR X340 0126, 0127 11.09 11.09										
03/16/01 130	03/16/01	1 130	LONG DISTANCE TELEPHONE X720 0124	16.65	16.65	Y	В			1839092
03/14/01 130	,,		LONG DISTANCE TELEPHONE X540 0126, 0127	11.09	11.09	Y	В			1839094
0.7146/01 130				. 66	.66	Y	В			1839096
10.7146/01 1.01										
03/16/01 130							-			
07146/01 130										
0.31/36/01 130 LUNG DISTANCE TREEPHONE X754 0206 1.88 1.88 1.88 7 8 1 1839125 0.31/36/01 130 LUNG DISTANCE TREEPHONE X754 0208 1.51 1.51 7 8 1 1839125 0.31/36/01 130 LUNG DISTANCE TREEPHONE X754 0208 1.51 1.51 7 8 1 1839126 0.31/36/01 130 LUNG DISTANCE TREEPHONE X754 0214 1.01 1.01 7 8 1 1839136 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0214 1.01 1.01 7 8 1 1839136 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0214 1.01 1.01 7 8 8 1 1839136 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0215 3.76 1.76 1.7 8 1 1839137 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0215 3.76 1.76 1.7 8 1 1839137 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0215 3.76 1.7 8 1 1839137 0.31/36/01 130 LUNG DISTANCE TREEPHONE X764 0215 3.7 0219 1.0 1.0 1.0 1.0 1.0 1.0 1.0 1.0 1.0 1.0						_	_			
0.716/01 130							_			
03/16/01 130 LONG DISTANCE TELEPHONE X754 0214 1.01 1.01 Y 8 1333131 03/16/01 130 LONG DISTANCE TELEPHONE X754 0214 1.01 1.01 Y 8 193116 03/16/01 130 LONG DISTANCE TELEPHONE X754 0214 1.01 1.01 Y 8 1 1333131 03/16/01 130 LONG DISTANCE TELEPHONE X764 0215 1.06 1.06 Y 8 1 1339174 03/16/01 130 LONG DISTANCE TELEPHONE X764 0215 1.06 1.06 Y 8 1 1339174 03/16/01 130 LONG DISTANCE TELEPHONE X764 0215 1.07 0219 1.07 Y 8 1 1339174 03/16/01 130 LONG DISTANCE TELEPHONE X764 0215 1.07 0219 1.07 Y 8 1 1339174 03/16/01 130 LONG DISTANCE TELEPHONE X764 0216 1.07 0219 1.07 Y 8 1 1339186 03/16/01 130 LONG DISTANCE TELEPHONE X764 0216 1.07 0219 1.07 Y 8 1 1339186 03/16/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 1.09 0.09 0.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0.09 0.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0315 1.09 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0316 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0312 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0312 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1 1402303 03/12/01 130 LONG DISTANCE TELEPHONE X764 0329 1.00 0 Y 8 1						_	_			
03/16/01 30 LONG DISTANCE TREAPHONE X740 0214 1.01 1.01 7 8 8 1 1833169 03/16/01 30 LONG DISTANCE TREAPHONE X740 0215 1.01 1.01 7 8 8 1 1833174 03/16/01 1.01 LONG DISTANCE TREAPHONE X740 0215 1.02 1.02 1.02 1.02 1.02 1.02 1.02 1.02							_			
93/16/01 130	03/16/01	. 130	LONG DISTANCE TELEPHONE X754 0214				_			
03/16/01 130 LONG DISTANCE TELEPHONN X540 0215 5.54 5.54 7 8 1 139374 03/16/01 130 LONG DISTANCE TELEPHONN X740 0216, 0217, 0219 0.07 0.07 7 8 1 1393280 03/16/01 130 LONG DISTANCE TELEPHONE X740 0136 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	03/16/01	130	LONG DISTANCE TELEPHONE X740 0214	1.11	1.11	Y	В			
03/16/01 130 LONG DISTANCE TELEPHONE X740 0216, 0217, 0219 8.07 8.07 Y 8 1 1339186 03/16/01 130 LONG DISTANCE TELEPHONE X740 0318 5.08 1.00 150 Y 8 1 1309186 03/16/01 130 LONG DISTANCE TELEPHONE X754 0313 5.00 7.00 Y 8 1 1309186 03/16/01 130 LONG DISTANCE TELEPHONE X754 0319 2.62 2.62 Y 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0315 5.07 4 6.74 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0315 6.74 6.74 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0315 6.74 6.74 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0311 1.05 1.05 1.05 1.05 1 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0311 1.05 1.05 1.05 1 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0312 1.05 1.05 1 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0312 1.05 1 1.05 1 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0312 1.05 1 1.05 1 7 8 1 1256638 183679 154574 0310 1.05 1 1.05 1 7 8 1 140220 03/16/01 130 LONG DISTANCE TELEPHONE X754 0312 1.00 10000.00 10000.00 10000.00 10000.00 10000 03/16/01 130 LONG DISTANCE TELEPHONE X754 0312 1.00 10000.00 10000.00 10000.00 10000.00 10000.00 10000.00 10000 03/16/01 130 LONG DISTANCE TELEPHONE X754 0328 1.00 10.72 10.72 Y 8 1 1256638 183679 154574 0310 10000 03/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.00 1.00 1 7 8 1 1256638 183679 185679 180416/16/1 130 LONG DISTANCE TELEPHONE X754 0329 1.00 1.00 1 7 8 1 1256638 180679 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.00 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.00 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.00 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.00 1 7 8 1 125693 185692 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.00 1 7 8 1 125693 185692 04/16/01 130 LON	03/16/01	130	LONG DISTANCE TELEPHONE X740 0215	3.76	3.76	Y	B			1839173
03/16/01 130 LONG DISTANCE TELEPHONE X740 0126 3.02 3.02 Y 8 0 1819986 03/18/01 130 LONG DISTANCE TELEPHONE X754 0313 5.05 5.50 Y 8 0 1842026 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.60 3.60 Y 8 0 1842026 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.67 4 5.70 8 1 1842027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.06 6.74 7 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.05 1.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.05 1.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0315 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0312 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0312 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X754 0312 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X750 0322 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X750 0322 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X750 0322 5.05 1.05 Y 8 0 1843027 03/18/01 130 LONG DISTANCE TELEPHONE X750 0322 5.05 1.05 Y 8 0 1843028 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0329 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0329 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0329 5.05 LONG DISTANCE TELEPHONE X750 0329 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0302 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LONG DISTANCE TELEPHONE X750 0405 5.05 Y 8 0 184302 03/18/01 130 LO	03/16/01	130	LONG DISTANCE TELEPHONE X540 0215	5.54	5.54	¥	В			1839174
03/19/01 130 LONG DISTANCE TELEPHONE X754 0313	03/16/01	130	LONG DISTANCE TELEPHONE X740 0216, 0217, 0219	8.07	8.07	Y	В			1839180
03/23/01 130 LONG DISTANCE TELEPHONE X750 0319				3.02	3.02	Y	В			1839196
03/23/91 130 LONG DISTANCE TELEPHONE X740 0315 3.69 3.69 X 8 1 1843217 0312310 13023701 130 LONG DISTANCE TELEPHONE X740 03121 2.02 2.02 X 8 1 1843247 0312311 1302371 130 LONG DISTANCE TELEPHONE X740 03121 2.02 2.02 X 8 1 1843247 031201 130 LONG DISTANCE TELEPHONE X740 0312 1.51 1.51 Y 8 1 1843247 031301 130 LONG DISTANCE TELEPHONE X750 0312 1.50 1.50 X 8 1 1849347 031301 130 LONG DISTANCE TELEPHONE X750 0312 1.50 1.50 X 8 1 1849347 031301 130 LONG DISTANCE TELEPHONE X750 0312 1.50 X 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 1000000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 100000.00 10000000.00 100000000				.50	.50	Y	В			1840256
03/23/01 130 LONG DISTANCE TELEPHONE X754 0315 6.74 6.74 7 8 1 1843217 03/23/01 130 LONG DISTANCE TELEPHONE X754 0321 2.02 2.02 7 8 1 1843247 03/23/01 130 LONG DISTANCE TELEPHONE X754 0321 1.5.1 1.5.1 7 8 1 1843247 03/23/01 130 LONG DISTANCE TELEPHONE X754 0322 5.50 5.50 7 8 1 1843247 04/03/01 130 LONG DISTANCE TELEPHONE X764 0302 5.50 100000.00 8 10 8 1 1269638 183679 1849576 04/03/01 130 LONG DISTANCE TELEPHONE X764 0302 5.00 100000.00 8 10 8 1 1269638 183679 1855796 184016/61 130 LONG DISTANCE TELEPHONE X764 0328 5.00 100000.00 8 10 8 1 1269638 183679 1855790 184016/61 130 LONG DISTANCE TELEPHONE X764 0328 5.00 100000.00 8 10 8 1 1269638 183679 1855950 184016/61 130 LONG DISTANCE TELEPHONE X764 0329 1.01 1.01 7 8 8 1 1269638 1856813 1856813 184016/61 130 LONG DISTANCE TELEPHONE X764 0329 1.01 1.01 7 8 8 1 1856811 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 7 8 8 1 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 7 8 8 1 1856814 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 7 8 8 1 1856814 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 7 8 8 1 1856814 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0405 1.55 1.55 1 5.51 7 8 8 1 1856814 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0405 1.55 1.55 1 5.51 7 8 8 1 1856814 1856814 184016/61 130 LONG DISTANCE TELEPHONE X754 0405 1.50 1.50 1.50 1.50 1.50 1.50 1.50 1.				2.62		Y	В			1843203
0.7123/01 130 LONG DISTANCE TELEPHONE X740 0321 2.02 2 2 0 7 8 1 1843247 0373701 130 LONG DISTANCE TELEPHONE X754 0321 1.01.51 1.51 1.7 8 1 1843247 0373/01 130 LONG DISTANCE TELEPHONE X754 0322 5.0 5.0 7 8 1 1843247 0403/01 130 LONG DISTANCE TELEPHONE X750 0322 5.0 150 7 8 1 1845674 0403/01 130 LONG DISTANCE TELEPHONE X750 0322 5.0 150 7 8 1 1855765 04/06/01 100 CONTROLLED TO THE CONTROLLED THE CONTROLLED TO THE CONTROLLED TH							В			
03/30/01 130 LONG DISTANCE TELEPHONE X740 0321 1.51 1.51 Y 8 1 1.83249 03/30/01 130 LONG DISTANCE TELEPHONE X740 0322 5.50 5.50 Y 8 1 1848374 04/30/01 130 LONG DISTANCE TELEPHONE X740 0322 5.50 1.64 14.64 Y 8 1 1.846374 04/30/01 130 LONG DISTANCE TELEPHONE X740 0322 1.04 1.04 1.04 Y 8 1 1.249438 183679 1852746 PARTHERS, INC. 04/11/01 150 CUTSIDE DELIVENT COSTS FEDEX CMB/FEDEPAL ENERGY 10.72 10.72 Y 8 1 1.249438 183679 1855795 PREUTATORY 0404 1.04 1.04 Y 8 1 1.04 1.04 Y 8 1 1.04 1.04 1.04 1.04 1.04 1.04 1.04 1.	,,					-	_			
03/30/01 130 LONG DISTANCE TELEPHONE X740 0322										
04/03/01 130 LONG DISTANCE TELEPHONE X740 0328 10.01 10.000 10.00										
04/05/01 660 PROFESSIONAL SERVICES VENDOR SIDEVIEW 100000.00 100000.00 p 8 1 1269638 183679 1852746 PARTNERS, INC. 04/13/01 150 OUTSIDE DELIVERY COSTS FEDEX CMB/FEDERAL ENERGY 10.72 10.72 Y 8 1 185595 REGULATORY 0404 04/16/01 130 LONG DISTANCE TELEPHONE X740 0328 .44 .44 Y 8 1 1856797 04/16/01 130 LONG DISTANCE TELEPHONE X740 0329 .101 1.01 Y 8 1 1856811 04/16/01 130 LONG DISTANCE TELEPHONE X740 0330 .40.3 4.03 Y 8 1 1856811 04/16/01 130 LONG DISTANCE TELEPHONE X740 0330 .40.3 4.03 Y 8 1 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 .51 1.51 Y 8 1 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 .51 1.51 Y 8 1 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 .51 1.51 Y 8 1 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 .51 1.51 Y 8 1 1856992 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1856992 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 .50 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 .50 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 .7.56 .7.56 Y 8 1 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 .7.56 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 .50 .50 Y 8 1 1857019 04/18/01 130 LONG DISTANCE TELEPHONE X754 04										
04/11/01 150 OUTSTANCE TELEPHONE X740 0328							_	1269639	183679	
PROGULATORY 0404 04/16/01 130 LOND DISTANCE TELEPHONE X740 0328 04/16/01 130 LOND DISTANCE TELEPHONE X740 0329 1.01 1.01 Y B 1856937 04/16/01 130 LOND DISTANCE TELEPHONE X740 0330 04/16/01 130 LOND DISTANCE TELEPHONE X740 0330 04/16/01 130 LOND DISTANCE TELEPHONE X740 0330 04/16/01 130 LOND DISTANCE TELEPHONE X740 0402 04/16/01 130 LOND DISTANCE TELEPHONE X750 0404 2.52 2.52 Y B 1856937 04/16/01 130 LOND DISTANCE TELEPHONE X750 0405 04/16/01 130 LOND DISTANCE TELEPHONE X750 0406 04/16/01 130 LOND DISTANCE TELEPHONE X750 0409 04/18/01 130 LOND DISTANCE TELEPHONE X750 0409 04/18/01 130 LOND DISTANCE TELEPHONE X760 0409 04/18/01 130 LOND DISTANCE TELEPHONE X760 0410 04/18/01 130				200200700	200000.00	•	-	1203030	103073	2002710
REGULATORY 0404 130	04/11/01	150	OUTSIDE DELIVERY COSTS FEDEX CWB/FEDERAL ENERGY	10.72	10.72	Y	В			1855595
04/16/01 130 LONG DISTANCE TELEPHONE X740 0329 1.01 1.01 Y B 1856811 04/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X754 0329 1.01 1.01 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 1.51 1.51 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 1.51 1.51 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1856997 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 1.97 1.97 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857014 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857014 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857014 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857014 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857014 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857024 04/18/01 130 LONG DISTANCE TELEPHONE X754 0409 4.5			REGULATORY 0404							
04/16/01 130 LONG DISTANCE TELEPHONE X744 0329 1.01 1.01 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X740 0330 4.03 4.03 Y B 1856823 04/16/01 130 LONG DISTANCE TELEPHONE X740 0402 1.51 1.51 Y B 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X754 0404 2.52 2.52 Y B 1856912 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .50 .50 Y B 1856907 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 3.07 1.97 Y B 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857044 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 3.75 1.73 1.73 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 3.50 .50 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 3.50 .50 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 3.50 .50 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 3.50 .50 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0405 3.50 .50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X754 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0410 10.10 10.10 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0410 10.10 10.10 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X760 0	04/16/01	130	LONG DISTANCE TELEPHONE X740 0328	.44	.44	Y	В			1856797
04/16/01 130 LONG DISTANCE TELEPHONE X740 0330 4.03 4.03 Y B 1856855 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 1.51 1.51 1.51 Y B 1856937 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 2.52 X B 1856937 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 3.03 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 6.63 7 B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 6.63 7 B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 7.56 7.56 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.97 1.97 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 1.75 7.56 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y B 1857044 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 1.73 1.73 Y B 1857040 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 1.75 7.50 Y B 1857040 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 5.50 7.50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 5.50 7.50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X750 0409 3.11 3.1 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 3.11 3.1 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 3.11 3.1 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 10.10 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.13 1.13 Y B 1858701 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.66 6.66 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858701 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2 1.7 Z 8 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2.17 Z 9 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2.17 Z 9 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2.17 Z 9 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2.17 Z 9 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 2.17 Z 2.17 Z 9 18634				1.01	1.01	¥	В			1856811
04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 1.51 1.51 Y 8 1856932 04/16/01 130 LONG DISTANCE TELEPHONE X754 0402 1.52 2.52 Y 8 1856932 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 5.50 5.50 Y 8 1856992 04/16/01 130 LONG DISTANCE TELEPHONE X716 0405 5.30 3.03 3.03 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 6.63 6.3 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 6.63 6.3 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0405 7.56 7.56 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y 8 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X754 0406 7.56 7.56 Y 8 1857010 04/16/11 100 LONG DISTANCE TELEPHONE X754 0406 7.56 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0406 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X754 0405 7.50 7.50 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X760 0409 7.31 7.31 7 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y 8 1857010 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 7.50 7.50 7.50 7.50 7.50 7.50 7.50 7.50				1.01	1.01	Y	В			1856823
04/16/01 130 LONG DISTANCE TELEPHONE X754 0404 2.52 2.52 Y B 1856987 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .50 .50 Y B 1856987 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .50 .50 Y B 1856997 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .63 .63 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .50 .50 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .7.56 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X756 0405 .7.56 Y B 1857044 04/16/01 130 LONG DISTANCE TELEPHONE X756 0406 .7.56 7.56 Y B 1857044 04/16/01 130 LONG DISTANCE TELEPHONE X756 0406 .7.56 7.56 Y B 1857044 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .7.56 7.56 Y B 1857044 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .50 .50 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .50 .50 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .50 .50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .50 .50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X756 0406 .50 .50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X756 0405 .50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X750 0409 .31 .31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X750 0409 .31 .31 Y B 1858757 04/18/01 130 LONG DISTANCE TELEPHONE X750 04011 .1.23 Y B 1858757 04/18/01 130 LONG DISTANCE TELEPHONE X750 0411 .1.23 Y B 1858757 04/18/01 130 LONG DISTANCE TELEPHONE X750 0411 .1.66 .66 Y B 1858739 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.56 (.65 Y B 1858731 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.56 (.65 Y B 1858731 1858037 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.56 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.56 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.56 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.66 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.66 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.65 (.65 Y B 185803 04/20/01 130 LONG DISTANCE TELEPHONE X750 0413 .6.65 (.65 Y B 185803 04/20/					4.03	Y	В			1856855
04/16/01 130 LONG DISTANCE TELEPHONE X76 0405							_			
04/16/01 130 LONG DISTANCE TELEPHONE X716 0405 3.03 Y B 1857000 04/16/01 130 LONG DISTANCE TELEPHONE X716 0405 5.63 6.3 Y B 1857010 04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 1.97 1.97 Y B 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 7.56 7.56 Y B 1857044 04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 5.50 Y B 1858722 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 5.50 Y B 1858724 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 5.50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 5.50 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X716 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 3.31 3.31 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y B 1858770 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y B 1858770 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.66 6.66 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 1858700 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 Y B 1858403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 Y B 1858403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1858303 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1858303 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1864312 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1864312 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1864312 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1864312 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1864312 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419						-	_			
04/16/01 130 LONG DISTANCE TELEPHONE X740 0405										
04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 1.97 1.97 Y B 1857019 04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 7.56 7.56 Y B 1857049 04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X716 0315 .50 .50 Y B 1858732 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 .50 .50 Y B 1858739 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y B 1858739 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y B 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y B 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y B 1858764 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 .10.10 10.10 Y B 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .666 .666 Y B 1858791 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .666 .666 Y B 1858791 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .131 .31 Y B 1858791 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .131 .31 Y B 1859025 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .131 .131 Y B 1859025 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 .21.72 21.72 Y B 1863403 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 .21.72 21.72 Y B 1863403 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 1.66 Y B 1863432						-	_			
04/16/01 130 LONG DISTANCE TELEPHONE X740 0406 7.56 7.56 Y B 1857044 04/15/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857044 04/15/01 130 LONG DISTANCE TELEPHONE X740 0406 1.73 1.73 Y B 1857049 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 X B 1858720 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 5.50 X B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X716 0405 1.44 4.4 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X710 0409 1.31 1.31 Y B 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 1.01.0 1.01.0 Y B 1858750 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 1.1.23 1.23 Y B 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.23 1.23 Y B 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.66 6.66 Y B 1858750 04/18/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858750 04/18/01 130 LONG DISTANCE TELEPHONE X740 0413 1.31 Y B 1859557 04/18/01 130 LONG DISTANCE TELEPHONE X740 0413 1.31 Y B 1859557 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 2.1.72 Y B 1863403 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.66 Y B 1863432 04/18							-			
04/18/01 130 LONG DISTANCE TELEPHONE X746 0406 1.73 1.73 Y B 185702 04/18/01 130 LONG DISTANCE TELEPHONE X746 0406 5.50 5.50 Y B 1858722 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .44 4.4 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .44 4.4 Y B 1858730 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 3.1 3.1 Y B 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 .10.10 10.10 Y B 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .1.23 1.23 Y B 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .56 6.66 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1858791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 1.31 1.31 Y B 1859557 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.56 1.56 Y B 1863403										
04/18/01 130 LONG DISTANCE TELEPHONE X716 0315 .50 .50 Y 8 1858732 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 .50 .50 Y .8 1858733 04/18/01 130 LONG DISTANCE TELEPHONE X716 0406 .50 .50 Y .8 1858739 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .44 .44 Y 8 1858739 04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y 8 1858742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 .10.10 10.10 Y 8 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 .10.20 10.10 Y 8 1858767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .66 .66 .66 Y 8 1858791 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 .66 .66 .66 Y 8 1858791 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 .13.1 .13 Y 8 1858575 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 .13.1 .13 Y 8 1858557 04/18/01 130 LONG DISTANCE TELEPHONE X740 0412 .21.72 .21.72 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0419 .1.66 .1.66 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0420 .1.51 .1.51 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 .6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 .6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 .6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 .6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 .6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TELEPHONE X740 0424 6.65 6.65 Y 8 1863432 04/18/01 130 LONG DISTANCE TE	04/15/01	130	LONG DISTANCE TELEPHONE X754 0406							
04/18/01 130 LONG DISTANCE TELEPHONE X716 0406	04/18/01	130	LONG DISTANCE TELEPHONE X716 0315	.50	.50	Y	В			
04/18/01 130 LONG DISTANCE TELEPHONE X740 0409 .31 .31 Y B 1859742 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y B 1859767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0410 10.10 10.10 Y B 1859767 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.23 1.23 Y B 1859791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0411 .66 6.66 Y B 1859791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1859527 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 1.31 1.31 Y B 1859527 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/20/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/30/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 1864312 04/30/01 130 LONG DISTANCE TELEPHONE X740 0424 6.65 6.65 Y B 1863432	04/18/01	130	LONG DISTANCE TELEPHONE X716 0406	.50	.50	Υ.	В			1858730
04/18/01 130 LONG DISTANCE TRLEPHONE X740 0410 10.10 10.10 Y B 1858767 04/18/01 130 LONG DISTANCE TRLEPHONE X740 0411 1.66 6.66 Y B 1858776 04/18/01 130 LONG DISTANCE TRLEPHONE X740 0411 6.66 6.16 Y B 1859791 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0413 6.16 6.16 Y B 1859527 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0413 6.16 6.16 Y B 1859527 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0412 1.31 1.31 Y B 1859527 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0412 21.72 21.72 Y B 1863403 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0419 1.66 1.66 Y B 1863403 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0419 1.66 1.66 Y B 1863403 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0419 1.66 1.66 Y B 1863403 04/20/01 130 LONG DISTANCE TRLEPHONE X740 0419 1.51 1.51 Y B 1864312 04/30/01 130 LONG DISTANCE TRLEPHONE X740 0424 6.65 6.65 Y B 186342	04/18/01	130		.44	.14	Y	В			1858739
04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 1.23 1.23 Y B 1855770 04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 6.66 6.66 Y B 185575 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 185595 04/20/01 130 LONG DISTANCE TELEPHONE X740 0416 1.31 1.31 Y B 185557 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/30/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 184312				.31	.31	Y	В			1858742
04/18/01 130 LONG DISTANCE TELEPHONE X740 0411 6.66 6.66 Y B 1958791 04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1859527 04/26/01 130 LONG DISTANCE TELEPHONE X740 0416 1.31 1.31 Y B 1859557 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/26/01 130 LONG DISTANCE TELEPHONE X740 0419 1.66 1.66 Y B 1863432 04/30/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 1864312				10.16	10.10	Y	В			1858767
04/20/01 130 LONG DISTANCE TELEPHONE X740 0413 6.16 6.16 Y B 1859555 04/20/01 130 LONG DISTANCE TELEPHONE X740 0412 1.11 1.31 Y B 1859557 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X754 0419 1.66 1.66 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X754 0419 1.66 1.66 Y B 1864312 04/30/01 130 LONG DISTANCE TELEPHONE X754 0419 1.51 1.51 Y B 1864312 04/30/01 130 LONG DISTANCE TELEPHONE X754 0424 6.65 6.65 Y B 1864312						-	_			
04/20/01 130 LONG DISTANCE TELEPHONE X740 0416 1.31 1.31 Y B 1859557 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y B 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 1.66 1.66 Y B 1863432 04/20/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 1864112 04/30/01 130 LONG DISTANCE TELEPHONE X540 0420 6.65 6.65 Y B 1864325										
04/26/01 130 LONG DISTANCE TELEPHONE X740 0412 21.72 21.72 Y 8 1863403 04/26/01 130 LONG DISTANCE TELEPHONE X754 0419 1.66 1.66 Y 8 1863432 04/30/01 130 LONG DISTANCE TELEPHONE X750 0419 1.55 1.55 Y 8 1864312 04/30/01 130 LONG DISTANCE TELEPHONE X740 0424 6.65 6.65 Y 8 1864325										
04/26/01 130 LONG DISTANCE TELEPHONE X754 0419 1.66 1.66 Y B 1863432 14/30/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 1864312 14/30/01 130 LONG DISTANCE TELEPHONE X540 4024 6.65 6.65 Y B 864325										
04/30/01 130 LONG DISTANCE TELEPHONE X740 0420 1.51 1.51 Y B 1864312 04/30/01 130 LONG DISTANCE TELEPHONE X540 4024 6.65 6.65 Y B 864325										
04/30/01 130 LONG DISTANCE TELEPHONE X540 4024 6.65 6.65 Y B '864325							-			
-000						-	_			
	,			0.05	6.65	I	В	WITC	0022	007343

							Page 10 (10)
DATE RUN	: 06/	05/02 WYATT, TAR	RANT & COMBS, LLP				
			EMENT AS OF 06/04/				
PROFORMA			NUMBER:010859.089			PROFORM	
04/30/01	130	LONG DISTANCE TELEPHONE X754 0424	16.11			-	1864335
04/30/01	130	LONG DISTANCE TELEPHONE X754 0425	17.75	17.75	Y	В	1864346
04/30/01	130	LONG DISTANCE TELEPHONE X540 0423	1.01	1.01			1864609
04/30/01	130	LONG DISTANCE TELEPHONE X740 0423	10.09	10.09			1864612
04/30/01	130	LONG DISTANCE TELEPHONE X754 0423	3.02	3.02			1864614
04/30/01	130	LONG DISTANCE TELEPHONE X740 0424	1,00	1.00	Y	В	1864617
05/03/01	170	PHOTOCOPIES 676 24	2.88	2.88	Y	В	1869923
05/07/01	130	LONG DISTANCE TELEPHONE X716 0426	.44	.44	Y	В	1870078
05/07/01	130	LONG DISTANCE TELEPHONE X716 0430	6.05	6.05			1870096
05/11/01	130	LONG DISTANCE TELEPHONE X716 0427	1.51	1.51		_	1874438
05/11/01	130	LONG DISTANCE TELEPHONE X720 0427	6.56	6.56			1874446
05/11/01	130	LONG DISTANCE TELEPHONE X754 0427	.66	.66			1874457
05/11/01	130	LONG DISTANCE TELEPHONE X740 0430	3.05	3.05	Y	В	1874472
05/11/01	130	LONG DISTANCE TELEPHONE X754 0501	2.52	2.52	Y	В	. 1874490
05/11/01	130	LONG DISTANCE TELEPHONE X740 0502	3.94	3.94	Y	Э	1874519
05/11/01	130	LONG DISTANCE TELEPHONE X540 0503	3.06	3.06	Y	В	1874530
05/11/01	130	LONG DISTANCE TELEPHONE X740 0504	1.51	1.51	Y	В.	1874576
05/16/01	130	LONG DISTANCE TELEPHONE X740 0508	9.09	9.09	Y	В	1876711
05/16/01	130	LONG DISTANCE TELEPHONE X754 0508	.50	.50	Y	В	1876713
05/17/01	150	OUTSIDE DELIVERY COSTS FEDEX CWB/SECRETARY OF	10.32	10.32	Y	Э	1877545
		SENOTE 0430					
05/17/01	150	OUTSIDE DELIVERY COSTS FEDEX CWB/CLERK OF THE	10.32	10.32	Y	В	1877546
		HOUSE OF REFRESENTATIVES 0430					
05/18/01	130	LONG DISTANCE TELEPHONE X754 0514	.50	.50	Y	В	1877942
05/31/01	130	LONG DISTANCE TELEPHONE X716 0510	9.08	9.08	Y	В	1887546
05/31/01	130	LONG DISTANCE TELEPHONE X754 0516	4.32	4.32	Y	В	1887680
05/31/01	130	LONG DISTANCE TELEPHONE X720 0517	1.51	1.51	Y	В	1887691
12/19/01	130	LONG DISTANCE TELEPHONE X754 1203	3.32	.00	¥	BNC	2040172
			105343.60	105340.28			
				.00	HELL	AMOUNT	
	TIME	VALUE FOR THE MATTER AFTER THE CUTOFF DATE .		00			
		VALUE FOR THE MATTER AFTER THE CUTOFF DATE .		00			
	1						

TIME AND FEE SUMMARY

	WORKED	STANDARD	BILLABLE	BILLABLE	BILLING
TIMEKEEPER	HOURS	VALUE	HOURS	AMOUNT	RATE
ANSEL L. DAVIS	.20	46.00	.00	.00	230.00
CHARLES W. BONE	53.50	16,050.00	53.50	16,050.00	300.00
CHARLES W. BONE	67.50	23,625.00	67.50	23,625.00	350.00
JAY WEST	2.00	500.00	2.00	500.00	250.00
	123.20	40,221.00	123.00	40.175.00	

TASK CODE SUMMARY

	1: 06/05/02			ATT, TARRANT &				
				rma statement a t.matter number			PROFORMA E	amont.
	NUMBER:							MATCH:
TASK				STANDARD				
CODE	DESCRI	PTION	HOURS					
				40,221.00		40,175.00		
			123.20	40,221.00	123,00	40,175.00		
	COST CODE SUMM							
*	COST CODE							
130	LONG DISTANCE T			504.23	500.91			
150	OUTSIDE DELIVER	Y COSTS		55.14	55.14			
170	PHOTOCOPIES			2.88	2.88			
570	MEAL EXPENSES			188.83				
582	MILEAGE EXPENSE			120.90				
660	PROFESSIONAL SE			100000.00				
700	TRAVEL EXPENSES			4471.62				
				105343.60				
				105343.60		HELD AMOUNT		
	BALANCE DUE FROM LESS PAYMENT(S)					0		
	LESS PAYMENT(S) BALANCE FORWARD					o 		
	LESS PAYMENT(S) BALANCE FORWARD OF	WHICH 2250		LOCATED	(225000.0	o 		
	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE	WHICH 2250	00.00 IS UNAL	LOCATED		o 		
	LESS PAYMENT(S) BALANCE FORWARD OF	WHICH 2250 AL (765.20)	00.00 IS UNAL	LOCATED		o 		
	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE DISBURSEMENT SUB-	WHICH 2250 AL (765.20) TOTAL	00.00 IS UNAL	LOCATED		o o)		
app. Acc	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE DISBUSSEMENT SUB- SUBTOTAL CURRENT TOTAL DUE	WHICH 2250 AL (765.20) TOTAL	00.00 IS UNAL	LOCATED		o o)		
SED ACC	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE DISBURSEMENT SUB- SUBTOTAL CURRENT	WHICH 2250 AL (765.20) TOTAL	00.00 IS UNAL	LOCATED		o o)	(225,000.00)	(120+)
SED ACC	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE DISBUSSEMENT SUB- SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE:	WHICH 2250 AL (765.20) TOTAL	00.00 IS UNAL	260903 		o o)	(225,000.00)	(120+)
	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTE DISBUSSEMENT SUB- SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE:	WHICH 2250 AL (765.20) TOTAL	00.00 IS UNAL			o o)	{225,000.00}	(120+)
DGER S	LESS PAYMENT(S) BALANCE FORMARD OF TIMECARD SUB-TOTI DISBURSEMENT SUB- SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE: .00 (0-30)	WHICH 2250 AL (765.20) TOTAL PERIOD .00	00.00 IS UNAL			0 0) 5 .00 (91-120)	(225,000.00) R BALANCE	(120+)
dger s	LESS PAYMENT(S) BALANCE PORWARD OP TIMECARD SUB-TOIL DIABROSHENTY SUB SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE: DATE FEES	WHICH 2250 AL (765.20) TOTAL PERIOD .00	(31-60) INVOICES SIN			0 		(120+)
DGER S INVOICE 461874	LESS PAYMENT(S) BALANCE PORWARD OP TIMECARD SUB-TOIL DIABROSHENTY SUB SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE: DATE FEES	MHICH 2250 AL (765.20) TOTAL	(31-60) INVOICES SIN OTHER P			0	r balance	(120+)
DGER S INVOICE 461874	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTO DISBOGREENITY SUB SUBTOTAL CURRENT TOTAL DUE	MHICH 2250 AL (765.20) TOTAL	(31-60) INVOICES SIN OTHER P			0	R BALANCE	(120+)
DGER S INVOICE 461874	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTO DISBOGREENITY SUB SUBTOTAL CURRENT TOTAL DUE		(31-60) INVOICES SIN OTHER P			0	R BALANCE	(120+)
DGER S INVOICE 461874	LESS PAYMENT(S) BALANCE FORWARD OF TIMECARD SUB-TOTO DISBORSHENT'S SUB- SUBTOTAL CURRENT TOTAL DUE COUNTS RECEIVABLE:00 (0-30) DOMARY: ALL OPEN DATE FEES 06/27/01 500.4		(31-60) INVOICES SIN OTHER P			0	R BALANCE	(120+)

DATE RUN	1: 06/05	/02		WYATT	, TARRANT & CO	MBS, LLP					
				PROFORMA	STATEMENT AS	OF 06/04/6	12				
PROFORMA					ATTER NUMBER:				FORMA BATCH:		
		CRIPTION-	.			LING INSTR					
		ICY ISSU				OF \$50,000					
DOE AND	PERC POL	ici issui	3 5		KETAINEK	UF \$50,000					
*CL	IENT INF	ORMATION		CLI	ENT NUMBER	ORIGINA	TING	BILLING	SUPE	RVISI	IG
ENRON CO	RP, AND	ENRON POV	VER MARKETING,	INC. 0108	59	019 0	WB	019 CMB	019	CMB	
						C BONE		C BONE	C BONE		
*====CT.	TENT ADD	RESS	.•		*мът	TER ADDRES	s*				
			ER MARKETING,	TNC	ENRON COR		_				
BARBARA		2241021 101		2	ATTN: RIC						
1400 SMI		-			1400 SMIT						
P.O. BOX						TEXAS 770	02 7262				
					HOUSTON,	IEJOIS //U	02-7361				
		77251-118									
		ORMATION-	*								
PHONE:					PHONE:						
REFERRED	BY: BOM	Е			CONTACT:	RICK SHAPI	RO				
STATUS:	OP		RATE:	1	TEMPLATE:	52		MIN FEE:			
DATE OPER		ne /2000	DEPT:	0	TIME FORM			MIN COST:			
		25/2000		-							
DATE CLOS			LOCATION		COST FORM			MIN TOTAL:	_		
LAST RATE		25/2000	PRACTICE		INTRST CO			FEE MARKUP:	£		
HOLD FEES			FEE FREQ		INT FREE			COST MARKUP:	ŧ		
HOLD COST			COST FRE		FIXED COS			FIXED FEES:			
TRUST RET	r accr:		TRUST RE	TAIN:	ARRANGEME	NT: H		CURRENCY:	USD		
DATE		06/27/200 EEPER	TASK				WORKED	STANDARD	BILLABLE		INDEX
DATE	NUM	INIT	CODE	DESCRIPT			HOURS	VALUE	AMOUNT	STAT	INDEX
8/14/00	019	CWB		PHONE CONFERENCE W LNG WITH MR. HAYES		RO;	1.00	300.00	300.00	В	3189764
18/15/00	019	CMB	SHAP	ING AND LUNCH WITH TRO AND MS. HUETER MR. SHAPIRO AND M	; TELEPHONE CO		2.50	750.00	750.00	В	3189765
8/17/00	019	CWB		PHONE CONFERENCE W			1.00	300.00	300.00	В	3189766
8/22/00	019	CWB		PHONE CONFERENCE W	HITH MR. BREAT	HITT AND	.50	150.00	150.00	В	3189774
9/23/00	019	CWB		ING WITH MS. BREAT	,		3.00	900.00	900.00	В	3189776
				IR. KEAN.	and Him the	. Distriction					
					Table 1	, bist the			WTC	00)25

DATE RUN: 06/05/02 TIMEKEEPER			WYATT, TARRANT & COMBS, LLP			MATTER NUMBE	R:0108	59.089587	
			TASK		WORKED	STANDARD	BILLABLE		
DATE	NOM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
08/24/00	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES, MS. AMY HAYES AND MS. BREATHITT.	1.00	300.00	300.00	В	3189781
08/25/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO; WORK ON SCHEDULES.	.50	150.00	150.00	В	3189783
08/28/00	019	сwв		WORK ON SCHEDULING; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	.50	150.00	150.00	В	3198487
08/31/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. BREATHITT AND MR. NAYES.	1.00	300.00	300.00	В	3198489
09/04/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES.	.50	150.00	150.00	B	3207246
09/05/00	019	CMB		TRAVEL TO LOUISVILLE FOR FOCUS GROUP; DINNER WITH MR. SHAPIRO; OVERNITE IN LOUISVILLE.	10.00	3,000.00	3,000.00	В	3207250
9/06/00 (019	сив		TRAVEL TO WASHINGTON; ATTEND ENRON MEETINGS AND DINNER.	10.00	3,000.00	3,000.00	в	3207252
09/07/00 (019	CWB		MEETING AT FERC; LUNCH WITH MR. SHAPIRO AND MR. BALDICK; TRAVEL TO NASHVILLE.	9.00	2,700.00	2,700.00	В	3207253
9/08/00 0	229	CWB		TELEPHONE CONFERENCE WITH MR. HOSTER; TELEPHONE CONFERENCE WITH MR. BEREITHITT; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	300.00	300.00	В	3207256
9/11/00 0	19	CWB		TELEPHONE CONFERENCE WITH MR. BREATHITT,	.50	150.00	150.00	В	3217719
9/19/00 0	19	CMB	1	TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. DYER.	1.00	300.00	300.00	В	3226564
9/27/00 0	19	CMB		TRAVEL TO WASHINGTON FOR FERC MEETING.	6.00	1,800.00	1,800.00	В	3238857
9/28/00 0	19	CMB	,	MEETING WITH MR. SHAPIRO AND MR. KEAN IN WASHINGTON AT ENRON; LUNCH WITH COMMISSIONER	8.00	2,400.00	2,400.00	В	3238860
			,	BREATHITT AND MR. HAYES; RETURN TO NASHVILLE.			WTC	(026

DATE RUN	06/05/	02		WYATT, TARRANT & COMBS, LLP	WORKED		r.matter numbi Billable	-	
	TIMEK		TASK	· ·					
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
09/29/00	019	CMB		TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT, MR. HAYES AND MR. SHAPIRO.	1.00	300.00	300.00	В	3241217
09/30/00	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES AND COMMISSIONER BREATHITT.	1.00	300.00	300.00	В	3241219
10/18/00	019	CWB		TELEPHONE CONFERENCE WITH MR. DELAINSY; CALL FROM MR. CROWELL; TELEPHONE CONFERENCE WITH KATHY AT TVA; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT.	.50	175.00	175.00	В	3263529
10/19/00	019	СМВ		TELEPHONE CONFERENCE WITH MR. DELAINEY, COMMISSIONER BREATHITT AND MR. HAYES.	.50	175.00	175.00	В	3263530
10/26/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT.	1.00	350.00	350.00	В	3270642
10/27/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	350.00	350.00	В	3270645
10/30/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT.	1.00	350.00	350.00	В	3275351
10/31/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT.	1.00	350.00	350.00	B	3275354
11/07/00	019	CWB		TELEPHONE CONFERENCE WITH MS. BREATHITT AND GOV. BREATHITT; TELEPHONE CONFERENCE AND MEETING WITH MR. SHAPIRO AND OTHERS.	1.00	350.00	350.00	В	3290029
11/16/00	019	CWB		TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	.50	175.00	175.00	В	3299180
11/27/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. BREATHITT.	.50	175.00	175.00	В	3326563
11/28/00	019	СМВ		TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHADIRO; TELEPHONE CONFERENCE WITH MR. BAYES.	1.00	350.00	350.00	В	3326566
11/29/00	019	CWB		TELEPHONE CONFERENCE WITH MR. DELAINEY.	. 25	87.50	wtC	002	3326570 !7

DATE RUN:				WYATT, TARRANT & COMBS, LLP			T.MATTER NUM		
	TIME	KEEPER	TASK		WORKED				
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE			INDEX

11/30/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. HAYES; REVIEW B-MAIL REGARDING FERC.	.50	175.00	175.00	В	3326573
12/01/00	019	CMB		TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. BREATHITT AND MR. HAYES.	1.00	350.00	350.00	В	3333982
12/03/00	019	CWB		TRAVEL TO NEW YORK; DINNER WITH MR. SHAPIRO.	7.00	2,450.00	2,450.00	В	3333983
12/04/00	019 .	CWB		GLORAL UTILITIES CONFERENCE IN NEW YORK; BREAKFAST AND LUNCH MEETINGS WITH MR. SHAPIRO AND COMMISSIONER BREATHITT.	7.00	2,450.00	2,450.00	В	3333985
12/05/00	019	CWB		TRAVEL FROM NEW YOR TO DC; DINNER WITH MR. HAYES AND COMMISSIONER BREATHITT.	6.00	2,100.00	2,100.00	В	3333989
12/06/00	019	CWB		TRAVEL FROM DC TO NASHVILLE.	3.00	1,050.00	1,050.00	В	333608B
12/08/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT.	1.00	350.00	350.00	В	3336331
12/13/00	019	CWB		TELEPHONE CONFERENCE WITH MR. BREATHITT, MR. SHAPIRO AND MR. HAYES.	1.00	350.00	350.00	В	3348554
12/14/00	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. BREATHITT.	1.00	350.00	350.00	В	3348557
12/15/00	019	СМВ		TELEPHONE CONFERENCE WITH MR. BREATHITT, MR. HAYES, MR. CRCWELL AND MR. SHAPIRO.	1.00	350.00	350.00	В	3348558
01/09/01	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MS. BREATHITT.	.50	175.00	175.00	В	3383772
05/31/01	019	CWB		ALL DAY TRIP TO HOUSTON WITH MR. HAYES; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT.	10.00	3,500.00	3,500.00	в	3581315
	ENTRIES				113.22	34,237.50	34,237.50		

DATE RUN:	06/0	5/02				COMBS, LLP						
					RMA STATEMENT							
ROFORMA N				CLIEN	T.MATTER NUMBE	SR:010859.0895				ROFORMA B		
DATE C	CODE	DESCRIPTION				STANDARD	BILLABLE	SUM	STAT	VOUCHER	CHECH	INE
8/30/00	130	LONG DISTAN	CE TELEPHON	E X740 0824200	o	5.54	5.54	Y	В			1727
8/31/00	130	LONG DISTAN	CE TELEPHON	E X754 0828		4.03	4.03	Y	В			1729
1/06/00	130	LONG DISTAN	CE TELEPHON	£ X740 1018		8.07	8.07	Y	В			1766
1/06/00 1	130	LONG DISTANC				.50	.50	Y	В			1766
2/01/00	700			NDOR: CHARLES		1775.42	1775.42		В	1254427	17802	
_, ,	570			OR: CHARLES W.		79.50	79.50		В	1254427	17802	
-,,	700			NDOR: CHARLES		1358.57	1358.57		В	1256728	17890	
	570			OR: CHARLES W.	BONE	41.90	41.90		В	1256728	17890	-
	130	LONG DISTANC				6.55	6.55	Y	В			1797
	130	LONG DISTANC				3.03 3515.97	3.03	N	В	1257775	17933	1797: 5 1798:
	700			OR: CHARLES W.				N	В			
	570 570			: CHARLES W. B : CHARLES W. B		576.39 374.38	576.39 374.38	N	В	1257775	17933 18299	
	700			CHARLES W. B		1155.85	1155.85	N	В	1267770	18299	
3/22/01 /	.00	11044011 11111111	iopo - vinio	ore crauciano n.	10,111				~	4201110	10133	
						8905.70	8905.70					
						03031.0		HET.E	AMOUR	T		
				TIME AN) FEE SUMMARY							
) FEE SUMMARY							
			חשעפחש	*		D7173D1D	BILLING					
TIMEKEE	PER		WORKED HOURS			BILLABLE AMOUNT	BILLING RATE					
				STANDARD VALUE	BILLABLE	AMOUNT						
CHARLES W	. BON	NE	HOURS	STANDARD VALUE	BILLABLE HOURS 59.00	AMOUNT	RATE 300,00					
	. BON	NE	HOURS 59.00 47.25	STANDARD VALUE 17,700.00 16,537.50	BILLABLE HOURS 59.00 47.25	17,700.00 16,537.50	RATE					
CHARLES W	. BON	NE	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50	BILLABLE HOURS 59.00 47.25	AMOUNT 17,700.00 16,537.50	RATE 300,00					
CHARLES W	. BON	NE	HOURS 59.00 47.25	STANDARD VALUE 17,700.00 16,537.50	BILLABLE HOURS 59.00 47.25	17,700.00 16,537.50	RATE 300,00					
CHARLES W	. BON	NE	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50	BILLABLE HOURS 59.00 47.25	AMOUNT 17,700.00 16,537.50	RATE 300,00					
CHARLES W	. BON	NE	59.00 47.25	STANDARD VALUE 17, 700.00 16,537.50 34,237.50	BILLABLE HOURS 59.00 47.25	AMOUNT 17,700.00 16,537.50	RATE 300,00					
CHARLES W	. BON	NE	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50	BILLABLE HOURS 59.00 47.25 106.25	17,700.00 16,537.50 34,237.50	300.00 350.00					
CHARLES W	. BON	ve G2	59.00 47.25	STANDARD VALUE 17, 700.00 16,537.50 34,237.50 TASK CC	BILLABLE ROURS 59.00 47.25 106.25	17,700.00 16,537.50 34,237.50 BILLABLE	RATE 					
CHARLES W	. BON	NE	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS	BILLABLE HOURS 59.00 47.25 106.25 DDE SUMMARY STANDARD VALUE	34,237.50 BILLABLE HOURS	RATE 300.00 350.00	mt				
CHARLES W	. BON	ve G2	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS	BILLABLE 100RS 59.00 47.25 106.25 DDE SUMMARY STANDARD VALUE 34,237.50	AMOUNT 17,700.00 16,537.50 34,237.50 BILLABLE HOURS 106.25	81LLAI AMOR	NT 				
CHARLES W	. BON	ve G2	59.00 47.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS	BILLABLE HOURS 59.00 47.25 106.25 DDE SUMMARY STANDARD VALUE	AMOUNT 17,700.00 16,537.50 34,237.50 BILLABLE HOURS 106.25	81LLAI AMOR	INT 50				
CHARLES W	. BON	ve G2	59.00 47.25	STANDARD VALUE 17, 700.00 16,537.50 34,237.50 TASK CC WORKED HOURS	BILLABLE HOURS 59.00 47.25 106.25 DDE SUMMARY STANDARD VALUE 34,237.50	AMOUNT 17.700.00 16.537.50 34.237.50 BILLABLE HOURS 106.25	81LLA AMOUNTAIN	INT 50				
CHARLES W	. BON	DESCRIPT	HOURS 59.00 47.25 106.25	STANDARD VALUE 17, 700.00 16,537.50 34,237.50 TASK CC WORKED HOURS	BILLABLE HOURS 59.00 47.25 106.25 DDE SUNMARY STANDARD VALUE 34,237.50	AMCUNT 17.700.00 16.537.50 34.237.50 BILLABLE HOURS 106.25	81LLA AMOUNTAIN	INT 50				
CHARLES W CHARLES W TASK CODE	-COST	DESCRIPT CODE SUMMAR: CODE.	HOURS 59.00 47.25 106.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS 106.25	BILLABLE HOORS 59.00 47.25 106.25 DE SUMMARY STANDARD VALUE 34,237.50 - STANDARD	AMOUNT 17,700.00 16,537.50 34,237.50 BILLABLE HOURS 106.25 BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE	81LLA AMOUNTAIN	INT 50				
CHARLES W CHARLES W TASK CODE	-COST	DESCRIPT CODE SUMMAR CODE C	HOURS 59.00 47.25 106.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS 106.25	BILLABLE HOURS 59.00 47.25 106.25 DDE SUMMARY STANDARD VALUE 34,237.50 34,237.50	AMOUNT 17,700.00 16,537.50 34,237.50 BILLABLE HOURS 106.25 BILLABLE 27.72	81LLA AMOUNTAIN	INT 50				
TASK CODE	-COST	DESCRIPT CODE SUMMAR: CODE.	HOURS 59.00 47.25 106.25	STANDARD VALUE 17,700.00 16,537.50 34,237.50 TASK CC WORKED HOURS 106.25	BILLABLE HOORS 59.00 47.25 106.25 DE SUMMARY STANDARD VALUE 34,237.50 - STANDARD	AMOUNT 17,700.00 16,537.50 34,237.50 BILLABLE HOURS 106.25 BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE BILLABLE	81LLA AMOUNTAIN	INT 50				

WYAIT, TARRANT & COMBS, LLP PROFORMA STATEMENT AS OF 06/04/02 PROFORMA NUMBER: CLIENT.NATTER NUMBER: 010859.089587 FROFORMA BATCH: PROFORMA NUMBER: 8905.70 8905.70 .00 HELD AMOUNT BALANCE DUE FROM PREVIOUS STATEMENT 3500.00
LESS PAYMENT(S) (3500.00)
 TIMECARD SUB-TOTAL (113.22)
 36327.93

 DISBURSEMENT SUB-TOTAL
 8905.70

 SUBTOTAL CURRENT PERIOD
 45233.63

 TOTAL DUE
 45233.63
 AGED ACCOUNTS RECEIVABLE: .00 (31-66) .00 (61-90) .00 (91-120) .00 (120+) LEDGER SUMMARY: ALL OPEN INVOICES AND INVOICES SINCE 06/01/2001 INVOICE DATE FEES COSTS OTHER PAYMENT DATE FEES COSTS OTHER BALANCE 461875 06/27/01 3500.00 .00 .00 PAYMEMP 06/28/01 3500.00 .00 .00 () BILL COSTS AND FEES () DO NOT BILL () BILL FEES ONLY () BILL COSTS ONLY () CLOSE FILE () FINAL BILL

	,,	02			TARRANT & COMBS. LLF							
PROFORMA	NUMBER:				TATEMENT AS OF 06/04 TER NUMBER:010859.09		PROFO	DRMA BATCH:				
		RIPTION PRESENTAT			*BILLING INS	TRUCTIONS	*					
		RMATION			r NUMBER ORIGI		BILLING	SOPE	RVIST	IG		
ENRON CO	RP. AND E	NRON POWE	R MARKETING, IN	NC. 010859		CWB	019 CWB	019	CWB			
					C BONE		C BONE	C BONE				
CL	IENT ADDR	ESS*			*MATTER ADDR	ESS*						
ENRON CO	RP. AND E	NRON POWER	R MARKETING, IN	vc.	ENRON CORPORATION							
BARBARA I	HUETER				MR. RICHARD S. SH	APIRO						
1400 SMT	TH STREET				1400 SMITH STREET							
Р.О. ВОХ	1188				HOUSTON, TX 7700:	2-7361						
	TEXAS 7	7251-1188										
·на	TTER INFO	RMATION	•									
PHONE:					PHONE:							
REFERRED	BY: N/A				CONTACT: MR. RICH	ARD SHAPIRO						
TATUS:	OP		RATE:	1	TEMPLATE: 10		MIN FEE:					
	NED: 01/30	1/2001	DEPT:	0	TIME FORMAT: 35		MIN COST:					
DATE CLOS		0/2001	LOCATION:	51	COST FORMAT: 5		MIN TOTAL:					
		. (0000		17				,				
AST NATE	s: 01/30	3/2001	PRACTICE: FEE FREO:	м	INTRST CODE: 0		FEE MARKUP:	•				
					INT FREE DAY:		COST MARKUP:	ŧ				
IOLD COST			COST FREQ:	м	FIXED COSTS: N		FIXED FEES:					
		for this	TRUST RETAI	n:	arrangement: H		CURRENCY: US MAXIMUM BILLING					
Io Depart		1/05/2002		N:	ARRANGEMENT: H	WÖRKED		 35:				
Jo Depart Jast Bill	Date: 04	1/05/2002 EPER INIT	# Matter. TASK . CODE	DESCRIPTIO	સ	HOURS	MAXIMUM BILLING STANDARD VALUE	BILLABLE AMOUNT		INDEX		
No Depart	Date: 04	1/05/2002 EPER INIT	TASK CODE 2001 MA SHAPIRO	DESCRIPTIO	N CONFERENCE WITH MR.	HOURS	MAXIMUM BILLING STANDARD VALUE	BILLABLE AMOUNT	STAT	INDEX3389072		
Last Bill	TIMERE NUM	I/05/2002 ESPER INIT	TASK CODE 2001 MA SHAPIRO STEFFER (2001) CARRELL SHAPIRO	DESCRIPTIO TITER - TELEPHONE ; TELEPHONE CONFE AND MR. HARTSOL. TELEPHONE CONFERE 7. TELEPHONE CONFERE	N CONFERENCE WITH MR. RENCE WITH MR.	1.00	MAXIMUM BILLING STANDARD VALUE	BILLABLE AMOUNT	В			
DATE	Date: 04 TIMENE NUM 019	I/05/2002 ESPER INIT	TASK CODE 2001 MA SHAPIRO STEFFER (2001) 'L DANNELL SHAPIRO WITH MR (2001) 'T MEETING MEETING DINNER '	DESCRIPTIO ITER - TELEPHONE ; TELEPHONE CONFER AND MR. HARTSOL. TELEPHONE CONFERE ; TELEPHONE CONFERE AND MR. JACOBY; HAYES. TRAVEL TO AND ALL WITH COMMISSIONE	N CONFERENCE WITH MR. REENCE WITH MR. RESIGN WITH MR. RESIGN WITH MR. DAY IN WASHINSTON; R BREATHITT; LUNCH AND MR. RICHARDSON;	1.00	STANDARD VALUE	BILLABLE AMOUNT 350.00	В	338907: 339809:		
DATE	TIMENE NUM O19 O19	I/OS/2002 ISPER INIT CNB	TASK CODE 2001 MA SHAPIRO STEFFER (2001) 1 DARNELL SHAPIRO MITH MR (2001) 1 MEETING MEETING DINNER 1 HAVES AN	DESCRIPTION TITER - TELEPHONE CONFERE AND MR. HARTSOL. TELEPHONE CONFERE AND MR. JACOBY; HAYES. HAYES. HAYES. WITH NR. SHAPIED WITH COMMISSIONER WITH COMMIS	N CONFERENCE WITH MR. REENCE WITH MR. RESIGN WITH MR. RESIGN WITH MR. DAY IN WASHINSTON; R BREATHITT; LUNCH AND MR. RICHARDSON;	1.00	STANDARD VALUE	BILLABLE MOUNT 350.00	В	3389072		
DATE 1/16/01 1/23/01	TIMENE NUM O19 O19	I/OS/2002 ESPER INIT CMB CWB	TASK CODE 2001 MA SHAPIRO STEFFER (2001) 1 DARNELL SHAPIRO MITH MR (2001) 1 MEETING MEETING DINNER 1 HAVES AN	DESCRIPTION TITER - TELEPHONE CONFE AND MR. HARTSOL. TELEPHONE CONFERE TELEPHONE CONFERE TELEPHONE CONFERE TELEPHONE CONFERE THAYES. HAYES. HAYES. TRAVEL TO AND ALL MITH COMMISSIONEE MITH MR. SHAPTEO. MD MR. SHAPTEO.	N CONFERENCE WITH MR. REENCE WITH MR. REENCE WITH MR. REENCE WITH MR. TELEPHONE CONFERENCE LEPHONE CONFERENCE AND IN MASHINSTON; R BREATHITT; LUNCH AND MR. RICHARDSON; BREATHITT, MR.	1.00	STANDARD VALUE 350.00 350.00	BILLABLE MOUNT 350.00 350.00	B B	338907: 339809:		

								Page 2	1 {2}
DATE RUN:				WYATT, TARRANT & COMBS, ILP			T.MATTER NUMBE		
Land Items		EEPER	TASK		WORKED	STANDARD	BILLABLE		
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT	STAT	INDEX
01/29/01	019	CWB		TELEPHONE CONFERENCE WITH CALIFORNIA GROUP.	1.00	350,00	350.00	В	3404141
01/30/01	619	сив		TELEPHONE CONFERENCE WITH CALIFORNIA GROUP.	1.00	350.90	350.00	В	3404142
02/01/01	019	CWB		TELEPHONE CONVERENCE WITH MR. SHAPIRO AND MR. HAYES.	.50	175.00	175,00	В	3408903
02/02/01	019	cws		TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	350.00	350.00	в	3409905
02/07/01	019	CMB		TELEPHONE CONFERENCES WITH MR. HAYES, MR, JACOBY AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. STEFFES AND MR. WANGLINS.	1.50	525.00	525.00	В	3416174
02/08/01	019	CMB		LUNCH WITH MR. LAY, MR. DELAMET, CHAIRMAN CHOMELL AND MR. ZERIKGUE; TELEPHONE COMPREZENCE MITH MR. MAYES, MR. JACOBY AND MR. SMARING; TELEPHONE COMPRESENCE MITH COMMISSIONER BREATHITT.	4,00	1,400.00	1,400.00	В	3426176
02/13/01	042	CMN		PREPARE FORM OF ENGAGEMENT FOR MR. HONE.	2.00	520.00	520,00	В	3510456
02/13/01	659	RTB		INTERNET RESEARCH ON FEDERAL LOBSYING REGISTRATION AND DATABASE; ITELEPRONE CALL WITH LEGISLATIVE RESOURCE CENTER TO ACQUIRE LOBSTITIES OF LOBSTITES POR SOUTHERN COMPANT, TVA, DUES POWER, AND OTHER UTILITIES RELEVANT TO RESON; DRAFTED LIST OF LOBSTITES FOR MR. BONE.	1.50	187.50	187.50	В	3428088
02/27/01	019	CARB		TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. JACOBY.	1.50	525.00	525.00	В	3439901
03/09/01	019	CMB		TELEPHONE CONFERENCE WITH MR. MAYES; TELEPHONE CONFERENCE WITH CUMMLESSIONER BREATHITT.	1.00	350_90	350.00	В	3450696
03/14/01	042	CHE		CONFERENCE WITH MR. BONE AND MR. BOULDIN; REVIEW MATERIALS.	1.00	260.00	260.00	В	3510457

DP	TE RUN	: 06/05			WYATT, TARRANT & COMBS, LLP			MATTER NUMBE	R:0108	59.091525
		NIM	INIT	CODE	DESCRIPTION	HOURS	STANDARD	BILLABLE		
	DATE	NUM	TMII		DESCRIBITOR		VALUE	AMOUNT		INDEX
	/14/01		RTB		RESEARCH OF REGULATIONS CONCERNING THE HIRING OF CRAYEN CROWELL AS A CONSULTANT TO THE LAW FIRM.	2.75	343.75	343.75		3458768
03	/15/01	659	rtb		RESEARCH OF REGULATIONS CONCERNING THE HIRING OF CRAYEN CROWELL AS A CONSULTANT TO THE LAW FIRM; DEAFT OF MEMORATION REGARDING NEGOTIATION AND COMPENSATION.	3.00	375.00	375.00	В	3458771
03	/20/11	659	RTB	•	TELEPHONE CONFERENCE WITH MR. DONE CONCERNING ISSUES OF PAYMENT TO MR. HAYES; TELEPHONE CALLS TO THE U.S. OFFICE OF COVERNMENT FERRICS, TWO GENERAL COUNSEL, BOARD OF PROFESSIONAL REFONSIBILITY; METING WITH MR. NOTON; DEAT OF MEMORANDOM RECARDING PAYMENT OF COMPENSATION TO MR. MAYES, CALL FROM THE BOARD OF PROFESSIONAL RESPONSIBILITY.	2.25	281.25	201.25	п	3465229
03)	/21/01	042	CHIN		NORK ON PROPOSAL FOR PAYMENT AND ENGAGEMENT OF MR. HAYES.	1.50	390.05	390.00	В	3510458
03/	/21/01	659	RTB		TELEPHONE CONFERENCE WITH MR. BORRE CONCERNING ISSUES OF PAYMENT TO MR. HAYES; TELEPHONE CALLS TO TVA GENERAL COUNSEL, BOARD OF PROFESSIONAL RESPONSIBILITT; NEETING WITH MR. NORTON.	1.75	218.75	218.75	В	3465236
04/	05/01	446	*JW		ESCORTED BARBARA HUETER OF EMRON TO CRAVEN CROWELL'S RETIREMENT PARTY AT THE GOVERNOR'S NANSION.	1.50	375.00	375.00	В	3498507
04/	12/01	019	CMB		TELEPHONE CONFERENCE WITH COMMISSIONER REPARTITI AND MR. SMAPIRO.	.50	175.00	175.00	В	3494294
04/	18/01	019	CWB		THLEPHONE CONFERENCE WITH COMMISSIONER BREATHITI AND MR. HAYES.	.50	175.00	175,00	В	3501216
347	19/01	659	RT3		RESEARCH FOR LCBBYING REGISTRATION ACT REGISTRATION FORMS.	,25	31.25	31.25	В	3502544
)4/:	20/03	019	CMS		TELEPHONE CONFERENCE WITH MR. HAYES, MR. SHAPIRO AND MR. ROBINSON.	.50	175.00	175.00	В	3503646

	TE RUN: 06/05/02			WYATT, TARRANT & COMBS, LLP	CLIENT.MATTER NUMBER:010859.4				
	TIME	KEEPER	TASK		WORKED	STANDARD	BILLABLE		
DATE	NUM	INIT	CODE	DESCRIPTION	HOURS	VALUE	AMOUNT		INDEX
04/23/01		RTB		LOBBYING DISCLOSURE ACT RESEARCH, CALL TO U.S. CLERK OF THE HOUSE; MEETING WITH MR. BONE AND MS. SCHOOGS TO DISCUSS REGISTRATION REQUIREMENTS FOR MR. BONE AND MR. HAYES.	1.00	125.00	125.00	В	3508608
05/09/01	019	CWB		TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. BOB DAVIS FROM THE WALL STREET JOURNAL.	1.00	350.00	350.00	В	3578692
05/17/01	659	RTB		REVIEW OF BUSH ENERGY POLICY FOR TVA AND PUBLIC POWER IMPLICATIONS.	1.50	187.50	187.50	В	3567684
05/21/01	019	CWB	•	TELEPHONE CONFERENCE WITH MR. BREATHITT; TELEPHONE CONFERENCE WITH MR. HAYES.	1.00	350.00 .	350.00	В	3578697
05/22/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES/TELEPHONE CONFERENCE MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAROLD FORD, JR.	1.00	350.00	350.00	В	3578698
05/23/01	019	CMB		TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MS. MIGDEN.	1.00	350.00	350.00	В	3578699
05/29/01	019	СWВ		TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO.	1.00	350.00	350,00	В	3581314
06/05/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. WOODS; TELEPHONE CONFERENCE WITH MR. CROWELL.	1.00	350.00	350.00	В	3699253
06/16/01	019	CMB		TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT AND MR. SHAPIRO.	1.00	350.00	350.00	В	3608824
06/25/01	019	CWB		MEETING WITH MR. HAYES; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT.	1.00	350.00	350.00	В	3693305
06/28/01	019	CWB		TRAVEL TO WASHINGTON; MEETING WITH MR. HAYES, MR. SHAPIRO AND OTHERS ENROW; DINNER WITH MR. HAYES AND COMMISSIONER BREATHITT.	a.00	2,800.00	2,800.00	В	3693396
10/19/01	019	CWB		TELEPHONE CONFERENCE WITH MR. HAYES AND MS.	.50	180.00	wTC		3830924 034

DATE RUN				WYATT, TARRANT	& COMBS, LLP			c	LIENT.MA	TTER NUMBER	R:010	359.09152
			ASK			WORKED		STAND	ARD	BILLABLE		
DATE	NUM		ODE	DESCRIPTION		HOURS			LUE	AMOUNT	STAT	INDEX
			HEUTER.							**		
02/08/02	635	*pw		, RETRIEVE BANKRUPT FOR USE BY ATTORNEY		.25		22.	50	.00	BNC	3955742
						63.50		. 722 . 9		.520.00		
COS	T ENT	RIES								,		
DATE	CODE	DESCRIPTION			STANDARD	BILLABLE	SUM	STAT	VOUCHER	CHECK		INDEX
02/06/01	570		(CONFERENCE CALL) VENDOR:	35.68	35.68	N	В	1262246	12472		1819009
		COOKER BAR AN										
04/05/01 04/05/01	570 700		VENDOR: CHARL		30.23	30.23	N	В	1269444	18360		1850213
04/27/01	700		ES VENDOR: CHA ES - VENDOR: CHARI		57.00 1556.25	57.00 1556.25	N	B	1269444	18360		1850214
	130		TELEPHONE X712 04		4.54	4.54	N Y	B	1271704	18452		1863836
05/14/01	570		VENDOR: CHARL		142.04	142.04	N	В	1273335	185191		1864316 1875267
5/14/01	700		ES VENDOR: CHA		2302.31	2302.31	N	В	1273335	185191		1875268
5/31/01	130	LONG DISTANCE	TELEPHONE X712 05	17	.66	.66	¥	В				1887585
	130	LONG DISTANCE	TELEPHONE X740 05	28	.72	.72	Y	В				1891474
6/08/01			TELEPHONE X754 05		6.56	6.56	Y	В				1891482
	130		TELEPHONE X754 05		.50	.50	Y	В				1891501
	130		TELEPHONE X754 05		2.01	2.01	Y	В				1891538
	130		TELEPHONE X754 06		2.01	2.01	Y	В				1891594
6/21/01			: VENDOR: CROS		1.01 8.01	1.01	Y Y	В				1899667
.,,		INC.	- FERENCE: CROS	SIGNA COURTER,	8.01	8.01	¥	В	1277844	186797	•	1900256
6/29/01	130	LONG DISTANCE	TELEPHONE X740 06	15	4.03	4.03	Y	В				1908266
6/29/01	130		TELEPHONE X740 06		10.59	10.59	Y	В				1908267
6/29/01	130	LONG DISTANCE	TELEPHONE X754 06	15	2.52	2.52	Y	В				1908268
	130	LONG DISTANCE	TELEPHONE X740 06	18	1.51	1.51	Y	В				1908269
6/29/01			TELEPHONE X740 06		.50	.50	Y	В				1908270
6/29/01			TELEPHONE X754 06		.50	.50	¥	В.				1908310
	130 130		TELEPHONE X754 06:		1.51	1.51	Y	В				1908359
			TELEPHONE X754 06: TELEPHONE X754 05:		1.51	1.51	Y	В				1908386
			TELEPHONE X754 05: TELEPHONE X740 06:		3.03	3.03	Y	В				1927930
7/26/01			TELEPHONE X740 06:		2.01 3.77	2.01 3.77	Y	В				1927935
7/26/01			TELEPHONE X740 060		3.69	3.77	Y	В				1927940 1927943
7/26/01			TELEPHONE X540 053		.50	.50	Y	В				1927943
7/26/01	130	LONG DISTANCE	TELEPHONE X740 053	1	2.33	2.33	Ŷ	В				1927953
7/26/01 1	130	LONG DISTANCE	TELEPHONE X740 050	9	.44	.44		B				1927957
		LONG DISTANCE	TELEPHONE X700 071	8	1.51	1.51	Y	В				1928049
//26/01 1			TELEPHONE X740 073		4.54	4.54	Y	в			1	928058
7/26/01 1			TELEPHONE X754 071		1.51	1.51		В			1	L928064
/14/01 3		OUTSIDE DELIVER BINGHAM 0813	RY COSTS FEDEX CWE	/BALCH AND	10.32	10.32	¥	В			3	1940531
/17/01 1	30	LONG DISTANCE T	TELEPHONE X740 051	0	5.55	.00	Y	BNC				1962945
/17/01 1			PELEPHONE X740 060		9.88	.00		BNC				1962948
/17/01 1	30 1	LONG DISTANCE T	TELEPHONE X754 080	3	1.51	.00		BNC				1962955
			TELEPHONE X740 080		2.01	.00		BNC				962959
	30 1	LONG DISTANCE T	WW BRUGANA									
/17/01 1	,		ELEPHONE X540 081	4	1.01	.00	¥	BNC			1	962968

										pa	ige 25 (6)
DATE RUN: 06/0	05/02		wy	ATT, TARRANT S	COMBS, LLP						*
				RMA STATEMENT							
PROFORMA NUMBER				T.MATTER NUMBE					PROFCEMA BA		
09/20/01 170					1.80	.00		BNC			
09/21/01 700			OR: CHARLES W.	PONE	113.80	.00			1287201	190520	1972293 1968057
10/25/01 700					95.71	.00			1291063		
12/04/01 130					.74				40.00	23200	2028468
					4436.86	4205.85					
						.00	HELL	DONA	NT		
				DATE							
				FEE SUMMARY							
		WORKED	STANDARD	BILLABLE	BILLABLE	BILLING					
TIMEKEEPER		HOURS	VALUE	HOURS	AMOUNT						
					****	****					
C. MICHAEL NO		4.50	1,170.00	4.50	1,170.00	260.00					
CHARLES W. BO		.50		.00							
CHARLES W. BO	NE		15,225,00		15,225.00						
JAY WEST PATRICIA F. W		1.50	375.00 22.50	1.50	375.00 .00	250.00					
R. TODD BOULD		14.00	1,750.00	.60 14.00							
1000 00000						113.00					
		64.25	18,722.50	63.50	18,520.00						
			TASK CO	DE SUMMARY							
									*		
TASK			WORKED	STANUARD	BILLABLE	BILLAR	9.10				
CODE	DESCRIPTI	ON	HOURS	VALUE	HOURS	AMOD					
			64.25	18,722.50	63.50	18,520.0					
			64.25	18,722,50	63.50	18,520.0					
							-				
*COST	CODE SUMMARY			*****							
*COST					BILLABLE						
	DISTANCE TELE			83.71	64.01						
150 OUTSI	DE DELIVERY C	OSTS		10.32	10.32						
170 PHOTO	COPIES			1.80	.00						
	ery costs			8.01	8.01						
	expenses			207.95	207.95						
700 TRAVE	L EXPENSES			4125.07	3915.56						
				4436,86	4205.85						
				190,00		HELD AMOUN	T				
LESS P	AYMENT(S) .					0			W	TC	0036

											Pa	ge 26 (7)
DATE RUN:	06/05/02				WYATT, TAR	RRANT & CO	MBS, LLP					
				PR	OFORMA STAT	PEMENT AS	OF 06/04/0	2				
PROFORMA I	OUMBER:				IENT.MATTE					PROFORMA B	ATCH:	
	BALANCE F	ORWARD .						00				
		SUB-TOTAL	(63 50)			18520	GO.					
		ENT SOB-TO										
		CURRENT PE										
								85				
	TOTAL DUE											
- con - 2000	UNTS RECEI	WADTE.										
AGED ACCO	00 (0-30)	. 00	(31-60)	.0	00 (61-90)	.00 (91	-120)	.00	(120+)	
	.00 1	• 201										
LEDGER SU	MMARY: A	LL OPEN IN	VOICES AND	INVOICES	SINCE 06/0	1/2001						
									OTHER			
INVOICE	DATE	FEES	COSTS	OTHER	PAYMENT	DATE	FEES	COSTS	UTHER	BALANCE		
	00 (07 (01	1937.50		00	PAYMEMP	06/28/01	1937.50	2445.01	.00	.00		
	08/29/01				PAYMEMP			77.14	.00	.00		
470405	08/29/01	3630.00	,,,,,,									
	() BILL C	OSTS AND F	EES			() DO NO	T BILL					
	() BILL F					() CLOSE	FILE					
	() BILL C					() FINAL	BILL					
	, , DIME C	00.01										

BoneLaw_{PLLC}

Attomeys

Committee on Governmental Affairs
EXHIBIT #D-8

CONFIDENTIAL – ATTORNEY/CLIENT MATTERS DISCUSSED HEREIN

February 6, 2002

Via Federal Express

Mr. Kevin J. Hable Wyatt, Tarrant & Combs PNC Plaza 500 West Jefferson Street Louisville, Kentucky 40202-2898

Dear Kevin:

Since November, when we agreed that I should leave Wyatt, I have made every effort to cooperate with you and others at Wyatt to minimize any disruption with clients, Wyatt attorneys and staff, and third parties. I would hope that you would acknowledge those efforts by me.

I have been most concerned about the obligation owed by Wyatt to Johnny Hayes, because I was responsible for that relationship, Hayes was and remains a client of Wyatt, and Hayes has been a very close personal friend for thirty years. Rather than meet this week in an effort to seek to resolve the questions you have raised about the payment owed to Johnny, I thought this letter mi_ht provide you with sufficient background and information to permit the payment of \$100,000 without further discussion. Of course, if you have questions after your review of this letter, I would be pleased to try to provide answers.

I certainly realize that the publicity with respect to Enron has created unexpected attention to this matter, but the obligation to Hayes is unconditional and not affected in any way by the publicity. There have been no suggestions that any activities of Wyatt, Bone or Hayes are the subject of any question or criticism. (In fact, just the opposite.) If you have any information to the contrary, I would encourage you to let me know immediately.

The following chronology should be beneficial:

Fall 1998 Stokes Bartholomew Evans & Petree ("Stokes") began representation of Enron in Tennessee.

02/01/99 Johnny Hayes ("Hayes") resigned as a member of the Board of Directors of TVA to join the Gore presidential campaign. After February 1, 1999 (exact dates unknown), Bill Bruce at Stokes contacted Hayes for advice concerning TVA on behalf of Enron.

Bone was interviewed by Enron attorney Richard Sanders for Wyatt to serve as defense counsel to Enron in litigation filed by TVA. Baker, Donelson was also interviewed and ultimately selected, because the litigation was filed in Chattanooga where Baker, Donelson has an office, and because of Howard Baker's relationships at Vinson & Elkins.

Early 2000 Hayes suggested that Enron contact Bone at Wyatt. Hayes agreed to consult with Bone, to help Bone understand the processes at TVA and to help with client development work with Enron. Hayes agreed to provide "behind-the-scenes" assistance as permitted by law and regulations. In consideration of the introduction to Enron and the agreement to provide assistance as permitted, Bone agreed to pay a consulting fee to Hayes equal to 50% of the total fees received.

07/25/00 Enron hired Wyatt to help with settlement of the TVA litigation (engagement letter dated August 1, 2000).

08/22/00 Enron hired Wyatt to help with introducing Enron representatives to FERC (Federal Energy Regulatory Commission) Commissioner Linda Breathitt.

Summer/

Fall 2000 Hayes provided Bone with "behind-the-scenes" assistance in connection with Bone's work for Enron with TVA, including an explanation of the decision-making process at TVA, the personalities of those involved, suggestions of how the decision-making process might be expedited, etc. Also, Hayes joined Bone and Breathitt for lunch in Nashville. Hayes also met with Enron representatives and Bone at the Democratic Convention in Los Angeles. Enron representatives were invited to Gore campaign activities in the fall of 2000 and also to election night activities in

Nashville in November.

10/18/00

Bone received a telephone call from Wyatt's leadership group to come to Louisville that day to discuss compensation issues. Bone met with Hable, Dyer, Ward, Cashen and Haynes. Bone was told by the group that it was necessary to limit his compensation to an amount 100 points below Conner's compensation, but that the group would work toward a special arrangement with Bone, potentially in the government affairs area, to create additional compensation for Bone, if Bone would agree to the points proposal. Bone agreed to this arrangement.

01/01

Enron check for \$500,000, payable to Wyatt, was received.

01/01-03/21/01

Enron expressed an interest in a continuing relationship with Bone, and asked that Hayes (Sideview Partners, Inc.) now be made a formal part of the engagement, since the election was over and any restricted period had expired. Bone, Hayes and Enron representatives negotiated terms of a 2001 joint engagement between Enron, Wyatt and Sideview. The engagement letter was signed on March 21, 2001 by Enron.

02/04/01

Bone traveled to Louisville for a dinner meeting with Kevin Hable and Tom Dyer and delivered the \$500,000 check from Enron. Discussions began about ways to establish the government affairs and/or energy group subsidiary or affiliate of Wyatt, with a profit-sharing opportunity for Bone consistent with discussions during the October 18, 2000 meeting.

02/05/01 -03/28/01

Bone and Hable continued to discuss various ways for a Wyatt government affairs/energy group to be structured. Bone proposed the employment of Johnny Hayes and Craven Crowell (who was scheduled to retire as Chairman of the TVA Board of Directors on April 15, 2001). Bone suggested that Wyatt create a subsidiary to be known as "The Energy Group" and that Hayes and Crowell be retained as consultants. Hable responded that the approach Wyatt preferred would be a "carve-out" of fees to be received by Bone in excess of legal fees.

03/21/01

Mike Norton prepared a memo to Hable, reviewing the proposed payment to Hayes and determining that the payment was permitted under applicable laws and regulations. 03/28/01 Wyatt's Executive Committee approved the recommended carve-out for Bone.

04/05/01 Bone engaged Craven Crowell for one year for \$100,000, to be paid by Bone to Crowell.

04/30/01 Enron payment of \$500,000 was applied and allocated according to Bone's memorandum to Deneen Kauchak of Don Brohman's office in

04/01-11/01 Enron paid \$250,000 to Sideview. Sideview paid \$125,000 to Bone. Bone paid \$13,008 to Wyatt.

09/07/01 Upon recommendation of Hayes, Enron engaged Wyatt for the Shelby Power project in Memphis (all legal work – no payment to Hayes.)

Kevin, I hope this information, together with the supporting documents that are attached, will answer all of the questions that you or others with Wyatt might have concerning the Hayes/Enron relationships and the obligation of Wyatt to Hayes. I would personally appreciate any effort you could make to expedite the payment to Johnny.

CWB/vs

Enclosures

cc: Mr. Thomas R. Dyer (w/o attachments)
Mr. Sam J. McAllester III (w/o attachments)

LIST OF DOCUMENTS ATTACHED TO 02/05/02 LETTER TO KEVIN HABLE

- 1. 08/01/00 Engagement Letter with Enron
- 2. 08/22/00 Engagement Letter with Enron
- 3. 02/04/01 Notes Believed to Have Been Delivered to Hable and Dyer at 2/4/01 Meeting
- 02/26/01 Draft Memo from Charles Bone to Executive Committee regarding Representation of Energy Clients (Sent to Hable)
- 5. 03/09/01 Engagement Letter with Enron (Accepted by Enron 03/21/01)
- 6. 03/14/01 Draft Memo from Charles Bone to Executive Committee regarding Proposal to Form The Energy Group (Sent to Hable)
- 7. 03/21/01 Memo from Mike Norton to Kevin Hable regarding Payment to Johnny Hayes
- 8. 03/28/01 Memo from Charles Bone to Kevin Hable regarding Energy Work
- 03/28/01 Memo from Charles Bone to Kevin Hable regarding Executive Committee Meeting
- 10. 03/29/01 Letter Agreement between Wyatt and Johnny Hayes
- 11. 04/05/01 Letter Agreement between Charles Bone and Craven Crowell
- 04/30/01 Memo from Charles Bone/Vicki Scruggs to Deneen Kauchak/Deb Engle regarding Allocation of Enron Funds
- 13. 09/07/01 Engagement Letter with Enron

WYATT, TARRANT & COMBS

1500 Nashyilah Citt Chrise

511 UNION STREET

NASHVILLE, TENNESSES SPEID 1780

618 244-0020 FAX: 615 256-1729

CITIZENS PLAZA

1700 LINDSTON FIRANCIA CONTE LINENSTON, NY 40807-1748 MB 233-1758 Tanan-3700 Eukland Friver om, 70 4040 1907 WEST SPRING STREET, SUITE BEG NEW ALREADY, IN 47150-3600

NAME OF THE PROPERTY OF THE PR

SHALL DESCRIPTION OF THE PROPERTY AND PROPER

Warren's Direct Dial Number

615-251-6740

August 1, 2000

Mr. Richard S. Shapiro Managing Director and Senior Vice President Government Affairs Euron Corp. 1400 Smith Street Houston, Texas 77002-7361

Dear Rick:

This letter will confirm our recent conversations and our firm's representation of Euron Corp. ("Euron"). Our representation will be limited to negotiations with respect to the presently pending litigation involving Euron and the Tennessee Valley Authority ("TVA"). It is our understanding that I will be working directly on the matters set forth below with you and Dave Delainey, and coordinating my work with Richard Sanders and Barbara Hueter.

I will be primarily responsible for our services in connection herewith. It may become necessary or advisable for other attorneys in our Firm with special expertise in a given area to work on this matter. If other attorneys are assigned to perform services in this matter, we will advise you. It is important that you have confidence in and enjoy working with the attorneys assigned to this matter. If you should ever wish to request a change in attorneys at any time, please feel free to contact either me or the Chairman of the Firm's Management Committee, Stewart Conner.

During this representation, we will promptly provide to you copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, rederal or local tax matters or advice relating to this engagement. We understand that we should contact you or one of the individuals referenced above when we need additional information,

Mr. Richard S. Shapiro - August 1, 2000 Page 2

authority or direction in this matter. If, in the future, you wish us to represent Enron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

- A non-refundable retainer fee of \$100,000, payable \$50,000 upon the execution of this letter and \$50,000 on September 20, 2000; and
- (2) The payment of a "success fee" of \$500,000 in the event me magation in question between Enron Power Marketing, Inc. and TVA pending in the United States District Court in the Eastern District (Civil Action No.1.99-CV-262) is settled and concluded by the consent of both parties on or before December 31, 2001.

The legal fees described above for Enron include our billable time incurred in connection with telephone and office conferences with you and other representatives of Enron; any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; travel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review or audits of financial statements.

Our legal fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred in performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying, messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airfare, lodging, meals and ground transportation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Enron will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Enron will continue to be obligated to pay our legal fees set forth above, including the "success fee" referred to above, if the litigation is settled on or before December 31, 2001. We reserve the right to withdraw from our representation if Enron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unethical under applicable codes of professional responsibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Euron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and

Mr. Richard S. Shapiro August 1, 2000 Page 3

expenses paid or incurred on behalf of Enron before the date of termination or withdrawal. Any of Enron's papers and property in our possession will be returned to Enron upon termination or withdrawal, but we will retain our own files relating to this matter, including copies of all documents generated or received by us in the course of our representation.

We will keep all information confidential relating to our representation of Enron, unless disclosure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Euron's name in a published list of this Firm's clients; unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

Finally, we ask Enron to acknowledge that Wyatt, Tarrant & Combs is a rather large firm with several offices in three states. Also, we know that Enron is involved in many businesses and represented by many law firms. Thus, we ask that you acknowledge the limited scope of this engagement and the ability of Wyatt, Tarrant & Combs to undertake other representation possibly in conflict to Enron in other matters during the course of this engagement or afterward. Obviously, we would like to engage in other matters for Enron and certainly will be sensitive to the nature or type of conflicts involved.

If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-client relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Charles W. Bone

Enclosure

ACCEPTED: ENRON CORP.

BY:___ Its:___ Dated:__

45161339.4 8/1/00 2:01 PM

WYATT, TARRANT & COMBS

1500 Naseville City Cheine

511 Union Street Nashville, Tennesser 67219-1730

> 6!5 244-0020 FAX: 6!5 256-1726

CHIMMS PLAZA

LOSIOPILLE, ICY ACROS-7454

MCC 450-753

POO LEXENCTON FINANCIAL CENTS LEXINOPON, NY AGROUPING Tangan Stott Burding Frankrokt, KT Motor-1807 502 123-3104 IOI WEST SPAINS STREET, SUITE SON NEW ALBANT, IN 47150-4816

to Music Square East Nacrouse, TH 37203-4526 old 253-860 6800 POPLAR AVENUE, SUITE 200 Mempins, TH 39128-74-52 801 227-1000

TENS DIRECT DIAL NUMBER

615-251-6740

August 22, 2000

Mr. Richard S. Shapiro Managing Director and Senior Vice President Government Affairs Emon Corp. 1400 Smith Street Houston, Texas 77002-7361

Dear Rick:

This letter will confirm our recent conversations and our firm's representation of Enron Corp. ("Enron"). Our representation will be limited to advice with respect to the development of policy at the Department of Energy ("DOE") and the Federal Energy Regulatory Commission ("FERC"). It is our understanding that I will be working directly on the matters set forth below with you and States I Veen

I will be primarily responsible for our services in connection herewith. It may become necessary or advisable for other attorneys in our Firm with special expertise in a given area to work on this matter. If other attorneys are assigned to perform services in this matter, we will advise you. It is important that you have confidence in and enjoy working with the attorneys assigned to this matter. If you should ever wish to request a change in attorneys at any time, please feel free to contact either me or the Chairman of the Firm's Management Committee, Stewart Conner.

During this representation, we will promptly provide to you copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, federal or local tax matters or advice relating to this engagement. We understand that we should contact you or one of the individuals referenced above when we need additional information,

WYATT, TARRANT & COMBS

Mr. Richard S. Shapiro August 22, 2000 Page 2

authority or direction in this matter. If, in the future, you wish us to represent Euron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

- (1) A non-refundable retainer fee of \$50,000, payable upon the execution of this letter; and
- (2) The payment of a "success fee" of \$500,000 in the event a policy or policies acceptable to Enron are adopted by DOE and/or FERC on or before December 31, 2000 addressing the crisis in the electric power markets in the United States.

The legal fees described above for Enron include our billable time incurred in connection with telephone and office conferences with you and other representatives of Enron; any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; havel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review or audits of financial statements.

Our legal fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred in performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airfare, lodging, meals and ground transportation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Earon will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Euron will continue to be obligated to pay our legal fees set forth above, including the "success fee" referred to above. We reserve the right to withdraw from our representation if Euron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unethical under applicable codes of professional responsibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Euron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and expenses paid or incurred on behalf of Euron before the date of termination or withdrawal. Any of Euron's papers and property in our possession will be returned to Euron upon termination or

Mr. Richard S. Shapiro August 22, 2000 Page 3

withdrawal, but we will retain our own files relating to this matter, including copies of all documents generated or received by us in the course of our representation.

We will keep all information confidential relating to our representation of Euron, unless disclosure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Euron's name in a published list of this Firm's clients, unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

Finally, we ask Euron to acknowledge that Wyatt, Tarrant & Combs is a rather large firm with several offices in three states. Also, we know that Euron is involved in many businesses and represented by many law firms. Thus, we ask that you acknowledge the limited scope of this engagement and the ability of Wyatt, Tarrant & Combs to undertake other representation possibly in conflict to Euron in other matters during the course of this engagement or afterward. Obviously, we would like to engage in other matters for Euron and certainly will be sensitive to the nature or type of conflicts involved.

If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-olient relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Enclosure

ACCEPTED: ENRON COR

BY: Managing Director
Director
Noted: X-22-00

45163112,1 3/22/00 10:47 AM

NOTES BELIEVED TO HAVE BEEN DELIVERED TO HABLE AND DYER ON 2/4/01

CBONE/Glayer/WTC/ENRON

ENRON/TVA Receiver \$100,000

There into WTC 50,000 before 9/30,

Ewed to Hoper 50,000

Chich secid not deposited 500,000

owers to filtryer 250,000

AVAILABLE FOR WTC/Bone \$250,000

for the Asparage

[ENDON [FERC] Received \$50,000 Taken into WTC 25,000 before 9/30/00 Owed to JHAyr 25,000

Chech to be Received \$100,000

OWED to J. Hayn 50,000

HYRILAND FOR WIC BORR 50,000

SUMMARY

ALREADY RECEIVED BY WIC \$75,000

Received on Coming for WIC/Bone 300,000

Recirco or Coming + PayABLE & Hayer 375,000

2001

#15,000 Monthly to HAYES & BONE AS CONG AS Work FOR GENERATION GROUP & is ON going: Thereafter (0,000 Monthly tarry (2/3/0) For work on FERC mothers + Other Specifically identified issues (plus expenser when in Washington)

#175,000 Completion, fee for each
generation deal identified
AND done - Currently
identified: (1) Brownsville, TN
(2) Memphin, TN
(3) Carrent City ky

> COAL LEYAL Work Possibility > Other Legal Work Possibility

Home Run dear for Gluenation prant in It Venture with Distributors, AND ENRON

PossiBILITIES Other Offenfunities with & Hyger:

- (1) Addington CAL TVA
 SALE OF CO. T. ENRON
 on other
- (2) Montana Land Co. Land Swap with TVA @ Picwick Dam /Lake (Jewn)
- (3) Greeneville Tenn MANNATACHINING CO.
- (4) TexAco deAL W/ TVA (Miss)
- (5) Bechfel ded w/ TVA (Nuclear)
- (6) TRActor BARNUL dear w/ TVA (Miss)
- (7) Distributors/Municipalitan

Proposes

- (1) Name Craver Crowell Sr. Adv. son to WTC For Energy Policy effective April 16, 2001 with Compensation of #100,000 plus addition I compensation when we have recomped our retainer. No overhead (NO Expenses except when on specific wice Business Use of Visiting Langer office when in Northile or other officer.
- (2) Beception on April 5 at Tenn. Governors Residence with gnest list set by wie & Craven
- (3) ENERGY FORUM in NAShville & Lonisville
 As soon As possible with CRAYEN As
 CHAIR & Wife As sponsor SMALL HARGETED
 ANDIENCE of Distribution, industry, COAL,
 Greenment etc.
- (4) Craven will have 3 other primary
 Roles & inferests:

 (a) Relationship with Sasser for China Mothers
 (b) Relationship with larrestment Banker
 (c) Relationship with Evergy Company
 (Not Enrow)

FRIDAY Fer. 9, 2001 in Wrohington Bone, Dyen, Walking Committed

JIM JASSER

Charles Burson

IF HABLE CAN COME -> LINDA BREATH'A

Other possibilities in Moshille

- > Jim Cooper > JON HATINGS > HANNEY FIRM

CHARLES W. BURSON

Chief of Staff to the Vice President 2808 Cathedral Avenue, N.W. Washington, D.C. 20008 (202) 332-5221 bursonbc@aol.com

PROFESSIONAL CAREER

THE WHITE HOUSE, OFFICE OF THE VICE PRESIDENT	
Assistant to the President and Chief of Staff and Counselor to the Vic	e President

1999-

- Brief the Vice President on all matters pertaining to the performance of his official duties
- Manage operations of the 100-member staff of the Office of the Vice President (OVP)
 - Serve as the Vice President's liaison between his Campaign Committee, the Office of the Vice
 President and the President's staff
 - Represent the Vice President in Cabinet and White House senior staff meetings
 Advise the President and participate in his media preparation and policy briefings
 - · Assist the President and Vice President in the preparation of the annual State of the Union address

Counsel to the Vice President of the United States 1999

1997-

- Rendered legal advice to the Vice President on all matters pertaining to his official duties
 - Served as the Vice President's liaison to the White House Counsel's Office
 - Managed and directed production of documents on behalf of OVP in response to Congressional, Independent Counsel and agency subpoenas
 - Insured compliance by OVP staff with all legal and ethics rules regarding service at the White House
 - Served as the Vice President's representative on selected domestic policy issues
- Served as the Vice President's liaison to Members and staff of Congressional investigative committees

STATE OF TENNESSEE, OFFICE OF THE ATTORNEY GENERAL 1997

1988-

State Attorney General

- Appointed to complete an unexpired term and appointed to a full term by the Tennessee Supreme Court (method of selection of State Attorney General in Tennessee)
- Argued four cases before the United States Supreme Court on behalf of the State of Tennessee
- Authored, edited and published more than 300 Attorney General opinions per year during tenure
- Directly represented and supervised the representation of the State of Tennessee before all federal and state courts and administrative tribunals
- Managed a \$16 million budget and 250 attorneys and support staff
- Counseled and consulted with all state officials on their legal responsibilities and duties
- Represented the State of Tennessee in multi-state litigation in coordination with other states' Attorneys General

WILDMAN, HARROLD, ALLEN, DIXON AND MCDONNELL 1988

1979-

1988 Partner

1979

 Served as partner in a 160-person law firm. Individual practice focused on corporate and commercial litigation.

BURSON AND BURSON

1970-

Engaged in general practice with a focus on commercial law.

J.D., Harvard University Law School M.A., Cambridge University, Cambridge, England 1970

1968 B.A. Political Science, with honors and distinction, University of Michigan at Ann Arbor

1966

PERSONAL

Born in Memphis, Tennessee in 1944. Married to Marion C. Burson, with two daughters, Claire Erica Burson, 25, and Kate Cornell Burson, 21.

PROFESSIONAL ACTIVITIES AND ACCOMPLISHMENTS

NATIONAL ASSOCIATION OF ATTORNEYS GENERAL

1988-

- Served as President of the National Association of Attorneys General (NAAG) from 1994-1995
- Served two-terms as Chair of the NAAG Consumer Protection Committee, two terms as the Chair of the NAAG General Management Committee and two terms as Chair of the Federal Trade Commission (FTC) Working Group
- Selected by other state Attorneys General to receive the 1993 Wyman Award as the Attorney General who did the most to enhance the stature of the office
- Served as member of NAAG's General Green Marketing Task Force, which actively encouraged the Federal Trade Commission to address and set standards for responsible environmental marketing
- Proposed and assisted in the development of a joint training program for Consumer Protection Assistant Attorneys General and officials from the FTC which resulted in better enforcement of consumer protection laws
- Testified before the U.S. Senate Committee on Science, Commerce and Transportation as Chair of the NAAG Consumer Protection Committee regarding reauthorization of the FTC
- Testified before the U.S. House of Representatives Subcommittee on Transportation and Hazardous Materials regarding consumer protection in the regulatory arena, particularly with respect to
- Initiated and implemented the first national pilot Management Seminar for Senior Attorneys General staff to which 35 states' staffs attended. Proposed and effectuated the first annual NAAG Winter Meeting for Attorneys General devoted entirely to leadership and quality management issues Led successful multi-state effort in attacks on abuses in the 900-number industry
- Initiated seminal federal-state multi-agency task force to prosecute corrupt telemarketing activities in Tennessee

TENNESSEE BOARD OF LAW EXAMINERS

1982-

Served as member and President of the Board from 1987-1988

CHARLES W. BURSON

Chief of Staff to the Vice President 2808 Cathedral Avenue, N.W. Washington, D.C. 20008 (202) 332-5221 bursonbc@aol.com

UNITED STATES SUPREME COURT ARGUMENTS

<u>Payne v. State of Tennessee</u>, 1991. Argued case and prevailed in a 6-3 decision. Case is noteworthy in recognition of prosecution's right to introduce victim information in the sentencing phase in capital cases. Also noteworthy in refining the Court's application of stare decisis in cases pertaining to the constitutional rights as contrasted with commercial expectations.

<u>Burson v. Freeman</u>, 1991. Argued case and prevailed in a 5-3 decision. Case recognized the primacy of the state's interest in insuring the integrity of election process over first amendment interests on election day. One of the few cases in which state's interest was sufficient to survive strict scrutiny analysis.

Itel Containers v. Huddleston, 1992. Argued case and prevailed in an 8-1 decision. Case recognized the power of states to levy sales taxes that applied to domestic and foreign goods without differentiation. The tax was held not to violate the Federal Commerce Clause, Export-Import Clause, Supremacy Clause or treaty obligations.

Middlebrooks v. State of Tennessee, 1993. Argued case on 8th Amendment standards regarding the application of aggravating circumstances in first degree felony murder. Case dismissed as improvidently granted for lack of jurisdiction as a result of post-argument decision by the Tennessee Supreme Court.

OTHER NOTABLE LITIGATION

<u>Tennessee Small School Systems v. McWherter, et. al.</u>, Equity funding challenges to the Tennessee school funding system. Tried case and argued the appeals in the Tennessee Court of Appeals and the Tennessee Supreme Court. Successfully mitigated plaintiff's challenges to Tennessee taxation system and funding system, enabling Administration and Legislature to craft constitutional reforms.

Roberts, Dalton et. al., v. Tennessee Department of Corrections, et. al., Argued case in trial courts and on the Sixth Circuit Court of Appeals. Successfully argued for the consolidation of all Federal Court 8th Amendment challenges to conditions in local jail cases with ongoing jurisdiction of case in Federal District Court dealing with state prison system conditions. The effect was a rational and efficient judicial administration of local and state tail and prison condition issues.

<u>Grubbs v. Bradley</u>, Argued case before the U.S. District Court for the Middle District of Tennessee. Obtained the dismissal of a 1985 federal district court consent order regarding conditions in the Tennessee state prison system.

ESM Government Securities of Florida (1984-1987). Bankruptcy and litigation proceeding in the U.S. District Court, Southern District. Lead negotiator for public entities against Alexander Grant with a \$50 million recovery for clients; lead bankruptcy negotiator for public entities with a \$25 million recovery. Lead trial attorney against FIDATA Corporation with a \$10 million recovery. Lead counsel for Memphis City School systems with a \$7 million recovery.

OTHER

Lead counsel in a \$100 million negotiation for acquisition of major commodity processing company.



2525 WEST END AVENUE, SUITE 1500 NASHVILLE, TENNESSEE 37203-1423

615.251.6740

MEMORANDUM

Executive Committee

FROM: Charles Bone

TO:

DATE: February 26, 2001

RE: Representation of Energy Clients

The year 2000 presented an exceptional opportunity for me and Wyatt Tarrant & Combs ("WTC") as a result of my introduction to Enron Corp. by Johnny Hayes. We were employed to assist Enron in the negotiation of a settlement of litigation with the Tennessee Valley Authority ("TVA") and the advancement of several issues for better communication with Federal Energy Regulatory Commission ("FERC") Commissioner Linda Breathitt. The litigation between Enron and TVA settled for approximately \$244 million, leaving both Enron and TVA very pleased and most appreciative of our work.

Enron has asked WTC to continue working on several matters during 2001 in association with Johnny Hayes. Total fees received from Enron for work during 2000 were \$650,000 (most of this money was received in February 2001 and approximately \$575,000 remains unallocated as of today).

The energy industry is in a great state of confusion, most significantly highlighted by the recent chaos and power outages in California. I believe we have an amazing opportunity to be a key player in our region and perhaps nationally. I propose:

- (1) That we associate Johnny Hayes as an energy advisor to WTC and that we budget \$325,000 to pay Hayes as a retainer for this work \$125,000 payable immediately, and the balance to be paid on a monthly basis over the next year in addition to new opportunities which become available.
- (2) That WTC retain Craven Crowell as a Senior Energy Advisor, beginning April 16, 2001 upon his retirement from TVA, and that Crowell be paid a retainer of \$100,000

during the coming year, plus success fees for transactions and matters in which he is involved.

- (3) That I be paid a bonus of \$100,000.
- (4) That none of the funds described above be allocated as originations or performance for me, but that the balance of \$50,000 be retained by the firm as a premium for Enron work and that I be credited with origination and performance credit for that amount

It is important to note that these significant fees for Enron are not being shared with Hayes or Crowell in any way. These fees simply allow us to make an investment in the future without any risk. I have intentionally not requested such an investment until we could "show you the money." Both Hayes and Crowell are very interested in working with us, and I enclose biographical information for both of them.

Crowell is prepared to begin work with us immediately upon his retirement as Chairman of TVA, and to announce his affiliation with us in conjunction with a reception to be hosted by Tennessee Governor Don Sundquist at the Governor's Residence in Nashville on April 5, 2001. Crowell will have a one-year ban from lobbying TVA, but will be available to advise us on TVA matters and work with us on non-TVA energy related matters and potentially other opportunities which he might bring to WTC.

Hayes and I are currently negotiating joint agreements for representation of Enron, Voith Siemens Hydro and others. Also, Hayes will be working with others who do not employ us as lawyers, and on certain other projects we will be representing Hayes, and assisting him rather than the third party client.

I believe our fees for these opportunities can be significant during this WTC fiscal year ending September 30, 2001. Because this work has permitted us to make an investment with "cash on hand", I think it is appropriate for WTC to pay me a bonus from the profits from this practice area (after expenses and payment for billing time at regular hourly rates). I will bill these projects at normal hourly rates and attempt to generate work for other lawyers from these opportunities. Since both Hayes and Crowell are covered from cash on hand, I would not anticipate significant expenses of any nature. I would propose that the bonus formula be as follows:

40% of the first \$100,000; 50% of the second \$100,000; 60% of the third \$100,000; and 75% of all profits over \$300,000.

**** TX REPORT ***

TRANSMISSION OK

TX/RX NO
CONNECTION TEL
SUBADDRESS
CONNECTION ID
ST. TIME
USAGE T
PGS. SENT
RESULT

4675 9 1 502 589 0309

LOUISVILLE 02/27 12:18 00'46 3 OK

WYATT, TARRANT & COMBS, LLP

2525 West End Avenue, Suite 1500 Noshville, Tennessee 37203-1423 615.244.0020 Fax: 615.256,1726

TELECOPY TRANSMITTAL

DELIVER TO:	Kevin	Hable
	, , ,	,

FROM:

Charles Bone

DATE:

2-27-01

FAX NUMBER:

Louisville

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET:

MESSAGE:

CONFIDENTIAL

IF YOU DO NOT RECEIVE A CLEAR OR COMPLETE FAX, PLEASE CALL OUR DFFICE AT 615.244.0020. OUR FAX NUMBER IS 615.256.1726.

Client Name:

Client No.:

Matter Name

Marter No.:



2525 West End Avenue, Suite 1500 Nashville, Tannozsee 37203-1423 615,244,0020 Fax: 615,256,1726

615.251.8740 cbone@wyattfirm.com

March 9, 2001

Mr. Richard S. Shapiro Managing Director and Senior Vice President Government Affairs Enron North America Enron Corp. 1400 Smith Street Houston, Texas 77002-7361

Mr. Ben F. Jacoby Director, East Power Generation Development 1400 Smith Street Houston, Texas 77002-7361

Dear Rick and Ben:

This letter will confirm our recent conversations and our firm's representation of Enron Corp. ("Enron") for calendar year 2001. Our representation will be limited to advice with respect to the development of policy at the Department of Energy ("DOE") and the Federal Energy Regulatory Commission ("FERC"), as well as specific projects of interest to Enron in dealings with the Tennessee Valley Authority ("TVA"). It is our understanding that we will be working directly on the matters set forth below with you. We will be working in association with Sideview Partners, Inc., specifically Johnny H. Hayes. This letter sets forth the terms of our joint engagement.

During this representation, we will promptly provide to you copies of all correspondence; notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, federal or local tax matters or advice relating to this engagement. We understand that we should contact one of you when we need additional information, authority or direction in this matter; If, in the future, you wish us to represent Enron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

- A non-refundable retainer fee of \$100,000, payable upon the execution of this letter, and
- A monthly retainer of \$15,000 beginning February 1, 2001, and continuing through December 2001, provided this retainer shall be reduced to \$10,000 monthly in the event we complete our work for Mr. Jacoby before December

500 West Jufferen Smeet, Seite 2800 250 West Main Street, Seite 1700 211 West Main Street Leuterille, KY 40202-2893 Leuterille, KY 40202-2893 121,5 857,233,2012 502,233,2104 101 West Spring Street, Suite 500 New Albany, IN 47150-3610 812,945,356:

29 Music Square East Nashville, TN 37203-4322 615 255 6151

1715 Agron Bronner Drive, Suite 800 Mempris, TN 38120-4367 901-557,1900



Mr. Richard S. Shapiro Mr. Ben F. Jacoby March 9, 2001 Page 2

- (3) The payment of a "project fee" of \$175,000 upon the achievement of each mileatone described in Exhibit A to this letter.
- (4) The payment of a "project fee" equal to 2% of the project's total cost upon the achievement of the milestone described in Exhibit 8 to this letter.

The fibes described above for Enron include our billable time incurred in connection with telephonie and office conferences with you and other representatives of Enron, any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; travel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review of raudits of financial statements.

Our fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred the performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying, messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airrare, lodging, meals and ground transpontation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Enron will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Enron will continue to be obligated to pay our fees set forth above, including the "project fees" referred to above. We reserve the right to withdraw from our representation if Enron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unerbrical under applicable codes of professional respibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Enron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and expenses paid or incurred on behalf of Enron before the date of termination of withdrawal. Any of Enron's papers and property in our possession will be returned to Enron upon termination or withdrawal, but we will retain our own files relating to this matter, including copies of all coournents generated or received by us in the course of our representation.

7/



Mr. Richard S. Shapiro Mr. Ben F. Jacoby March 9, 2001 Page 3

We will keep all information confidential relating to our representation of Enron, unless disclasure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Enron's name in a published list of this Firm's clients, unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

Finally, we ask Enron to acknowledge that Wyatt, Tarrant & Combs is a rather large firm with several offices in three states. Also, we know that Enron is involved in many businesses and represented by many law firms. Thus, we ask that you acknowledge the limited scope of this engagement and the ability of Wyatt, Tarrant & Combs to undertaked the limited scope of this engagement or afterward. Obviously, we would like to engage in other matters for Enron and certainly will be sensitive to the nature or type of conflicts involved. This same description of the limited scope of this engagement shall apply to the activities and work of Sideview Partners, Inc. and Johnny Hayes.

If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-client relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Chanes W. Bone

Enclosure

ACCEPTED:

115 Managing Director

451821521 3-9-01 4:29-04

9 13 2001 11:15

7136469160

PAGE.14



EXHIBIT A

Individual milestones subject to "project fee" payments:

- (1) With respect to Haywood Power I, L.L.C. ("Haywood Power"), the abandonment by the Tennessee Valley Authority ("TVA") of its proposed 320 MW expansion of its Haywood County, Tennessee facility ("Lagoon Creek"), which results in Haywood Power being allowed by TVA to interconnect into an existing 500kV open bus position in the Lagoon Creek substation. Achievement of this milestone shall be evidenced by the interconnection specifications set forth in an Interconnection Agreement between TVA and Haywood Power, and the milestone shall be deemed to be achieved upon execution of said Interconnection Agreement.
- (2) With respect to Haywood Power, the decision by TVA to eliminate the Network Upgrade related to the reactive power requirements as set forth in Haywood Power's System Impact Study, presently estimated at a total cost of \$5 million. Achievement of this milestone shall be evidenced by the Network Upgrade requirements set forth in an Interconnection Agreement between TVA and Haywood Power, and the milestone shall be deemed to be fully achieved upon execution of said Interconnection Agreement to the extent such Network Upgrade cost is eliminated. To the extent that such Network Upgrade cost is less than \$5 million, but greater than zero, a pro rata portion of the \$175,000 project fee shall be paid.
- (3) With respect to Calvert City Power I, L.L.C. ("Calvert"), the elimination of TVA's present requirement for Calvert to pay for a Network Upgrade consisting of the construction of a new 30 mile 500kV transmission line from Cumberland to Montgomery. Achievement of this milestone shall be deemed to occur upon (i) Calvert having determined in its sole and absolute discretion that the overall project economics of its 510 MW generation facility are viable, and (ii) execution of an Interconnection Agreement between TVA and Calvert. To the extent that the Network Upgrade cost is shared by TVA, a pro rata portion of the \$175,000 project fee shall be paid.



EXHIBIT B

The development and construction of a generating facility in cooperation with or partnership with certain distributors of the Tennessee Valley Authority ("TVA") (a "Development Project"). Any such Development Project shall initially be proposed by Wyatt or Sideview Partners, Inc. exclusively to Enron. Enron shall have thirty (30) days to make a determination as to whether to proceed with any proposed Development Project. Enron shall not have any obligation to proceed with any Development Project. At such time as all definitive agreements related to the Development Project have been executed, the Development Project shall be deemed to be an approved Development Project (an "Approved Project"). For purposes of payment of the project fee, the milestone shall be deemed to be achieved, for Approved Projects only, 50% upon the commencement of construction of the Approved Project, and 50% upon commencement of commercial operation of the Approved Project. For the avoidance of doubt, a project fee shall not be payable for any Development Project unless it becomes an Approved Project as defined herein.



2525 West End Avenue, Suite 1500 Nostwille, Tennessee 37203-1423 615.244.0020 Fax: 615.256,1726

Charles W. Bene 615.251.6740 cbone@wyattirm.com

March 9, 2001

Mr. Richard S.: Shapiro Managing Director and Senior Vice President Government Affairs Erron Corp. 1400 Smith Street Houston, Texas 77002-7361 Mr. Ben F. Jacoby Director, East Power Generation Development Erron North America 1400 Smith Street Houston, Texas 77002-7361

Re: Haywood County, Tennessee

Dear Ben and Rick:

This letter will confirm our conversations with respect to this firm's representation of Haywood County, Tennessee in its dealings with the Tennessee Valley Authority ("TVA") and any potential conflict with respect to our continued representation of Erron. It is our understanding that Erron has no objection whatsoever to our continued representation of Haywood County and acknowledges at this time that the interests of Haywood County and Erron with respect to TVA matters are consistent, and that our continued representation of both Haywood County and Erron is appropriate. We are sending a copy of this letter to Judge Lyle Reid, who is counsel to Wyatt, Tarrant & Combs and represents Haywood County, and to County Executive John Sharpe, Jr.

Charles W. Bone

CWB/ys

: Judge' Lyle Reid County Executive John Sharpe, Jr.

чин, чуаквта, сот

500 West Jefferson Street, Suite 2800 Jours IIIe, KT 402/02/2898 502,589,5235
101 West Spring Sircet, Suite 500 Nov. Albamy, IN 47150/3610 B12,945,3561

250 West Main Street, Suite 1700 Intington, KY 40507.1746 859.233.2012

29 Music Square East Nachville, TN 37203-4322 615 255.6161 311 West Wain Street Frankfort, KY 40601-1207 502.223,2104

1715 Agran Branner Drive, Suite 500 Mamphis, TN 38120-4367 901,537,1000



2525 WEST END AVENUE, SUITE 1500 NASHVILLE, TENNESSEE 37203-1423

615.251.6740 cbone@wyattfirm.com

MEMORANDUM TO: Executive Committee

FROM: Charles Bone

DATE: March 14, 2001

RE: Proposal to Form The Energy Group ("TEG")

- 7. Create new LLC. Create The Energy Group as a subsidiary of Wyatt, Tarrant & Combs ("WT&C").
- <u>Business Description</u>. TEG would begin with a base of work provided by Enron Corp. and other vendors or potential vendors for the Tennessee Valley Authority ("TVA"), and would 2. retain Johnny Hayes and Craven Crowell (former TVA Directors) as consultants. The Enron agreement also provides for work on matters at the Federal Energy Regulatory Commission ("FERC"), where Linda Breathitt is a member. Target clients would include utilities in addition to the TVA, specifically Kentucky utilities and distributors such as Memphis and Nashville, where we have relationships, but have not worked on regulatory and energy-related issues (These utilities have traditionally used consultants, engineers and Washington attorneys). We believe that this work should produce additional opportunities for legal work.
- Capital Contribution. WT&C contributes \$575,000 as capital, which is the premium to date on Enron work.
- Consultant Agreements.
 - Retain Johnny Hayes A.
 - (1) Letter (2) Bio

 - (3) Legal Memorandum
 - В. Retain Craven Crowell
 - (1) Letter
 - (2) Bio
 - (3) Legal Memorandum
- 5. Legal. Charles Bone will serve as Managing Director of TEG; WT&C lawyers, including Bone, will bill TEG at standard rates.
- Contracts Pending.
 - Enron
 - Voith Hydro
- 7. Payments to Bone. From profits of TEG, Bone to be paid:

Charles W. Bone 615.251.6740 cbone@weitfam.com

March 14, 2001

Mr. Johnny H. Hayes Sideview Partners, Inc. 530 Rock Bridge Road Gallatin, Tennessee 37066

Dear Johnny:

On behalf of Wyatt, Tarrant & Combs ("Wyatt"), I wanted to thank you for your assistance in introducing us to Enron Corporation, and to tell you how excited we are about our relationships with you as we approach a more significant opportunity to work in the energy industry.

Wyatt looks forward to an exclusive three-year relationship with you as we work on energy-related matters together. This relationship will continue until December 31, 2003, unless we renew it before that date. We suggest that this relationship be exclusive, because we would like to have the ability to approve any work you might do for other law firms, or in conjunction with other law firms, to the exclusion of Wyatt. Our rationale for this position is based upon our desire to establish a national recognition in the area of energy-related legal matters and transactions

Upon your approval of this relationship, we will pay you \$100,000; further, we will pay you \$100,000 on or before January 15, 2002; and we will pay you \$125,000 on or before January 15, 2003. Furthermore, we look forward to working with you on specific matters which will be identified as we pursue this relationship. We currently contemplate several relationships where you and Wyatt would be employed jointly to work for certain companies, e.g. Enron, Voith, etc., and each of these relationships will be covered by specific engagement agreements.

Unless otherwise agreed, we will have no further obligations to you as a consultant to our firm in the form of benefits, insurance or other expenses. We understand that you have purchased errors and omissions coverage for the work

Mr. Johnny H. Hayes March 14, 2001 Page 2

that you are doing, and we would ask that you provide a copy of your insurance policy for our files on an annual basis.

Again, we are excited about the opportunity to work with you, and we look forward to our relationship.

Sincerely,

Charles W. Bone

Kevin J. Hable

Accepted and appr	oved, this
day of	, 2001.
Johnny H. Haves	

Johnny H. Hayes Biographical Information

Johnny H. Hayes is the principle manager of Sideview Partners Inc. A firm specializing in the development of business and public policy solutions for challenges faced by utilities, manufacturing and service companies, economic development and government agencies. The firm creates solutions to problems through access to Mr. Hayes national network of business and government contacts among corporate and political leaders. His business contacts are complimented by his partnership network with marketing, public relations, political lobbying, technology management, legal economic development, and management consulting firms with the expertise required to turn problems into solutions that provide a competitive edge.

Hayes is the former National Finance Chairman for the Gore 2000 Presidential campaign. Serving from 1998 to 2000 he played a key leadership role in managing the national fund raising network which raised over \$ 300 million in 50 states. He served as a key policy advisor and strategist in the development and execution of the Gore 2000 campaign plan.

Mr. Hayes was appointed to the Tennessee Valley Authority Board of Directors in 1993 by President Clinton. He played a key role in the development of plans to reinvigorate the agency's relationship with 220 municipal, rural cooperative power distributors and directly served industrial customers. He developed strong working relationships with other electric utilities in the southeast to assure open communication, cooperation, and fair competition in the best interest of the general public. In addition he lead initiatives to craft the "TVA Title", a consensus agreement among TVA customers to assure the agencies future and introduce competition and customer choice as federal and state governments consider deregulation options.

As a TVA Director Hayes lead strategic plans to cap the agency debt ceiling and develop long term plans for debt and rate reduction. He lead efforts to bring all of TVA's generating assets into production, instituted and a strong business planning and quality management program designed to improve productivity and keep electric rates affordable. He lead efforts to work in cooperation the United States Treasury to refinance federal debt and replace it with private bonds at lower rates. This freed the agency from all federal funding obligations and made TVA a 100% rate payer supported entity for the first time in it's history. Today The TVA power and transmission system are setting industry records for productivity and cost efficiency.

Hayes lead the development of strategies to improve the quality of life and economic opportunity for the 8 million people of the Tennessee Valley region. He developed a new agenda for economic development which phased out federal appropriations and replaced it with a power funded investment program which includes a \$ 100 million revolving loan program for new plant and expansion infrastructure and venture capital investments for small business start-up and growth. These funds are complimented by an international magazine "Economic Edge" designed to tell the story of real company business

experiences in the Tennessee Valley region and attract investors. To support the capital investment planning of companies in search of investment opportunities he developed the "Site Selector" information system. An INTERNET based database which set new standard in industrial site selection by combining the latest technology and database management techniques to provide business with the photography, mapping, regional and site specific economic and engineering data they need to make decisions. These investments created over 75,000 new jobs for the region and leveraged over \$ 3 billion of new capital investment over 5 years.

To support Valley communities he lead the design and development of the Ocoee River Kiaking course used for the 1996 Atlanta Olympic Games. The investment has revitalized the economy of the Ocoee River region based on a new white water rafting industry.

To provide greater opportunities to help young people make the transition from school to work Hayes implemented a series of education initiatives including the TVA Power Distributor Scholarship Fund to help students pay college tuition, "The Investment Challenge" which offered college students in Valley Universities the opportunity to compete with each other to develop profitable stock portfolios, and the Computer Aided Design program which gave high school students an opportunity to participate in a school to work program that helped them gain experience in working in a corporate information systems department. These programs were complimented by a significant redesign of the TVA internship program designed to provide college students opportunities to gain work experience and earn income to compliment their college experience and improve their marketability in the work force.

Before joining TVA, Hayes served two appointments in the cabinet of Tennessee Governor Ned McWherter. First as Commissioner of Employment Security in 1991 and later as Commissioner of Economic & Community Development. A Commissioner he lead efforts to modernize the state telecommunications infrastructure with fiber optic communications capabilities and worked to develop information technology applications for rural schools and business using INTERNET applications. He lead the design of the Tennessee Quality Award to incent Tennessee businesses to achieve the quality standards set by the Malcomb Baldridge Quality Award. He was a sponsor of Tennessee Tomorrow, a state public privat4e partnership designed to join business and government leaders for the purpose of developing state economic development strategies.

Before entering public service, Hayes founded and organized Newman, Hayes & Dixon, an independent insurance agency in Hendersonville, Tennessee, that he built into a firm with multi-million-dollar sales. He served on the Sumner County Commission and the Sumner County Industrial Board, and was a member of the Hendersonville and Gallatin Chambers of Commerce. He is a former director of the Independent Insurors of Tennessee.

Hayes is former co-chairperson of the East Tennessee Community Relations Council and chairperson of the Black Achievers in Knoxville, a nationwide community-based program that promotes role models for African-American youths.

Hayes was born in Alcoa, Tennessee, and was graduated from Tennessee Technological University in 1962. He is a member of Bethpage United Methodist Church in Sumner County where he was Superintendent of Sunday Schools for 18 years. He and his wife, Mary Howard, have three children: Craig, Amy, and Mary Kate.

Charles W. Bone 615.251.6740 cbone@wyattfirm.com

March 14, 2001

Mr. Craven Crowell 301 Heathermoor Drive Knoxville, Tennessee 37922

Dear Craven:

We are very pleased that you have agreed to become a consultant to our law firm beginning April 16, 2001. Your title will be "Senior Policy Advisor for Energy Matters", unless we mutually agree on another title.

We will pay you a consulting fee equal to \$8,333.33 on the first day of each month beginning May 1, 2001 for twelve (12) months, but we will pay no benefits or other expenses unless approved in advance. We will make you available to our clients on a consulting basis for \$500 per hour, and we will agree to share these fees with you, as well as any other fees brought into our firm as a result of your involvement or referral, on a 50/50 basis. We will expect you to work with us on all matters that relate to the TVA in which you are involved. Otherwise, we will work together on other matters from time to time as we agree.

We are excited about this opportunity to work with you and look forward to our new relationship.

Sincerely,

Charles W. Bone

Kevin J. Hable

Accepted and approved, th	nis
day of	, 2001.
C	
Craven Crowell	



Craven Crowell

Chairman Tennessee Valley Authority

Biographical Data

Craven Crowell was appointed to the TVA Board of Directors by President Clinton and confirmed by the U.S. Senate and designated Chairman in 1993.

In his eight years as Chairman, Mr. Crowell has focused primarily on upgrading TVA's operational systems and strengthening TVA's financial position.

Today, TVA's five nuclear units are among the top performers in the U.S., and in the past two years all five received prestigious INPO 1 ratings, the highest possible rating from the Institute of Nuclear Power Operations. Production costs for TVA's combined fossil, nuclear, and hydro units are the third lowest among the nation's 50 largest utilities.

Mr. Crowell is Chairman of the Board of Directors of EPRI (the Electric Power Research Institute), which conducts technological and environmental research for the electric utility industry. He also serves on the Board and Executive Committee of the Nuclear Energy Institute (NEI), which provides information and policy support on matters relevant to nuclear power.

Under Mr. Crowell's leadership, TVA has established financial stability. While taking steps to cap TVA's debt, he supported an aggressive program of refinancing some \$23 billion of debt, much of it by offering TVA bonds to investors in European and Asian markets. In 1997, Mr. Crowell directed the development a business plan to reduce TVA's debt and keep costs competitive through the year 2007. In the first five years, TVA reduced its debt by more than \$2.2 billion.

In speeches at Harvard University, the City Club of Cleveland, the Orange County World Affairs Council; and the Institute of Economic Affairs European Electricity Conference in Brussets, Mr. Crowell has emerged as an industry expert on the importance of promoting public power's continuing role in the electric utility industry. Mr. Crowell also has been instrumental in developing a closer relationship between TVA and the People's Republic of China. In addition, he has led or participated in several international trade missions to Europe and Asia.

Crowell has a total of 17 years with TVA, serving previously as a vice president and a member of TVA's senior management team. Prior to becoming Chairman of TVA, he was chief of staff to U.S. Senator Jim Sasser. Early in his career he was an award-winning reporter and city editor at the Nashville *Tennessean*.

Mr. Crowell is a native of Nashville, Tennessee, and a graduate of Lipscomb University. He served in the Marine Corps Reserve and Naval Reserve. He and his wife, Freddie, have a daughter, Stephanie Goff, who lives in Nashville.

2/01

MEMORANDUM

TO:

Kevin Hable

FROM:

Mike Norton

DATE:

March 21, 2001

RE:

Payment to Johnny Hayes

I have been asked to review the proposed transaction with Johnny Hayes, under which the firm will pay Hayes a total of \$325,000. Specifically, I have been asked to review applicable laws and regulations and give you my opinion with regard to whether or not such payment is permitted under those laws and regulations.

Based on the information furnished to me (which I did not attempt to independently verify), Hayes was formerly a director of the Tennessee Valley Authority, and his term ended on February 1, 1999. Since that date, Hayes has not been employed by TVA in any capacity. During the last year, Hayes was contacted by Enron Corp., a large multi-national energy company based in Houston, Texas, and was requested to assist Enron with a contractual dispute then pending with TVA. Hayes declined to represent the interests of Enron before the TVA, believing that his prior service as a director disqualified him from direct contact with TVA employees and directors on behalf of Enron. Hayes did, however, recommend to Enron that it retain our firm to represent it before TVA. Charles Bone was primarily responsible for that representation, which ultimately was resolved in a manner believed by Enron to be very favorable. During the course of the matter, the Firm consulted with Hayes with regard to our handling of the matter. The engagement letter with Enron specifically contemplated the firm retaining consultants to advise with Enron's engagement. This consultation (generally referred to as "behind the scenes" work) did not involve any direct contact with Hayes before the TVA. As a result of our handling of this matter, Enron paid a large premium to the firm.

The Firm now proposes to pay Hayes a series of payments totaling \$325,000, of which \$100,000 would be payable immediately, \$100,000 would be payable on January 15, 2002 and \$125,000 would be payable on January 15, 2003. This payment is proposed to be made in consideration of Hayes' referring this matter to the Firm, for his behind the scenes consultation services during the course of the representation, and for Hayes' agreement to be available to work on energy related matters in the future (although such matters would be handled on a case by case basis and these fees do not necessarily include payment for future services).

My review of this matter did not indicate that such a payment would violate any applicable law and regulation, and that it appears to be permissible under the Tennessee Code of Professional Responsibility applicable to lawyers. I did not review the corresponding professional responsibility rules applicable to lawyers in Kentucky or any other state. Hayes is not an attorney.

18 U.S.C. §203

This statute generally makes it unlawful for a federal officer to seek, accept or agree to receive any compensation for representational services rendered or to be rendered by another at a time when the person was a federal officer of employee. This statute prohibits the Firm from paying any compensation to Hayes from the profits of the Firm received from the representation of a client in a matter involving the federal government at the time that Hayes was a TVA director. It does not appear from the facts that this statute would be violated by the payment of the \$325,000 to Hayes.

Since the \$325,000 is a portion of the fee paid the Firm by Enron, to violate the statute our representation of Enron would have to had occurred at a time when Hayes was a director of TVA. Since this is not the case, the statute would not prohibit the payment in question.

18 U.S.C. §207

The provisions of this section impose a number of restrictions applicable to Hayes. The statute imposes a restriction for two years after leaving his position with TVA with regard to any appearance or communication with the TVA on a matter he knew or should have known was pending under his "official responsibility" within one year of the termination of his employment. In addition, there is a lifetime ban on communications or appearances before an employee of TVA on a matter in which he participated personally during his tenure. Neither statute is applicable with regard to this matter, since I understand that Hayes did not make any appearances before a TVA employee and did not contact any TVA employee on behalf of Enron.

Tennessee Code of Professional Responsibility

DR 3-102 of the Disciplinary Rules of the Tennessee Code of Professional Responsibility provides that no law firm can "share legal fees" with a non-lawyer. Accordingly, if the Firm is simply splitting the Enron legal fee with Hayes, the arrangement is not permissible. On the other hand, if the Firm has agreed to make these payments based on services provided by Hayes for advice and consultation on matters for Enron, the payments do not appear to be an unethical fee splitting arrangement.



2525 WEST END AVENUE, SUITE 1500 NASHVILLE, TENNESSEE 37203-1423

> 615.251.6740 cbone@wyattfirm.com

MEMORANDUM

TO: Kevin Hable

FROM: Charles Bone

DATE: March 28, 2001

RE: Energy Work

- It is my understanding that in the Executive Committee meeting today, we are only
 discussing the request which I have tried to summarize in the attached memorandum.
 I would welcome your revision of this in any way. If it needs to be longer or more
 specific, please let me know.
- 2. It would really help if you could complete the proposed letter to Johnny Hayes today, so that we could pay him the initial payment of \$100,000 this week. Please let me know if you want me to revise the letter, or if you want any type of acknowledgment from Enron to complete this file.
- 3. It is my understanding that there will be no law firm deal with Craven Crowell at all. Of course, I would still like to consider naming him as a Senior Energy Adviser without pay, either now or in the future, if we can talk about that further.
- 4. We have not had another specific conversation about the manner in which the remaining premium from the Enron fee (approximately \$250,000) will be handled. I assume that will be credited to my account as originated and performed. I would suggest that all of the time spent by all of us on this matter over the past several weeks, in addition to the time I have spent to date this year on Sideview and Enron, be charged there, so that we start on April 1 with a clean slate.
- 5. I understand that you are as comfortable as you can be with the proposed Shop At Home relationship. I understand that any compensation which I receive from Shop At Home will be paid to the law firm. I assume that I will keep the stock options which I have had for several years, which may be renewed or increased. If there is any question about this, please let me know.



2525 WEST END AVENUE, SUITE 1500 NASHVILLE, TENNESSEE 37203-1423

> 615.251.6740 cbone@wyattfirm.com

MEMORANDUM

TO:

Kevin Hable

FROM:

Charles Bone

DATE:

March 28, 2001

RE:

Executive Committee Meeting

We would ask that the Executive Committee of Wyatt, Tarrant & Combs ("WTC") approve the proposal of Charles Bone to participate as a principal in an entity to be owned by Bone, which would participate with Johnny Hayes and Craven Crowell in services rendered by them to third parties for advice and work on primarily energy-related matters, most of which would be focused on TVA-related work. Bone would commit that all work performed for Hayes or Crowell-related customers/clients would be billed by Bone at standard rates. Bone would certainly acknowledge that this approval would be an extraordinary act by Wyatt for his economic benefit and he would commit to continue his enthusiastic efforts on behalf of WTC.



2525 West End Avonue, Sugo 1500 Noshville, Teanessee 37203-1423 615.244,0020 Fox: 615.256,1726

Charles W. Bo: 615.251.674

March 29, 2001

Mr. Johnny H. Hayes Sideview Partners, Inc. 530 Rock Bridge Road Gallatin, Tennessee 37066

Dear Johnny:

On behalf of Wyatt, Tarrant & Combs ("Wyatt"), we wanted to thank you for your assistance in introducing us to Enron Corporation, and to tell you how excited we are about our relationships with you as we approach a more significant opportunity to work in the energy industry.

Specifically, we acknowledge and thank you for your advice and consulting services to Wyait as we represented Enron during 2000. Your referral and subsequent advice helped Wyait to become very successful in its work for Enron and we anticipate additional opportunities for continued work.

Upon your approval of this letter, we will pay you \$100,000; further, we will pay you \$100,000 on or before January 15, 2002; and we will pay you \$125,000 on or before January 15, 2003. These payments will be made to your heirs or assigns in the event of your death. Furthermore, we look forward to working with you on other matters in the future.

Charles W. Bone

cc: Kevin J. Hable

Accepted and approved, this 30day of Mayle, 2001.

www.wvcilfim.com

311 Wast Main Street Frankfort, KY 40601-1807 502.723.2104

1715 Aoron Brenner Drive, Suite 800 Memphit, TN 38120-4367 901-537-1000

CHARLES W. BONE 2525 West End Avenue, Suite 1500 Nashville, Tennessee 37203

April 5, 2001

Mr. Craven Crowell Knoxville, Tennessee

Dear Craven:

This letter will confirm our agreement beginning April 16, 2001. I will pay you, or cause to be paid to you by an entity formed by me, the total of \$100,000, payable in monthly installments for a term of one year. The payment will be made directly to you or to Crowell & Associates or any other entity that you might designate.

During the period of time covered by this agreement, you will be an independent contractor and will receive no other benefits other than the monthly retainer, and we will seek opportunities to work together on matters that you might originate or we might seek out together. We contemplate that these matters will be related to the energy industry, and specifically within the Tennessee Valley area, although we both recognize that you will be unable to be involved in specific TVA-related matters due to certain laws and regulations.

We contemplate that matters generated by our mutual relationship will generate compensation that we will divide equally unless otherwise agreed. As we identify matters on which we can work together, we will agree on a matter by matter basis as to the specific division of the resulting compensation, with a view toward dividing the compensation equally, unless we agree otherwise.

I am excited about our relationship, and look forward to working with you.

CWB/vs

Accepted and agreed to this 17 day of april, 2001.

Craven Crowell



2525 WEST END AVENUE, SUITE 1500 NASHVILLE, TENNESSEE 37203-1423

615.251.6754 vscruggs@wyattfirm.com

MEMORANDUM TO: Deneen Kauchak and Deb Engle

FROM: Charles Bone / Vicki Scruggs

DATE: April 30, 2001

RE: Allocation of Enron Funds

Tomorrow morning we plan to allocate the Enron funds presently held by the firm, as follows:

\$504,086.71 +\$67,152.92 \$571,239.63 -\$100,000.00 -\$225,000.00 \$246,239.63	Total Unallocated (010859-089244 Enron Corp and Gov) Total Unallocated (010859-089587 Enron DOE and FERC- Move to 089244) Total Unallocated Advanced as Expense to Johnny Hayes (089244) Hold as Future Expense to Johnny Hayes (089244 or New Escrow Account) Balance to be Allocated
-\$ 4,224.01 -\$ 1,530.23 -\$ 1,750.00 -\$ 9,747.91 -\$ 12,572.80 -\$ 16,450.00 -\$ 1,170.00 -\$ 1,219.00	(089244 - Corp and Gov - All CWB-JKW and RTB time moved to Enron 2001) (089587 - DOE & FERC - All Expenses) (090757 - TN Matters - All CWB) (091525 - 2001 - CWB, RB, JKW Time) (018852-064824 - Johnny Hayes / Sideview Partners, Inc Various Atlys) (089244 - Corp and Gov - All CWB Time 47.0 hours at \$350 Now Entered) (091525 - 2001 - CMN Time 4.5 hours at \$260 Now Entered) (091525 - 2001 - RTB Time 9.75 hours at \$125 Now Moved)
\$197,575.68	(089244 - Balance Remaining - To be allocated as premium to CWB)



2525 West End Avenue, Suite 1500 Nashville, Tennessee 37203-1423 615.244.0020 Fax: 615.256.1726

Charles W. Bone 615.251.6740

September 7, 2001

Mr. Randall M. Pais Legal Department Enron Wholesale Services 1400 Smith Street Houston, Texas 77002

Dear Randy:

This letter will confirm the recent conversations between Ben Jacoby and Fred Mitro of Enron North America and Wyatt, Tarrant & Combs ("Firm") regarding representation of Enron Corp. ("Enron"). This representation will be limited to advice with respect to the development of the "Shelby Project", a planned natural gas fired project with an initial phase of up to 650 MW, operating in simple cycle, with a potential future expansion to combined cycle, owned by Shelby Power I, LLC, a Delaware limited liability company wholly owned by Enron North America Corp., located on a 97-acre site in Shelby County, Tennessee, approximately two miles northwest of the town of Arlington, Tennessee.

During this representation, we will promptly provide to you ("Responsible Attorney"), or such other attorney that Enron may designate, copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we should contact you when we need additional information, authority or direction in this matter, and that you will coordinate with Ben Jacoby, Fred Mitro or such other Enron representatives on the Project to ensure that we will have the necessary information to support Enron's activities on the Shelby Project. If, in the future, we are asked to represent Enron in another matter, that engagement should be subject to mutual agreement and in consideration of the concepts included in this letter.

Our fees for this engagement will be based on the hourly billing rates charged by each of our lawyers, as follows:

Charles W. Bone	\$350
Thomas R. Dyer	\$300
William L. Penny	\$250
Richard C. Raines	\$240
Jay West	\$250
(non-lawyer, Director/Gov	vernment Affairs)
John Knox Walkup	\$300

'311 West Main Street Frankfort, KY 40601-1807 502.223.2104

In an effort to reduce legal fees, we will use associates and paralegals to assist with this engagement as may be needed and appropriate. The time of paralegals is charged at

vw.wyatfirm.com

500 West Jefferson Street, Suite 2800 Louisville, KY 40202-2898 502-589-5235

250 West Main Street, Suite 1700 lexinglon, KY 40507-1746 859:233-2012 918 State Street Bowling Green, KY 42101 270.842.1050

2525 West End Avenue, Suite 1500 Noshville, TN 37203-1423 615.244.0020

29 Music Square East Nashville, TN 37203-4322 615,255,6161

1715 Aoron Brenner Drive, Suite 800 Memphis, TN 38120-4367 901,537,1000

101 West Spring Street, Suite 500 New Albony, IN 47150-3610 812,945,3561



500 West Jefferson Street, Suite 2800 Louisville, Kentucky 40202-2898 502,589.5235 Fax: 502.589.0309

Kevin J. Hable 502.562.7232

Committee on Governmental Affairs **EXHIBIT #D-9**

February 15, 2002

Charles Bone, Esq. BoneLaw PLLC 2525 West End Avenue 4th Floor Nashville, Tennessee 37203

Dear Charles:

I have received your letter dated February 6, 2002. While I appreciate the time you took to write it, your letter is unresponsive to the issues I raised with Mr. Hayes and fater with you. Let me outline them again.

1. I discovered after you left the firm that some of our Enron files have items missing from them. For example, the lobbying report you filed on behalf of the firm was not in our files and we received it from you only after being made aware of its existence from newspaper reporters. Our files also do not have any information or documentation in them concerning the work Mr. Hayes did for the firm. I told you in March of 2001 that the firm could not pay Mr. Hayes \$300,000 merely for making a phone call to introduce you to someone at Enron. You assured me at that time that Mr. Hayes would be providing ongoing services to the law firm. In my recent phone conversation with Mr. Hayes, he told me that he had provided services to the firm in several matters, that he had documentation evidencing those services and that he would provide that documentation to me. He has not yet provided such documentation.

Your February 6 letter makes reference to "behind the scenes" assistance provided by Hayes, "including an explanation of the decision-making process at TVA, the personalities involved, suggestions of how the decision-making process might be expedited, etc.". Your letter also states that Mr. Hayes met with you and Linda Breathitt and that you and he had a meeting with Enron representatives. I am not sure whether your recollection of Mr. Hayes' services in your letter is meant to be a complete listing of everything he did for the firm. I am also uncertain about whether to expect documentation and a listing from Mr. Hayes concerning his services.

2. In my recent conversation with Mr. Hayes, I told him that the press reported that he had filed lobbying reports showing that he had received \$200,000 from Enron. I told him that it appeared that he had already received \$300,000 for his services - \$100,000 from the law firm and \$200,000 from Enron. Mr. Hayes told me that the \$200,000 payment was

www.wvatfirm.com

250 West Main Street, Suite 1700 918 State Street lexington, KY 40507-1746 Bowling Green, KY 42101 859-233-2012 270.842.10502525 West End

1715 Aaron Brenner Drive, Suile 800 Memphis, IN 38120-4367 901,537,1000

500 Wast Jefferson Street, Suite 2800 311 Wast Main Street Louisville, KY 40202-2893 Frankfort, KY 40601-1807 502.589.5235 502.223.2104

2525 West End Avenue, Suite 1500 Nashville, TN 37203-1423 615.244 0020

101 West Spring Street, Suite 500 New Albony, IN 47150-3610 812,945,3561



Charles Bone, Esq. February 15, 2002 Page 2

for work he did for Enron unrelated to the law firm's relationship with Enron. I believe that he offered to provide me evidence of this. I do not yet have such evidence.

3. On March 9, 2001 you executed, on behalf of the law firm, an engagement letter with Enron which was in turn executed on March 24, 2001 by an Enron representative, a copy of which you attached to your February 6, 2002 letter to me. The fee arrangement agreed upon in the letter included the payment of a nonrefundable retainer of \$100,000 and monthly retainers of \$15,000 per month from February 2001 through December 2001. The letter makes clear that the fees are for Wyatt's legal work, that the fees are to be poid to Wyatt, and that Wyatt will pay any consultants' fees out of the fees received by Wyatt. Wyatt never received those fees. Your February 8 letter states that they were instead paid to Sideview Partners. There is nothing in our files that would authorize the payment of our fees to Sideview and you did not have the authority to make such an authorization. Furthermore, there is no evidence in our files of any agreement between Wyatt and Sideview regarding the scope of consulting services to be provided by Sideview or the fee arrangement for those consulting services in connection with the March engagement letter.

The fees received by Sideview should have been paid to Wyatt. They must be paid to Wyatt promptly. Then Wyatt and Sideview can agree, as the March 2001 engagement letter provided, on any consulting fees that should be paid by Wyatt to Sideview.

I am eager to resolve the issues surrounding Johnny Hayes and Sideview Partners. I want to make it clear, however, that issue #3 above must be resolved as a part of that process.

Sincerely yours,

WYATT, TARRANT & COMBS, LLP

KJH:ml

15102001.1

Committee on Governmental Affairs
EXHIBIT #D-10

FEDERAL ENERGY REGULATORY COMMISSION



Office of Commissioner Linda K. Breathitt
888 First Street, N.E.
Suite 11-C
Washington, DC 20426
(202) 208-0377
FAX (202) 208-0674

Fax Transmittal Form

	TO: Charles Fore
	ORGANIZATION: Wyatt Tarrant & Combs
	FAX NUMBER:
	VERIFY PHONE NUMBER:
	NUMBER OF PAGES (EXCLUDING COVER SHEET):

	FROM: Jude Brea That
	PHONE NUMBER Here is the page from the
	COMMENTS franscript referring to Sen. Reace's
Cho ha	Comment about Dad. It's really rather
at 11	Thoship wer hoppered as a result.
	Nothing ever hoppered as a result.
	, WIC 0374

STEVE PEACE TAULING circumstance up.

utilities.

Which is why, as we go, I want to try and keep the history together. The reason why I jump in is to put these collateral pieces of information as things were developing in parallel and different universes.

And what you see is a pattern of behavior, not only inside corporate boardrooms, in which they were legitimately pursuing, as you put it, their fiduciary responsibility to maximize profit, but they also pursued their positions where their agents had fiduciary responsibilities to the public in influencing policies developed at the ISO, policies developed at the PX, and policies at FERC, where you have a situation even today where you have this swing with a FERC board member's father, one of the most influential consultants to the independent energy industry in the world. He seems to be wonderfully silent throughout this entire period and looking for direction from others.

That's why I asked you the question about it. I have great deal of respect for the intelligence of the folks at FERC, and that's why I asked you the prior question.

CHAIRMAN DUNN: Senator Johannessen.

SENATOR JOHANNESSEN: Thank you, Mr. Chairman.

We are talking, and one of the problems, of

course, was the question of generating capacity for the

Who purchased these generating facilities that were divested? Who purchased these?

DR. WOLAK: The generators: Duke; Dynegy;

WTC 0575

Committee on Governmental Affairs
EXHIBIT #D-11

FEDERAL ENERGY REGULATORY COMMISSION



Office of Commissioner Linda K. Breathitt
888 First Street, N.E.
Suite 11-C
Washington, DC 20426
(202) 208-0377
FAX (202) 208-0674

Fax Transmittal Form

TO: Charles Sone
ORGANIZATION: Wyatt Tamant : Combs
FAX NUMBER: (015 - 251 - 6764
VERIFY PHONE NUMBER:
NUMBER OF PAGES (EXCLUDING COVER SHEET): 2

FROM: Linda Breathott.
PHONE NUMBER See above
COMMENTS: Charles Here is a copy
2. Gov. Patton's FYI.
0



COMMONWEALTH OF KENTUCKY OFFICE OF THE GOVERNOR

PAUL E. PATTON GOVERNOR

August 23, 2000

700 CAPITOL AVENUE SUITE 100 FRANKFORT, KY 40601 (SOZ) 564 2611 FAX: (50Z) 584-2517

The Honorable William Jefferson Clinton President of the United States The White House Washington, DC 20500

Dear President Clinton

I am writing to you about a matter concerning a citizen of the Commonwealth of Kentucky, Linda K. Breathitt. Having been appointed by you on October 24, 1997, and confirmed by the Senate on November 7, 1997, Linda is a sitting Commissioner on the Federal Energy Regulatory Commission (FERC).

As you know, the term of FERC Chairman James J. Hoecker expired on June 30, 2000, and you have nominated Chairman Hoecker for a new term. However, should Chairman Hoecker leave the Commission before his nomination is confirmed, I urge you to appoint Linda Breathitt to be the next

The appointment of this native Kentuckian would be well-received not only in Kentucky, but in the entire region as well. Linda is an excellent choice for a number of reasons, and she comes from one of the more prominent families in our state. She is the daughter of ex-Governor Edward T. Breathitt, Jr.

Linda has extensive experience as a regulator, having served as Chairman of the Kentucky Public Service Commission prior to her appointment to FERC. As a FERC Commissioner, Linda has taken a moderate, centrist position from which she has assumed effective leadership in restructuring electricity markets and shaping competition in the natural gas industry. Linda's consensus-building abilities are unparalleled on the Commission, and she has been instrumental in crafting successful resolutions to contentious energy issues of great national significance. Linda has earned the respect and broad support of public interest and industry groups that appreciate her balanced and pragmatic approach.

Mr. President, as energy markets in the United States transition into a new era of competition, I am confident that Linda Breathitt can be entrusted with providing intelligent and responsible leadership to the Federal Energy Regulatory Commission. I appreciate your consideration of her candidacy.

Singerely

2 December 1

c: John Podesta

Bob Nash

BKC: LINDA BACATHITT

TODO BLEDSOE

AN EQUAL OPPORTUNITY EMPLOYER M/F/D

Committee on Governmental Affairs
EXHIBIT #D-12

Linda Breathitt 2901 Tabor Oaks Lane Lexington, Kentucky 40502 606/268-7731 Home 606/268-7761 Fax

To: Charles Bone

FROM: LKB

Date: 8-29-00

(4 pages including cover)

I wonder who the pointed are? I bet

you never imagined AERC soupp rould

be so intristing and have no less than

12-14 trade publications covering it.

Walcome to the sometimes are and world of

"energy politics" of energy issues.

I can venture to say that Bill, (und + I disagree us/ most of these, source pay, commends I especially on the one having to do us who has the better tree. Any one of no could run the assury. Talk to you soon.

Inside F.E.R.C.

An exclusive weekly report on the Federal Energy Regulatory Commission

IMPACT OF DEADLY PIPELINE RUPTURE ON EL PASO SYSTEM FELT FAR AND WIDE

In the wake of the devastating supture Aug. 19 on the El Paso Natural Gas Co. system in New Mexico, affected parties were scrembling late last week to repair many forms of damage and bricing for the business, regulatory and political ramifications. In addition to the 11 deaths and immediate damage to the El Paso facilities at the size, the accident sum sinck waves crushing into the market, the pipeline industry as a whole and an already pressurized legislative environment (see related story on page 5).

Officials from El Piso, the National Transportation Safety Board, Dept. of Transportation and elsewhere were quickly on the scene south of Carisbad, and by the middle of last week DOT had issued detailed marching orders for El Paso to follow as it attempts to determine the cause of the acculent and yet its system back to full operation. Fere stayed out of the picture for the most part; if requested, and worseld, a detailed report from El Paso about the damage to the system and its effect on operations.

The NTSB called the rupture the deadliest pipelins accident in the continental U.S. in nearly 25 or as in

NO PRICE CAP ON CALIFORNIA POWER SALES, BUT FERC OPENS RATE INQUIRY

into the California market, but the commission has ordered a formal investigation of wholesale : ... Chairman James Hoocker a letter saking Fere to foous the bulk-pover market investigation fire ania, something Hoocker had already asked staff to 60 (IF, 21 Aug, 1).

ma, sometimg spocker has already stated shall to do (a), A 1485 12.

Earlier the same day, President Climos told reporters at the White Flower that he had asked is to urge Fee to expedite the investigation of wholesale markets "so we can better understand which happening in California and provide policymakers with the information they need to protect continuely fashion." Climon assured California residents that "we'll continue to keep a close cyo continuely fashion." situation." Asked if he thought power companies are "profiteering," the president responded:

UNSTABLE CALIFORNIA MARKET MAY LEAD TO RECESS APPOINTMENT FOR HOLD YES

The departure of Chairman James Hoecker from the commission may be further off than care. expected. Speculation was moning hard last week that given the precedious nature of the Calif market and the political pressure it has created, Hoecker would be offered a recess appointment - :: allow him to remain at Fere at least into next year.

For months, the common wisdom has proclaimed Hoccker a lame duck (IF, 17 Jan, 1). His way officially expired at the end of funet he has been serving under a grace period that will run to

omerany expure a fire date of miles are list set as a fire and on executing in early October.

A Democrat, Hoecker has been formally renominated by the White House. However, the controlled Senate has made no move to act on his confirmation, nor is it expected to in light impending presidential election. If Hoecker were confirmed to a new full term, he could remain it are commission for another five years, which would complicate matters if Republican George W. Broad

Inside this Issue

PIPELINE PROJECTS: Cross Bay plan makes little sense to existing Transco shippers ___ page 9 prevails in the November election.

But a recease appointment is another metter altogether, sources indicated last week. "I think he's going to get a recess appointment," said one, putting the odds at 50-50. Now is not the time to introduce regulatory uncertainty given the "unstable situation" in California, a key electoral state, according to the source. Hocoker is "sort of the anchor right now that keeps things running," at the commission, he added.

"He's going to get a recess appointment, is what's going to happen." predicted another source, suggesting that the odds are more like 90-10 that Hoceker will be tapped by President Clinton to stay on. "Everything I'm heating is that it's his if he wants it." Asked to explain the change of circumstances, the source responded: "three words — California, California, California."

Administration officials and others are "panicked" about the market disarray in California, the source continued, asserting that Hoccker's prospects for a new lease on life at Pere improved dramatically earlier his month on "the night [California Gov.] Gray Davis called Clintor to sak the president to push Fart more forcefully into the California areas (Fr. 21 Ang. 14). Clinton did just that this week, and Hoccker responded quickly with a statement assuring the president and others that Pere was actively engaged in monitoring the state's skewed market dynamics and developing possible remedies. An order in related complaint cases was issued the same day (see story on page 1).

complaint cases was issued the same day (see story on page 1).

A tooss appointment also would save the White House from having to choose between William Massey and Linda Breathitt, the remaining Democratic commissioners, as acting chairman, sources said. Several suggested that Massey would have an edge if it came down to that. While Breathitt has made known to the White House that she would release such an appointment, Massey's administration des are considered strong enough to carry the day, sources said.

Would Hoceker accept a recess appointment? He was out of the country last week and unavailable for comment. "I have no idea whether he'd accept," said one source. That said, "he'd be hard-pressed [to refine] if the president thinks it's immortant," that he stay on.

refuse) if the president thinks it's important" that he stay on.

The president can "fill up" vacancies when Congress is out of session, according to the Constitution, and such appointments last until the end of the next session of Congress, an aide in the Senate parliamentation's office explained last week. If Clinton decided to exercise his option and reappoint Hoceker after Congress adjourns this fall, Hoceker could serve at least in a commissioner slot until late 2001 when Congress adjourns, the side explained. If the Republicant control the White House in the next administration, however, they would name the Fere chairman.

LIMBIA GAS' PLAN TO FUN CAPACITY AUCTIONS SIMILAR TO ONLINE commodity trading will be examined at a technical conference. Citing a number of issues raised by the parties, and posing several questions of its own, the commission opted to order the conference, which Columbia Gas Transmission Copy, did not oppose. The commission gave stiff 75 days from the Aug. 23 issuance of the order (RPMO-374) to conduct the conference and report back.

The proposal would replace the current five-day auction method for short-term capacity with an interactive daily anction that would be transparent, allowing bidders to see all other bids but not the bidders' identities (IF, 10 July, 20). For long-term capacity, the auction would take place on the sixth day often offers by Columbia are rosted.

after offers by Columbia are posted.

The pipeline also proposed to change its right-of-first-refusal procedures and scrap the current 15-day period in which shippers can match a bid. For a bid with a term of less than 18 months, ROPR rights would have to be exercised within two business days of Columbia's notice of the winning bid. For espacity with a term longer than 18 months, the ROPR would have to be exercised within five business days.

Parties raised a number of objections, including that the reduced ROPR process is unreasonable and the

Farties raised a number of objections, including that the reduced ROPA process is unreasonable and the auction mechanism should not be segregated from other transportation issues in the pipeline's order 637 compliance filing (IF, 24 July, 15).

Last week's order raised other questions. For example, Columbia has proposed to be able to change the terms of its offer, such as lowering the minimum bid, and subsequently close any associated deal within 15 minutes of the change, the order noted. Will that have adverse effects, possibly by "disadvantaging indders who do not constantly monitor the auction?" it asked. The commission also wondered if the auction procedure is the appropriate means of selling all capacity, or whether there should be an additional or alternative method for allocating long-term capacity.

The proposal provides that at any time before a binding sale, customers could change or withdraw their rid. "Should penalties be assessed for withdrawn bids?" Fore asked. And if so, what would be the approprite level of such penalties and how should the revenue be treated?

NYSEG CASE REVEALS BREATHITT'S DESIRE TO TAKE UTILITY RETURNS HIGHER

In another case with industrywide implications for utilities contemplating their order 2000 compliance filings this fall, the commission earlier dus mouth affirmed an administrative law judge's recommended rate of revars on equity for New York State Electric & Gas Corp. Commissioner Linda Breathirt concurred

but voiced concern that the utility's 10.56% ROB was too low.

The proceeding follows a high-profile case in which Perc revised an ALJ's decision and raised Southern California Edison Co.'s ROE to 11.6% from the recommended 9.88%. Ostensibly, the cummission's ruling CRITICALS TO A NOVE OF BOTH IN THE CRITICAL TO A TRACE OF THE CRITICAL COMMITTEE ACCOUNTS ACCOUNTS TO THE CRITICAL COMMITTEE ACCOUNTS ACCO industry concerns that utilities could be punished for transferring transmission assets from state to federal jurisdiction (JF. 31 July, 1).

That theme was a subtext in the wide-ranging NYSEG rate case on which Fore ruled this month. In addition to rate design and rate moratorium issues, the commission addressed the ALI's recommended ROE in an Ang. 17 order (ER97-2353) affirming in part and denying in part an initial decision.

Based on the results of a DCF analysis for a comparable group of utilities and for NYSEG, the utility had argued for an 11.2% ROE. For its part, Fore trial staff recommended an ROE of 9.32%. Using a two-stop DCF methodology, the ALI found that the median of a comparable group of utilities was 10.56% and that NYSEG's return on equity should be set at that level.

Fere supported NYSEC's subsequent challenge that the two-stage approach used for gas pipeline rate proceedings has not been proven applicable to electric utilities. But if the commission applied the sume proceedings has not been proven applicable to electric utilities. But if the commission applied the sume procedures for deriving ROE as employed in the SoCal Ed case, the ALI's recommended ROE "would still fall within the zone of reasonableness," Fer. value. "Thus, we effirm the judge's 10.56% ROE for the just and reasonable ROE for NYSEO" even though "we reject her rationale for reaching that result."

Breathitt picked up on themes she voiced last month in discussing the SoCal Ed case specifically Breatnitt picked up on themes she voiced last month in discussing the SoCal Ed case specifically and, more generally, the importance of setting ROB levels that occurage further development of the nation's transmission system (IP, 24 July, 7). "I am conceeded shout the signal we send by affirming the ALI's 10.56% return on equity for NYSEG, especially at a time when utilities are preparing to make their lorder 2000) compliance fillings," said her concurrence. Order 2000 requires utilities to authorit by Oct. 16 plans to participate in a sectional transmission constraint. plans to participate in a regional transmission organization.

plans to participate in a regional branchission organization.

Noting that sha would have allowed a higher return, Breathit reiterated that "the allowance of reasonable ROBs is an important way for the commission to send a more positive signal to encourage the formation of KTOs and the development or a sufficient transmission infrastructure." She voted for the ROB established in the order because NYSEG did not contest the figure in its brief on exceptions and because Ferre affirmed the use of the constant-growth DCF modal for setting offlittle: ROEs, Breathit explained. "However, I will not feel constrained by this level of ROEs as we consider utility rate filings in the future." The commissione emission will need to show toward floribility in

future," the commissioner continued. 'I believe the commission will need to show upward flexibility in setting ROEs at a time when we are encouraging transmission-owning unlities to participate in RTOs.

FOR SUBSCRIPTION OR DELIVERY QUESTIONS, call our Subscriber Relations Dept. tall free as 800-223-6180. Or write to Subscriber Relations Dept., Energy & Business Newsletters, Two Penn Plaza, 5th Floor, New York, N.Y. 10121-2298.

Visit our web site at http://www.platts.com

X McGraw-Hill

NEWS OFFICE 1200 G St, NW Sulta 1100 ston, D.C. 20005 (202) 383-2141 fax: (202) 383-2125 Inside F.E.R.C. is published ayony storately by The McCrass-Hill Companies. Two Pene Plaza, Sin Floor, New York, N.Y. 10121-2298, Officers of the Corporation: Harold McCraw III, Chalman, President and Chief Securitys Ordion: Normach M. Yozur, Esecurive Viou President and General Ocuraet: Robort a Bahani, Esporative Vice President and Chief Prancial Officer, Frank D. Pengisses, Serior Vice President, Treasury Operations: Vascara Problem, President, Information and Media Sovicco.

Christopher Newkomet, chief scitor Lony Foctor, educated director

 \bigcirc

Craig Carn, accordant action:

Mark Damtos, associate editor

Kolky Doolen, associate editor

Kenk White, associate editor

Tion Tionath, carrespondent

Molinia Tarum, sussociate editor

Tom Tionath, carrespondent

Subscription rules; \$1,340 per year in U.S. and Caracta (blue sales tax if application); \$1,340 playshare (air delivery), \$2,000 per year in U.S. and Caracta (blue sales tax if application); \$1,340 playshare (air delivery), \$2,000 per year (air delivery); \$1,000 per year (air delivery)

INSIDE F.E.R.C .-- August 25, 2000